

## ANNEX 6: RULES AND CONDITIONS

### 1. Conditions

CONDITION ID	DESCRIPTION
C002	IF corresponding free text field(s) is(are) used THEN ‘_LNG’ = ‘R’ ELSE ‘_LNG’ does not apply (The language of the address attributes is expressed by NAD_LNG)
C009	IF <Operator Type Code> of the Authorised Trader is "Authorised warehouse keeper" THEN <(USING) TAX WAREHOUSE> is 'R' ELSE <(USING) TAX WAREHOUSE> does not apply.
C044	IF <Request Type> is "Request for reference data" THEN <Request Message Name> is 'R' ELSE <Request Message Name> does not apply
C050	IF <Request Type> is “Request for reference data” THEN <REFERENCE REQUEST> is ‘R’ ELSE <REFERENCE REQUEST> does not apply.
C051	IF <Request Type> is “Request for re-synchronisation of the register of economic operators” or “Request for reference data” THEN <REFERENCE SYNCHRONISATION> is ‘R’ ELSE <REFERENCE SYNCHRONISATION> does not apply.
C052	IF <Request Type> is "Request for retrieval of a list of e-ADs/e-SADs" or "Request for retrieval of list of e-ADs" or "Request for retrieval of list of e-SADs" THEN <E-AD/E-SAD LIST REQUEST> is 'R' ELSE <E-AD/E-SAD LIST REQUEST> does not apply
C053	IF <Request Type> is “Request for SEED statistics” THEN <STATISTICS REQUEST> is ‘R’ ELSE <STATISTICS REQUEST> does not apply.
C054	IF <Request Type> is “Request for SEED statistics” THEN <STATISTICS PERIOD> is ‘R’ ELSE <STATISTICS PERIOD> does not apply.
C133	The three following data fields are optional and exclusive: - <Semester> - <Quarter> - <Month>

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	i.e. If one of these data fields is given then the two other data fields do not apply.
C139	At least one of the <EXCISE PRODUCTS CATEGORY code> or <EXCISE PRODUCT code> data groups must be present
C141	The total number of <TRADER AUTHORISATION>, <TAX WAREHOUSE>, and <TEMPORARY AUTHORISATION> datagroups that central SEED disseminates to a MSA should not exceed the upper limit set by the MSA. If the MSA has set the upper limit to null, then central SEED should not apply a limit on the number of datagroups.
C157	IF <TEMPORARY AUTHORISATION.Small Wine Producer> is not present OR is present and is False THEN <Trader Excise Number> is 'R' ELSE <Trader Excise Number> is 'O'
C161	IF <Alert or Rejection of Movement Reason Code> is "Other" THEN <Complementary Information> is 'R' ELSE <Complementary Information> is 'O'
C163	IF <Message Type> is in: - "Dissemination of updates of economic operators" - "Retrieval of economic operators" - "Extraction of economic operators" THEN <Modification Date and Time> is 'R' ELSE <Modification Date and Time> does not apply
C209	IF the <TEMPORARY AUTHORISATION. Operator Type Code> is "Temporary Certified Consignee" THEN <(LINKED TO TEMPORARY AUTHORISATION) TRADER> is Optional ELSE it is Required

Table .1: Conditions

## 2. Rules

RULE ID	DESCRIPTION
BR044	BR ID: BR044  BR Category: SEED - Registration Data

	<p>BR Description: It is obligatory that the delete operation must be related to an economic operator who is not involved in any movement or who is not involved in an open movement and that the activation date of the 'delete' operation 'must be at least five years after the end of the calendar year of the start of any movement (i.e. date and time of validation of the e-AD/e-SAD) the economic operator is involved in.</p> <p>Source FESS BPMs: L4-RADM-01-01-Maintenance of Registration Data</p> <p>FESS Validation Rule: • If a 'delete' operation is submitted, then this should be related to: - An economic operator who is not involved in any movement; OR - An economic operator not involved to any open movement and; - An economic operator involved in movements, but the activation date of the deletion is at least five years from the end of the calendar year in which the corresponding movement began (i.e. date and time of validation of the e-AD/e-SAD).</p> <p>IE: IE713 (incremental update or full register of economic operators)</p> <p>Data Item: ACTION.Operation</p> <p>Optionality: Mandatory</p> <p>Comments: N/A.</p>
BR051	<p>BR ID: BR051</p> <p>BR Category: SEED - Registration Data</p> <p>BR Description: It is obligatory that the trader excise number of the linked to temporary authorization temporary certified consignee, which is included in the draft temporary authorisation of the temporary certified consignor, has not been used by another authorization of a temporary certified consignor registered in SEED.</p> <p>Source FESS BPMs: L4-RADM-01-01-Maintenance of Registration Data</p>

	<p>FESS Validation Rule:</p> <ul style="list-style-type: none"><li>• the temporary certified consignee, that is linked in the draft temporary authorisation of the temporary certified consignor, has not been used by another authorization of a temporary certified consignor registered in SEED.</li></ul> <p>IE:</p> <p>IE713 (incremental update or full register of economic operators)</p> <p>Data Item:</p> <p>(LINKED TO TEMPORARY AUTHORISATION) TRADER.Trader Excise Number</p> <p>Optionality: Mandatory</p> <p>Comments: N/A.</p>																				
R007	<p>The IE consists of top level Data Groups. Each top level Data Group has its own Data Group ACTION which attributes apply to the top level Data Group and its subsequent sub Data Groups.</p> <p>The sender of the IE will always (in case of Create, Update, Invalidate and Delete) send all values of the occurrence.</p> <p>The &lt;Operation&gt; attribute is used to indicate what action is applied to the occurrence in the IE. Its value is: ‘C’ (create), ‘U’ (update), ‘I’(Invalidate), ‘D’ (Delete).</p> <p>The &lt;Activation date&gt; indicates when the operation will become applicable for the specific occurrence.</p> <p>For the D (Delete) operation the &lt;Activation date&gt; shall be the current date of the request.</p>																				
R026	<p>The possible values of &lt;Operator Role Code&gt; are:</p> <ul style="list-style-type: none"><li>- 1 = Allowed to practise direct delivery</li><li>- 2 = Allowed to leave empty the destination fields according to Article 22 of Directive 2020/262</li></ul> <p>The couplings &lt;Operator Type / Operator Role Code&gt; are as follows:</p> <table><tr><td>A / B</td><td>C</td><td>D</td><td>E</td></tr><tr><td colspan="4">-----</td></tr><tr><td>Allowed to practise direct delivery</td><td>X</td><td>X</td><td></td></tr><tr><td colspan="4">-----</td></tr><tr><td>Allowed to leave empty the destination fields according to</td><td>X</td><td></td><td>X</td></tr></table>	A / B	C	D	E	-----				Allowed to practise direct delivery	X	X		-----				Allowed to leave empty the destination fields according to	X		X
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	<p>Article 22 of Directive 2020/262</p> <p>-----</p> <p>-----</p> <p>Where: A: OPERATOR TYPE B: OPERATOR ROLE C: AUTHORISED WAREHOUSE KEEPER D: REGISTERED CONSIGNEE E: REGISTERED CONSIGNOR</p>
R027	An existing identifier (Excise Number) <Trader Excise Number> in the set of <TRADER AUTHORISATION>
R028	<p>The format of &lt;Time To ...&gt; or &lt;Maximum Duration of ...&gt; is expressed in days, months or years, with the format P999, where:</p> <ul style="list-style-type: none"> <li>- "P" is a letter in ("D" = Day, "M" = Month or "Y" = Year)</li> <li>- "999" is a three digits number</li> </ul>
R032	<p>The format of &lt;Office Reference Number&gt; is defined as per below:</p> <p>Field / Content / Field type / Examples</p> <p>1 / Identifier of the MS to which the Office belongs / Alphabetic 2 / "IT"</p> <p>2 / National number of the Customs Office/ Alphanumeric 6 (digits and capital letters) / "0830AB "</p> <p>Field 1 is taken from the list of &lt;NATIONAL ADMINISTRATIONS&gt; of the Member States.</p> <p>Field 2 has to be freely filled with a 6 character alphanumeric code. The 6 characters allow MSAs, where necessary, to define a hierarchy of customs offices (COL).</p>
R040	<p>The format of &lt;Temporary Authorisation Reference &gt; is defined as per below:</p> <p>Field / Content / Field type / Examples</p> <p>1 / Identifier of the MS where the temporary authorisation reference is registered/ Alphabetic 2 / "PL"</p> <p>2 / Nationally assigned, unique code / Alphanumeric 11 (digits and capital letters) / "0830AB "</p> <p>Field 1 is taken from the list of &lt;NATIONAL ADMINISTRATIONS&gt; of the Member States.</p> <p>The format of the temporary authorisation reference is the same as the format of the trader excise number.</p>
R070	The <Trader Excise Number> must be unique in the list of <TRADER AUTHORISATION>.

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	<p>The &lt;Tax Warehouse Reference&gt; must be unique in the list of &lt;TAX WAREHOUSE&gt;".</p> <p>The format of &lt;Trader Excise Number&gt; or &lt;Tax Warehouse Reference&gt; is defined as per the below structure:</p> <p>Field / Content / Field type / Examples  1 / Identifier of the MS where the economic operator or tax warehouse is registered / Alphabetic 2 / "PL"  2 / Nationally assigned, unique code / Alphanumeric 11 / "2005764CL78"</p> <p>Field 1 is taken from the list of &lt;&lt;NATIONAL ADMINISTRATIONS&gt;. Field 2 must be filled with a unique identifier for the Excise registered operator (authorised warehouse keeper, registered consignee, registered consignor, certified consignor and certified consignee) or for the tax warehouse. The way this value is assigned falls under the MSAs' responsibility, but each Excise registered operator (authorised warehouse keeper, registered consignee, registered consignor, certified consignor and certified consignee) and each tax warehouse must have a unique number.</p>
R193	The value of <Request Correlation Identifier> is unique per Member State.
R204	The "Tax Warehouse Reference" shall be one of the <TAX WAREHOUSE. Tax Warehouse Reference> such that there exists at least one Active version having its Validity Interval intersecting the Validity Interval of the <TRADER AUTHORISATION>, after the Activation Date of the latter, by at least one day
R205	The "Tax Warehouse Reference" shall be the same as one of the <(USING) TAX WAREHOUSE. Tax Warehouse Reference> within one or more <TRADER AUTHORISATION> data groups of "Authorised Warehouse Keeper" type satisfying also Rule204
R206	The value of the <Operator Type Code> data item cannot be changed after the creation of the TRADER AUTHORISATION
R207	The <Excise Products Category Code> of the <Excise Product Code> must not exist inside the same <TRADER AUTHORISATION> or <TAX WAREHOUSE>
R208	The <Excise Products Category Code> must be unique in the list of <EXCISE PRODUCTS CATEGORY Code> inside the same <TRADER AUTHORISATION> or <TAX WAREHOUSE>
R209	The <Excise Product Code> must be unique in the list of <EXCISE PRODUCT Code> inside the same <TRADER AUTHORISATION>, <TAX WAREHOUSE>, or <TEMPORARY AUTHORISATION>
R212	IF <TEMPORARY AUTHORISATION.Small Wine Producer> is present and is True THEN

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	the <Excise Product Code> must be either 'W200' or 'W300'				
R214	An existing identifier <Office Reference Number> in the set of <OFFICE>. The Office must belong to the same MSA to which the entity (economic operator, tax warehouse or temporary authorisation) is registered				
R230	The Activation Date must be equal to or greater than the Modification Date.				
R232	The value of the Data Item must be greater than zero.				
R233	<p>An existing identifier &lt;Trader Excise Number&gt; in the set of &lt;TRADER AUTHORISATION&gt;</p> <p>The &lt;Operator Type Code&gt; of the referred &lt;TRADER&gt; must be:</p> <ul style="list-style-type: none"> <li>- "Authorised warehouse keeper" OR "Registered consignor" for &lt;Temporary Registered Consignee&gt; authorisations OR;</li> <li>- "Certified Consignor" for &lt;Temporary Certified Consignee&gt; authorisations OR;</li> <li>- "Certified Consignee" for &lt;Temporary Certified Consignor&gt; authorisations.</li> </ul> <p>In addition, the Member State of the &lt;TRADER AUTHORISATION&gt; must be different from the Member State the &lt;TEMPORARY AUTHORISATION&gt; is registered for.</p> <p>OR</p> <p>An existing identifier &lt;Trader Excise Number&gt; in the set of &lt;TEMPORARY AUTHORISATION&gt;</p> <p>The &lt;Operator Type Code&gt; of the referred &lt;TRADER&gt; must be:</p> <ul style="list-style-type: none"> <li>- "Temporary Certified Consignor" for &lt;Temporary Certified Consignee&gt; authorisations OR;</li> <li>- "Temporary Certified Consignee" for &lt;Temporary Certified Consignor&gt; authorisations.</li> </ul> <p>In addition, the Member State of the linked &lt;TEMPORARY AUTHORISATION&gt; must be different from the Member State the &lt;TEMPORARY AUTHORISATION&gt; is registered for.</p>				
R236	An existing identifier <Office Reference Number> in the Customs Office List (COL)				
R248	<p>The format of &lt;Maximum Journey Time&gt; is expressed in hours or in days, with the format P99, where:</p> <ul style="list-style-type: none"> <li>- "P" is a letter in ("H" = Hours or "D" = Day)</li> <li>- "99" is a two digits number</li> </ul> <p>If P is "H" then the number is less or equal to 24</p> <p>if P is "D" then the number is less or equal to the possible values of &lt;Maximum Journey Time&gt; per &lt;Transport Mode Code&gt; as described in the following table:</p> <table border="1"> <thead> <tr> <th>Transport ModeCode</th><th>Maximum Journey Time</th></tr> </thead> <tbody> <tr> <td>-----</td><td>-----</td></tr> </tbody> </table>	Transport ModeCode	Maximum Journey Time	-----	-----
Transport ModeCode	Maximum Journey Time				
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	<p>0 - Other D45</p> <p>1 - Sea Transport D45</p> <p>2 - Rail transport D35</p> <p>3 - Road transport D35</p> <p>4 - Air transport D20</p> <p>5 - Postal consignment D30</p> <p>7 - Fixed transport installations D15</p> <p>8 - Inland waterway transport D35</p> <p>Note 1: The value “Other” refers to multimode transport (where there is unload and reload of cargo) and covers the cases of Group Consignments, Export, Split and Change of destination.</p> <p>Note 2: In case of export, the journey time is the estimated duration of the journey up to the exit of the customs territory of the Union.</p>
R249	If the value of < Primary Criterion Type Code> Data Item is “46= Type of Transport”, then an existing <Transport Mode Code> in the list of BC67 -TRANSPORT MODES shall be used
R264	The Excise Product Code 'S600' is only applicable for the 'Certified Consignor' and 'Certified Consignee' authorisation types.
R265	<p>The possible values of &lt;Operator Role Code&gt; are:</p> <ul style="list-style-type: none"> <li>- 1 = Allowed to practise direct delivery</li> <li>- 2 = Allowed to leave empty the destination fields according to Article 22 of Directive 2020/262</li> </ul> <p>The couplings &lt;Operator Type / Operator Role Code&gt; are as follows:</p> <ul style="list-style-type: none"> <li>- 1 = Allowed to practise direct delivery--&gt; Applicable for 'Authorised Warehouse Keeper' and 'Registered Consignee'</li> <li>- 2 = Allowed to leave empty the destination fields according to Article 22 of Directive 2020/262 --&gt; Applicable for 'Authorised Warehouse Keeper' and 'Registered Consignor'</li> </ul>
R266	<p>The format of &lt;Global Excise Trader Identifier&gt; is defined as per the below structure:</p> <p>Field / Content / Field type / Examples</p> <p>1 / Identifier of the MS where the economic operator or tax warehouse is registered / Alphabetic 2 / "PL"</p> <p>2 / Nationally assigned, unique code / Alphanumeric 20 / "2005764CL78232ERW123"</p> <p>Field 1 is taken from the list of &lt;NATIONAL ADMINISTRATIONS&gt;</p> <p>Field 2 must be filled with a unique identifier that will allow linking of the different authorisation types (authorised warehouse keeper, registered consignee, registered consignor, certified consignor, and certified consignee) of a single Excise economic operator.</p>



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R267	For 'delete' operations of 'Tax Warehouse' records, the deleted 'tax warehouse' must not be linked to an 'Authorised Warehouse Keeper'.
R268	For 'delete' operations of 'Authorised Warehouse Keeper' records, the deleted 'Authorised Warehouse Keeper' must not be the sole keeper of a tax warehouse, i.e. the deletion of the 'Authorised Warehouse Keeper' must not result to an 'orphan' tax warehouse.
R269	For 'delete' operations of 'Registered Consignors' or 'Authorised Warehouse Keepers', there should be no 'Temporary Registered Consignees' associated to the deleted economic operators. Similarly, for 'delete' operations of 'Certified Consignors' or 'Certified Consignees', there should be no 'Temporary Certified Consignees' or 'Temporary Certified Consignors' respectively associated to the deleted economic operators.
TR9001	Action identification is identification for the occurrence within the Information Exchange Message. Although the content of the Action identification can be chosen freely by the producer of the change, it must be unique within the scope of the transaction. This is a technical attribute added to identify the occurrence in the enclosing transaction (message) and intended to enable unique definition of error cases
TR9080	Within the "REFERENCE SYNCHRONISATION" Data Group, the "Period from date" must be prior or equal to the "Period to date".
TR9110	The value of this attribute shall be: - 0: for retrieval (or missing in order to enable backward compatibility); - 1: for extraction; - 2: for non-invalidated records.
TR9115	In case that an extraction is requested, the 'Period from date' and/or the 'Period to date' fields will be used to request occurrences valid in the specified period. On the contrary, in case retrieval is requested, the 'Period from date' and/or the 'Period to date' fields will be used to request occurrences modified and published in the specified period.

Table .2: Rules