

Excise Functional Requirements BPM Report for EMCS

COVER PAGE

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## 1. INTRODUCTION

### 1.1. Document Purpose

This document presents the L4 Functional Requirements Processes for EMCS. It includes L4 processes that show the human interaction tasks and the automated tasks and the information exchanges. In addition, there is an annex containing graphic representations of the L4 Business Process Diagrams. This report is generated from ARIS and only contains information present in ARIS.

### 1.2. Deviation From TEMPO

The following TEMPO sections are not part of this document:

- Sign Off list;
- Distribution List;
- Scope;
- Applicable Documents;
- List of Tables;
- List of Figures.

The following TEMPO sections are added to this document:

- Project Ownership;
- Intended Audience.

### 1.3. Assumption and Constraints

The reader should have a basic knowledge of the EU BPM methodology.

### 1.4. Project Ownership

The unit DG TAXUD/C2 is the project owner of this Excise Functional Requirements BPM Report.

### 1.5. Intended Audience

The purpose of this document is to establish a common understanding between readers that are familiar with the applicable documents and may belong to the following categories:

- Any person responsible for the Excise - EMCS business / system processes, requirements, functional specifications or conformance testing;
- Any person responsible for the Export - AES business / system processes, requirements, functional specifications or conformance testing;
- Any person of the development team of DG TAXUD/C5 who will use this document as a reference during the development cycle;
- Any person from the DG TAXUD units who has to verify whether the current document is consistent with the applicable documents and depicts the solution to be followed;
- Any Member State (MS) or Trade representatives who have to identify the actions, implications and consequences on their national domain, including both business people and people having an IT background.

### 1.6. Structure of Document

This document is organised as follows:

- Introduction;
- References, containing a list of acronyms and abbreviations and the documents referenced in this functional requirements document;

- Document History, listing the different versions and submission dates of this functional requirements document;
- High Level System Overview, providing an overview of the system and the actors involved in this functional requirements document;
- Process Models, visualising in separate sections information from all L4 BPMs relating to the system covered in this functional requirements document.

In separate document, the following related annex may be found:

- Annex 1: Model Graphics, providing all L4 BPM models

Excise Functional Requirements BPM Report for EMCS
REFERENCES

## 2. REFERENCES

### 2.1. Acronyms and abbreviations

ACRONYM	DESCRIPTION
ARC	Administrative Reference Code
Art	Article
BPM	Business Process Model
B2B	Business-to-Business
CCN	Common Communication Network
COL	Customs Office List
DG TAXUD	Directorate General Taxation and Customs Union
e-AD	Electronic Administrative Document
ELO	Excise Liaison Office
EMCS	Excise Movement and Control System
EOL	Excise Office List
e-SAD	Electronic Simplified Administrative Document
EU	European Union
ID	Identifier
IT	Information Technology
MS	Member State(s)
MSA	Member State Administration
N/A	Not Applicable
HL	High Level
RADM	Registration and Authorisation Data Management
Reg	Regulation
TEMPO	TAXUD Electronic Management of Projects On-Line
UR	User Requirement

Table 2.1: Acronyms and abbreviations

### 2.2. Reference Documents

The following documents are of interest to this document and its annexes:

REF.	TITLE	ORIGINATOR	VERSION	DATE
R01	SC15 DLV-6.4-1-(10) EU CUSTOMS BPM METHODOLOGY	DG TAXUD	3.00	10/01/2014

## REFERENCES

	DOCUMENT-v3.00			
R02	Functional Excise System Specifications	DG TAXUD	v3.65	13/11/2013
R03	Design Document for National Excise Applications (EMCS Phase 3)	DG TAXUD	v1.76	13/11/2013

Table

2.2:

Reference

Documents

### 3. DOCUMENT HISTORY

ED.	REV.	DATE	DESCRIPTION	ACTION *	PAGES
0	01	27/04/2014	Producing the L4 models L4-ACO-AS-IS-01-01, L4-ACO-AS-IS-01-02, L4-ACO-AS-IS-01-03, L4-ACO-AS-IS-01-04, L4-ACO-AS-IS-01-05, L4-ACO-AS-IS-01-06, L4-ACO-AS-IS-01-07, L4-ACO-AS-IS-01-08 in accordance with RFA 322 Submitted for review to DG Taxation and Customs Union.	I,U	All
1	00	04/06/2015	Implementing reviewers' comments. Submitted for acceptance to DG Taxation and Customs Union.	I,U	As required
1	01	01/07/2015	Producing the L4 models L4-ACO-AS-IS-01-09, L4-ACO-AS-IS-01-10, L4-ACO-AS-IS-01-11, L4-ACO-AS-IS-01-12, L4-ACO-AS-IS-01-13, L4-ACO-AS-IS-01-14 in accordance with RFA 322 - Package 2. Submitted for review to DG Taxation and Customs Union.	I,U	As required
1	02	30/07/2015	Implementing reviewers' comments. Submitted for acceptance to DG Taxation and Customs Union.	I,U	As required
1	03	03/08/2015	Producing the L4 models L4-ACO-AS-IS-01-15, L4-ACO-AS-IS-01-16, L4-ACO-AS-IS-01-17 in accordance with RFA 333. Submitted for review to DG Taxation and Customs Union.	I,U	As required
1	04	07/09/2015	Implementing reviewers' comments. Submitted for acceptance to DG	I,U	As required

## DOCUMENT HISTORY

			Taxation and Customs Union.		
1	05	07/09/2015	<p>Producing the following L4 models:</p> <ul style="list-style-type: none"> <li>• IE717 control report C_CCR_DAT</li> <li>• IE721 administrative cooperation common request C_COO_SUB</li> <li>• IE722 movement verification request C_MVS_SUB</li> <li>• IE723 movement verification answer C_MVS_ANS</li> <li>• IE724 reminder message for movement verification request C_MVS_REM</li> <li>• IE725 movement verification results C_MVS_RES</li> <li>• IE807 interruption of movement C_STP_NOT</li> <li>• IE820 history results C_HIM_RES</li> <li>• IE840 event report C_EVT_DAT</li> <li>• IE867 administrative cooperation results C_COO_RES</li> <li>• IE868 answer message C_COO_ANS</li> <li>• IE869 reminder message for administrative cooperation C_COO_REM</li> </ul> <p>in accordance with RFA: RfA-348 :Production of BPM AS-IS MADs.</p>	I,U	As required
1	00	22/01/2016	<p>Implementing reviewers' comments. Submitted for acceptance to DG Taxation and Customs Union.</p>		
1	07	03/03/2017	<p>Produced/Updated L4 Models and Artefacts (EMCS P3.3 Evolution &amp; EMCS Baseline Completion) under SC04-RFA#393</p> <p>Submitted for review to DG Taxation and Customs Union.</p>	I, U	All
1	08	15/06/2017	<p>Produced/Updated L4 Models and Artefacts (EMCS P3.3 Evolution &amp; EMCS Baseline Completion) under SC04-RFA#393</p> <p>Submitted for Acceptance to DG Taxation and Customs Union.</p>	I, U	All

## DOCUMENT HISTORY

1	09	15/06/2017	Produced/Updated L4 Models and Artefacts (Completion of EMCS 3.3 BPMs) under SC03-RFA#077.  Submitted for Acceptance to DG Taxation and Customs Union.	I, U	All
1	10	04/08/2017	Produced/Updated L4 Models and Artefacts (Completion of EMCS 3.3 BPMs) under SC03-RFA#077.  Submitted for Acceptance to DG Taxation and Customs Union.	I, U	All
1	11	03/04/2018	Updated L4 Models and Artefacts (EMCS 3.3 BPMs MS comments implementation) under SC05-RfA #501.  Submitted for Review to DG Taxation and Customs Union.	I, U	All
1	12	02/05/2018	Updated L4 Models and Artefacts (EMCS 3.3 BPMs MS comments implementation) under SC05-RfA #501.  Submitted for Acceptance to DG Taxation and Customs Union.	I, U	All
1	13	02/05/2018	Published for Member States (MSI).	I, U	All
1	14	08/10/2018	Implementation of EMCS 3.4 BPMs under SC05-RfA #525.  Submitted for Review to DG Taxation and Customs Union.	I, U	All
1	15	29/10/2018	Implementation of EMCS 3.4 BPMs under SC05-RfA #525.  Submitted for Acceptance to DG Taxation and Customs Union.	I, U	All
1	16	23/11/2018	Published for Member States (MSI).	I, U	All
3	92	11/11/2020	Implementation of EMCS Phase 4 BPMs under SC09-RfA#632.  Submitted for Review to DG Taxation and Customs Union.	I, U	All
3	93	01/12/2020	Implementation of review comments for EMCS Phase 4 BPMs	I, U	All

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DOCUMENT HISTORY

			under SC09-RfA#632. Submitted for Acceptance to DG Taxation and Customs Union. .		
3	94	16/12/2020	Implementation of Phase 4 BPMs under SC09-RfA#632. Submitted for Member States' review. .	I, U	All
4	00	19/04/2021	Implementation of Phase 4 Member States review comments under SC09-RfA#632. Published for Member States Information (MSI).	I, U	All
4	01	18/11/2021	Implementation of the following RFCs: FESS-274, FESS-276, FESS-277, FESS-278, FESS-279, FESS-280, FESS-281, FESS-282, FESS-283, FESS-284, FESS-285.  Published for Member States Information (MSI).	I, U	All

Table

3.1:

Document

History

\*I = Insert, R = Replace, U = Update

## 4. HIGH LEVEL SYSTEM OVERVIEW

### 4.1. System Overview

This document contains the functional specifications for the Excise Movement and Control System to which any Nationally Developed Excise Application (NDEA) and Centrally Developed Excise Application (CDEA) needs to conform. These functional specifications are to be regarded as the basis for the development of the technical specifications (i.e. DDNEA – 'Design Document for National Excise Applications'). It is important to note that the complete functional specifications can be found in ARIS and that this document is envisaged to be supporting documentation to the diagrams and information in ARIS. If the legal baseline of this document would change, the functional specifications will need to be updated and aligned.

Since the actual implementation of the system is under national responsibility, the division between user interface and automated tasks included in this document should be considered as a proposal from DG TAXUD. MSAs are free to deviate and introduce more or less automation. However, as with all Trans-European Systems, compliance with the common domain information exchanges will be mandatory to ensure automated communication between MSAs.

### 4.2. Actors

ACTORS	DESCRIPTION
Consignee	<p>The Economic Operator that handles the order of the Duty Paid goods, lodges (optionally) a guarantee with the MSA of Dispatch and pays the excise duty for the goods ordered. He is optionally involved in the endorsement of SAAD Copy 3 and is responsible to provide to the Consignor the credentials required (if any) for the refund of the excise duty that was originally paid by the Consignor to the MSA of Dispatch.</p> <p>Note: in these specifications, unless explicitly stated otherwise, the terms "consignor" and "consignee" mean any type of consignor and consignee respectively. For instance, "consignor" may mean authorised warehouse keeper, or registered consignor, or certified consignor or temporary certified consignor. The specific type of operator will be indicated only when a process, rule, condition, etc. requires it (i.e. applies only to one or more specific types of consignors or of consignees).</p>
MSA	The excise office that receives the External Domain message. This can be the MSA of Dispatch or the MSA of Destination.
Excise officer	The Excise Officer performs operational duties that belong to the MSA in EMCS movement.
Issuing MSA	The excise office that after discovering information worth communicating to one or several other MSAs, undertakes any required controls and sends a message reporting the found information and/or the results of the actions performed to the concerned MSAs.
Receiving MSA	The excise office that receives the Common Domain message. This can be any MSA, i.e. the MSA of Dispatch, the MSA of

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HIGH LEVEL SYSTEM OVERVIEW

	Destination/ MSA of Export or a third MSA.
Dummy pool 2	Actor 'Dummy Pool2' is included in the 'L4-CORE-Dummy' process which is actually used to link specific messages (e.g. IE829, IE839, IE732, IE770, IE709, IE934), in order for these messages to be included in the produced BPM reports.
MSA Official	Any official of a MSA having a role in EMCS (the appointment of persons, as well as the profile definitions, is left to each MSA), such as the ELO and the Excise Officer.
Customs Office of Export	The customs office designated by the customs authorities in accordance with the customs legislation where for goods leaving the customs territory of the Union the formalities for placing them under the export procedure are to be carried out or where a re-export notification is to be lodged, where applicable.
ELO	The ELO (Excise Liaison Office) manages all exchanges between MS concerning movements and other EMCS-linked information, in particular concerning data involved in SEED, enquiries, administrative cooperation exchanges.
National (Requestor) MSA	The excise office that forwards the search query for the retrieval of an e-AD/e-SAD to the Initiator MSA. It is used in the context of the “General query to retrieve an e-AD/e-SAD” business process.
Initiator MSA	The excise office that initially validated the movement information and is committed to give access to its movement information to other MSAs. It is used in the context of the “General query to retrieve an e-AD/e-SAD” business process.
Initiator MSA	The excise office that validates and securely stores all information submitted by the Consignor, in particular all successive states of the e-AD/e-SAD, before sending this information to the MSA Destination application. It monitors the outgoing traffic, i.e. the goods leaving the tax warehouse.
Claiming MSA	The MSA that estimates having the right to claim a part of duties on shortages and Excesses.
MSA of Submission	MSA of Submission: The excise office that validates and stores all information submitted by the Excise Officer regarding a particular event, and forwards this information to the MSA of Event
MSA of Event	<p>The MSA of Event is the competent authority of the Member State on the territory of which an event has occurred. The MSA of Event is responsible for:</p> <ul style="list-style-type: none"> <li>- Assigning a unique reference to the Event Report,</li> <li>- Forwarding Event Report to all concerned MSAs.</li> </ul> <p>The MSA of Event may check the contents of the Event Report by means of actions outside the system.</p> <p>The MSA of Event can be the MSA of Dispatch, the MSA of</p>

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	Destination/MSA of Export or a third MSA (i.e. an MSA not involved in the movement).
Consignee	<p>The Economic Operator that reports receipt or refusal of goods, alerts on non-conformity of documents, possibly rejects the e-AD/e-SAD.</p> <p>Note: in these specifications, unless explicitly stated otherwise, the terms "consignor" and "consignee" mean any type of consignor and consignee respectively. For instance, "consignor" may mean authorised warehouse keeper, or registered consignor, or certified consignor or temporary certified consignor. The specific type of operator will be indicated only when a process, rule, condition, etc. requires it (i.e. applies only to one or more specific types of consignors or of consignees).</p>
Excise Authority	
EMCS	EMCS (Excise Movement and Control System) is a computerised system for monitoring movements of excise goods within the EU.
Addressed MSA	The excise office that receives the information and/or results of the controls performed by the Issuing MSA. It is used in the context of the "Administrative cooperation - spontaneous information" business process.
Requested MSA	The excise office that after receiving a request is responsible to respond by providing the requested information/results.
Control officer	The control officer performs physical/documentary control, evaluates the findings from the control and submits the control report to his MSA application
Former Consignee	The Consignee mentioned in the original e-AD/e-SAD and which is no longer the Consignee of the movement, due to a Change of Destination or Splitting performed on the specific movement.
MSA of Interruption	<p>The MSA of Interruption is the MSA where the interruption occurred.</p> <p>The MSA of Interruption can be the MSA of Dispatch or the MSA of Destination or a third MSA (i.e. an MSA not involved in the movement that is to be interrupted).</p>
MSA of Dispatch	The excise office that validates and stores all information submitted by the Consignor, in particular all successive states of the e-AD/e-SAD, before sending this information to the MSA Destination application. It monitors the outgoing traffic, e.g. the goods leaving the tax warehouse.
Consignor	The Economic Operator that submits the e-AD/e-SAD and all possible changes, e.g. change of destination, splitting (duty suspension only), cancellation (duty suspension only), etc. to the MSA of Dispatch. The Consignor is the owner of the contents of an e-AD/e-SAD, i.e. he is the only one allowed to change its

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	<p>contents.</p> <p>Note: in these specifications, unless explicitly stated otherwise, the terms "consignor" and "consignee" mean any type of consignor and consignee respectively. For instance, "consignor" may mean authorised warehouse keeper, or registered consignor, or certified consignor or temporary certified consignor. The specific type of operator will be indicated only when a process, rule, condition, etc. requires it (i.e. applies only to one or more specific types of consignors or of consignees).</p>
Requesting MSA	The excise office that initiates a request.
MSA of Control	MSA of Control: The excise office that validates, stores and disseminates the Control Report to all concerned actors
Sending MSA	The excise office that sends the Common Domain message. This can be any MSA, i.e. the MSA of Dispatch, the MSA of Destination/ MSA of Export or a third MSA.
Dummy pool	Actor 'Dummy Pool' is included in the 'L4-CORE-Dummy' process which is actually used to link specific messages (e.g. IE829, IE839, IE732, IE770, IE709, IE934), in order for these messages to be included in the produced BPM reports.
MSA of Destination	The excise office that monitors the incoming traffic, i.e. the goods entering into tax warehouse.
Economic Operator	Any economic operator involved at a given movement either as Consignor or as a Consignee.
MSA of Dispatch	The excise office that validates and stores all information submitted by the Consignor, in particular all successive states of the e-SAAD, before sending this information to the MSA Destination application. It monitors the outgoing traffic, e.g. the goods leaving the tax warehouse.

## 5. PROCESS MODELS

### 5.1. Process L4-ACO-01-00-Administrative Cooperation

#### 5.1.1. Objective of Process

The purpose of this diagram is to present in one diagram the main flow concerning Administrative Cooperation process. This diagram mainly focuses on the successful path. For more details please check the respective L4 BPMN diagrams.

#### 5.1.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-ACO-01-00
Full Name	Administrative Cooperation
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	N/A
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.1: Process Details

#### 5.1.3. Tasks and Events

##### Task Administrative Cooperation–Request for assistance (Request)

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Administrative Cooperation–Request for assistance (Request)
Task Type	Call activity (collapsed)
Description	
Actor	Excise Authority
Input Data	
Output Data	
Previous Objects	Decision to request information/actions from an MSA?
Information Exchange	
Requirements	
Data Set	
Events	

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State	
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Table 5.2: Task Administrative Cooperation–Request for assistance (Request)

### Task Administrative Cooperation–Spontaneous information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Administrative Cooperation–Spontaneous information
Task Type	Call activity (collapsed)
Description	
Actor	Excise Authority
Input Data	
Output Data	
Previous Objects	Decision to communicate information/results without prior request to another MSA?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.3: Task Administrative Cooperation–Spontaneous information

### Task Movement Verification–Request (Request)

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Movement Verification–Request (Request)
Task Type	Call activity (collapsed)
Description	
Actor	Excise Authority
Input Data	
Output Data	
Previous Objects	Decision to request verifications for specific movements (i.e. duty paid B2B movements, duty paid B2C movements and/or any undocumented movements) or for the flow of goods between two traders?
Information Exchange	
Requirements	
Data Set	
Events	

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State	
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Table 5.4: Task Movement Verification–Request (Request)

### Task Movement Verification for Duty Paid Movements-Spontaneous information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Movement Verification for Duty Paid Movements-Spontaneous information
Task Type	Call activity (collapsed)
Description	
Actor	Excise Authority
Input Data	
Output Data	
Previous Objects	Decision to communicate information/results for operator(s) or movement(s) (i.e. duty paid B2C movement(s) and/or any undocumented movement(s))?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.5: Task Movement Verification for Duty Paid Movements-Spontaneous information

### Event Cooperation/Exchange of Information to be performed

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Cooperation/Exchange of Information to be performed
Event Type	Start event
Description	
Previous Objects	

Table 5.6: Event Cooperation/Exchange of Information to be performed

### Task Interruption of a Movement

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Interruption of a Movement
Task Type	Call activity (collapsed)
Description	

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Actor	Excise Authority
Input Data	
Output Data	
Previous Objects	Decision to interrupt a duty suspension movement?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.7: Task Interruption of a Movement

### Task Submission of an Event Report (Submit)

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Submission of an Event Report (Submit)
Task Type	Call activity (collapsed)
Description	
Actor	Excise Authority
Input Data	
Output Data	
Previous Objects	Decision to submit an event report for a duty suspension movement or a duty paid B2B movement or a duty paid B2C movement or an undocumented movement?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.8: Task Submission of an Event Report (Submit)

### Task Submission of an Event Report (Handling)

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Submission of an Event Report (Handling)
Task Type	Call activity (collapsed)
Description	

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Actor	Excise Authority
Input Data	
Output Data	
Previous Objects	Submission of an Event Report (Submit)
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.9: Task Submission of an Event Report (Handling)

### Task Control and Submission of Control Report

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Control and Submission of Control Report
Task Type	Call activity (collapsed)
Description	
Actor	Excise Authority
Input Data	
Output Data	
Previous Objects	Decision to submit a control report for a duty suspension movement or a duty paid B2B movement or a duty paid B2C movement or an undocumented movement?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.10: Task Control and Submission of Control Report

### Task Status Synchronisation Mechanism

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Status Synchronisation Mechanism
Task Type	Call activity (collapsed)
Description	

Actor	Excise Authority
Input Data	
Output Data	
Previous Objects	Need to initiate a status/status synchronisation request; manually or automatically
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.11: Task Status Synchronisation Mechanism

### Event Cooperation/Exchange of Information performed

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Cooperation/Exchange of Information performed
Event Type	End event
Description	
Previous Objects	<p>Administrative Cooperation–Request for assistance (Request)            Decision to request information/actions from an MSA?            Decision to communicate information/results without prior request to another MSA?            Administrative Cooperation–Spontaneous information            General query to retrieve an e-AD/e-SAD (Results)            Decision to retrieve an e-AD/e-SAD?            Decision to submit a control report for a duty suspension movement or a duty paid B2B movement or a duty paid B2C movement or an undocumented movement?            Control and Submission of Control Report            Decision to request verifications for specific movements (i.e. duty paid B2B movements, duty paid B2C movements and/or any undocumented movements) or for the flow of goods between two traders?            Movement Verification–Request (Request)            Need to initiate a status/status synchronisation request; manually or automatically            Status Synchronisation Mechanism            Decision to communicate information/results for operator(s) or movement(s) (i.e. duty paid B2C movement(s) and/or any undocumented movement(s))?            Movement Verification for Duty Paid Movements-Spontaneous information            Decision to manually close a duty suspension movement?            Manual Closure of a Movement            Decision to interrupt a duty suspension movement?</p>

	<p>Interruption of a Movement</p> <p>Download of an e-AD/e-SAD (Results)</p> <p>Decision to download all records concerning an e-AD/e-SAD?</p> <p>Decision to submit an event report for a duty suspension movement or a duty paid B2B movement or a duty paid B2C movement or an undocumented movement?</p> <p>Submission of an Event Report (Handling)</p>
--	--

Table 5.12: Event Cooperation/Exchange of Information performed

### Task General query to retrieve an e-AD/e-SAD (Query)

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	General query to retrieve an e-AD/e-SAD (Query)
Task Type	Call activity (collapsed)
Description	
Actor	Excise Authority
Input Data	
Output Data	
Previous Objects	Decision to retrieve an e-AD/e-SAD?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.13: Task General query to retrieve an e-AD/e-SAD (Query)

### Task General query to retrieve an e-AD/e-SAD (Results)

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	General query to retrieve an e-AD/e-SAD (Results)
Task Type	Call activity (collapsed)
Description	
Actor	Excise Authority
Input Data	
Output Data	
Previous Objects	General query to retrieve an e-AD/e-SAD (Query)
Information Exchange	

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Requirements	
Data Set	
Events	
State	

Table 5.14: Task General query to retrieve an e-AD/e-SAD (Results)

### Task Download of an e-AD/e-SAD (Query)

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Download of an e-AD/e-SAD (Query)
Task Type	Call activity (collapsed)
Description	
Actor	Excise Authority
Input Data	
Output Data	
Previous Objects	Decision to download all records concerning an e-AD/e-SAD?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.15: Task Download of an e-AD/e-SAD (Query)

### Task Download of an e-AD/e-SAD (Results)

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Download of an e-AD/e-SAD (Results)
Task Type	Call activity (collapsed)
Description	
Actor	Excise Authority
Input Data	
Output Data	
Previous Objects	Download of an e-AD/e-SAD (Query)
Information Exchange	
Requirements	
Data Set	

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Events	
State	

Table 5.16: Task Download of an e-AD/e-SAD (Results)

### Task Manual Closure of a Movement

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Manual Closure of a Movement
Task Type	Call activity (collapsed)
Description	
Actor	Excise Authority
Input Data	
Output Data	
Previous Objects	Decision to manually close a duty suspension movement?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.17: Task Manual Closure of a Movement

## 5.2. Process L4-ACO-01-01-Administrative Cooperation–Request for assistance (Request)

### 5.2.1. Objective of Process

The purpose of this process is to describe the electronic flow for requesting information, controls or actions on any subject related to given operator(s) or movement(s).

In case of movements under duty suspension, the Requesting MSA is legally obliged (see Article 25(1) of Regulation (EU) No 389/2012) to consult the concerned movement information through the use cases (L4-ACO-01-21-Download of an e-AD (Query) (FESS)), (L4-ACO-01-19-General query to retrieve an e-AD (Query)) and (L4-ACO-01-18-Status Synchronisation Mechanism)), prior launching a request via the current model.

Upon any event that draws their attention on a particular movement (in particular risk assessment, but possibly any other information coming from external sources), the ELO of any Member State registers a request for controls, actions and information on any subject related to given operator(s) or movement(s) to its MSA.

After receiving the aforementioned request, the Requesting MSA stores it and builds an Administrative Cooperation Common Request message, explicitly indicating that the Request Type is “Administrative cooperation”. After storing the Administrative Cooperation Common Request the Requesting MSA sets the state of the request to “Open” and sends the aforementioned message to the Requested MSA. Finally the

Requesting MSA initiates the timer TIM\_ACO to expire at the expected deadline for receiving the results, as specified in the request message.

The Requested MSA receives the Administrative Cooperation Common Request indicating a request for information, controls or actions, performs the required validations and handles the results of these validations (for a detailed description of the respective processes, please refer to “L4-CORE-01-03” and “L4-CORE-01-05” respectively).

Afterwards, the Requested MSA determines whether the Administrative Cooperation Common Request has been found valid or not. In the latter case, a refusal message has already been sent to the Requesting MSA for the receipt of an invalid Administrative Cooperation Common Request (in the context of process “L4-CORE-01-05”) and the process ends at this point without storing the Administrative Cooperation Common Request.

Otherwise, the Administrative Cooperation Common Request has already been stored (in the context of process “L4-CORE-01-05”). The Requested MSA sets the state of the request to “Open” and its ELO is informed about the request for information, controls or actions received.

The ELO of the Requested MSA analyses the request and estimates whether it is possible or not to give the requested information/results in the time limit indicated in the request message. Depending on the outcome of his analysis the following cases exist:

a) If the Requested ELO agrees with the requested deadline for results, this agreement is implicit; the continuation of the flow of the concerned request takes place at EMCS through the process “L4-ACO-01-03” where the Results are received and this process ends at this point; or

b) If the Requested ELO feels the deadline is too short, the requested ELO they may send, within one month following the date of receipt of the request, an intermediate message called answer message, where they give a new deadline and their reasons to delay the results message. (see Article 11(3) of Regulation (EU) No 389/2012). The continuation of the flow of the concerned request takes place at EMCS through the process “L4-ACO-01-08”.

c) If the Requested ELO does not agree with the contents of the request the continuation of the flow of the concerned request takes place at EMCS through the process “L4-ACO-01-24”.

In case b) mentioned above and as a result of process “L4-ACO-01-08” triggered, the Requested MSA sends an Answer Message to the Requesting MSA. The Requesting MSA after receiving the Answer Message, performs the required validations and handles the results of these validations (for a detailed description of the respective processes, please refer to “L4-CBC-01-03” and “L4-CORE-01-05” respectively).

Afterwards, the Requesting MSA determines whether the Answer Message has been found valid or not. In the latter case, a refusal message has already been sent to the Requested MSA for the receipt of an invalid Answer Message (in the context of process “L4-CORE-01-05”) and the process ends at this point without storing the Answer Message.

Otherwise, the Answer Message has already been stored (in the context of process “L4-CORE-01-05”). The Requesting MSA updates the state of the request to “Extended” and if the timer TIM\_ACO has already expired (a reminder message has been sent to the Requested MSA through process “L4-ACO-01-02”), first resets the flag that has been raised locally at expiration time and then resets the timer TIM\_ACO to expire at the new deadline given in the Answer Message; his ELO is informed of the Requested ELO’s request for deadline extension.

It might also be the case that the timer TIM\_ACO expires at the Requesting MSA without having received a History Results message or an Answer Message for extending the deadline from the Requested MSA. Alternatively, an Answer Message for extending the deadline had been received from the Requested MSA but the timer TIM\_ACO that was reset following the reception of the Answer Message, expires without having received a History Results message. In this case the process “L4-ACO-01-02” is triggered for the submission of the respective reminder message.

After receiving an Answer Message for extending the deadline or after sending a Reminder message, the Requesting MSA expects to receive either a new Answer message or the Results.

### 5.2.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-ACO-01-01
Full Name	Administrative Cooperation–Request for assistance (Request)
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-ACO-01-01-Cooperation on Request
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.18: Process Details

### 5.2.3. Tasks and Events

#### Event The Requesting ELO decided to request Information, Controls or Actions from the ELO of another MSA

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	The Requesting ELO decided to request Information, Controls or Actions from the ELO of another MSA
Event Type	Start event
Description	Upon any event that draws their attention on a particular movement (in particular risk assessment, but possibly any other information coming from external sources), the ELO of any Member State sends a request message to another ELO for controls, actions and information on any subject related to given operator(s) or movement(s).
Appears also in the following processes	L4- EMCS-AS_IS-01-09-Administrative Cooperation Submit Request for Assistance_OLD
Previous Objects	

Table 5.19: Event The Requesting ELO decided to request Information, Controls or Actions from the ELO of another MSA

#### Task Register Request for Information, Controls or Actions

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Request for Information, Controls or Actions
Task Type	User task
Description	The Requesting ELO registers a request for information, controls or actions to the Requesting MSA.

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Actor	ELO
Appears also in the following processes	L4- EMCS-AS_IS-01-09-Administrative Cooperation Submit Request for Assistance_OLD
Input Data	ACO_REQUEST FOLLOW UP for IE721 ATTRIBUTES for IE721 CONTACT for IE721, IE867
Output Data	CONTACT for IE721, IE867 ACO_REQUEST FOLLOW UP for IE721 ATTRIBUTES for IE721
Previous Objects	The Requesting ELO decided to request Information, Controls or Actions from the ELO of another MSA
Information Exchange	
Requirements	ACO_FUR_0058
Data Set	
Events	
State	

Table 5.20: Task Register Request for Information, Controls or Actions

### Task Record Request for Information, Controls or Actions

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Request for Information, Controls or Actions
Task Type	Service task
Description	The request for information, controls or actions is stored.
Actor	EMCS
Appears also in the following processes	L4- EMCS-AS_IS-01-09-Administrative Cooperation Submit Request for Assistance_OLD
Input Data	C_COO_SUB
Output Data	C_COO_SUB
Previous Objects	Register Request for Information, Controls or Actions
Information Exchange	

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Requirements	ACO_FUR_0059
Data Set	
Events	
State	

Table 5.21: Task Record Request for Information, Controls or Actions

### Task Build Administrative Cooperation Common Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build Administrative Cooperation Common Request
Task Type	Service task
Description	The Requesting MSA builds an Administrative Cooperation Common Request based on the Request received by the Requesting ELO, setting the Request Type either to "Administrative cooperation" or to "Request for history".
Actor	EMCS
Appears also in the following processes	L4-ACO-01-05-Request for history information (Request) L4- EMCS-AS_IS-01-09-Administrative Cooperation Submit Request for Assistance_OLD
Input Data	C_COO_SUB
Output Data	C_COO_SUB
Previous Objects	Record Request for Information, Controls or Actions
Information Exchange	
Requirements	ACO_FUR_0090
Data Set	
Events	
State	

Table 5.22: Task Build Administrative Cooperation Common Request

### Task Record Administrative Cooperation Common Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Administrative Cooperation Common Request
Task Type	Service task
Description	The Administrative Cooperation Common Request is stored.

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Actor	EMCS
Appears also in the following processes	L4-ACO-01-05-Request for history information (Request) L4- EMCS-AS_IS-01-09-Administrative Cooperation Submit Request for Assistance_OLD
Input Data	C_COO_SUB
Output Data	C_COO_SUB
Previous Objects	Build Administrative Cooperation Common Request
Information Exchange	
Requirements	ACO_FUR_0091
Data Set	
Events	
State	

Table 5.23: Task Record Administrative Cooperation Common Request

### Task Set State of the Request to "Open"

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Set State of the Request to "Open"
Task Type	Service task
Description	The state of the request is set to "Open".
Actor	EMCS
Appears also in the following processes	L4-ACO-01-05-Request for history information (Request) L4-ACO-01-01-Administrative Cooperation-Request for assistance (Request)
Input Data	Follow Up Correlation ID
Output Data	Request State
Previous Objects	Record Administrative Cooperation Common Request
Information Exchange	
Requirements	ACO_FUR_0061
Data Set	Request State

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Events	IE721 recorded
State	Open

Table 5.24: Task Set State of the Request to "Open"

### Task Notify Requested MSA about Administrative Cooperation Common Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Requested MSA about Administrative Cooperation Common Request
Task Type	Send task
Description	The Requesting MSA submits an Administrative Cooperation Request (IE721) to the Requested MSA.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-05-Request for history information (Request)
Input Data	C_COO_SUB
Output Data	
Previous Objects	Set State of the Request to "Open"
Information Exchange	administrative cooperation common request
Requirements	ACO_FUR_0092
Data Set	
Events	
State	

Table 5.25: Task Notify Requested MSA about Administrative Cooperation Common Request

### Task Initiate Timer TIM\_ACO

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Initiate Timer TIM_ACO
Task Type	Service task
Description	The Requesting MSA initiates the timer TIM_ACO to expire at the expected deadline for receiving the results, as specified in the request message.

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	TIM_ACO is a timer associated with the results of an administrative cooperation request. Its Expiry Date is given by the sender, limited by a common system parameter.
Actor	EMCS
Appears also in the following processes	L4- EMCS-AS_IS-01-09-Administrative Cooperation Submit Request for Assistance_OLD
Input Data	Follow Up Correlation ID Deadline for Results
Output Data	Timer TIM_ACO Expiry Date
Previous Objects	Notify Requested MSA about Administrative Cooperation Common Request
Information Exchange	
Requirements	ACO_FUR_0093
Data Set	
Events	
State	

Table 5.26: Task Initiate Timer TIM\_ACO

### Event Administrative Cooperation Common Request Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Administrative Cooperation Common Request Received
Event Type	Message start event
Description	An Administrative Cooperation Common Request has been received.
Appears also in the following processes	L4-ACO-01-05-Request for history information (Request)
Previous Objects	Notify Requested MSA about Administrative Cooperation Common Request

Table 5.27: Event Administrative Cooperation Common Request Received

### Event TIM\_ACO has Expired

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	TIM_ACO has Expired
Event Type	Timer intermediate event

Description	The timer TIM_ACO expires without having received the results message (IE867) or an answer message (IE868) for extending the deadline from the Requested MSA. Alternatively, an answer message (IE868) had been received from the Requested MSA but the timer TIM_ACO, that was reset following the reception of the answer message, expires without having received the results message (IE867).
Appears also in the following processes	L4-ACO-01-02-Administrative cooperation-Deadline for results L4- EMCS-AS_IS-01-09-Administrative Cooperation Submit Request for Assistance_OLD
Previous Objects	Acknowledge Request for Deadline Extension Handle Expiration of Deadline for Results Initiate Timer TIM_ACO

Table 5.28: Event TIM\_ACO has Expired

### Task Perform Validation of Administrative Cooperation Common Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Validation of Administrative Cooperation Common Request
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-03-Perform validation of Common Domain message
Actor	EMCS
Appears also in the following processes	L4- EMCS-AS_IS-01-09-Administrative Cooperation Submit Request for Assistance_OLD
Input Data	
Output Data	
Previous Objects	Administrative Cooperation Common Request Received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.29: Task Perform Validation of Administrative Cooperation Common Request

### Task Handle Validation Results of Administrative Cooperation Common Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Validation Results of Administrative Cooperation Common

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	Request
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-05-Handle validation Results of Common Domain message
Actor	EMCS
Appears also in the following processes	L4- EMCS-AS_IS-01-09-Administrative Cooperation Submit Request for Assistance_OLD
Input Data	
Output Data	
Previous Objects	Perform Validation of Administrative Cooperation Common Request
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.30: Task Handle Validation Results of Administrative Cooperation Common Request

### Task Handle Expiration of Deadline for Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Expiration of Deadline for Results
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-ACO-01-02-Administrative cooperation-Deadline for results
Actor	EMCS
Appears also in the following processes	L4- EMCS-AS_IS-01-09-Administrative Cooperation Submit Request for Assistance_OLD
Input Data	
Output Data	
Previous Objects	TIM_ACO has Expired
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.31: Task Handle Expiration of Deadline for Results

### Event Waiting for Results to be provided

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Waiting for Results to be provided
Event Type	Conditional intermediate event
Description	Neither an answer message has been received nor the TIM_ACO has expired. Therefore the Requesting MSA is still waiting for a Results message.
Appears also in the following processes	L4- EMCS-AS_IS-01-09-Administrative Cooperation Submit Request for Assistance_OLD
Previous Objects	Acknowledge Request for Deadline Extension Handle Expiration of Deadline for Results Initiate Timer TIM_ACO

Table 5.32: Event Waiting for Results to be provided

### Task Identify if the message is found valid

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if the message is found valid
Task Type	Service task
Description	Following the validation of the message, it is determined whether the message has been found valid or not.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-02-Administrative cooperation-Deadline for results L4-CORE-01-10-Download of an e-AD (Results) L4-ACO-01-04-Administrative Cooperation-Spontaneous Information L4-ACO-01-19-General query to retrieve an e-AD/e-SAD (Query) L4-ACO-01-21-Download of an e-AD/e-SAD (Query) L4-ACO-01-20-General query to retrieve an e-AD/e-SAD (Results) L4-ACO-01-26-Administrative Cooperation-Provide Feedback L4-ACO-01-01-Administrative Cooperation-Request for assistance (Request) L4-ACO-01-25-Movement Verification for Duty Paid Movements-Spontaneous information L4-ACO-01-03-Administrative Cooperation-Request for assistance (Results) L4-ACO-01-07-Request for history information (Results) L4-ACO-01-06-History-Deadline for results L4-CORE-01-09-Download of an e-AD (Query) L4-ACO-01-22-Download of an e-AD/e-SAD (Results) L4-ACO-01-27-Movement Verification-Provide Feedback L4-ACO-01-05-Request for history information (Request)

Input Data	Result Identify if the Common Domain message is syntactically valid Result Identify if the Common Domain message passed Coordination Protocol validation successfully
Output Data	Result Identify if the message is found valid
Previous Objects	Handle Validation Results of Administrative Cooperation Common Request
Information Exchange	
Requirements	ACO_FUR_0021
Data Set	
Events	
State	

Table 5.33: Task Identify if the message is found valid

### Task Administrative Cooperation - Request for assistance (Results)

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Administrative Cooperation - Request for assistance (Results)
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-ACO-01-03-Administrative Cooperation–Request for assistance (Results)
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Waiting for Results to be provided
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.34: Task Administrative Cooperation - Request for assistance (Results)

### Task Acknowledge Request for Information, Controls or Actions

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Acknowledge Request for Information, Controls or Actions
Task Type	User task
Description	The Requested ELO is informed about the request for information, controls or actions.
Actor	ELO
Appears also in the following processes	L4- EMCS-AS_IS-01-09-Administrative Cooperation Submit Request for Assistance_OLD
Input Data	C_COO_SUB
Output Data	C_COO_SUB
Previous Objects	Set State of the Request to "Open"
Information Exchange	
Requirements	ACO_FUR_0017
Data Set	
Events	
State	

Table 5.35: Task Acknowledge Request for Information, Controls or Actions

### Event Administrative Cooperation Common Request has been Recorded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Administrative Cooperation Common Request has been Recorded
Event Type	Conditional intermediate event
Description	The Administrative Cooperation Common Request has been stored.
Appears also in the following processes	L4-ACO-01-05-Request for history information (Request)
Previous Objects	Message found valid?

Table 5.36: Event Administrative Cooperation Common Request has been Recorded

### Task Identify if ELO agrees with the contents of the Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if ELO agrees with the contents of the Request
Task Type	User task
Description	The ELO of the requested MSA agrees analyses the contents of the request

	message and identifies if he is in a position for carrying out the requested controls or actions and/or giving the requested information.
Actor	ELO
Appears also in the following processes	L4-ACO-01-11-Movement Verification–Request (Request)
Input Data	C_MVS_SUB
Output Data	Result Identify if ELO agrees with the contents of the Request
Previous Objects	Acknowledge Request for Information, Controls or Actions
Information Exchange	
Requirements	ACO_FUR_0064
Data Set	
Events	
State	

Table 5.37: Task Identify if ELO agrees with the contents of the Request

### Task Set State of the Request to "Open"

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Set State of the Request to "Open"
Task Type	Service task
Description	The state of the request is set to "Open".
Actor	EMCS
Appears also in the following processes	L4-ACO-01-05-Request for history information (Request) L4-ACO-01-01-Administrative Cooperation–Request for assistance (Request)
Input Data	Follow Up Correlation ID
Output Data	Request State
Previous Objects	Administrative Cooperation Common Request has been Recorded
Information Exchange	
Requirements	ACO_FUR_0061
Data Set	Request State

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Events	IE721 recorded
State	Open

Table 5.38: Task Set State of the Request to "Open"

### Event Refusal Message has been sent to Requesting MSA

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal Message has been sent to Requesting MSA
Event Type	End event
Description	This process ends without storing the message, while a refusal message has been sent to the Requesting MSA, for the receipt of an invalid message.
Appears also in the following processes	L4-ACO-01-02-Administrative cooperation-Deadline for results L4-CORE-01-09-Download of an e-AD (Query) L4-ACO-01-06-History-Deadline for results L4-ACO-01-21-Download of an e-AD/e-SAD (Query) L4-ACO-01-05-Request for history information (Request)
Previous Objects	Message found valid?

Table 5.39: Event Refusal Message has been sent to Requesting MSA

### Event Results for Request for Information, Controls or Actions have been Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Results for Request for Information, Controls or Actions have been Received
Event Type	End event
Description	The results of actions and controls completed by the Requested MSA have been received by the Requesting MSA and the Requesting ELO is informed accordingly. He is therefore free to undertake additional controls and actions and to continue exchanges.
Appears also in the following processes	L4-ACO-01-03-Administrative Cooperation-Request for assistance (Results)
Previous Objects	Administrative Cooperation - Request for assistance (Results)

Table 5.40: Event Results for Request for Information, Controls or Actions have been Received

### Task Identify if it is possible to reply to the request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Identify if it is possible to reply to the request
Task Type	User task
Description	The ELO of the Requested MSA analyses the request and estimates whether it is possible or not to give the requested information in the time limit indicated in the request message.
Actor	ELO
Input Data	Deadline for Results Follow Up Correlation ID
Output Data	Result Identify if it is possible to reply to the request
Previous Objects	Agree with the contents of the Request?
Information Exchange	
Requirements	ACO_FUR_0097
Data Set	
Events	
State	

Table 5.41: Task Identify if it is possible to reply to the request

### Task Handle Refusal Response

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Refusal Response
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process:L4-ACO-01-24-Handle Refusal Response
Actor	ELO
Input Data	
Output Data	
Previous Objects	Agree with the contents of the Request?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.42: Task Handle Refusal Response

### Event Results can be provided in due time

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Results can be provided in due time
Event Type	Conditional intermediate event
Description	The ELO of the Requested MSA has estimated that it is possible to give the requested information and in the time limit indicated in the request message.
Appears also in the following processes	L4-ACO-01-05-Request for history information (Request)
Previous Objects	Is it possible to reply to request?

Table 5.43: Event Results can be provided in due time

### Task Handle Deadline Extension

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Deadline Extension
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process:L4-ACO-01-08-Handle Deadline Extension
Actor	ELO
Appears also in the following processes	L4-ACO-01-05-Request for history information (Request)
Input Data	
Output Data	
Previous Objects	Is it possible to reply to request?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.44: Task Handle Deadline Extension

### Event Answer Message Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Answer Message Received
Event Type	Message intermediate event (catch)

Description	An Answer Message has been received.
Appears also in the following processes	L4-ACO-01-05-Request for history information (Request)
Previous Objects	Notify Requesting MSA about Answer Message Acknowledge Request for Deadline Extension Handle Expiration of Deadline for Results Initiate Timer TIM_ACO

Table 5.45: Event Answer Message Received

### Event Submission of Results is pending

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Submission of Results is pending
Event Type	End event
Description	The Submission of the Results by the Requested ELO is still pending.
Appears also in the following processes	L4- EMCS-AS_IS-01-09-Administrative Cooperation Submit Request for Assistance_OLD
Previous Objects	Results can be provided in due time

Table 5.46: Event Submission of Results is pending

### Task Perform Validation of Answer Message

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Validation of Answer Message
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-03-Perform validation of Common Domain message
Actor	EMCS
Appears also in the following processes	L4-ACO-01-05-Request for history information (Request)
Input Data	
Output Data	
Previous Objects	Answer Message Received
Information Exchange	
Requirements	
Data Set	
Events	

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State	
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Table 5.47: Task Perform Validation of Answer Message

### Task Notify Requesting MSA about Answer Message

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Requesting MSA about Answer Message
Task Type	Send task
Description	The Requested MSA sends the Answer Message (IE868) to the Requesting MSA.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-05-Request for history information (Request)
Input Data	C_COO_ANS
Output Data	
Previous Objects	Handle Deadline Extension Handle Refusal Response
Information Exchange	answer message
Requirements	ACO_FUR_0181
Data Set	
Events	
State	

Table 5.48: Task Notify Requesting MSA about Answer Message

### Task Handle Validation Results of Answer Message

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Validation Results of Answer Message
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-05-Handle validation Results of Common Domain message
Actor	EMCS
Appears also in the following processes	L4-ACO-01-05-Request for history information (Request)
Input Data	
Output Data	

Previous Objects	Perform Validation of Answer Message
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.49: Task Handle Validation Results of Answer Message

### Task Identify if the message is found valid

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if the message is found valid
Task Type	Service task
Description	Following the validation of the message, it is determined whether the message has been found valid or not.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-02-Administrative cooperation-Deadline for results L4-CORE-01-10-Download of an e-AD (Results) L4-ACO-01-04-Administrative Cooperation-Spontaneous Information L4-ACO-01-19-General query to retrieve an e-AD/e-SAD (Query) L4-ACO-01-21-Download of an e-AD/e-SAD (Query) L4-ACO-01-20-General query to retrieve an e-AD/e-SAD (Results) L4-ACO-01-26-Administrative Cooperation-Provide Feedback L4-ACO-01-01-Administrative Cooperation-Request for assistance (Request) L4-ACO-01-25-Movement Verification for Duty Paid Movements-Spontaneous information L4-ACO-01-03-Administrative Cooperation-Request for assistance (Results) L4-ACO-01-07-Request for history information (Results) L4-ACO-01-06-History-Deadline for results L4-CORE-01-09-Download of an e-AD (Query) L4-ACO-01-22-Download of an e-AD/e-SAD (Results) L4-ACO-01-27-Movement Verification-Provide Feedback L4-ACO-01-05-Request for history information (Request)
Input Data	Result Identify if the Common Domain message is syntactically valid Result Identify if the Common Domain message passed Coordination Protocol validation successfully
Output Data	Result Identify if the message is found valid
Previous Objects	Handle Validation Results of Answer Message

Information Exchange	
Requirements	ACO_FUR_0021
Data Set	
Events	
State	

Table 5.50: Task Identify if the message is found valid

### Event Answer Message has been Sent

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Answer Message has been Sent
Event Type	End event
Description	An Answer Message has been sent to the Requesting MSA.
Appears also in the following processes	L4-ACO-01-05-Request for history information (Request)
Previous Objects	Notify Requesting MSA about Answer Message

Table 5.51: Event Answer Message has been Sent

### Event Answer Message has been Recorded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Answer Message has been Recorded
Event Type	Conditional intermediate event
Description	The Answer Message has been stored.
Appears also in the following processes	L4-ACO-01-05-Request for history information (Request)
Previous Objects	Message found valid?

Table 5.52: Event Answer Message has been Recorded

### Task Identify if refusal information has been provided

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if refusal information has been provided
Task Type	Service task
Description	The ELO of the Requesting MSA analyses if reasons for refusing the contents of request message has been provided.
Actor	EMCS

Appears also in the following processes	L4-ACO-01-11-Movement Verification–Request (Request)
Input Data	Refusal Reason Code MV Correlation ID
Output Data	Result Identify if refusal information provided
Previous Objects	Answer Message has been Recorded
Information Exchange	
Requirements	ACO_FUR_0173
Data Set	
Events	
State	

Table 5.53: Task Identify if refusal information has been provided

### Event Refusal Message has been sent to the Requested MSA

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal Message has been sent to the Requested MSA
Event Type	End event
Description	This process ends without storing the message, while a refusal message has been sent to the Requested MSA, for the receipt of an invalid message.
Appears also in the following processes	L4-ACO-01-03-Administrative Cooperation–Request for assistance (Results) L4-ACO-01-07-Request for history information (Results) L4-ACO-01-27-Movement Verification–Provide Feedback L4-ACO-01-05-Request for history information (Request) L4-ACO-01-26-Administrative Cooperation–Provide Feedback
Previous Objects	Message found valid?

Table 5.54: Event Refusal Message has been sent to the Requested MSA

### Task Perform Change of the Request State to "Extended"

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Change of the Request State to "Extended"
Task Type	Service task
Description	The state of the request is updated to “Extended”.
Actor	EMCS

Appears also in the following processes	L4-ACO-01-05-Request for history information (Request) L4- EMCS-AS_IS-01-09-Administrative Cooperation Submit Request for Assistance_OLD
Input Data	Result Identify if refusal information provided Follow Up Correlation ID
Output Data	Request State
Previous Objects	Has refusal information been provided?
Information Exchange	
Requirements	ACO_FUR_0068
Data Set	Request State
Events	IE868 received
State	Extended

Table 5.55: Task Perform Change of the Request State to "Extended"

### Task Perform Change of the Request State to "Closed"

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Change of the Request State to "Closed"
Task Type	Service task
Description	The state of the request is updated to "Closed"
Actor	EMCS
Appears also in the following processes	L4-ACO-01-11-Movement Verification-Request (Request)
Input Data	MV Correlation ID Result Identify if refusal information provided
Output Data	Request State
Previous Objects	Has refusal information been provided?
Information Exchange	
Requirements	ACO_FUR_0132
Data Set	Request State

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Events	IE723 received
State	Closed

Table 5.56: Task Perform Change of the Request State to "Closed"

### Task Identify if Timer TIM\_ACO has expired

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Timer TIM_ACO has expired
Task Type	Service task
Description	The Requesting MSA checks whether the timer TIM_ACO has expired.
Actor	EMCS
Appears also in the following processes	L4- EMCS-AS_IS-01-09-Administrative Cooperation Submit Request for Assistance_OLD
Input Data	Follow Up Correlation ID Timer TIM_ACO Expiry Date
Output Data	Result Identify if Timer TIM_ACO has expired
Previous Objects	Perform Change of the Request State to "Extended"
Information Exchange	
Requirements	ACO_FUR_0094
Data Set	
Events	
State	

Table 5.57: Task Identify if Timer TIM\_ACO has expired

### Task Stop Timer TIM\_ACO

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Stop Timer TIM_ACO
Task Type	Service task
Description	The requesting MSA stops the running timer (TIM_ACO).
Actor	EMCS
Input Data	Follow Up Correlation ID

Output Data	TIM_ACO end date
Previous Objects	Perform Change of the Request State to "Closed"
Information Exchange	
Requirements	ACO_FUR_0046
Data Set	
Events	
State	

Table 5.58: Task Stop Timer TIM\_ACO

### Task Reset Flag

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Reset Flag
Task Type	Service task
Description	If the timer TIM_HIS/TIM_ACO has already expired (a reminder message IE869 has been sent to the Requested MSA), the Requesting MSA resets the flag that has been raised locally at expiration time.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-03-Administrative Cooperation-Request for assistance (Results) L4-ACO-01-07-Request for history information (Results) L4-ACO-01-05-Request for history information (Request)
Input Data	Follow Up Correlation ID Result Identify if Timer TIM_ACO has expired
Output Data	TIM_ACO Reset Flag Date
Previous Objects	Has TIM_ACO expired?
Information Exchange	
Requirements	ACO_FUR_0095
Data Set	
Events	
State	

Table 5.59: Task Reset Flag

**Event Refusal message has been stored.**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message has been stored.
Event Type	End event
Description	The process ends with the refusal message stored.
Appears also in the following processes	L4-ACO-01-11-Movement Verification–Request (Request)
Previous Objects	Stop Timer TIM_ACO

Table 5.60: Event Refusal message has been stored.

**Task Acknowledge Request for Deadline Extension**

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Acknowledge Request for Deadline Extension
Task Type	User task
Description	The Requesting ELO is informed of the request for the extension of the deadline set for providing the information requested via the request message.
Actor	ELO
Appears also in the following processes	L4-ACO-01-05-Request for history information (Request) L4- EMCS-AS_IS-01-09-Administrative Cooperation Submit Request for Assistance_OLD
Input Data	C_COO_SUB
Output Data	C_COO_SUB
Previous Objects	Update Timer TIM_ACO
Information Exchange	
Requirements	ACO_FUR_0017
Data Set	
Events	
State	

Table 5.61: Task Acknowledge Request for Deadline Extension

## Task Update Timer TIM\_ACO

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Update Timer TIM_ACO
Task Type	Service task
Description	The Requesting MSA resets the timer TIM_ACO to expire at the new “Deadline for Results” as specified by the Answer Message (IE868).
Actor	EMCS
Appears also in the following processes	L4- EMCS-AS_IS-01-09-Administrative Cooperation Submit Request for Assistance_OLD
Input Data	Follow Up Correlation ID Date of sending
Output Data	Timer TIM_ACO Expiry Date
Previous Objects	Reset Flag Has TIM_ACO expired?
Information Exchange	
Requirements	ACO_FUR_0096
Data Set	
Events	
State	

Table 5.62: Task Update Timer TIM\_ACO

## 5.3. Process L4-ACO-01-02-Administrative cooperation-Deadline for results

### 5.3.1. Objective of Process

The purpose of this process is to describe the electronic flow for the handling of the case that the Requesting MSA has not received the results to an Administrative Cooperation Request within the predefined time-limit. The process is triggered when the timer TIM\_ACO expires without having received a Results message or an Answer Message for extending the deadline from the Requested MSA. Alternatively, an Answer Message for extending the deadline had been received from the Requested MSA but the timer TIM\_ACO that was reset following the reception of the Answer Message expires without having received a Results message. Therefore the Requesting MSA builds a Reminder Message for Administrative Cooperation, stores it and after updating the state of the request to “Late” sends the Reminder Message for Administrative Cooperation to the Requested MSA.

The Requested MSA receives the Reminder Message for Administrative Cooperation, performs the required validations and handles the results of these validations (for a detailed description of the respective processes, please refer to “L4-CORE-01-03” and “L4-CORE-01-05” respectively).

Afterwards, the Requested MSA determines whether the Reminder Message for Administrative Cooperation has been found valid or not. In the latter case, a refusal message has already been sent to the Requesting

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MSA for the receipt of an invalid Reminder Message for Administrative Cooperation (in the context of process “L4-CORE-01-05”) and the process ends at this point without storing the Reminder Message for Administrative Cooperation.

Otherwise, the Reminder Message for Administrative Cooperation has already been stored (in the context of process “L4-CORE-01-05”). The Requested MSA updates the state of the request to “Late” and its ELO is informed of the reminder for the request for information, controls or actions. The process ends at this point with the receipt of the reminder message by the Requested MSA. The Requested ELO is expected to send a Results message or extend the deadline by sending an Answer message.

### 5.3.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-ACO-01-02
Full Name	Administrative cooperation-Deadline for results
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-ACO-01-01-Cooperation on Request
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.63: Process Details

### 5.3.3. Tasks and Events

#### Event TIM\_ACO has Expired

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	TIM_ACO has Expired
Event Type	Start event
Description	The timer TIM_ACO expires without having received the results message (IE867) or an answer message (IE868) for extending the deadline from the Requested MSA. Alternatively, an answer message (IE868) had been received from the Requested MSA but the timer TIM_ACO, that was reset following the reception of the answer message, expires without having received the results message (IE867).
Appears also in the following processes	L4-ACO-01-01-Administrative Cooperation–Request for assistance (Request) L4- EMCS-AS_IS-01-09-Administrative Cooperation Submit Request for Assistance_OLD
Previous Objects	

Table 5.64: Event TIM\_ACO has Expired

### Task Build Reminder Message for Administrative Cooperation

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build Reminder Message for Administrative Cooperation
Task Type	Service task
Description	The Requesting MSA builds a Reminder Message for Administrative Cooperation.
Actor	EMCS
Input Data	Follow Up Correlation ID Timer TIM_ACO Expiry Date ATTRIBUTES for IE869
Output Data	C_COO_REM
Previous Objects	TIM_ACO has Expired
Information Exchange	
Requirements	ACO_FUR_0098
Data Set	
Events	
State	

Table 5.65: Task Build Reminder Message for Administrative Cooperation

### Task Record Reminder Message for Administrative Cooperation

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Reminder Message for Administrative Cooperation
Task Type	Service task
Description	The Reminder Message for Administrative Cooperation is stored.
Actor	EMCS
Input Data	C_COO_REM
Output Data	C_COO_REM
Previous Objects	Build Reminder Message for Administrative Cooperation
Information Exchange	
Requirements	ACO_FUR_0099
Data Set	

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PROCESS MODELS

Events	
State	

Table 5.66: Task Record Reminder Message for Administrative Cooperation

### Task Perform Change of the Request State to "Late"

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Change of the Request State to "Late"
Task Type	Service task
Description	The state of the request is updated to "Late".
Actor	EMCS
Appears also in the following processes	L4-ACO-01-02-Administrative cooperation-Deadline for results
Input Data	Follow Up Correlation ID
Output Data	Request State
Previous Objects	Record Reminder Message for Administrative Cooperation
Information Exchange	
Requirements	ACO_FUR_0075
Data Set	Request State
Events	IE869 recorded
State	Late

Table 5.67: Task Perform Change of the Request State to "Late"

### Task Notify Requested MSA about Reminder Message for Administrative Cooperation

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Requested MSA about Reminder Message for Administrative Cooperation
Task Type	Send task
Description	The Requesting MSA sends the Reminder Message for Administrative Cooperation (IE869) to the Requested MSA.
Actor	EMCS
Input Data	C_COO_REM

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PROCESS MODELS

Output Data	
Previous Objects	Perform Change of the Request State to "Late"
Information Exchange	reminder message for administrative cooperation
Requirements	ACO_FUR_0150
Data Set	
Events	
State	

Table 5.68: Task Notify Requested MSA about Reminder Message for Administrative Cooperation

### Event Reminder Message for Administrative Cooperation Request has been Sent

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Reminder Message for Administrative Cooperation Request has been Sent
Event Type	End event
Description	A Reminder Message for Administrative Cooperation has been sent to the Requested MSA
Previous Objects	Notify Requested MSA about Reminder Message for Administrative Cooperation

Table 5.69: Event Reminder Message for Administrative Cooperation Request has been Sent

### Event Reminder Message for Administrative Cooperation Request Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Reminder Message for Administrative Cooperation Request Received
Event Type	Message start event
Description	A Reminder Message for Administrative Cooperation has been received by the Requested MSA.
Previous Objects	Notify Requested MSA about Reminder Message for Administrative Cooperation

Table 5.70: Event Reminder Message for Administrative Cooperation Request Received

### Task Perform Validation of Reminder Message for Administrative Cooperation

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Validation of Reminder Message for Administrative Cooperation
Task Type	Subprocess (collapsed)

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Description	This task is modeled in a separate process: L4-CORE-01-03-Perform validation of Common Domain message
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Reminder Message for Administrative Cooperation Request Received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.71: Task Perform Validation of Reminder Message for Administrative Cooperation

### Task Handle Validation Results of Reminder Message for Administrative Cooperation Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Validation Results of Reminder Message for Administrative Cooperation Request
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-05-Handle validation Results of Common Domain message
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Perform Validation of Reminder Message for Administrative Cooperation
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.72: Task Handle Validation Results of Reminder Message for Administrative Cooperation Request

### Task Identify if the message is found valid

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if the message is found valid
Task Type	Service task

Description	Following the validation of the message, it is determined whether the message has been found valid or not.
Actor	EMCS
Appears also in the following processes	L4-CORE-01-10-Download of an e-AD (Results) L4-ACO-01-04-Administrative Cooperation-Spontaneous Information L4-ACO-01-19-General query to retrieve an e-AD/e-SAD (Query) L4-ACO-01-21-Download of an e-AD/e-SAD (Query) L4-ACO-01-20-General query to retrieve an e-AD/e-SAD (Results) L4-ACO-01-26-Administrative Cooperation-Provide Feedback L4-ACO-01-01-Administrative Cooperation-Request for assistance (Request) L4-ACO-01-25-Movement Verification for Duty Paid Movements-Spontaneous information L4-ACO-01-03-Administrative Cooperation-Request for assistance (Results) L4-ACO-01-07-Request for history information (Results) L4-ACO-01-06-History-Deadline for results L4-CORE-01-09-Download of an e-AD (Query) L4-ACO-01-22-Download of an e-AD/e-SAD (Results) L4-ACO-01-27-Movement Verification-Provide Feedback L4-ACO-01-05-Request for history information (Request)
Input Data	Result Identify if the Common Domain message is syntactically valid Result Identify if the Common Domain message passed Coordination Protocol validation successfully
Output Data	Result Identify if the message is found valid
Previous Objects	Handle Validation Results of Reminder Message for Administrative Cooperation Request
Information Exchange	
Requirements	ACO_FUR_0021
Data Set	
Events	
State	

Table 5.73: Task Identify if the message is found valid

### Event Reminder Message for Administrative Cooperation Request has been Recorded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Reminder Message for Administrative Cooperation Request has been Recorded
Event Type	Conditional intermediate event

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Description	The Reminder Message for Administrative Cooperation has been stored.
Previous Objects	Message found valid?

Table 5.74: Event Reminder Message for Administrative Cooperation Request has been Recorded

### Event Refusal Message has been sent to Requesting MSA

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal Message has been sent to Requesting MSA
Event Type	End event
Description	This process ends without storing the message, while a refusal message has been sent to the Requesting MSA, for the receipt of an invalid message.
Appears also in the following processes	L4-CORE-01-09-Download of an e-AD (Query) L4-ACO-01-06-History-Deadline for results L4-ACO-01-21-Download of an e-AD/e-SAD (Query) L4-ACO-01-05-Request for history information (Request) L4-ACO-01-01-Administrative Cooperation-Request for assistance (Request)
Previous Objects	Message found valid?

Table 5.75: Event Refusal Message has been sent to Requesting MSA

### Task Acknowledge Reminder for Request for Information, Controls or Actions

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Acknowledge Reminder for Request for Information, Controls or Actions
Task Type	User task
Description	The Requested ELO is informed of the reminder for the request for information, controls or actions.
Actor	ELO
Input Data	C_COO_REM
Output Data	C_COO_REM
Previous Objects	Perform Change of the Request State to "Late"
Information Exchange	
Requirements	ACO_FUR_0017
Data Set	
Events	

State	
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Table 5.76: Task Acknowledge Reminder for Request for Information, Controls or Actions

### Task Perform Change of the Request State to "Late"

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Change of the Request State to "Late"
Task Type	Service task
Description	The state of the request is updated to "Late".
Actor	EMCS
Appears also in the following processes	L4-ACO-01-02-Administrative cooperation-Deadline for results
Input Data	Follow Up Correlation ID
Output Data	Request State
Previous Objects	Reminder Message for Administrative Cooperation Request has been Recorded
Information Exchange	
Requirements	ACO_FUR_0075
Data Set	Request State
Events	IE869 recorded
State	Late

Table 5.77: Task Perform Change of the Request State to "Late"

### Event Reminder for Submission of Results has been Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Reminder for Submission of Results has been Received
Event Type	End event
Description	A reminder for the submission of the results has been received by the Requested MSA and the Requested ELO has been informed accordingly. They are expected to send the results or extend the deadline by sending an answer message.
Appears also in the following processes	L4-ACO-01-03-Administrative Cooperation-Request for assistance (Results) L4-ACO-01-07-Request for history information (Results)

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	L4-ACO-01-06-History-Deadline for results
Previous Objects	Acknowledge Reminder for Request for Information, Controls or Actions

Table 5.78: Event Reminder for Submission of Results has been Received

## 5.4. Process L4-ACO-01-03-Administrative Cooperation–Request for assistance (Results)

### 5.4.1. Objective of Process

The purpose of this process is to describe the electronic flow for the submission of the results to an Administrative Cooperation Request.

Upon receipt of the request message, the ELO of the requested MSA is committed to undertake the requested actions and controls and give back results by the requested deadline. and no later than three months following the date of receipt of the request (see Article 11(1) of Regulation (EU) No 389/2012). Where the requested MSA is already in possession of that information, the ELO of the requested MSA ought to provide the results within one month following the date of receipt of the request (see Article 11(1) of Regulation (EU) No 389/2012). In certain special categories of cases, different time limits may be agreed between the requested MSA and the requesting MSA (see Article 11(2) of Regulation (EU) No 389/2012).

Via this results message, the requested ELO may request the requesting ELO to provide feedback on the follow-up action taken by the requesting MSA, on the basis of the provided results (see Articles 8(5), 15(2) and 16(2) of Regulation (EU) 389/2012, Articles 8, 15 and 16). In case such feedback is requested, the requesting ELO shall send back their feedback as soon as possible via another results message.

The process is triggered when the ELO of the Requested MSA completes the requested actions specified in the request message sent by the Requesting MSA. Alternatively, a reminder message for the submission of the results has been received by the Requested ELO, as a result of the process “L4-ACO-01-02”.

More specifically, the Requested ELO prepares the results on the basis of the checks and investigations he has carried out and registers it to the Requested MSA. After receiving the results for the request for information, controls or actions, the Requested MSA stores them and builds an Administrative Cooperation Results message. The Requested MSA stores the Administrative Cooperation Results.

- a) If the Requested MSA wishes to receive feedback on the results provided to the Requesting MSA, it updates the state of the request to "Answered and feedback expected".
- b) If the Requested MSA do not wish to receive feedback on the results to be provided, it updates the state of the request to “Closed”.

The process continuous as the Requesting MSA sends the the Administrative Cooperation Results to the Requesting MSA.

The Requesting MSA receives the Administrative Cooperation Results, performs the required validations and handles the results of these validations (for a detailed description of the respective processes, please refer to “L4-CORE-01-03” and “L4-CORE-01-05” respectively).

Afterwards, the Requesting MSA determines whether the Administrative Cooperation Results has been found valid or not. In the latter case, a refusal message has already been sent to the Requested MSA for the receipt of an invalid Administrative Cooperation Results (in the context of process “L4-CORE-01-05”) and the process ends at this point without storing the Administrative Cooperation Results.

Otherwise, the Administrative Cooperation Results has already been stored (in the context of process “L4-CORE-01-05”).

Via this results message, the requested ELO may request the requesting ELO to provide feedback on the follow-up action taken by the requesting MSA, on the basis of the provided results (see Articles 8(5), 15(2) and 16(2) of Regulation (EU) 389/2012, Articles 8, 15 and 16). In case such feedback is requested, the requesting ELO shall send back their feedback as soon as possible via another results message.

- a) If the Results message indicates that feedback is expected on the results provided, then the Requesting MSA updates the state of the request to "Answered and feedback expected. The process continuous in through "L4-ACO-01-26".
- b) If the results message do not indicate that feedback is expected, The Requesting MSA updates the state of the request to "Closed". At this point, if the timer TIM\_ACO has already expired (and therefore a reminder message has been sent to the Requested MSA through process "L4-ACO-01-02") the Requesting MSA resets the flag that has been raised locally at expiration time; otherwise it stops the timer TIM\_ACO. The ELO of the Requesting MSA is informed about the results received for his request for information, controls or actions and the process ends at this point.

#### 5.4.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-ACO-01-03
Full Name	Administrative Cooperation–Request for assistance (Results)
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-ACO-01-01-Cooperation on Request
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.79: Process Details

#### 5.4.3. Tasks and Events

##### Event The Requested ELO is ready to provide results

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	The Requested ELO is ready to provide results
Event Type	Start event
Description	The ELO of the Requested MSA completed the requested actions specified by the initial received Administrative Cooperation Request (IE721) message from the Requesting MSA.
Previous Objects	

Table 5.80: Event The Requested ELO is ready to provide results

##### Task Register Results for Request for Information, Controls or Actions

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Register Results for Request for Information, Controls or Actions
Task Type	User task
Description	The Requested ELO prepares the results message on the basis of the checks and investigations they have carried out.
Actor	ELO
Input Data	C_COO_RES
Output Data	C_COO_RES
Previous Objects	The Requested ELO is ready to provide results Reminder for Submission of Results has been Received
Information Exchange	
Requirements	ACO_FUR_0077
Data Set	
Events	
State	

Table 5.81: Task Register Results for Request for Information, Controls or Actions

### Event Reminder for Submission of Results has been Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Reminder for Submission of Results has been Received
Event Type	Start event
Description	A reminder for the submission of the results has been received by the Requested MSA and the Requested ELO has been informed accordingly. They are expected to send the results or extend the deadline by sending an answer message.
Appears also in the following processes	L4-ACO-01-02-Administrative cooperation-Deadline for results L4-ACO-01-07-Request for history information (Results) L4-ACO-01-06-History-Deadline for results
Previous Objects	

Table 5.82: Event Reminder for Submission of Results has been Received

### Task Record Results for Request for Information, Controls or Actions

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Results for Request for Information, Controls or Actions
Task Type	Service task

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Description	The results for the Request for information, controls or actions are stored.
Actor	EMCS
Input Data	C_COO_RES
Output Data	C_COO_RES
Previous Objects	Register Results for Request for Information, Controls or Actions
Information Exchange	
Requirements	ACO_FUR_0078
Data Set	
Events	
State	

Table 5.83: Task Record Results for Request for Information, Controls or Actions

### Task Build Administrative Cooperation Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build Administrative Cooperation Results
Task Type	Service task
Description	An Administrative Cooperation Results message (IE867) is built, taking into consideration the information/results received by the ELO.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-04-Administrative Cooperation-Spontaneous Information
Input Data	C_COO_RES
Output Data	C_COO_RES
Previous Objects	Record Results for Request for Information, Controls or Actions
Information Exchange	
Requirements	ACO_FUR_0172
Data Set	
Events	
State	

Table 5.84: Task Build Administrative Cooperation Results

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### Task Record Administrative Cooperation Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Administrative Cooperation Results
Task Type	Service task
Description	The Administrative Cooperation Results message is stored.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-04-Administrative Cooperation-Spontaneous Information
Input Data	C_COO_RES
Output Data	C_COO_RES
Previous Objects	Build Administrative Cooperation Results
Information Exchange	
Requirements	ACO_FUR_0151
Data Set	
Events	
State	

Table 5.85: Task Record Administrative Cooperation Results

### Event Administrative Cooperation Results Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Administrative Cooperation Results Received
Event Type	Message start event
Description	An Administrative Cooperation Results message has been received by the Requesting MSA.
Previous Objects	Notify Requesting MSA about Administrative Cooperation Results

Table 5.86: Event Administrative Cooperation Results Received

### Task Perform Validation of Administrative Cooperation Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Validation of Administrative Cooperation Results
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-03-Perform

	validation of Common Domain message.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-04-Administrative Cooperation-Spontaneous Information
Input Data	
Output Data	
Previous Objects	Administrative Cooperation Results Received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.87: Task Perform Validation of Administrative Cooperation Results

### Task Identify if feedback is requested

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if feedback is requested
Task Type	Service task
Description	The ELO of requested MSA may request the requesting ELO to provide feedback on the follow-up action taken by the requesting MSA, on the basis of the provided results
Actor	EMCS
Appears also in the following processes	L4-ACO-01-03-Administrative Cooperation-Request for assistance (Results) L4-ACO-01-13-Movement Verification-Request (Results)
Input Data	Feedback Requested or Provided MV Correlation ID
Output Data	Check the Result Identify if feedback is requested
Previous Objects	Record Administrative Cooperation Results
Information Exchange	
Requirements	ACO_FUR_0081
Data Set	
Events	

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State	
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Table 5.88: Task Identify if feedback is requested

### Task Perform Change of the Request State to "Answered and feedback expected"

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Change of the Request State to "Answered and feedback expected"
Task Type	Service task
Description	The state of the request is updated to "Answered and feedback expected".
Actor	EMCS
Appears also in the following processes	L4-ACO-01-03-Administrative Cooperation-Request for assistance (Results) L4-ACO-01-13-Movement Verification-Request (Results)
Input Data	Check the Result Identify if feedback is requested Feedback Requested or Provided MV Correlation ID
Output Data	Request State
Previous Objects	Is feedback requested?
Information Exchange	
Requirements	ACO_FUR_0082
Data Set	Request State
Events	The Result of the Feedback is "1 = Feedback requested"
State	Answered and Feedback Expected

Table 5.89: Task Perform Change of the Request State to "Answered and feedback expected"

### Task Handle Validation Results of Administrative Cooperation Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Validation Results of Administrative Cooperation Results
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-05-Handle validation Results of Common Domain message.
Actor	EMCS

Appears also in the following processes	L4-ACO-01-04-Administrative Cooperation-Spontaneous Information
Input Data	
Output Data	
Previous Objects	Perform Validation of Administrative Cooperation Results
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.90: Task Handle Validation Results of Administrative Cooperation Results

### Task Perform Change of the Request State to "Closed"

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Change of the Request State to "Closed"
Task Type	Service task
Description	The state of the request is updated to "Closed".
Actor	EMCS
Appears also in the following processes	L4-ACO-01-03-Administrative Cooperation-Request for assistance (Results) L4-ACO-01-07-Request for history information (Results) L4-ACO-01-05-Request for history information (Request)
Input Data	Check the Result Identify if feedback is requested Feedback Requested or Provided Follow Up Correlation ID
Output Data	Request State
Previous Objects	Is feedback requested?
Information Exchange	
Requirements	ACO_FUR_0132
Data Set	Request State
Events	The Result of the Feedback is "0 = No Feedback requested"
State	Closed

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Table 5.91: Task Perform Change of the Request State to "Closed"

### Task Notify Requesting MSA about Administrative Cooperation Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Requesting MSA about Administrative Cooperation Results
Task Type	Send task
Description	The Requested MSA sends the Administrative Cooperation Results (IE867) to the Requesting MSA.
Actor	EMCS
Input Data	C_COO_RES
Output Data	
Previous Objects	Perform Change of the Request State to "Answered and feedback expected" Perform Change of the Request State to "Closed"
Information Exchange	administrative cooperation results
Requirements	ACO_FUR_0152
Data Set	
Events	
State	

Table 5.92: Task Notify Requesting MSA about Administrative Cooperation Results

### Task Identify if the message is found valid

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if the message is found valid
Task Type	Service task
Description	Following the validation of the message, it is determined whether the message has been found valid or not.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-02-Administrative cooperation-Deadline for results L4-CORE-01-10-Download of an e-AD (Results) L4-ACO-01-04-Administrative Cooperation-Spontaneous Information L4-ACO-01-19-General query to retrieve an e-AD/e-SAD (Query) L4-ACO-01-21-Download of an e-AD/e-SAD (Query) L4-ACO-01-20-General query to retrieve an e-AD/e-SAD (Results) L4-ACO-01-26-Administrative Cooperation-Provide Feedback L4-ACO-01-01-Administrative Cooperation-Request for assistance

	(Request) L4-ACO-01-25-Movement Verification for Duty Paid Movements-Spontaneous information L4-ACO-01-07-Request for history information (Results) L4-ACO-01-06-History-Deadline for results L4-CORE-01-09-Download of an e-AD (Query) L4-ACO-01-22-Download of an e-AD/e-SAD (Results) L4-ACO-01-27-Movement Verification–Provide Feedback L4-ACO-01-05-Request for history information (Request)
Input Data	Result Identify if the Common Domain message is syntactically valid Result Identify if the Common Domain message passed Coordination Protocol validation successfully
Output Data	Result Identify if the message is found valid
Previous Objects	Handle Validation Results of Administrative Cooperation Results
Information Exchange	
Requirements	ACO_FUR_0021
Data Set	
Events	
State	

Table 5.93: Task Identify if the message is found valid

### Event Administrative Cooperation Results message has been Sent

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Administrative Cooperation Results message has been Sent
Event Type	End event
Description	The Administrative Cooperation Results has been sent to the Requesting MSA.
Previous Objects	Notify Requesting MSA about Administrative Cooperation Results

Table 5.94: Event Administrative Cooperation Results message has been Sent

### Event Administrative Cooperation Results message has been recorded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Administrative Cooperation Results message has been recorded
Event Type	Conditional intermediate event
Description	The Administrative Cooperation Results has been stored.

Appears also in the following processes	L4-ACO-01-04-Administrative Cooperation-Spontaneous Information
Previous Objects	Message found valid?

Table 5.95: Event Administrative Cooperation Results message has been recorded

### Task Identify if feedback is requested

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if feedback is requested
Task Type	Service task
Description	The ELO of requested MSA may request the requesting ELO to provide feedback on the follow-up action taken by the requesting MSA, on the basis of the provided results
Actor	EMCS
Appears also in the following processes	L4-ACO-01-03-Administrative Cooperation-Request for assistance (Results) L4-ACO-01-13-Movement Verification-Request (Results)
Input Data	Feedback Requested or Provided MV Correlation ID
Output Data	Check the Result Identify if feedback is requested
Previous Objects	Administrative Cooperation Results message has been recorded
Information Exchange	
Requirements	ACO_FUR_0081
Data Set	
Events	
State	

Table 5.96: Task Identify if feedback is requested

### Event Refusal Message has been sent to the Requested MSA

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal Message has been sent to the Requested MSA
Event Type	End event
Description	This process ends without storing the message, while a refusal message has been sent to the Requested MSA, for the receipt of an invalid message.

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Appears also in the following processes	L4-ACO-01-07-Request for history information (Results) L4-ACO-01-27-Movement Verification–Provide Feedback L4-ACO-01-05-Request for history information (Request) L4-ACO-01-26-Administrative Cooperation–Provide Feedback L4-ACO-01-01-Administrative Cooperation–Request for assistance (Request)
Previous Objects	Message found valid?

Table 5.97: Event Refusal Message has been sent to the Requested MSA

### Task Perform Change of the Request State to "Answered and feedback expected"

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Change of the Request State to "Answered and feedback expected"
Task Type	Service task
Description	The state of the request is updated to “Answered and feedback expected”.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-03-Administrative Cooperation–Request for assistance (Results) L4-ACO-01-13-Movement Verification-Request (Results)
Input Data	Check the Result Identify if feedback is requested Feedback Requested or Provided MV Correlation ID
Output Data	Request State
Previous Objects	Is feedback requested?
Information Exchange	
Requirements	ACO_FUR_0082
Data Set	Request State
Events	The Result of the Feedback is "1 = Feedback requested"
State	Answered and Feedback Expected

Table 5.98: Task Perform Change of the Request State to "Answered and feedback expected"

### Task Provide Feedback

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Provide Feedback
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-ACO-01-26-Administrative Cooperation–Provide Feedback.
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Perform Change of the Request State to "Answered and feedback expected"
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.99: Task Provide Feedback

### Task Perform Change of the Request State to "Closed"

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Change of the Request State to "Closed"
Task Type	Service task
Description	The state of the request is updated to “Closed”.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-03-Administrative Cooperation–Request for assistance (Results) L4-ACO-01-07-Request for history information (Results) L4-ACO-01-05-Request for history information (Request)
Input Data	Check the Result Identify if feedback is requested Feedback Requested or Provided Follow Up Correlation ID
Output Data	Request State
Previous Objects	Provide Feedback Is feedback requested?
Information Exchange	
Requirements	ACO_FUR_0132

Data Set	Request State
Events	The Result of the Feedback is "0 = No Feedback requested"
State	Closed

Table 5.100: Task Perform Change of the Request State to "Closed"

### Task Identify if Timer TIM\_ACO has expired

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Timer TIM_ACO has expired
Task Type	Service task
Description	The Requesting MSA checks whether the timer TIM_ACO has expired.
Actor	EMCS
Input Data	Follow Up Correlation ID Timer TIM_ACO Expiry Date
Output Data	Result Identify if Timer TIM_ACO has expired
Previous Objects	Perform Change of the Request State to "Closed"
Information Exchange	
Requirements	ACO_FUR_0094
Data Set	
Events	
State	

Table 5.101: Task Identify if Timer TIM\_ACO has expired

### Task Reset Flag

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Reset Flag
Task Type	Service task
Description	If the timer TIM_HIS/TIM_ACO has already expired (a reminder message IE869 has been sent to the Requested MSA), the Requesting MSA resets the flag that has been raised locally at expiration time.
Actor	EMCS
Appears also in the following	L4-ACO-01-07-Request for history information (Results)

processes	L4-ACO-01-05-Request for history information (Request) L4-ACO-01-01-Administrative Cooperation–Request for assistance (Request)
Input Data	Follow Up Correlation ID Result Identify if Timer TIM_ACO has expired
Output Data	TIM_ACO Reset Flag Date
Previous Objects	Has TIM_ACO expired?
Information Exchange	
Requirements	ACO_FUR_0095
Data Set	
Events	
State	

Table 5.102: Task Reset Flag

### Task Stop timer TIM\_ACO

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Stop timer TIM_ACO
Task Type	Service task
Description	The Requesting MSA stops the TIM_ACO timer.
Actor	EMCS
Input Data	Result Identify if Timer TIM_ACO has expired Follow Up Correlation ID
Output Data	TIM_ACO end date
Previous Objects	Has TIM_ACO expired?
Information Exchange	
Requirements	ACO_FUR_0046
Data Set	
Events	
State	

Table 5.103: Task Stop timer TIM\_ACO

### Task Acknowledge Results for Request for Information, Controls or Actions

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Acknowledge Results for Request for Information, Controls or Actions
Task Type	User task
Description	The Requesting ELO is informed about the results received for the request for information, controls or actions.
Actor	ELO
Input Data	C_COO_SUB
Output Data	C_COO_SUB
Previous Objects	Reset Flag Stop timer TIM_ACO
Information Exchange	
Requirements	ACO_FUR_0017
Data Set	
Events	
State	

Table 5.104: Task Acknowledge Results for Request for Information, Controls or Actions

### Event Results for Request for Information, Controls or Actions have been Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Results for Request for Information, Controls or Actions have been Received
Event Type	End event
Description	The results of actions and controls completed by the Requested MSA have been received by the Requesting MSA and the Requesting ELO is informed accordingly. He is therefore free to undertake additional controls and actions and to continue exchanges.
Appears also in the following processes	L4-ACO-01-01-Administrative Cooperation–Request for assistance (Request)
Previous Objects	Acknowledge Results for Request for Information, Controls or Actions

Table 5.105: Event Results for Request for Information, Controls or Actions have been Received

## 5.5. Process L4-ACO-01-04-Administrative Cooperation-Spontaneous Information

### 5.5.1. Objective of Process

The purpose of this process is to describe the electronic flow for providing one-way information between two MSAs.

Submission of an administrative cooperation results message is mandatory and shall be sent to the addressed Member States within seven days of the control (as defined in Article 10 of Implementing Regulation (EU) 2016/323), where one of the cases referred to in points (a) to (d) of Article 15(1) of Regulation (EU) No 389/2012 is detected, as a result of a documentary or physical control of goods, at the premises of a registered consignee, of a temporary registered consignee or of an authorised warehouse keeper.

Upon any event among which the outcome of risk assessment, the ELO of an Issuing MSA may discover information and find it relevant to perform a series of controls and/or actions on a particular subject (in particular, but not exclusively, an e-AD) in order to send his findings to the ELO of one or several Addressed MSAs.

Therefore the ELO of the Issuing MSA registers his findings to the Issuing MSA, attaching if relevant, supporting document(s). After receiving the aforementioned findings, the Issuing MSA stores them and builds an Administrative Cooperation Results message (taking into consideration the information/results registered by its ELO) which is stored locally and finally sent to the Addressed MSA.

The Addressed MSA receives the Administrative Cooperation Results, performs the required validations and handles the results of these validations (for a detailed description of the respective processes, please refer to “L4-CORE-01-03” and “L4-CORE-01-05” respectively).

Afterwards, the Addressed MSA determines whether the Administrative Cooperation Results message has been found valid or not.

In the latter case, a refusal message has already been sent to the Issuing MSA for the receipt of an invalid Administrative Cooperation Results message (in the context of process “L4-CORE-01-05”) and the process ends at this point without storing the Administrative Cooperation Results.

In the former case, the Administrative Cooperation Results has already been stored by the Addressed MSA (in the context of process “L4-CORE-01-05”) and the ELO of the Addressed MSA is informed about the information found and/or the results of the controls performed.

This process ends at this point and the ELO of the Addressed MSA is informed on anomalies, discrepancies or offences that might impact his Member State. Therefore he is free:

- to order further controls; and/or
- to communicate the information to the relevant persons; or
- to keep the information for later use; or
- to simply ignore the information if he judges it irrelevant for him.

No further actions are expected by the Addressed MSA in terms of information exchange messages.

### 5.5.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-ACO-01-04
Full Name	Administrative Cooperation-Spontaneous Information
Assumptions	N/A
Constraints	No constraints.

Excise Functional Requirements BPM Report for EMCS
PROCESS MODELS

L3 Reference Models	L3-ACO-01-03-Optional Exchange of Information
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.106: Process Details

### 5.5.3. Tasks and Events

**Event The ELO discovered information and/or completed a series of controls on a particular subject**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	The ELO discovered information and/or completed a series of controls on a particular subject
Event Type	Start event
Description	Upon any event among which the outcome of risk assessment, the ELO of an Issuing MSA discovered information and found it relevant to perform a series of controls and/or actions on a particular subject (in particular, but not exclusively, an e-AD) and send the results to the ELO of one or several addressed MSAs.
Previous Objects	

Table 5.107: Event The ELO discovered information and/or completed a series of controls on a particular subject

### Task Register Information found and/or Results of the Controls performed

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Information found and/or Results of the Controls performed
Task Type	User task
Description	The ELO of the Issuing MSA decides to send the found information and/or the results of the actions/controls performed to the ELO of one or several Addressed MSAs. For this reason he registers his findings to the Issuing MSA. If relevant, he may attach supporting document(s).
Actor	ELO
Input Data	ACO_ACTION RESULT CONTACT for IE721, IE867 FEEDBACK REQUEST FOLLOW UP for IE867, IE868 SUPPORTING DOCUMENTS for IE721, IE722, IE867, IE880 and IE881
Output Data	FOLLOW UP for IE867, IE868 CONTACT for IE721, IE867 SUPPORTING DOCUMENTS for IE721, IE722, IE867, IE880 and IE881 ACO_ACTION RESULT FEEDBACK REQUEST

Excise Functional Requirements BPM Report for EMCS
PROCESS MODELS

Previous Objects	The ELO discovered information and/or completed a series of controls on a particular subject
Information Exchange	
Requirements	ACO_FUR_0153
Data Set	
Events	
State	

Table 5.108: Task Register Information found and/or Results of the Controls performed

### Task Record Information found and/or Results of the Controls performed

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Information found and/or Results of the Controls performed
Task Type	Service task
Description	The information found and/or the results of the controls performed are stored by the Issuing MSA.
Actor	EMCS
Input Data	C_COO_RES
Output Data	C_COO_RES
Previous Objects	Register Information found and/or Results of the Controls performed
Information Exchange	
Requirements	ACO_FUR_0154
Data Set	
Events	
State	

Table 5.109: Task Record Information found and/or Results of the Controls performed

### Task Build Administrative Cooperation Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build Administrative Cooperation Results
Task Type	Service task
Description	An Administrative Cooperation Results message (IE867) is built, taking

	into consideration the information/results received by the ELO.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-03-Administrative Cooperation–Request for assistance (Results)
Input Data	C_COO_RES
Output Data	C_COO_RES
Previous Objects	Record Information found and/or Results of the Controls performed
Information Exchange	
Requirements	ACO_FUR_0172
Data Set	
Events	
State	

Table 5.110: Task Build Administrative Cooperation Results

### Task Record Administrative Cooperation Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Administrative Cooperation Results
Task Type	Service task
Description	The Administrative Cooperation Results message is stored.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-03-Administrative Cooperation–Request for assistance (Results)
Input Data	C_COO_RES
Output Data	C_COO_RES
Previous Objects	Build Administrative Cooperation Results
Information Exchange	
Requirements	ACO_FUR_0151
Data Set	
Events	

Excise Functional Requirements BPM Report for EMCS
PROCESS MODELS

State	
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Table 5.111: Task Record Administrative Cooperation Results

### Task Notify Addressed MSA about Administrative Cooperation Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Addressed MSA about Administrative Cooperation Results
Task Type	Send task
Description	The Issuing MSA sends the Administrative Cooperation Results to the Addressed MSA.
Actor	EMCS
Input Data	C_COO_RES
Output Data	
Previous Objects	Record Administrative Cooperation Results
Information Exchange	administrative cooperation results
Requirements	ACO_FUR_0155
Data Set	
Events	
State	

Table 5.112: Task Notify Addressed MSA about Administrative Cooperation Results

### Event Administrative Cooperation Results message has been sent

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Administrative Cooperation Results message has been sent
Event Type	End event
Description	This process ends at the Issuing MSA with the submission of an Administrative Cooperation Results message to the Addressed MSA. No further actions are expected by the Addressed MSA in terms of information exchange messages (excluding rejection messages in case of functional errors).
Previous Objects	Notify Addressed MSA about Administrative Cooperation Results

Table 5.113: Event Administrative Cooperation Results message has been sent

### Event Administrative Cooperation Results Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Administrative Cooperation Results Received
Event Type	Message start event
Description	An Administrative Cooperation Results message has been received by the Addressed MSA.
Previous Objects	Notify Addressed MSA about Administrative Cooperation Results

Table 5.114: Event Administrative Cooperation Results Received

### Task Perform Validation of Administrative Cooperation Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Validation of Administrative Cooperation Results
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-03-Perform validation of Common Domain message.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-03-Administrative Cooperation-Request for assistance (Results)
Input Data	
Output Data	
Previous Objects	Administrative Cooperation Results Received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.115: Task Perform Validation of Administrative Cooperation Results

### Task Handle Validation Results of Administrative Cooperation Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Validation Results of Administrative Cooperation Results
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-05-Handle validation Results of Common Domain message.
Actor	EMCS

Appears also in the following processes	L4-ACO-01-03-Administrative Cooperation–Request for assistance (Results)
Input Data	
Output Data	
Previous Objects	Perform Validation of Administrative Cooperation Results
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.116: Task Handle Validation Results of Administrative Cooperation Results

### Task Identify if the message is found valid

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if the message is found valid
Task Type	Service task
Description	Following the validation of the message, it is determined whether the message has been found valid or not.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-02-Administrative cooperation-Deadline for results L4-CORE-01-10-Download of an e-AD (Results) L4-ACO-01-19-General query to retrieve an e-AD/e-SAD (Query) L4-ACO-01-21-Download of an e-AD/e-SAD (Query) L4-ACO-01-20-General query to retrieve an e-AD/e-SAD (Results) L4-ACO-01-26-Administrative Cooperation–Provide Feedback L4-ACO-01-01-Administrative Cooperation–Request for assistance (Request) L4-ACO-01-25-Movement Verification for Duty Paid Movements-Spontaneous information L4-ACO-01-03-Administrative Cooperation–Request for assistance (Results) L4-ACO-01-07-Request for history information (Results) L4-ACO-01-06-History-Deadline for results L4-CORE-01-09-Download of an e-AD (Query) L4-ACO-01-22-Download of an e-AD/e-SAD (Results) L4-ACO-01-27-Movement Verification–Provide Feedback L4-ACO-01-05-Request for history information (Request)
Input Data	Result Identify if the Common Domain message is syntactically valid Result Identify if the Common Domain message passed Coordination Protocol validation successfully

Excise Functional Requirements BPM Report for EMCS
PROCESS MODELS

Output Data	Result Identify if the message is found valid
Previous Objects	Handle Validation Results of Administrative Cooperation Results
Information Exchange	
Requirements	ACO_FUR_0021
Data Set	
Events	
State	

Table 5.117: Task Identify if the message is found valid

### Task Acknowledge Information found and/or Results of the Controls performed

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Acknowledge Information found and/or Results of the Controls performed
Task Type	User task
Description	The ELO of the Addressed MSA is informed about the information found and/or the results of the controls performed by the ELO of the Issuing MSA.
Actor	ELO
Input Data	C_COO_RES
Output Data	C_COO_RES
Previous Objects	Administrative Cooperation Results message has been recorded
Information Exchange	
Requirements	ACO_FUR_0017
Data Set	
Events	
State	

Table 5.118: Task Acknowledge Information found and/or Results of the Controls performed

### Event Administrative Cooperation Results message has been recorded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Administrative Cooperation Results message has been recorded

Excise Functional Requirements BPM Report for EMCS
PROCESS MODELS

Event Type	Conditional intermediate event
Description	The Administrative Cooperation Results has been stored.
Appears also in the following processes	L4-ACO-01-03-Administrative Cooperation–Request for assistance (Results)
Previous Objects	Message found valid?

Table 5.119: Event Administrative Cooperation Results message has been recorded

### Event Information found and/or Results of the Controls performed have been Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Information found and/or Results of the Controls performed have been Received
Event Type	End event
Description	This process ends with the receipt of the information found and the results of actions/controls completed by the Addressed MSA. The ELO of the Addressed MSA is therefore informed on anomalies, discrepancies or offences that might impact his Member State.
Appears also in the following processes	L4-ACO-01-25-Movement Verification for Duty Paid Movements-Spontaneous information
Previous Objects	Acknowledge Information found and/or Results of the Controls performed

Table 5.120: Event Information found and/or Results of the Controls performed have been Received

### Event Refusal Message has been sent to Issuing MSA

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal Message has been sent to Issuing MSA
Event Type	End event
Description	This process ends without storing the Administrative Cooperation Results, while a refusal message has been sent by the Addressed MSA to the Issuing MSA, for the receipt of an invalid Administrative Cooperation Result.
Previous Objects	Message found valid?

Table 5.121: Event Refusal Message has been sent to Issuing MSA

## 5.6. Process L4-ACO-01-08-Handle Deadline Extension

### 5.6.1. Objective of Process

The purpose of this process is to describe the electronic flow for the extension of the deadline set for the submission of the required results to a request.

The process is triggered when the ELO of the Requested MSA decides to register a request for extending the time limit for giving the requested information to the Requested MSA, either after receiving an Administrative Cooperation Common Request or after receiving a Reminder for the submission of the Results.

The Requested MSA receives the aforementioned deadline extension request, stores it and prepares an Answer Message. In the case of a request for history information no History Refusal Reason Code shall be included in the Answer Message. After storing the Answer Message it updates the state of the request to “Extended”.

### 5.6.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-ACO-01-08
Full Name	Handle Deadline Extension
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-ACO-01-01-Cooperation on Request
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.122: Process Details

### 5.6.3. Tasks and Events

#### Event Requested ELO is not able to respect the deadline set for the results

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Requested ELO is not able to respect the deadline set for the results
Event Type	Start event
Description	An Administrative Cooperation Common Request has been Received and the Requested ELO is not able to respect the deadline set for the submission of the results.
Previous Objects	

Table 5.123: Event Requested ELO is not able to respect the deadline set for the results

#### Task Register Request for Deadline Extension

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Request for Deadline Extension
Task Type	User task
Description	The ELO of the Requested MSA decides to extend the deadline for providing the information requested via the request message.

Excise Functional Requirements BPM Report for EMCS
PROCESS MODELS

Actor	ELO
Input Data	Deadline for Results Delayed Result Reason Code Result Identify if it is possible to reply to the request Follow Up Correlation ID ATTRIBUTES for IE868
Output Data	Delayed Result Reason Code Deadline for Results ATTRIBUTES for IE868 Follow Up Correlation ID
Previous Objects	Requested ELO is not able to respect the deadline set for the results
Information Exchange	
Requirements	ACO_FUR_0084
Data Set	
Events	
State	

Table 5.124: Task Register Request for Deadline Extension

### Task Record Request for Deadline Extension

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Request for Deadline Extension
Task Type	Service task
Description	The request for deadline extension is stored by the Requested MSA.
Actor	EMCS
Input Data	ATTRIBUTES for IE868 Deadline for Results Follow Up Correlation ID Delayed Result Reason Code
Output Data	Delayed Result Reason Code Follow Up Correlation ID ATTRIBUTES for IE868 Deadline for Results
Previous Objects	Register Request for Deadline Extension
Information Exchange	
Requirements	ACO_FUR_0085

Excise Functional Requirements BPM Report for EMCS
PROCESS MODELS

Data Set	
Events	
State	

Table 5.125: Task Record Request for Deadline Extension

### Task Build Answer Message indicating Request for Deadline Extension

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build Answer Message indicating Request for Deadline Extension
Task Type	Service task
Description	The Requested MSA builds an Answer Message (IE868). In the case of a Request for history information no History Refusal Reason Code shall be included in the Answer Message.
Actor	EMCS
Input Data	ATTRIBUTES for IE868 Deadline for Results Delayed Result Reason Code Follow Up Correlation ID
Output Data	C_COO_ANS
Previous Objects	Record Request for Deadline Extension
Information Exchange	
Requirements	ACO_FUR_0140
Data Set	
Events	
State	

Table 5.126: Task Build Answer Message indicating Request for Deadline Extension

### Task Record Answer Message indicating Request for Deadline Extension

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Answer Message indicating Request for Deadline Extension
Task Type	Service task
Description	The Answer Message indicating Request for Deadline Extension is stored by the Requested MSA.
Actor	EMCS

Excise Functional Requirements BPM Report for EMCS
PROCESS MODELS

Input Data	C_COO_ANS
Output Data	C_COO_ANS
Previous Objects	Build Answer Message indicating Request for Deadline Extension
Information Exchange	
Requirements	ACO_FUR_0141
Data Set	
Events	
State	

Table 5.127: Task Record Answer Message indicating Request for Deadline Extension

### Task Perform Change of the Request State to "Extended"

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Change of the Request State to "Extended"
Task Type	Service task
Description	The state of the request is updated to "Extended".
Actor	EMCS
Input Data	Follow Up Correlation ID
Output Data	Request State
Previous Objects	Record Answer Message indicating Request for Deadline Extension
Information Exchange	
Requirements	ACO_FUR_0068
Data Set	
Events	IE868 indicating Request for Deadline Extension recorded
State	Extended

Table 5.128: Task Perform Change of the Request State to "Extended"

### Event Answer Message indicating Request for Deadline Extension has been created

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
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Event Name	Answer Message indicating Request for Deadline Extension has been created
Event Type	End event
Description	An Answer Message indicating a request for deadline extension has been created by the Requested MSA.
Previous Objects	Perform Change of the Request State to "Extended"

Table 5.129: Event Answer Message indicating Request for Deadline Extension has been created

## 5.7. Process L4-ACO-01-09-Interruption of a Movement

### 5.7.1. Objective of Process

The purpose of this process is to describe all possible paths in the electronic flow for interrupting a movement of excise goods under duty suspension arrangement (inside the territory of the European Union), in accordance with the current Common Specifications (FESS and DDNEA).

Submission of the interruption message (including the reference of the event or control report) is mandatory where one of the cases, referred to in points (a) to (e) of Article 15(1) of Regulation (EU) No 389/2012, is detected and shall be sent to the previously nominated MSA of destination and to the MSA of dispatch., within one day of the moment when the MSA of interruption becomes aware of the definitive interruption (as defined in Article 12 of Implementing Regulation (EU) 2016/323).

The process is triggered when an Excise officer in the MSA of Interruption decides to interrupt a movement. (This is in particular the case where an event report signals that the totality of goods was lost, stolen or destroyed, or where a control ascertains a severe offence.)

For this purpose, the Excise Officer registers the interruption for a certain movement to its MSA (MSA of Interruption). After receiving the aforementioned interruption, the EMCS at MSA of Interruption stores it and then checks if the MSA of Interruption (i.e. the current MSA) is involved in the specific movement (i.e. as MSA of Dispatch or as MSA of Destination/ MSA of Export).

In case the MSA of Interruption is involved in the movement (i.e. the MSA of Interruption is either the MSA of Dispatch or the MSA of Destination/ MSA of Export of this movement), the process continues.

In case the MSA of Interruption is not involved in the specific movement (i.e. it is neither the MSA of Dispatch nor the MSA of Destination/ MSA of Export of this movement), it proceed with performing a Download of an e-AD and/or a General query to retrieve an e-AD, in order to consult the latest information for the specific movement.

If the MSA of Interruption performs a Download of an e-AD (i.e. by using the “L4-ACO-01-28-Perform Download of an e-AD (Query-Results)” sub-process), depending on the outcome (end event) of the ““L4-ACO-01-28-Perform Download of an e-AD (Query-Results)” sub-process (for a detailed description of the respective process please refer to L4-ACO-01-28-Perform Download of an e-AD (Query-Results)”, the following may happen:

a. In case:

- a refusal message (IE917/IE906) is received from the MSA of Dispatch (since the Movement Download Request (IE784) was found invalid at the MSA of Dispatch); or

- a refusal message (IE917/IE906) is sent to the MSA of Dispatch (since the received Movement Download Answer (IE785) or the History of a Movement (IE838) was found invalid at the MSA of Interruption); or
- a Movement Download Answer (IE785) (indicating that the e-AD was not available (online) at the MSA of Dispatch) is stored at the MSA of Interruption (since it was found valid at the MSA of Interruption); the latest information for the e-AD is not available at the MSA of Interruption and the whole process ends at this point.

b. In case the History of a Movement (IE838) is stored at the MSA of Interruption (since it was found valid at the MSA of Interruption), the latest information of the e-AD is available at the MSA of Interruption and the process continues.

If the MSA of Interruption performs a General query to retrieve an e-AD (i.e. by using the “L4-ACO-01-29-Perform General Query to retrieve an e-AD (Query-Results)” sub-process), depending on the outcome (end event) of the “L4-ACO-01-29-Perform General Query to retrieve an e-AD (Query-Results)” sub-process (for a detailed description of the respective process please refer to “L4-ACO-01-29-Perform General Query to retrieve an e-AD (Query-Results)”), the following may happen:

a. In case:

- a refusal message (IE917/IE906) is received from the Initiator MSA of Dispatch (since the Common Request (IE701) was found invalid at the Initiator MSA); or
- a refusal message (IE917/IE906) is sent to the Initiator MSA (since the received List of e-AD (IE821) or the Refusal of Common Request (IE702) was found invalid at the MSA of Interruption); or
- a Refusal of Common Request IE702 (indicating that the query returned no results at the Initiator MSA) is stored at the MSA of Interruption (since it was found valid at the MSA of Interruption); the latest information for the e-AD is not available at the MSA of Interruption and the whole process ends at this point.

b. In case the List of e-AD (IE821) is stored at the MSA of Interruption (since it was found valid at the MSA of Interruption), the latest information of the e-AD is available at the MSA of Interruption and the process continues.

Following, the EMCS at MSA of Interruption (regardless if it is involved or not in the movement) continues with building the Interruption of Movement (IE807) and then with storing this message. After this, the EMCS at MSA of Interruption changes the e-AD state to “Stopped” and the Excise Officer of the MSA of Interruption is informed about this interruption.

Subsequently, the MSA of Interruption identifies if it is the MSA of Dispatch, the MSA of Destination/ MSA of Export or a third MSA (i.e. an MSA not involved in the current movement).

1) MSA of Interruption is the MSA of Dispatch

In case the MSA of Interruption is the MSA of Dispatch, it identifies if the destination of the movement is known.

If the destination of the movement is known, the EMCS at MSA of Interruption notifies the Interruption of Movement to both the Consignor and to the MSA of Destination/ MSA of Export and the process continues.

After receiving the Interruption of Movement (IE807), the EMCS at MSA of Destination/ MSA of Export proceeds with validating the data of the Interruption of Movement, by using the “Perform validation of Interruption of Movement” sub process (for a detailed description of the respective process please refer to “L4-CORE-01-03-Perform validation of Common Domain message”). Following, the EMCS at MSA of Destination/ MSA of Export carries on with the handling of the validation results by using the “Handle validation results of Interruption of Movement” call activity (for a detailed description the respective process please refer to “L4-CORE-01-05-Handle validation Results of Common Domain message”). The outcome

(end event) of the “Handle validation results of Interruption of Movement” call activity may be the following:

- a. A refusal message (IE917/IE906) is sent to the MSA of Interruption (since the Interruption of Movement was found invalid); or
- b. The Interruption of Movement is stored in the EMCS at MSA of Destination/ MSA of Export (since the Interruption of Movement was found valid). In this case, the EMCS of MSA of Destination/ MSA of Export changes the e-AD state to “Stopped” and then notifies the Consignee of the Interruption of Movement.

If the destination of the movement is unknown, the EMCS at MSA of Interruption notifies the Interruption of Movement only to the Consignor and the process continues.

Following the dissemination of the Interruption of Movement to the Consignor and to the MSA of Destination/ MSA of Export (in case the destination is known), the MSA of Dispatch handles the timers associated with the specific movement by using the “Handle Timers associated with Interrupted Movement” sub process (for a detailed description of the respective process please refer to “L4-ACO-AS-IS-01-10-Handle Timers associated with an Interrupted Movement”) and the whole process ends at this point.

## 2) MSA of Interruption is the MSA of Destination/ MSA of Export

In case the MSA of Interruption is the MSA of Destination/ MSA of Export, the EMCS at MSA of Destination/ MSA of Export notifies the Interruption of Movement to the Consignee and to the MSA of Dispatch.

After receiving the Interruption of Movement (IE807), the EMCS at MSA of Dispatch proceeds with validating the data of the Interruption of Movement, by using the “Perform validation of Interruption of Movement” sub process (for a detailed description of the respective process please refer to “L4-CORE-01-03-Perform validation of Common Domain message”). Following, the EMCS at MSA of Dispatch carries on with the handling of the validation results by using the “Handle validation results of Interruption of Movement” call activity (for a detailed description the respective process please refer to “L4-CORE-01-05-Handle validation Results of Common Domain message”). The outcome (end event) of the “Handle validation results of Interruption of Movement” call activity may be the following:

- a. A refusal message (IE917/IE906) is sent to the MSA of Interruption (since the Interruption of Movement was found invalid) and the whole process ends here; or
- b. The Interruption of Movement is stored in the EMCS of MSA of Dispatch (since the Interruption of Movement was found valid). In this case, the EMCS of MSA of Dispatch changes the e-AD state to “Stopped” and then notifies the Consignor of the Interruption of Movement. In addition, the EMCS at MSA of Dispatch handles the timers associated with the specific movement by using the “Handle Timers associated with Interrupted Movement” sub process (for a detailed description of the respective process please refer to “L4-ACO-01-10-Handle Timers associated with an Interrupted Movement”) and the whole process ends at this point.

## 3) MSA of Interruption is a third MSA

In case the MSA of Interruption is a third MSA (i.e. it is neither the MSA of Dispatch nor the MSA of Destination of the movement), it identifies if the destination of the movement is known.

If the destination of the movement is known, the EMSC at MSA of Interruption notifies the Interruption of Movement to both the MSA of Dispatch and to the MSA of Destination/ MSA of Export. If the destination

of the movement is unknown, the EMCS at MSA of Interruption notifies the Interruption of Movement only to the MSA of Dispatch.

After receiving the Interruption of Movement (IE807), the EMCS at MSA of Dispatch proceeds with validating the data of the Interruption of Movement, by using the “Perform validation of Interruption of Movement” sub process (for a detailed description of the respective process please refer to “L4-CORE-01-03-Perform validation of Common Domain message”). Following, the EMCS at MSA of Dispatch carries on with the handling of the validation results by using the “Handle validation results of Interruption of Movement” call activity (for a detailed description the respective process please refer to “L4-CORE-01-05-Handle validation Results of Common Domain message”). The outcome (end event) of the “Handle validation results of Interruption of Movement” call activity may be the following:

- a. A refusal message (IE917/IE906) is sent to the MSA of Interruption (since the Interruption of Movement was found invalid). If the destination of the movement is unknown, the whole process ends here. Otherwise (i.e. when the destination is known) the process continues; or
- b. The Interruption of Movement is stored in the EMCS of MSA of Dispatch (since the Interruption of Movement was found valid). In this case, the EMCS of MSA of Dispatch changes the e-AD state to “Stopped” and then notifies the Consignor of the Interruption of Movement. In addition, the EMCS at MSA of Dispatch handles the timers associated with the specific movement by using the “Handle Timers associated with Interrupted Movement” sub process (for a detailed description of the respective process please refer to “L4-ACO-01-10-Handle Timers associated with an Interrupted Movement”). If the destination of the movement is unknown, the whole process ends here. Otherwise (i.e. when the destination is known) the process continues.

After receiving the Interruption of Movement (IE807), the EMCS at MSA of Destination/ MSA of Export proceeds with validating the data of the Interruption of Movement, by using the “Perform validation of Interruption of Movement” sub process (for a detailed description of the respective process please refer to “L4-CORE-01-03-Perform validation of Common Domain message”). Following, the EMCS at MSA of Destination/ MSA of Export carries on with the handling of the validation results by using the “Handle validation results of Interruption of Movement” call activity (for a detailed description the respective process please refer to “L4-CORE-01-05-Handle validation Results of Common Domain message”). The outcome (end event) of the “Handle validation results of Interruption of Movement” call activity may be the following:

- a. A refusal message (IE917/IE906) is sent to the MSA of Interruption (since the Interruption of Movement was found invalid) and the whole process ends here; or
- b. The Interruption of Movement is stored in the EMCS at MSA of Destination/ MSA of Export (since the Interruption of Movement was found valid). In this case, the EMCS of MSA of Destination/ MSA of Export changes the e-AD state to “Stopped”. Then the EMCS at MSA of Destination/ MSA of Export notifies the Consignee of the Interruption of Movement and the whole process ends at this point.

### 5.7.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-ACO-01-09
Full Name	Interruption of a Movement
Assumptions	N/A

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Constraints	No constraints.
L3 Reference Models	L3-ACO-01-02-Mandatory Exchange of Information
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.130: Process Details

### 5.7.3. Tasks and Events

#### Event The Excise Officer decides to interrupt a movement of excise goods under duty suspension arrangement

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	The Excise Officer decides to interrupt a movement of excise goods under duty suspension arrangement
Event Type	Start event
Description	An Excise officer in the MSA of Interruption decides to interrupt a movement of excise goods under duty suspension arrangement. (This is in particular the case where an event report signals that the totality of goods was lost, stolen or destroyed, or where a control ascertains a severe offence.)
Previous Objects	

Table 5.131: Event The Excise Officer decides to interrupt a movement of excise goods under duty suspension arrangement

#### Task Register Interruption

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Interruption
Task Type	User task
Description	The Excise Officer registers the interruption for a certain movement to its MSA (MSA of Interruption).
Actor	Excise officer
Input Data	ATTRIBUTES for IE807 CONTROL REPORT Reference for IE807 EVENT REPORT Reference for IE807
Output Data	CONTROL REPORT Reference for IE807 EVENT REPORT Reference for IE807 ATTRIBUTES for IE807
Previous Objects	The Excise Officer decides to interrupt a movement of excise goods under duty suspension arrangement

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Information Exchange	
Requirements	ACO_FUR_0042
Data Set	
Events	
State	

Table 5.132: Task Register Interruption

### Task Record Interruption

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Interruption
Task Type	Service task
Description	The interruption is stored.
Actor	EMCS
Input Data	CONTROL REPORT Reference for IE807 ATTRIBUTES for IE807 EVENT REPORT Reference for IE807
Output Data	CONTROL REPORT Reference for IE807 ATTRIBUTES for IE807 EVENT REPORT Reference for IE807
Previous Objects	Register Interruption
Information Exchange	
Requirements	ACO_FUR_0043
Data Set	
Events	
State	

Table 5.133: Task Record Interruption

### Task Identify if MSA of Interruption is involved in the movement (as MSA of Dispatch or MSA of Destination/ MSA of Export)

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if MSA of Interruption is involved in the movement (as MSA of Dispatch or MSA of Destination/ MSA of Export)
Task Type	Service task

Description	The MSA of Interruption checks whether it is involved in the specific movement (i.e. as MSA of Dispatch or as MSA of Destination/ MSA of Export).
Actor	EMCS
Input Data	ARC
Output Data	Result Identify if MSA of Interruption is involved in the movement (as MSA of Dispatch or MSA of Destination/ MSA of Export)
Previous Objects	Record Interruption
Information Exchange	
Requirements	ACO_FUR_0044
Data Set	
Events	
State	

Table 5.134: Task Identify if MSA of Interruption is involved in the movement (as MSA of Dispatch or MSA of Destination/ MSA of Export)

### Task Perform Download of an e-AD/e-SAD (Query-Results)

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Download of an e-AD/e-SAD (Query-Results)
Task Type	Call activity (collapsed)
Description	This task is modelled in a separate process: L4-ACO-01-28-Perform Download of an e-AD/e-SAD (Query-Results)
Actor	EMCS
Appears also in the following processes	L4-ACO-01-16-Submission of an Event Report (Handling) L4-ACO-01-17-Control and Submission of Control Report L4-CORE-AS-IS-01-0X-Provide Information on Intended Claims
Input Data	
Output Data	
Previous Objects	Perform Download of an e-AD and/or General Query to retrieve an e-AD?
Information Exchange	
Requirements	
Data Set	
Events	

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State	
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Table 5.135: Task Perform Download of an e-AD/e-SAD (Query-Results)

### Task Perform General query to retrieve an e-AD (Query-Results)

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform General query to retrieve an e-AD (Query-Results)
Task Type	Call activity (collapsed)
Description	This task is modelled in a separate process: L4-ACO-01-29-Perform General Query to retrieve an e-AD (Query-Results)
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Perform Download of an e-AD and/or General Query to retrieve an e-AD?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.136: Task Perform General query to retrieve an e-AD (Query-Results)

### Event Refusal message received/sent or Status Response recorded (indicating e-AD/e-SAD not available (online)) hence latest information for the e-AD/e-SAD not available

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message received/sent or Status Response recorded (indicating e-AD/e-SAD not available (online)) hence latest information for the e-AD/e-SAD not available
Event Type	End event
Description	<ul style="list-style-type: none"> <li>• A refusal message (IE917/IE906) was received from the MSA of Dispatch (since the Status Request (IE904) had been found invalid at the MSA of Dispatch); or</li> <li>• A refusal message (IE917/IE906) was sent to the MSA of Dispatch (since the received Status Response (IE905) or the Data Packaging (IE934) had been found invalid at the current MSA); or</li> <li>• A Status Response IE905 (indicating that the e-AD/e-SAD was not available (online) at the MSA of Dispatch) was stored (since it had been found valid at the current MSA).</li> </ul> <p>Considering the above, the latest information for the e-AD/e-SAD is not available at the current MSA.</p>
Appears also in the following	L4-ACO-01-16-Submission of an Event Report (Handling)

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processes	L4-ACO-01-17-Control and Submission of Control Report L4-CORE-AS-IS-01-0X-Provide Information on Intended Claims
Previous Objects	What is the outcome of the Download of an e-AD/e-SAD (Query-Results)?

Table 5.137: Event Refusal message received/sent or Status Response recorded (indicating e-AD/e-SAD not available (online)) hence latest information for the e-AD/e-SAD not available

### Event History of a Movement recorded hence latest information for the e-AD/e-SAD available

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	History of a Movement recorded hence latest information for the e-AD/e-SAD available
Event Type	Conditional intermediate event
Description	The Data Packaging (IE934) was stored (since it had been found valid), hence the latest information of the e-AD/e-SAD is available at the current MSA.
Appears also in the following processes	L4-ACO-01-16-Submission of an Event Report (Handling) L4-ACO-01-17-Control and Submission of Control Report L4-CORE-AS-IS-01-0X-Provide Information on Intended Claims
Previous Objects	What is the outcome of the Download of an e-AD/e-SAD (Query-Results)?

Table 5.138: Event History of a Movement recorded hence latest information for the e-AD/e-SAD available

### Event Refusal message received/sent or Refusal of Common Request recorded (indicating that query returned no results) hence latest information for the e-AD not available

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message received/sent or Refusal of Common Request recorded (indicating that query returned no results) hence latest information for the e-AD not available
Event Type	End event
Description	<ul style="list-style-type: none"> <li>• A refusal message (IE917/IE906) was received from the Initiator MSA of Dispatch (since the Common Request (IE701) had been found invalid at the Initiator MSA); or</li> <li>• A refusal message (IE917/IE906) was sent to the Initiator MSA (since the received List of e-AD (IE821) or the Refusal of Common Request (IE702) had been found invalid at the current MSA); or</li> <li>• A Refusal of Common Request IE702 (indicating that the query returned no results at the Initiator MSA) was stored at the current MSA (since it had found valid at the current MSA);</li> </ul> Considering the above, the latest information for the e-AD is not available at the current MSA.
Previous Objects	What is the outcome of the General Query to retrieve an e-AD (Query-

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	Results)?
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Table 5.139: Event Refusal message received/sent or Refusal of Common Request recorded (indicating that query returned no results) hence latest information for the e-AD not available

#### Event List of e-AD recorded hence latest information for the e-AD available

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	List of e-AD recorded hence latest information for the e-AD available
Event Type	Conditional intermediate event
Description	The List of e-AD (IE821) was stored at the current MSA (since it had been found valid at the current MSA), hence the latest information of the e-AD is available at the current MSA.
Previous Objects	What is the outcome of the General Query to retrieve an e-AD (Query-Results)?

Table 5.140: Event List of e-AD recorded hence latest information for the e-AD available

#### Task Build Interruption of Movement

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build Interruption of Movement
Task Type	Service task
Description	The MSA of Interruption builds an Interruption of Movement message (IE807), based on the interruption received from the Excise Officer.
Actor	EMCS
Input Data	CONTROL REPORT Reference for IE807 EVENT REPORT Reference for IE807 ATTRIBUTES for IE807
Output Data	C_STP_NOT
Previous Objects	Is the MSA of Interruption (i.e. the current MSA) involved in the movement (as MSA of Dispatch or MSA of Destination/ MSA of Export)? History of a Movement recorded hence latest information for the e-AD/e-SAD available List of e-AD recorded hence latest information for the e-AD available
Information Exchange	
Requirements	ACO_FUR_0045
Data Set	
Events	

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State	
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Table 5.141: Task Build Interruption of Movement

### Task Record Interruption of Movement

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Interruption of Movement
Task Type	Service task
Description	The Interruption of Movement (IE807) is stored.
Actor	EMCS
Input Data	C_STP_NOT
Output Data	C_STP_NOT
Previous Objects	Build Interruption of Movement
Information Exchange	
Requirements	ACO_FUR_0047
Data Set	
Events	
State	

Table 5.142: Task Record Interruption of Movement

### Task Acknowledge confirmation for Interruption of Movement

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Acknowledge confirmation for Interruption of Movement
Task Type	User task
Description	The Excise Officer is informed for the Interruption of Movement.
Actor	Excise officer
Input Data	C_STP_NOT
Output Data	C_STP_NOT
Previous Objects	Perform change of e-AD state to Stopped
Information Exchange	
Requirements	ACO_FUR_0017

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Data Set	
Events	
State	

Table 5.143: Task Acknowledge confirmation for Interruption of Movement

### Task Perform change of e-AD state to Stopped

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform change of e-AD state to Stopped
Task Type	Service task
Description	The e-AD state is updated to "Stopped".
Actor	EMCS
Appears also in the following processes	L4-ACO-01-09-Interruption of a Movement
Input Data	ARC
Output Data	e-AD/e-SAD state
Previous Objects	Record Interruption of Movement
Information Exchange	
Requirements	ACO_FUR_0048
Data Set	e-AD/e-SAD state
Events	IE807 recorded
State	Stopped

Table 5.144: Task Perform change of e-AD state to Stopped

### Task Identify if MSA of Interruption is MSA of Dispatch,MSA of Destination/ MSA of Export or third MSA

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if MSA of Interruption is MSA of Dispatch,MSA of Destination/ MSA of Export or third MSA
Task Type	Service task
Description	The MSA of Interruption identifies if it is the MSA of Dispatch, the MSA of Destination/ MSA of Export or a third MSA (i.e. an MSA not involved in the current movement).

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Actor	EMCS
Input Data	ARC
Output Data	Result Identify if MSA of Interruption is MSA of Dispatch,MSA of Destination/ MSA of Export or third MSA
Previous Objects	Acknowledge confirmation for Interruption of Movement
Information Exchange	
Requirements	ACO_FUR_0049
Data Set	Result Identify if MSA of Interruption is MSA of Dispatch,MSA of Destination/ MSA of Export or third MSA
Events	
State	

Table 5.145: Task Identify if MSA of Interruption is MSA of Dispatch,MSA of Destination/ MSA of Export or third MSA

#### Task Identify if the destination of the movement is known

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if the destination of the movement is known
Task Type	Service task
Description	The MSA of Interruption identifies if the destination of the movement (i.e. the destination fields) is known.
Actor	EMCS
Input Data	ARC Destination Type Code Sequence Number
Output Data	Result Identify whether the destination of the movement is known
Previous Objects	Is the MSA of Interruption (i.e. the current MSA) the MSA of Dispatch, the MSA of Destination/ MSA of Export or a third MSA?
Information Exchange	
Requirements	ACO_FUR_0050
Data Set	
Events	

State	
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Table 5.146: Task Identify if the destination of the movement is known

### Task Identify if the destination of the movement is known

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if the destination of the movement is known
Task Type	Service task
Description	The MSA of Interruption identifies if the destination of the movement (i.e. the destination fields) is known.
Actor	EMCS
Input Data	ARC Destination Type Code Sequence Number
Output Data	Result Identify whether the destination of the movement is known
Previous Objects	Is the MSA of Interruption (i.e. the current MSA) the MSA of Dispatch, the MSA of Destination/ MSA of Export or a third MSA?
Information Exchange	
Requirements	ACO_FUR_0050
Data Set	
Events	
State	

Table 5.147: Task Identify if the destination of the movement is known

### Task Notify MSA of Dispatch of Interruption of Movement

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify MSA of Dispatch of Interruption of Movement
Task Type	Send task
Description	The MSA notifies the MSA of Dispatch of the Interruption of Movement (IE807).
Actor	EMCS
Input Data	C_STP_NOT
Output Data	
Previous Objects	Is the destination of the movement known? Is the destination of the movement known?

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	Is the MSA of Interruption (i.e. the current MSA) the MSA of Dispatch, the MSA of Destination/ MSA of Export or a third MSA?
Information Exchange	interruption of movement
Requirements	ACO_FUR_0053
Data Set	
Events	
State	

Table 5.148: Task Notify MSA of Dispatch of Interruption of Movement

### Task Notify MSA of Destination/ MSA of Export of Interruption of Movement

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify MSA of Destination/ MSA of Export of Interruption of Movement
Task Type	Send task
Description	The MSA notifies the MSA of Destination/ MSA of Export of the Interruption of Movement (IE807).
Actor	EMCS
Input Data	C_STP_NOT
Output Data	
Previous Objects	Is the destination of the movement known? Is the destination of the movement known?
Information Exchange	interruption of movement
Requirements	ACO_FUR_0054
Data Set	
Events	
State	

Table 5.149: Task Notify MSA of Destination/ MSA of Export of Interruption of Movement

### Event Interruption of Movement received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Interruption of Movement received
Event Type	Message start event

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Description	The Interruption of Movement (IE807) received from MSA of Interruption.
Appears also in the following processes	L4-ACO-01-09-Interruption of a Movement
Previous Objects	Notify MSA of Dispatch of Interruption of Movement

Table 5.150: Event Interruption of Movement received

### Task Perform validation of Interruption of Movement

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform validation of Interruption of Movement
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-03-Perform validation of Common Domain message.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-09-Interruption of a Movement
Input Data	
Output Data	
Previous Objects	Interruption of Movement received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.151: Task Perform validation of Interruption of Movement

### Task Notify Consignor of Interruption of Movement

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Consignor of Interruption of Movement
Task Type	Send task
Description	The MSA notifies the Consignor of the Interruption of Movement (IE807).
Actor	EMCS
Appears also in the following processes	L4-ACO-01-09-Interruption of a Movement
Input Data	C_STP_NOT

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Output Data	
Previous Objects	Is the destination of the movement known? Is the destination of the movement known?
Information Exchange	interruption of movement
Requirements	ACO_FUR_0051
Data Set	
Events	
State	

Table 5.152: Task Notify Consignor of Interruption of Movement

### Task Notify Consignee of Interruption of Movement

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Consignee of Interruption of Movement
Task Type	Send task
Description	The MSA notifies the Consignee of the Interruption of Movement (IE807).
Actor	EMCS
Appears also in the following processes	L4-ACO-01-09-Interruption of a Movement
Input Data	C_STP_NOT
Output Data	
Previous Objects	Is the MSA of Interruption (i.e. the current MSA) the MSA of Dispatch, the MSA of Destination/ MSA of Export or a third MSA?
Information Exchange	interruption of movement
Requirements	ACO_FUR_0052
Data Set	
Events	
State	

Table 5.153: Task Notify Consignee of Interruption of Movement

### Event Interruption of Movement received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
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Event Name	Interruption of Movement received
Event Type	Message start event
Description	The Interruption of Movement (IE807) received from MSA of Interruption.
Appears also in the following processes	L4-ACO-01-09-Interruption of a Movement
Previous Objects	Notify MSA of Destination/ MSA of Export of Interruption of Movement

Table 5.154: Event Interruption of Movement received

### Task Perform validation of Interruption of Movement

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform validation of Interruption of Movement
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-03-Perform validation of Common Domain message.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-09-Interruption of a Movement
Input Data	
Output Data	
Previous Objects	Interruption of Movement received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.155: Task Perform validation of Interruption of Movement

### Task Handle Timers associated with Interrupted Movement

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Timers associated with Interrupted Movement
Task Type	Subprocess (collapsed)
Description	This task is modelled in a separate process: L4-ACO-01-10-Handle Timers associated with an Interrupted Movement
Actor	EMCS
Appears also in the following	L4-ACO-01-09-Interruption of a Movement

processes	
Input Data	
Output Data	
Previous Objects	Notify Consignor of Interruption of Movement
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.156: Task Handle Timers associated with Interrupted Movement

### Event Interruption of Movement sent to MSA of Dispatch and Consignee

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Interruption of Movement sent to MSA of Dispatch and Consignee
Event Type	End event
Description	The Interruption of Movement (IE807) was sent to the MSA of Dispatch and the Consignee.
Previous Objects	Notify Consignee of Interruption of Movement

Table 5.157: Event Interruption of Movement sent to MSA of Dispatch and Consignee

### Task Handle validation results of Interruption of Movement

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle validation results of Interruption of Movement
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-05-Handle validation Results of Common Domain message.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-09-Interruption of a Movement
Input Data	
Output Data	
Previous Objects	Perform validation of Interruption of Movement
Information Exchange	
Requirements	

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Data Set	
Events	
State	

Table 5.158: Task Handle validation results of Interruption of Movement

### Event Interruption of Movement sent to MSA of Dispatch

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Interruption of Movement sent to MSA of Dispatch
Event Type	End event
Description	The Interruption of Movement (IE807) was sent to the MSA of Dispatch.
Previous Objects	Notify MSA of Dispatch of Interruption of Movement

Table 5.159: Event Interruption of Movement sent to MSA of Dispatch

### Event Interruption of Movement sent to MSA of Destination/ MSA of Export

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Interruption of Movement sent to MSA of Destination/ MSA of Export
Event Type	End event
Description	The Interruption of Movement (IE807) was sent to the MSA of Destination/ MSA of Export.
Previous Objects	Notify MSA of Destination/ MSA of Export of Interruption of Movement

Table 5.160: Event Interruption of Movement sent to MSA of Destination/ MSA of Export

### Task Handle validation results of Interruption of Movement

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle validation results of Interruption of Movement
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-05-Handle validation Results of Common Domain message.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-09-Interruption of a Movement
Input Data	
Output Data	
Previous Objects	Perform validation of Interruption of Movement

Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.161: Task Handle validation results of Interruption of Movement

**Event Interruption of Movement sent to MSA of Dispatch and Consignor, and timers associated with the interrupted movement handled as necessary (e.g.either stopped or got flag reset)**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Interruption of Movement sent to MSA of Dispatch and Consignor, and timers associated with the interrupted movement handled as necessary (e.g.either stopped or got flag reset)
Event Type	End event
Description	The Interruption of Movement (IE807) was sent to the MSA of Dispatch and the Consignor. In addition, the timers associated with the interrupted movement were handled as necessary (e.g. either stopped or got flag reset).
Previous Objects	Handle Timers associated with Interrupted Movement

Table 5.162: Event Interruption of Movement sent to MSA of Dispatch and Consignor, and timers associated with the interrupted movement handled as necessary (e.g.either stopped or got flag reset)

**Event Refusal message sent to MSA of Interruption since Interruption of Movement had been found invalid**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message sent to MSA of Interruption since Interruption of Movement had been found invalid
Event Type	End event
Description	Refusal message was sent to MSA of Interruption since Interruption of Movement (IE807) had been found invalid.
Appears also in the following processes	L4-ACO-01-09-Interruption of a Movement
Previous Objects	What is the outcome of the handling of validation results?

Table 5.163: Event Refusal message sent to MSA of Interruption since Interruption of Movement had been found invalid

**Event Interruption of Movement recorded since it had been found valid**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
----------------------	-----------------------

Event Name	Interruption of Movement recorded since it had been found valid
Event Type	Conditional intermediate event
Description	The Interruption of Movement (IE807) was recorded since it had been found valid.
Appears also in the following processes	L4-ACO-01-09-Interruption of a Movement
Previous Objects	What is the outcome of the handling of validation results?

Table 5.164: Event Interruption of Movement recorded since it had been found valid

### Task Perform change of e-AD state to Stopped

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform change of e-AD state to Stopped
Task Type	Service task
Description	The e-AD state is updated to "Stopped".
Actor	EMCS
Appears also in the following processes	L4-ACO-01-09-Interruption of a Movement
Input Data	ARC
Output Data	e-AD/e-SAD state
Previous Objects	Interruption of Movement recorded since it had been found valid
Information Exchange	
Requirements	ACO_FUR_0048
Data Set	e-AD/e-SAD state
Events	IE807 recorded
State	Stopped

Table 5.165: Task Perform change of e-AD state to Stopped

### Event Refusal message sent to MSA of Interruption since Interruption of Movement had been found invalid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message sent to MSA of Interruption since Interruption of

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	Movement had been found invalid
Event Type	End event
Description	Refusal message was sent to MSA of Interruption since Interruption of Movement (IE807) had been found invalid.
Appears also in the following processes	L4-ACO-01-09-Interruption of a Movement
Previous Objects	What is the outcome of the handling of validation results?

Table 5.166: Event Refusal message sent to MSA of Interruption since Interruption of Movement had been found invalid

### Event Interruption of Movement recorded since it had been found valid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Interruption of Movement recorded since it had been found valid
Event Type	Conditional intermediate event
Description	The Interruption of Movement (IE807) was recorded since it had been found valid.
Appears also in the following processes	L4-ACO-01-09-Interruption of a Movement
Previous Objects	What is the outcome of the handling of validation results?

Table 5.167: Event Interruption of Movement recorded since it had been found valid

### Task Notify Consignor of Interruption of Movement

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Consignor of Interruption of Movement
Task Type	Send task
Description	The MSA notifies the Consignor of the Interruption of Movement (IE807).
Actor	EMCS
Appears also in the following processes	L4-ACO-01-09-Interruption of a Movement
Input Data	C_STP_NOT
Output Data	
Previous Objects	Perform change of e-AD state to Stopped
Information Exchange	interruption of movement
Requirements	ACO_FUR_0051

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Data Set	
Events	
State	

Table 5.168: Task Notify Consignor of Interruption of Movement

### Task Perform change of e-AD state to Stopped

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform change of e-AD state to Stopped
Task Type	Service task
Description	The e-AD state is updated to "Stopped".
Actor	EMCS
Appears also in the following processes	L4-ACO-01-09-Interruption of a Movement
Input Data	ARC
Output Data	e-AD/e-SAD state
Previous Objects	Interruption of Movement recorded since it had been found valid
Information Exchange	
Requirements	ACO_FUR_0048
Data Set	e-AD/e-SAD state
Events	IE807 recorded
State	Stopped

Table 5.169: Task Perform change of e-AD state to Stopped

### Task Handle Timers associated with Interrupted Movement

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Timers associated with Interrupted Movement
Task Type	Subprocess (collapsed)
Description	This task is modelled in a separate process: L4-ACO-01-10-Handle Timers associated with an Interrupted Movement
Actor	EMCS

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Appears also in the following processes	L4-ACO-01-09-Interruption of a Movement
Input Data	
Output Data	
Previous Objects	Notify Consignor of Interruption of Movement
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.170: Task Handle Timers associated with Interrupted Movement

### Task Notify Consignee of Interruption of Movement

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Consignee of Interruption of Movement
Task Type	Send task
Description	The MSA notifies the Consignee of the Interruption of Movement (IE807).
Actor	EMCS
Appears also in the following processes	L4-ACO-01-09-Interruption of a Movement
Input Data	C_STP_NOT
Output Data	
Previous Objects	Perform change of e-AD state to Stopped
Information Exchange	interruption of movement
Requirements	ACO_FUR_0052
Data Set	
Events	
State	

Table 5.171: Task Notify Consignee of Interruption of Movement

### Event Interruption of Movement sent to Consignor and timers associated with the interrupted movement handled as necessary (e.g.either stopped or got flag reset)

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
----------------------	-----------------------

Event Name	Interruption of Movement sent to Consignor and timers associated with the interrupted movement handled as necessary (e.g.either stopped or got flag reset)
Event Type	End event
Description	The Interruption of Movement (IE807) was sent to Consignor. In addition, the timers associated with the interrupted movement were handled as necessary (e.g.either stopped or got flag reset).
Previous Objects	Handle Timers associated with Interrupted Movement

Table 5.172: Event Interruption of Movement sent to Consignor and timers associated with the interrupted movement handled as necessary (e.g.either stopped or got flag reset)

### Event Interruption of Movement sent to Consignee

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Interruption of Movement sent to Consignee
Event Type	End event
Description	The Interruption of Movement (IE807) was sent to Consignee.
Previous Objects	Notify Consignee of Interruption of Movement

Table 5.173: Event Interruption of Movement sent to Consignee

## 5.8. Process L4-ACO-01-11-Movement Verification–Request (Request)

### 5.8.1. Objective of Process

The purpose of this process is to describe all possible paths in the electronic flow for requesting information, controls or actions on any subject related to given operator(s) or movement(s) (i.e. duty paid B2C movements and/or any undocumented movements), in accordance with the current Common Specifications (FESS and DDNEA).

Upon receipt of the request message, the ELO of the requested MSA is committed to undertake the requested actions and controls and give back results by the requested deadline and no later than three months following the date of receipt of the request (see Article 11(1) of Regulation (EU) No 389/2012). Where the requested MSA is already in possession of that information, the ELO of the requested MSA ought to provide the results within one month following the date of receipt of the request (see Article 11(1) of Regulation (EU) No 389/2012). In certain special categories of cases, different time limits may be agreed between the requested MSA and the requesting MSA (see Article 11(2) of Regulation (EU) No 389/2012).

Upon any event that draws their attention on a particular movement (in particular risk assessment, but possibly any other information coming from external sources), the ELO of any Member State registers a request for controls, actions and information on any subject related to given operator(s) or movement(s) (i.e. duty paid B2C movements and/or any undocumented movements) to its MSA (i.e. Requesting MSA).

After receiving the aforementioned request, the Requesting MSA stores it and builds a Movement Verification Request message (IE722). After storing the Movement Verification Request message, the Requesting MSA sets the state of the request to “Open” and sends the aforementioned message to the

Requested MSA. Finally, the Requesting MSA initiates the timer TIM\_MVS to expire at the expected deadline for receiving the results, as specified in the request message.

The Requested MSA receives the Movement Verification Request message (IE722) indicating a request for information, controls or actions, performs the required validations and handles the results of these validations (for a detailed description of the respective processes, please refer to “L4-CORE-01-03-Perform validation of Common Domain message” and “L4-CORE-01-05-Handle validation Results of Common Domain message” respectively).

In case the Movement Verification Request message has been found invalid at the Requested MSA, a refusal message (IE917/IE906) has already been sent to the Requesting MSA, for the receipt of an invalid Movement Verification Request message (in the context of process “L4-CORE-01-05-Handle validation Results of Common Domain message”). The process ends at this point without storing the Movement Verification Request.

Otherwise, the Movement Verification Request has already been stored (in the context of process “L4-CORE-01-05-Handle validation Results of Common Domain message”). The Requested MSA sets the state of the request to “Open” and its ELO is informed about the request for information, controls or actions received.

The ELO of the Requested MSA analyses the request and estimates whether it is possible or not to give the requested information/results in the time limit indicated in the request message. Depending on the outcome of his analysis the following cases exist:

- a) If the Requested ELO agrees with the requested deadline for results, this agreement is implicit; the continuation of the flow of the concerned request takes place at EMCS through the process “L4-ACO-01-13-Movement Verification-Request (Results)” (where the Movement Verification Results (IE725) are received) and this process ends at this point; or
- b) If the ELO of the requested MSA feels the deadline is too short, they may send, within one month following the date of receipt of the request, an intermediate message called answer message, where they give a new deadline and their reasons to delay the results message. (see Article 11(3) of Regulation (EU) No 389/2012). The continuation of the flow of the concerned request takes place at EMCS through the process “L4-ACO-01-14-Handle Deadline Extension- Movement Verification”.
- c) If the Requested ELO does not agree with the contents of the request the continuation of the flow of the concerned request takes place at EMCS through the process "L4-ACO-01-23-Handle Refusal Response-Movement Verification".

In case of b) mentioned above and as a result of process “L4-ACO-01-14-Handle Deadline Extension-Movement Verification” triggered, the Requested MSA sends a Movement Verification Answer (IE723) to the Requesting MSA.

The Requesting MSA, after receiving the Movement Verification Answer (IE723), performs the required validations and handles the results of these validations (for a detailed description of the respective processes, please refer to “L4-CORE-01-03-Perform validation of Common Domain message” and “L4-CORE-01-05-Handle validation Results of Common Domain message” respectively).

In case the Movement Verification Answer has been found invalid at the Requesting MSA, a refusal message (IE917/IE906) has already been sent to the Requested MSA for the receipt of an invalid Movement Verification Answer (in the context of process “L4-CORE-01-05-Handle validation Results of Common Domain message”) and the process ends at this point without storing the Movement Verification Answer.

Otherwise, the Movement Verification Answer has already been stored (in the context of process “L4-CORE-01-05-Handle validation Results of Common Domain message”) and the Requesting MSA updates the state of the request to “Extended”. Then, if the timer TIM\_MVS has already expired (a Reminder

Message for Movement Verification Request (IE724) has already been sent to the Requested MSA through process “L4-ACO-01-12-Movement Verification-Reminder”), the Requesting MSA first resets the flag that has been raised locally at expiration time and then resets the timer TIM\_MVS to expire at the new deadline given in the Movement Verification Answer. The ELO at the Requesting MSA is informed of the Requested ELO’s request for deadline extension.

It might also be the case that the timer TIM\_MVS expires at the Requesting MSA without having received a Movement Verification Results message (IE725) or a Movement Verification Answer (IE723) for extending the deadline from the Requested MSA. Alternatively, a Movement Verification Answer for extending the deadline had been received from the Requested MSA, but the timer TIM\_MVS (that was reset following the reception of the Movement Verification Answer) expires, without having received a Movement Verification Results message. In this case, the process “L4-ACO-01-12-Movement Verification-Reminder” is triggered, for the submission of the respective Reminder Message for Movement Verification Request (IE724).

After receiving a Movement Verification Answer for extending the deadline or after sending a Reminder Message for Movement Verification Request, the Requesting MSA expects to receive either a new Movement Verification Answer or the Movement Verification Results.

### 5.8.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-ACO-01-11
Full Name	Movement Verification–Request (Request)
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-ACO-01-01-Cooperation on Request
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.174: Process Details

### 5.8.3. Tasks and Events

**Event The Requesting ELO decided to request Information, Controls or Actions from the ELO of another MSA concerning particular economic operators or duty paid B2C or undocumented movements**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	The Requesting ELO decided to request Information, Controls or Actions from the ELO of another MSA concerning particular economic operators or duty paid B2C or undocumented movements
Event Type	Start event
Description	Upon any event that draws their attention on a particular movement (in particular risk assessment, but possibly any other information coming from

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	external sources), the ELO of any Member State sends a request message to another ELO for actions and information on any subject related to given operator(s) or movement(s) (i.e. duty paid B2C movement(s) and/or any undocumented movement(s)).
Previous Objects	

Table 5.175: Event The Requesting ELO decided to request Information, Controls or Actions from the ELO of another MSA concerning particular economic operators or duty paid B2C or undocumented movements

### Task Register Request for Information, Controls or Actions

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Request for Information, Controls or Actions
Task Type	User task
Description	The Requesting ELO registers to the Requesting MSA a request for information, controls or actions concerning particular economic operators or movement(s) (i.e. duty paid B2C movement(s) and/or any undocumented movement(s)).
Actor	ELO
Input Data	C_MVS_SUB
Output Data	C_MVS_SUB
Previous Objects	The Requesting ELO decided to request Information, Controls or Actions from the ELO of another MSA concerning particular economic operators or duty paid B2C or undocumented movements
Information Exchange	
Requirements	ACO_FUR_0058
Data Set	
Events	
State	

Table 5.176: Task Register Request for Information, Controls or Actions

### Task Record Request for Information, Controls or Actions

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Request for Information, Controls or Actions
Task Type	Service task
Description	The request for information, controls or actions concerning particular economic operators or duty paid B2C movement(s) and/or any undocumented movement(s) is stored.

Actor	EMCS
Input Data	C_MVS_SUB
Output Data	C_MVS_SUB
Previous Objects	Register Request for Information, Controls or Actions
Information Exchange	
Requirements	ACO_FUR_0059
Data Set	
Events	
State	

Table 5.177: Task Record Request for Information, Controls or Actions

### Task Build Movement Verification Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build Movement Verification Request
Task Type	Service task
Description	The Requesting MSA builds a Movement Verification Request (IE722) based on the Request received by the Requesting ELO.
Actor	EMCS
Input Data	C_MVS_SUB
Output Data	C_MVS_SUB
Previous Objects	Record Request for Information, Controls or Actions
Information Exchange	
Requirements	ACO_FUR_0060
Data Set	
Events	
State	

Table 5.178: Task Build Movement Verification Request

### Task Record Movement Verification Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Record Movement Verification Request
Task Type	Service task
Description	The Movement Verification Request (IE722) is stored.
Actor	EMCS
Input Data	C_MVS_SUB
Output Data	C_MVS_SUB
Previous Objects	Build Movement Verification Request
Information Exchange	
Requirements	ACO_FUR_0178
Data Set	
Events	
State	

Table 5.179: Task Record Movement Verification Request

### Task Set State of the Request to "Open"

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Set State of the Request to "Open"
Task Type	Service task
Description	The state of the request is set to "Open".
Actor	EMCS
Appears also in the following processes	L4-ACO-01-11-Movement Verification-Request (Request)
Input Data	MV Correlation ID
Output Data	Request State
Previous Objects	Record Movement Verification Request
Information Exchange	
Requirements	ACO_FUR_0061
Data Set	Request State
Events	IE722 recorded

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State	Open

Table 5.180: Task Set State of the Request to "Open"

### Task Notify Requested MSA about Movement Verification Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Requested MSA about Movement Verification Request
Task Type	Send task
Description	The Requesting MSA sends the Movement Verification Request (IE722) to the Requested MSA.
Actor	EMCS
Input Data	C_MVS_SUB
Output Data	
Previous Objects	Set State of the Request to "Open"
Information Exchange	movement verification request
Requirements	ACO_FUR_0062
Data Set	
Events	
State	

Table 5.181: Task Notify Requested MSA about Movement Verification Request

### Task Initiate Timer TIM\_MVS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Initiate Timer TIM_MVS
Task Type	Service task
Description	<p>The Requesting MSA initiates the timer TIM_MVS to expire at the expected deadline for receiving the Movement Verification Results (IE725), as specified in the Movement Verification Request (IE722).</p> <p>TIM_MVS is a timer associated with the results of a movement verification request. Its Expiry Date is given by the sender, limited by a common system parameter.</p>
Actor	EMCS

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Input Data	Deadline for Results MV Correlation ID
Output Data	Timer TIM_MVS Expiry Date
Previous Objects	Notify Requested MSA about Movement Verification Request
Information Exchange	
Requirements	ACO_FUR_0063
Data Set	
Events	
State	

Table 5.182: Task Initiate Timer TIM\_MVS

### Event Movement Verification Request Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Verification Request Received
Event Type	Message start event
Description	A Movement Verification Request (IE722) has been received.
Previous Objects	Notify Requested MSA about Movement Verification Request

Table 5.183: Event Movement Verification Request Received

### Task Perform Validation of Movement Verification Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Validation of Movement Verification Request
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-03-Perform validation of Common Domain message.
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Movement Verification Request Received
Information Exchange	
Requirements	
Data Set	

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Events	
State	

Table 5.184: Task Perform Validation of Movement Verification Request

### Event TIM\_MVS has Expired

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	TIM_MVS has Expired
Event Type	Timer intermediate event
Description	The timer TIM_MVS expires without having received the Movement Verification Results (IE725) or a Movement Verification Answer (IE723) for extending the deadline from the Requested MSA. Alternatively, a Movement Verification Answer (IE723) had been received from the Requested MSA but the timer TIM_MVS, that was reset following the reception of the Movement Verification Answer, expires without having received the Movement Verification Results (IE725).
Previous Objects	Initiate Timer TIM_MVS Acknowledge Request for Deadline Extension Handle Expiration of Deadline for Results

Table 5.185: Event TIM\_MVS has Expired

### Task Handle Validation Results of Movement Verification Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Validation Results of Movement Verification Request
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-05-Handle validation Results of Common Domain message.
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Perform Validation of Movement Verification Request
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.186: Task Handle Validation Results of Movement Verification Request

### Task Handle Expiration of Deadline for Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Expiration of Deadline for Results
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-ACO-01-12-Movement Verification-Reminder
Actor	EMCS
Input Data	
Output Data	
Previous Objects	TIM_MVS has Expired
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.187: Task Handle Expiration of Deadline for Results

### Event Waiting for Results to be provided

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Waiting for Results to be provided
Event Type	Conditional intermediate event
Description	Neither a Movement Verification Answer (IE723) has been received nor the TIM_MVS has expired. Therefore the Requesting MSA is still waiting for a Movement Verification Results (IE725).
Previous Objects	Initiate Timer TIM_MVS Acknowledge Request for Deadline Extension Handle Expiration of Deadline for Results

Table 5.188: Event Waiting for Results to be provided

### Task Movement Verification-Request (Results)

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Movement Verification-Request (Results)
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-ACO-01-13-Movement Verification-Request (Results)

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Actor	EMCS
Input Data	
Output Data	
Previous Objects	Waiting for Results to be provided
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.189: Task Movement Verification-Request (Results)

### Task Acknowledge Request for Information, Controls or Actions

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Acknowledge Request for Information, Controls or Actions
Task Type	User task
Description	The Requested ELO is informed about the request for information, controls or actions concerning particular economic operators or duty paid B2C movements or undocumented movements.
Actor	ELO
Input Data	C_MVS_SUB
Output Data	C_MVS_SUB
Previous Objects	Set State of the Request to "Open"
Information Exchange	
Requirements	ACO_FUR_0017
Data Set	
Events	
State	

Table 5.190: Task Acknowledge Request for Information, Controls or Actions

### Event Movement Verification Request has been Recorded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Verification Request has been Recorded

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Event Type	Conditional intermediate event
Description	The Movement Verification Request has been stored.
Previous Objects	What is the outcome of the handling of validation results?

Table 5.191: Event Movement Verification Request has been Recorded

### Event Refusal Message has been sent to Requesting MSA

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal Message has been sent to Requesting MSA
Event Type	End event
Description	This process ends without storing the message, while a refusal message has been sent to the Requesting MSA, for the receipt of an invalid message.
Previous Objects	What is the outcome of the handling of validation results?

Table 5.192: Event Refusal Message has been sent to Requesting MSA

### Task Set State of the Request to "Open"

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Set State of the Request to "Open"
Task Type	Service task
Description	The state of the request is set to "Open".
Actor	EMCS
Appears also in the following processes	L4-ACO-01-11-Movement Verification-Request (Request)
Input Data	MV Correlation ID
Output Data	Request State
Previous Objects	Movement Verification Request has been Recorded
Information Exchange	
Requirements	ACO_FUR_0061
Data Set	Request State
Events	IE722 recorded
State	Open

Table 5.193: Task Set State of the Request to "Open"

**Task Identify if ELO agrees with the contents of the Request**

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if ELO agrees with the contents of the Request
Task Type	User task
Description	The ELO of the requested MSA agrees analyses the contents of the request message and identifies if he is in a position for carrying out the requested controls or actions and/or giving the requested information.
Actor	ELO
Appears also in the following processes	L4-ACO-01-01-Administrative Cooperation-Request for assistance (Request)
Input Data	C_MVS_SUB
Output Data	Result Identify if ELO agrees with the contents of the Request
Previous Objects	Acknowledge Request for Information, Controls or Actions
Information Exchange	
Requirements	ACO_FUR_0064
Data Set	
Events	
State	

Table 5.194: Task Identify if ELO agrees with the contents of the Request

**Event Results for Request for Information, Controls or Actions have been Received**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Results for Request for Information, Controls or Actions have been Received
Event Type	End event
Description	The results of actions and controls (concerning particular economic operators or duty paid B2C or undocumented movements) completed by the Requested MSA have been received by the Requesting MSA (i.e. the Movement Verification Results IE725) and the Requesting ELO is informed accordingly. He is therefore free to undertake additional controls and actions and to continue exchanges.
Appears also in the following	L4-ACO-01-13-Movement Verification-Request (Results)

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processes	
Previous Objects	Movement Verification-Request (Results)

Table 5.195: Event Results for Request for Information, Controls or Actions have been Received

### Task Identify if it is possible to reply to the request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if it is possible to reply to the request
Task Type	User task
Description	The ELO of the Requested MSA analyses the request and estimates whether it is possible or not to give the requested information in the time limit indicated in the request message (IE722).
Actor	ELO
Input Data	Deadline for Results MV Correlation ID Result Identify if ELO agrees with the contents of the Request
Output Data	Result Identify if it is possible to reply to the request
Previous Objects	Agree with the contents of the Request?
Information Exchange	
Requirements	ACO_FUR_0065
Data Set	
Events	
State	

Table 5.196: Task Identify if it is possible to reply to the request

### Task Handle Refusal Response

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Refusal Response
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process:L4-ACO-01-23-Handle Refusal Response- Movement Verification
Actor	ELO
Input Data	
Output Data	

Previous Objects	Agree with the contents of the Request?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.197: Task Handle Refusal Response

### Task Handle Deadline Extension- Movement Verification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Deadline Extension- Movement Verification
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-ACO-01-14-Handle Deadline Extension- Movement Verification
Actor	ELO
Input Data	
Output Data	
Previous Objects	Is it possible to reply to request?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.198: Task Handle Deadline Extension- Movement Verification

### Event Results can be provided in due time

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Results can be provided in due time
Event Type	Conditional intermediate event
Description	The ELO of the Requested MSA has estimated that it is possible to give the requested information and in the time limit indicated in the request message (IE722).
Previous Objects	Is it possible to reply to request?

Table 5.199: Event Results can be provided in due time

### Event Submission of Results is pending

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Submission of Results is pending
Event Type	End event
Description	The Submission of the Movement Verification Results by the Requested ELO is still pending.
Previous Objects	Results can be provided in due time

Table 5.200: Event Submission of Results is pending

### Event Movement Verification Answer Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Verification Answer Received
Event Type	Message intermediate event (catch)
Description	A Movement Verification Answer (IE723) has been received.
Previous Objects	Notify Requesting MSA about Movement Verification Answer Initiate Timer TIM_MVS Acknowledge Request for Deadline Extension Handle Expiration of Deadline for Results

Table 5.201: Event Movement Verification Answer Received

### Task Notify Requesting MSA about Movement Verification Answer

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Requesting MSA about Movement Verification Answer
Task Type	Send task
Description	The Requested MSA sends the Movement Verification Answer (IE723) to the Requesting MSA.
Actor	EMCS
Input Data	C_MVS_ANS
Output Data	
Previous Objects	Handle Refusal Response Handle Deadline Extension- Movement Verification
Information Exchange	movement verification answer
Requirements	ACO_FUR_0066

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PROCESS MODELS

Data Set	
Events	
State	

Table 5.202: Task Notify Requesting MSA about Movement Verification Answer

### Task Perform Validation of Movement Verification Answer

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Validation of Movement Verification Answer
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-03-Perform validation of Common Domain message.
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Movement Verification Answer Received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.203: Task Perform Validation of Movement Verification Answer

### Task Handle Validation Results of Movement Verification Answer

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Validation Results of Movement Verification Answer
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-05-Handle validation Results of Common Domain message.
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Perform Validation of Movement Verification Answer
Information Exchange	
Requirements	

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PROCESS MODELS

Data Set	
Events	
State	

Table 5.204: Task Handle Validation Results of Movement Verification Answer

### Event Movement Verification Answer has been Sent

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Verification Answer has been Sent
Event Type	End event
Description	Movement Verification Answer (IE723) has been sent to the Requesting MSA.
Previous Objects	Notify Requesting MSA about Movement Verification Answer

Table 5.205: Event Movement Verification Answer has been Sent

### Event Movement Verification Answer has been Recorded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Verification Answer has been Recorded
Event Type	Conditional intermediate event
Description	The Movement Verification Answer (IE723) has been stored.
Previous Objects	What is the outcome of the handling of validation results?

Table 5.206: Event Movement Verification Answer has been Recorded

### Task Identify if refusal information has been provided

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if refusal information has been provided
Task Type	Service task
Description	The ELO of the Requesting MSA analyses if reasons for refusing the contents of request message has been provided.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-01-Administrative Cooperation–Request for assistance (Request)
Input Data	Refusal Reason Code MV Correlation ID
Output Data	Result Identify if refusal information provided

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PROCESS MODELS

Previous Objects	Movement Verification Answer has been Recorded
Information Exchange	
Requirements	ACO_FUR_0173
Data Set	
Events	
State	

Table 5.207: Task Identify if refusal information has been provided

### Event Refusal Message has been sent to the Requested MSA

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal Message has been sent to the Requested MSA
Event Type	End event
Description	This process ends without storing the message, while a refusal message has been sent to the Requested MSA, for the receipt of an invalid message.
Previous Objects	What is the outcome of the handling of validation results?

Table 5.208: Event Refusal Message has been sent to the Requested MSA

### Task Perform Change of the Request State to "Extended"

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Change of the Request State to "Extended"
Task Type	Service task
Description	The state of the request is updated to "Extended".
Actor	EMCS
Input Data	MV Correlation ID Result Identify if refusal information provided
Output Data	Request State
Previous Objects	Has refusal information been provided?
Information Exchange	
Requirements	ACO_FUR_0068
Data Set	Request State

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PROCESS MODELS

Events	IE723 received
State	Extended

Table 5.209: Task Perform Change of the Request State to "Extended"

### Task Perform Change of the Request State to "Closed"

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Change of the Request State to "Closed"
Task Type	Service task
Description	The state of the request is updated to "Closed"
Actor	EMCS
Appears also in the following processes	L4-ACO-01-01-Administrative Cooperation–Request for assistance (Request)
Input Data	MV Correlation ID Result Identify if refusal information provided
Output Data	Request State
Previous Objects	Has refusal information been provided?
Information Exchange	
Requirements	ACO_FUR_0132
Data Set	Request State
Events	IE723 received
State	Closed

Table 5.210: Task Perform Change of the Request State to "Closed"

### Task Identify if Timer TIM\_MVS has expired

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Timer TIM_MVS has expired
Task Type	Service task
Description	The Requesting MSA checks whether the timer TIM_MVS has expired.

Actor	EMCS
Appears also in the following processes	L4-ACO-01-13-Movement Verification-Request (Results)
Input Data	MV Correlation ID Timer TIM_MVS Expiry Date
Output Data	Result Identify if Timer TIM_MVS has expired
Previous Objects	Perform Change of the Request State to "Extended"
Information Exchange	
Requirements	ACO_FUR_0069
Data Set	
Events	
State	

Table 5.211: Task Identify if Timer TIM\_MVS has expired

### Task Stop Timer TIM\_MVS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Stop Timer TIM_MVS
Task Type	Service task
Description	The requesting MSA stops the running timer (TIM_MVS).
Actor	EMCS
Input Data	MV Correlation ID
Output Data	TIM_MVS End Date
Previous Objects	Perform Change of the Request State to "Closed"
Information Exchange	
Requirements	ACO_FUR_0071
Data Set	
Events	
State	

Table 5.212: Task Stop Timer TIM\_MVS

**Event Refusal message has been stored.**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message has been stored.
Event Type	End event
Description	The process ends with the refusal message stored.
Appears also in the following processes	L4-ACO-01-01-Administrative Cooperation–Request for assistance (Request)
Previous Objects	Stop Timer TIM_MVS

Table 5.213: Event Refusal message has been stored.

### Task Reset Flag

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Reset Flag
Task Type	Service task
Description	If the timer TIM_MVS has already expired (a Reminder Message for Movement Verification Request IE724 has been sent to the Requested MSA), the Requesting MSA resets the flag that has been raised locally at expiration time.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-13-Movement Verification-Request (Results)
Input Data	MV Correlation ID Result Identify if Timer TIM_MVS has expired
Output Data	TIM_MVS Reset Flag Date
Previous Objects	Has TIM_MVS expired?
Information Exchange	
Requirements	ACO_FUR_0070
Data Set	
Events	
State	

Table 5.214: Task Reset Flag

### Task Acknowledge Request for Deadline Extension

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Acknowledge Request for Deadline Extension
Task Type	User task
Description	The Requesting ELO is informed of the request for the extension of the deadline set for providing the information requested via the request message.
Actor	ELO
Input Data	C_MVS_ANS
Output Data	C_MVS_ANS
Previous Objects	Update Timer TIM_MVS
Information Exchange	
Requirements	ACO_FUR_0017
Data Set	
Events	
State	

Table 5.215: Task Acknowledge Request for Deadline Extension

### Task Update Timer TIM\_MVS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Update Timer TIM_MVS
Task Type	Service task
Description	The Requesting MSA resets the timer TIM_MVS to expire at the new “Deadline for Results” as specified by the Movement Verification Answer (IE723).
Actor	EMCS
Input Data	MV Correlation ID Date of sending
Output Data	Timer TIM_MVS Expiry Date
Previous Objects	Has TIM_MVS expired? Reset Flag
Information Exchange	
Requirements	ACO_FUR_0072
Data Set	

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PROCESS MODELS

Events	
State	

Table 5.216: Task Update Timer TIM\_MVS

## 5.9. Process L4-ACO-01-12-Movement Verification-Reminder

### 5.9.1. Objective of Process

The purpose of this process is to describe all possible paths in the electronic flow for the handling of the case that the Requesting MSA has not received the results to a Movement Verification Request (IE722) within the pre-defined time limit, in accordance with the current Common Specifications (FESS and DDNEA).

The current process is triggered when the timer TIM\_MVS expires. After the TIM\_MVS expiration, the Requesting MSA builds a Reminder Message for Movement Verification Request (IE724), stores it and after updating the state of the request to “Late”, it sends the Reminder Message for Movement Verification Request to the Requested MSA.

The Requested MSA receives the Reminder Message for Movement Verification Request (IE724), performs the required validations and handles the results of these validations (for a detailed description of the respective processes, please refer to “L4-CORE-01-03-Perform validation of Common Domain message” and “L4-CORE-01-05-Handle validation Results of Common Domain message” respectively).

In case the Reminder Message for Movement Verification Request has been found invalid at the Requested MSA, a refusal message (IE917/IE906) has already been sent to the Requesting MSA, for the receipt of an invalid Reminder Message for Movement Verification Request (in the context of process “L4-CORE-01-05-Handle validation Results of Common Domain message”) and the process ends at this point, without storing the Reminder Message For Movement Verification Request.

Otherwise, the Reminder Message for Movement Verification Request has already been stored (in the context of process “L4-CORE-01-05-Handle validation Results of Common Domain message”) and the Requested MSA updates the state of the request to “Late”. Finally, the ELO of the Requested MSA is informed of the reminder for the request for information, controls or actions and the whole process ends here.

### 5.9.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-ACO-01-12
Full Name	Movement Verification-Reminder
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-ACO-01-01-Cooperation on Request
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.217: Process Details

### 5.9.3. Tasks and Events

#### Event TIM\_MVS has Expired

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	TIM_MVS has Expired
Event Type	Start event
Description	The timer TIM_MVS expires without having received the Movement Verification Results (IE725) or a Movement Verification Answer (IE723) for extending the deadline from the Requested MSA. Alternatively, a Movement Verification Answer (IE723) had been received from the Requested MSA but the timer TIM_MVS, that was reset following the reception of the Movement Verification Answer (IE723), expires without having received the Movement Verification Results (IE725).
Previous Objects	

Table 5.218: Event TIM\_MVS has Expired

#### Task Build Reminder Message for Movement Verification Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build Reminder Message for Movement Verification Request
Task Type	Service task
Description	The Requesting MSA builds a Reminder Message for Movement Verification Request (IE724).
Actor	EMCS
Input Data	MV Correlation ID Timer TIM_MVS Expiry Date
Output Data	C_MVS_REM
Previous Objects	TIM_MVS has Expired
Information Exchange	
Requirements	ACO_FUR_0073
Data Set	
Events	
State	

Table 5.219: Task Build Reminder Message for Movement Verification Request

### Task Record Reminder Message for Movement Verification Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Reminder Message for Movement Verification Request
Task Type	Service task
Description	The Reminder Message for Movement Verification Request (IE724) is stored.
Actor	EMCS
Input Data	C_MVS_REM
Output Data	C_MVS_REM
Previous Objects	Build Reminder Message for Movement Verification Request
Information Exchange	
Requirements	ACO_FUR_0074
Data Set	
Events	
State	

Table 5.220: Task Record Reminder Message for Movement Verification Request

### Task Perform Change of the Request State to "Late"

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Change of the Request State to "Late"
Task Type	Service task
Description	The state of the request is updated to "Late".
Actor	EMCS
Appears also in the following processes	L4-ACO-01-12-Movement Verification-Reminder
Input Data	MV Correlation ID
Output Data	Request State
Previous Objects	Record Reminder Message for Movement Verification Request
Information Exchange	
Requirements	ACO_FUR_0075

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Data Set	Request State
Events	IE724 recorded
State	Late

Table 5.221: Task Perform Change of the Request State to "Late"

### Task Notify Requested MSA about Reminder Message for Movement Verification Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Requested MSA about Reminder Message for Movement Verification Request
Task Type	Send task
Description	The Requesting MSA sends the Reminder Message for Movement Verification Request (IE724) to the Requested MSA.
Actor	EMCS
Input Data	C_MVS_REM
Output Data	
Previous Objects	Perform Change of the Request State to "Late"
Information Exchange	reminder message for movement verification request
Requirements	ACO_FUR_0076
Data Set	
Events	
State	

Table 5.222: Task Notify Requested MSA about Reminder Message for Movement Verification Request

### Event Reminder Message for Movement Verification Request Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Reminder Message for Movement Verification Request Received
Event Type	Message start event
Description	A Reminder Message for Movement Verification Request (IE724) has been received by the Requested MSA.
Previous Objects	Notify Requested MSA about Reminder Message for Movement Verification Request

Table 5.223: Event Reminder Message for Movement Verification Request Received

### Task Perform Validation of Reminder Message for Movement Verification Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Validation of Reminder Message for Movement Verification Request
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-03-Perform validation of Common Domain message.
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Reminder Message for Movement Verification Request Received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.224: Task Perform Validation of Reminder Message for Movement Verification Request

### Event Reminder Message for Movement Verification Request has been Sent

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Reminder Message for Movement Verification Request has been Sent
Event Type	End event
Description	A Reminder Message for Movement Verification Request (IE724) has been sent to the Requested MSA.
Previous Objects	Notify Requested MSA about Reminder Message for Movement Verification Request

Table 5.225: Event Reminder Message for Movement Verification Request has been Sent

### Task Handle Validation Results of Reminder Message for Movement Verification Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Validation Results of Reminder Message for Movement Verification Request
Task Type	Call activity (collapsed)

Description	This task is modeled in a separate process: L4-CORE-01-05-Handle validation Results of Common Domain message.
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Perform Validation of Reminder Message for Movement Verification Request
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.226: Task Handle Validation Results of Reminder Message for Movement Verification Request

#### Event Reminder Message for Movement Verification Request has been Recorded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Reminder Message for Movement Verification Request has been Recorded
Event Type	Conditional intermediate event
Description	The Reminder Message for Movement Verification Request (IE724) has been stored.
Previous Objects	What is the outcome of the handling of validation results?

Table 5.227: Event Reminder Message for Movement Verification Request has been Recorded

#### Event Refusal Message has been sent to Requesting MSA

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal Message has been sent to Requesting MSA
Event Type	End event
Description	This process ends without storing the message, while a refusal message has been sent to the Requesting MSA, for the receipt of an invalid message.
Previous Objects	What is the outcome of the handling of validation results?

Table 5.228: Event Refusal Message has been sent to Requesting MSA

#### Task Acknowledge Reminder for Request for Information, Controls or Actions

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Acknowledge Reminder for Request for Information, Controls or Actions
Task Type	User task
Description	The Requested ELO is informed of the reminder for the request for information, controls or actions concerning particular economic operators or duty paid B2C movements or undocumented movements.
Actor	ELO
Input Data	C_MVS_REM
Output Data	C_MVS_REM
Previous Objects	Perform Change of the Request State to "Late"
Information Exchange	
Requirements	ACO_FUR_0017
Data Set	
Events	
State	

Table 5.229: Task Acknowledge Reminder for Request for Information, Controls or Actions

### Task Perform Change of the Request State to "Late"

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Change of the Request State to "Late"
Task Type	Service task
Description	The state of the request is updated to "Late".
Actor	EMCS
Appears also in the following processes	L4-ACO-01-12-Movement Verification-Reminder
Input Data	MV Correlation ID
Output Data	Request State
Previous Objects	Reminder Message for Movement Verification Request has been Recorded
Information Exchange	
Requirements	ACO_FUR_0075
Data Set	Request State

Events	IE724 recorded
State	Late

Table 5.230: Task Perform Change of the Request State to "Late"

### Event Reminder for Submission of Results has been Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Reminder for Submission of Results has been Received
Event Type	End event
Description	A reminder for the submission of the results has been received by the Requested MSA and the Requested ELO has been informed accordingly. They are expected to send the results or extend the deadline by sending an answer.
Previous Objects	Acknowledge Reminder for Request for Information, Controls or Actions

Table 5.231: Event Reminder for Submission of Results has been Received

## 5.10. Process L4-ACO-01-13-Movement Verification-Request (Results)

### 5.10.1. Objective of Process

The purpose of this process is to describe all possible paths in the electronic flow for the submission of the results to a Movement Verification Request, in accordance with the current Common Specifications (FESS and DDNEA).

Upon receipt of the request message, the ELO of the requested MSA is committed to undertake the requested actions and controls and give back results by the requested deadline and no later than three months following the date of receipt of the request (see Article 11(1) of Regulation (EU) No 389/2012). Where the requested MSA is already in possession of that information, the ELO of the requested MSA ought to provide the results within one month following the date of receipt of the request (see Article 11(1) of Regulation (EU) No 389/2012). In certain special categories of cases, different time limits may be agreed between the requested MSA and the requesting MSA (see Article 11(2) of Regulation (EU) No 389/2012).

The process is triggered when the ELO of the Requested MSA completes the requested actions specified in the Movement Verification Request (IE722) sent by the Requesting MSA. More specifically, the Requested ELO prepares the results on the basis of the checks and investigations he/she has carried out and registers it to the Requested MSA. After receiving the results for the request for information, controls or actions, the Requested MSA stores them and builds a Movement Verification Results message (IE725). Afterwards, the Requested MSA stores the Movement Verification Results message and after updating the state of the request to "Closed", sends the Movement Verification Results message to the Requesting MSA.

The Requesting MSA receives the Movement Verification Results message (IE725), performs the required validations and handles the results of these validations (for a detailed description of the respective processes, please refer to "L4-CORE-01-03-Perform validation of Common Domain message" and "L4-CORE-01-05-Handle validation Results of Common Domain message" respectively).

Via this results message, the requested ELO may request the requesting ELO to provide feedback on the follow-up action taken by the requesting MSA, on the basis of the provided results (see Articles 8(5), 15(2) and 16(2) of Regulation (EU) 389/2012, Articles 8, 15 and 16). In case such feedback is requested, the requesting ELO shall send back their feedback as soon as possible via another results message (in the context of process “L4-ACO-01-27-Movement Verification–Provide Feedback”)

In case the Movement Verification Results message has been found invalid at the Requesting MSA, a refusal message (IE917/IE906) has already been sent to the Requested MSA for the receipt of an invalid Movement Verification Results message (in the context of process “L4-CORE-01-05-Handle validation Results of Common Domain message”) and the process ends at this point, without storing the Movement Verification Results.

Otherwise, the Movement Verification Results message has already been stored (in the context of process “L4-CORE-01-05-Handle validation Results of Common Domain message”). The Requesting MSA updates the state of the request to “Closed”. At this point, if the timer TIM\_MVS has already expired (and therefore a Reminder Message for Movement Verification Request (IE724) has been sent to the Requested MSA through process “L4-ACO-01-12-Movement Verification-Reminder”), the Requesting MSA resets the flag that has been raised locally at expiration time; otherwise it stops the timer TIM\_MVS. The ELO of the Requesting MSA is informed about the results received for his/her request for information, controls or actions and the process ends at this point.

### 5.10.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-ACO-01-13
Full Name	Movement Verification-Request (Results)
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-ACO-01-01-Cooperation on Request
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.232: Process Details

### 5.10.3. Tasks and Events

#### Event The Requested ELO is ready to provide results

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	The Requested ELO is ready to provide results
Event Type	Start event
Description	The ELO of the Requested MSA completed the requested actions specified by the initial received Movement Verification Request (IE722) message from the Requesting MSA.

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PROCESS MODELS

Previous Objects	
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Table 5.233: Event The Requested ELO is ready to provide results

### Task Register Results for Request for Information, Controls or Actions

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Results for Request for Information, Controls or Actions
Task Type	User task
Description	The Requested ELO prepares the results message on the basis of the checks and investigations they have carried out.
Actor	ELO
Input Data	FINDINGS ACTIONS Result MV Correlation ID CONTACT for IE722, IE723, IE724, IE725 FEEDBACK REQUEST
Output Data	ACTIONS Result CONTACT for IE722, IE723, IE724, IE725 MV Correlation ID FEEDBACK REQUEST FINDINGS
Previous Objects	The Requested ELO is ready to provide results
Information Exchange	
Requirements	ACO_FUR_0077
Data Set	
Events	
State	

Table 5.234: Task Register Results for Request for Information, Controls or Actions

### Task Record Results for Request for Information, Controls or Actions

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Results for Request for Information, Controls or Actions
Task Type	Service task
Description	The results for the Request for information, controls or actions concerning particular economic operators or duty paid movements B2C or undocumented movements are stored.
Actor	EMCS

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Input Data	ACTIONS Result CONTACT for IE722, IE723, IE724, IE725 FEEDBACK REQUEST MV Correlation ID FINDINGS
Output Data	FEEDBACK REQUEST CONTACT for IE722, IE723, IE724, IE725 MV Correlation ID ACTIONS Result FINDINGS
Previous Objects	Register Results for Request for Information, Controls or Actions
Information Exchange	
Requirements	ACO_FUR_0078
Data Set	
Events	
State	

Table 5.235: Task Record Results for Request for Information, Controls or Actions

### Task Build Movement Verification Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build Movement Verification Results
Task Type	Service task
Description	A Movement Verification Results message (IE725) is built, taking into consideration the information/results received by the ELO.
Actor	EMCS
Input Data	FEEDBACK REQUEST FINDINGS MV Correlation ID ACTIONS Result CONTACT for IE722, IE723, IE724, IE725
Output Data	C_MVS_RES
Previous Objects	Record Results for Request for Information, Controls or Actions
Information Exchange	
Requirements	ACO_FUR_0079

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PROCESS MODELS

Data Set	
Events	
State	

Table 5.236: Task Build Movement Verification Results

### Task Record Movement Verification Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Movement Verification Results
Task Type	Service task
Description	The Movement Verification Results message (IE725) is stored.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-25-Movement Verification for Duty Paid Movements-Spontaneous information
Input Data	C_MVS_RES
Output Data	C_MVS_RES
Previous Objects	Build Movement Verification Results
Information Exchange	
Requirements	ACO_FUR_0080
Data Set	
Events	
State	

Table 5.237: Task Record Movement Verification Results

### Task Identify if feedback is requested

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if feedback is requested
Task Type	Service task
Description	The ELO of requested MSA may request the requesting ELO to provide feedback on the follow-up action taken by the requesting MSA, on the basis of the provided results
Actor	EMCS
Appears also in the following processes	L4-ACO-01-03-Administrative Cooperation-Request for assistance (Results)

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	L4-ACO-01-13-Movement Verification-Request (Results)
Input Data	Feedback Requested or Provided MV Correlation ID
Output Data	Check the Result Identify if feedback is requested
Previous Objects	Record Movement Verification Results
Information Exchange	
Requirements	ACO_FUR_0081
Data Set	
Events	
State	

Table 5.238: Task Identify if feedback is requested

### Task Perform Change of the Request State to "Answered and feedback expected"

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Change of the Request State to "Answered and feedback expected"
Task Type	Service task
Description	The state of the request is updated to "Answered and feedback expected".
Actor	EMCS
Appears also in the following processes	L4-ACO-01-03-Administrative Cooperation-Request for assistance (Results) L4-ACO-01-13-Movement Verification-Request (Results)
Input Data	Check the Result Identify if feedback is requested Feedback Requested or Provided MV Correlation ID
Output Data	Request State
Previous Objects	Is feedback requested?
Information Exchange	
Requirements	ACO_FUR_0082
Data Set	Request State

Events	The Result of the Feedback is "1 = Feedback requested"
State	Answered and Feedback Expected

Table 5.239: Task Perform Change of the Request State to "Answered and feedback expected"

### Event Movement Verification Results Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Verification Results Received
Event Type	Message start event
Description	A Movement Verification Results message (IE725) has been received by the Requesting MSA.
Previous Objects	Notify Requesting MSA about Movement Verification Results

Table 5.240: Event Movement Verification Results Received

### Task Perform Change of the Request State to "Closed"

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Change of the Request State to "Closed"
Task Type	Service task
Description	The state of the request is updated to "Closed".
Actor	EMCS
Appears also in the following processes	L4-ACO-01-13-Movement Verification-Request (Results)
Input Data	MV Correlation ID Check the Result Identify if feedback is requested Feedback Requested or Provided
Output Data	Request State
Previous Objects	Is feedback requested?
Information Exchange	
Requirements	ACO_FUR_0132
Data Set	Request State
Events	The Result of the Feedback is "0 = No Feedback requested"

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State	Closed
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Table 5.241: Task Perform Change of the Request State to "Closed"

### Task Perform Validation of Movement Verification Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Validation of Movement Verification Results
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-03-Perform validation of Common Domain message.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-25-Movement Verification for Duty Paid Movements-Spontaneous information
Input Data	
Output Data	
Previous Objects	Movement Verification Results Received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.242: Task Perform Validation of Movement Verification Results

### Task Notify Requesting MSA about Movement Verification Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Requesting MSA about Movement Verification Results
Task Type	Send task
Description	The Requested MSA sends the Movement Verification Results (IE725) to the Requesting MSA.
Actor	EMCS
Input Data	C_MVS_RES
Output Data	
Previous Objects	Perform Change of the Request State to "Answered and feedback expected" Perform Change of the Request State to "Closed"

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Information Exchange	movement verification results
Requirements	ACO_FUR_0083
Data Set	
Events	
State	

Table 5.243: Task Notify Requesting MSA about Movement Verification Results

### Task Handle Validation Results of Movement Verification Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Validation Results of Movement Verification Results
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-05-Handle validation Results of Common Domain message.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-25-Movement Verification for Duty Paid Movements-Spontaneous information
Input Data	
Output Data	
Previous Objects	Perform Validation of Movement Verification Results
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.244: Task Handle Validation Results of Movement Verification Results

### Event Movement Verification Results message has been Sent

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Verification Results message has been Sent
Event Type	End event
Description	The Movement Verification Results message (IE725) has been sent to the Requesting MSA.
Previous Objects	Notify Requesting MSA about Movement Verification Results

Table 5.245: Event Movement Verification Results message has been Sent

### Event Refusal Message has been sent to the Requested MSA

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal Message has been sent to the Requested MSA
Event Type	End event
Description	This process ends without storing the message, while a refusal message has been sent to the Requested MSA, for the receipt of an invalid message.
Previous Objects	What is the outcome of the handling of validation results?

Table 5.246: Event Refusal Message has been sent to the Requested MSA

### Event Movement Verification Results message has been recorded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Verification Results message has been recorded
Event Type	Conditional intermediate event
Description	The Movement Verification Results message (IE725) has been stored.
Appears also in the following processes	L4-ACO-01-25-Movement Verification for Duty Paid Movements- Spontaneous information
Previous Objects	What is the outcome of the handling of validation results?

Table 5.247: Event Movement Verification Results message has been recorded

### Task Identify if feedback is requested

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if feedback is requested
Task Type	Service task
Description	The ELO of requested MSA may request the requesting ELO to provide feedback on the follow-up action taken by the requesting MSA, on the basis of the provided results
Actor	EMCS
Appears also in the following processes	L4-ACO-01-03-Administrative Cooperation–Request for assistance (Results) L4-ACO-01-13-Movement Verification-Request (Results)
Input Data	Feedback Requested or Provided MV Correlation ID

Output Data	Check the Result Identify if feedback is requested
Previous Objects	Movement Verification Results message has been recorded
Information Exchange	
Requirements	ACO_FUR_0081
Data Set	
Events	
State	

Table 5.248: Task Identify if feedback is requested

### Task Perform Change of the Request State to "Answered and feedback expected"

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Change of the Request State to "Answered and feedback expected"
Task Type	Service task
Description	The state of the request is updated to "Answered and feedback expected".
Actor	EMCS
Appears also in the following processes	L4-ACO-01-03-Administrative Cooperation-Request for assistance (Results) L4-ACO-01-13-Movement Verification-Request (Results)
Input Data	Check the Result Identify if feedback is requested Feedback Requested or Provided MV Correlation ID
Output Data	Request State
Previous Objects	Is feedback requested?
Information Exchange	
Requirements	ACO_FUR_0082
Data Set	Request State
Events	The Result of the Feedback is "1 = Feedback requested"
State	Answered and Feedback Expected

Table 5.249: Task Perform Change of the Request State to "Answered and feedback expected"

### Task Provide feedback requested in Movement Verification Results message

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Provide feedback requested in Movement Verification Results message
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-ACO-01-27-Movement Verification-Provide Feedback.
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Perform Change of the Request State to "Answered and feedback expected"
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.250: Task Provide feedback requested in Movement Verification Results message

### Task Perform Change of the Request State to "Closed"

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Change of the Request State to "Closed"
Task Type	Service task
Description	The state of the request is updated to "Closed".
Actor	EMCS
Appears also in the following processes	L4-ACO-01-13-Movement Verification-Request (Results)
Input Data	MV Correlation ID Check the Result Identify if feedback is requested Feedback Requested or Provided
Output Data	Request State
Previous Objects	Provide feedback requested in Movement Verification Results message Is feedback requested?
Information Exchange	
Requirements	ACO_FUR_0132

Data Set	Request State
Events	The Result of the Feedback is "0 = No Feedback requested"
State	Closed

Table 5.251: Task Perform Change of the Request State to "Closed"

### Task Identify if Timer TIM\_MVS has expired

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Timer TIM_MVS has expired
Task Type	Service task
Description	The Requesting MSA checks whether the timer TIM_MVS has expired.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-11-Movement Verification-Request (Request)
Input Data	MV Correlation ID Timer TIM_MVS Expiry Date
Output Data	Result Identify if Timer TIM_MVS has expired
Previous Objects	Perform Change of the Request State to "Closed"
Information Exchange	
Requirements	ACO_FUR_0069
Data Set	
Events	
State	

Table 5.252: Task Identify if Timer TIM\_MVS has expired

### Task Reset Flag

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Reset Flag
Task Type	Service task
Description	If the timer TIM_MVS has already expired (a Reminder Message for Movement Verification Request IE724 has been sent to the Requested MSA), the Requesting MSA resets the flag that has been raised locally at expiration time.

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Actor	EMCS
Appears also in the following processes	L4-ACO-01-11-Movement Verification–Request (Request)
Input Data	MV Correlation ID Result Identify if Timer TIM_MVS has expired
Output Data	TIM_MVS Reset Flag Date
Previous Objects	Has TIM_MVS expired?
Information Exchange	
Requirements	ACO_FUR_0070
Data Set	
Events	
State	

Table 5.253: Task Reset Flag

### Task Stop timer TIM\_MVS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Stop timer TIM_MVS
Task Type	Service task
Description	The Requesting MSA stops the TIM_MVS timer.
Actor	EMCS
Input Data	Result Identify if Timer TIM_MVS has expired MV Correlation ID
Output Data	TIM_MVS End Date
Previous Objects	Has TIM_MVS expired?
Information Exchange	
Requirements	ACO_FUR_0071
Data Set	
Events	
State	

Table 5.254: Task Stop timer TIM\_MVS

### Task Acknowledge Results for Request for Information, Controls or Actions

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Acknowledge Results for Request for Information, Controls or Actions
Task Type	User task
Description	The Requesting ELO is informed about the results received for the request for information, controls or actions concerning particular economic operators or duty paid B2C movements or undocumented movements.
Actor	ELO
Input Data	C_MVS_RES
Output Data	C_MVS_RES
Previous Objects	Stop timer TIM_MVS Reset Flag
Information Exchange	
Requirements	ACO_FUR_0017
Data Set	
Events	
State	

Table 5.255: Task Acknowledge Results for Request for Information, Controls or Actions

### Event Results for Request for Information, Controls or Actions have been Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Results for Request for Information, Controls or Actions have been Received
Event Type	End event
Description	The results of actions and controls (concerning particular economic operators or duty paid B2C or undocumented movements) completed by the Requested MSA have been received by the Requesting MSA (i.e. the Movement Verification Results IE725) and the Requesting ELO is informed accordingly. He is therefore free to undertake additional controls and actions and to continue exchanges.
Appears also in the following processes	L4-ACO-01-11-Movement Verification–Request (Request)
Previous Objects	Acknowledge Results for Request for Information, Controls or Actions

Table 5.256: Event Results for Request for Information, Controls or Actions have been Received

## 5.11. Process L4-ACO-01-14-Handle Deadline Extension- Movement Verification

### 5.11.1. Objective of Process

The purpose of this process is to describe all possible paths in the electronic flow for the extension of the deadline set for the submission of the required results to a Movement Verification Request, in accordance with the current Common Specifications (FESS and DDNEA).

The process is triggered when the ELO of the Requested MSA decides to register a request for extending the time limit for giving the requested information to the Requested MSA.

The Requested MSA receives the aforementioned deadline extension request, stores it and prepares a Movement Verification Answer (IE723). After storing the Movement Verification Answer, the Requested MSA updates the state of the request to “Extended”.

### 5.11.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-ACO-01-14
Full Name	Handle Deadline Extension- Movement Verification
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-ACO-01-01-Cooperation on Request
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.257: Process Details

### 5.11.3. Tasks and Events

#### Event Requested ELO is not able to respect the deadline set for the results

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Requested ELO is not able to respect the deadline set for the results
Event Type	Start event
Description	A Movement Verification Request (IE722) has been Received and the Requested ELO is not able to respect the deadline set for the submission of the results.
Previous Objects	

Table 5.258: Event Requested ELO is not able to respect the deadline set for the results

#### Task Register Request for Deadline Extension

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Request for Deadline Extension
Task Type	User task
Description	The ELO of the Requested MSA decides to extend the deadline for providing the information requested via the Movement Verification Request (IE722).
Actor	ELO
Input Data	CONTACT for IE722, IE723, IE724, IE725 Result Identify if it is possible to reply to the request Date of MV Request Date for which Reply is Requested ADDRESSED AUTHORITY for IE723 MV Correlation ID Date of sending
Output Data	Date of MV Request ADDRESSED AUTHORITY for IE723 Date for which Reply is Requested MV Correlation ID CONTACT for IE722, IE723, IE724, IE725 Date of sending
Previous Objects	Requested ELO is not able to respect the deadline set for the results
Information Exchange	
Requirements	ACO_FUR_0084
Data Set	
Events	
State	

Table 5.259: Task Register Request for Deadline Extension

### Task Record Request for Deadline Extension

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Request for Deadline Extension
Task Type	Service task
Description	The request for deadline extension is stored by the Requested MSA.
Actor	EMCS
Input Data	ADDRESSED AUTHORITY for IE723 Date of sending CONTACT for IE722, IE723, IE724, IE725 MV Correlation ID

	Date for which Reply is Requested Date of MV Request
Output Data	Date of sending Date of MV Request Date for which Reply is Requested CONTACT for IE722, IE723, IE724, IE725 MV Correlation ID ADDRESSED AUTHORITY for IE723
Previous Objects	Register Request for Deadline Extension
Information Exchange	
Requirements	ACO_FUR_0085
Data Set	
Events	
State	

Table 5.260: Task Record Request for Deadline Extension

### Task Build Movement Verification Answer indicating Request for Deadline Extension

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build Movement Verification Answer indicating Request for Deadline Extension
Task Type	Service task
Description	The Requested MSA builds a Movement Verification Answer (IE723).
Actor	EMCS
Input Data	MV Correlation ID ADDRESSED AUTHORITY for IE723 CONTACT for IE722, IE723, IE724, IE725 Date of MV Request Date of sending Date for which Reply is Requested
Output Data	C_MVS_ANS
Previous Objects	Record Request for Deadline Extension
Information Exchange	
Requirements	ACO_FUR_0086
Data Set	

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Events	
State	

Table 5.261: Task Build Movement Verification Answer indicating Request for Deadline Extension

### Task Record Movement Verification Answer indicating Request for Deadline Extension

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Movement Verification Answer indicating Request for Deadline Extension
Task Type	Service task
Description	The Movement Verification Answer (IE723) indicating Request for Deadline Extension is stored by the Requested MSA.
Actor	EMCS
Input Data	C_MVS_ANS
Output Data	C_MVS_ANS
Previous Objects	Build Movement Verification Answer indicating Request for Deadline Extension
Information Exchange	
Requirements	ACO_FUR_0087
Data Set	
Events	
State	

Table 5.262: Task Record Movement Verification Answer indicating Request for Deadline Extension

### Task Perform Change of the Request State to "Extended"

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Change of the Request State to "Extended"
Task Type	Service task
Description	The state of the request is updated to "Extended".
Actor	EMCS
Input Data	MV Correlation ID
Output Data	Request State
Previous Objects	Record Movement Verification Answer indicating Request for Deadline

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	Extension
Information Exchange	
Requirements	ACO_FUR_0068
Data Set	Request State
Events	IE723 indicating Request for Deadline Extension recorded
State	Extended

Table 5.263: Task Perform Change of the Request State to "Extended"

### Event Movement Verification Answer indicating Request for Deadline Extension has been created

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Verification Answer indicating Request for Deadline Extension has been created
Event Type	End event
Description	A Movement Verification Answer (IE723) indicating a request for deadline extension has been created by the Requested MSA.
Previous Objects	Perform Change of the Request State to "Extended"

Table 5.264: Event Movement Verification Answer indicating Request for Deadline Extension has been created

## 5.12. Process L4-ACO-01-15-Submission of an Event Report (Submit)

### 5.12.1. Objective of Process

The purpose of this process is to describe all possible paths in the electronic submission of an event report during excise movements (including exporting movements), namely, movements under duty suspension, duty paid – Business to Business (duty paid B2B) movements, duty paid – distance selling (duty paid B2C) movements or undocumented movements (i.e. movements using a non-European Union standard document) in accordance with the current Common Specifications (FESS and DDNEA).

Submission of an event report is recommended mandatory where one of the cases, referred to in points (a) to (e) of Article 15(1) of Regulation (EU) No 389/2012, is detected and shall be sent as soon as it has potential consequences on the results of the movement, in particular significant shortages., within seven days of the moment when the competent authority becomes aware of the event (as defined in Article 14 of Implementing Regulation (EU) 2016/323). After examination, the MSA of submission considers whether an event is worth entering into investigation procedures and/or that complementary controls must be achieved. After examination, the MSA of submission considers whether an event is worth entering into investigation procedures and/or that complementary controls must be achieved.

The process focuses on the activities performed by the MSA of the Excise office receiving and submitting (MSA of Submission) the event report to the MSA of Event. Based on the foreseen scenarios described in the

current Common Specifications, the MSA of Submission, can be the MSA of Dispatch, the MSA of Destination/MSA of Export, or a third MSA.

The start event is triggered by the receipt of information by an excise officer concerning an incident that has occurred during an excise movement. The EMCS automatically records all the information provided builds and records/stores the Event Report message (IE840).

Then the EMCS of the MSA of Submission identifies if the MSA of Submission is also the MSA of Event.

In case the MSA of Submission is also the MSA of Event the transmission of event report (IE840:C\_EVT\_DAT) is deemed immediately done and the process ends at this point (The Event Report is stored at the MSA of Submission which is also the MSA of Event).

In case the MSA of Submission is not the MSA of Event, then the MSA of Submission transmits the (IE840) Event Report to the MSA of Event. The EMCS at the MSA of Event proceeds with validating the Event Report (IE840) data, by using the “Perform validation of event report” sub process. Then, the EMCS at MSA of Event proceeds with the handling of the validation results by using the “Handle validation results of event report” call activity. Depending on the outcome (end event) of the “Handle validation results of event report” call activity, the following may happen:

- a. If the Event Report is a found invalid, a refusal message (IE917/IE906) is sent to the Excise office of the MSA of Submission (since the event report was found invalid) and the whole process ends at this point.
- b. If the event report is found valid the event report (IE840) is recorded at the EMCS of MSA of Event and the whole process ends at this point.

### 5.12.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-ACO-01-15
Full Name	Submission of an Event Report (Submit)
Assumptions	The Common Domain validations performed at the receiving side (through the “Perform validation of Event Report” sub-process) are described only in DDNEA (i.e. they are not described in FESS)
Constraints	No constraints.
L3 Reference Models	L3-ACO-01-02-Mandatory Exchange of Information
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.265: Process Details

### 5.12.3. Tasks and Events

#### Event The Excise Officer aims to submit the Event Report

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	The Excise Officer aims to submit the Event Report
Event Type	Start event
Description	Excise officer receives information concerning an event during an excise movements (including exporting movements), namely, movements under duty suspension, duty paid – Business to Business (duty paid B2B)

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	movements, duty paid – distance selling (duty paid B2C) movements or undocumented movements (i.e. movements using a non-European Union standard document)
Previous Objects	

Table 5.266: Event The Excise Officer aims to submit the Event Report

### Task Register draft Event Report

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register draft Event Report
Task Type	User task
Description	The Excise officer registers the information concerning the event report (IE840:C_EVT_DAT) to his MSA application, MSA of Submission.
Actor	Excise officer
Input Data	C_EVT_DAT
Output Data	C_EVT_DAT
Previous Objects	The Excise Officer aims to submit the Event Report
Information Exchange	
Requirements	ACO_FUR_0088
Data Set	
Events	
State	

Table 5.267: Task Register draft Event Report

### Task Record draft Event Report

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record draft Event Report
Task Type	Service task
Description	The EMCS of the MSA of Submission records all information provided concerning the event report (IE840:C_EVT_DAT)
Actor	EMCS
Input Data	C_EVT_DAT
Output Data	C_EVT_DAT

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Previous Objects	Register draft Event Report
Information Exchange	
Requirements	ACO_FUR_0089
Data Set	
Events	
State	

Table 5.268: Task Record draft Event Report

### Task Build Event Report

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build Event Report
Task Type	Service task
Description	The MSA of Submission builds the Event Report (IE840) based on report received from the Excise Officer
Actor	EMCS
Input Data	C_EVT_DAT
Output Data	C_EVT_DAT
Previous Objects	Record draft Event Report
Information Exchange	
Requirements	ACO_FUR_0101
Data Set	
Events	
State	

Table 5.269: Task Build Event Report

### Task Record Event Report

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Event Report
Task Type	Service task
Description	The Event Report (IE840) is stored
Actor	EMCS

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Input Data	C_EVT_DAT
Output Data	C_EVT_DAT
Previous Objects	Build Event Report
Information Exchange	
Requirements	ACO_FUR_0102
Data Set	
Events	
State	

Table 5.270: Task Record Event Report

### Task Identify if MSA of Submission is the MSA of Event

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if MSA of Submission is the MSA of Event
Task Type	Service task
Description	The EMCS of the MSA of Submission checks if the MSA of Submission is also the MSA of Event. In that case the transmission of the Event Report is not applicable.
Actor	EMCS
Input Data	Member State of Event MS of Submission Event Report Reference
Output Data	Result Identify if MSA of Submission is the MSA of Event
Previous Objects	Record Event Report
Information Exchange	
Requirements	ACO_FUR_0103
Data Set	
Events	
State	

Table 5.271: Task Identify if MSA of Submission is the MSA of Event

### Task Notify MSA of Event of Event Report

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Notify MSA of Event of Event Report
Task Type	Send task
Description	The EMCS of the MSA of Submission transmits the Event Report (IE840) to the MSA of Event. If the MSA of Submission is also the MSA of Event this transmission is deemed immediately done.
Actor	EMCS
Input Data	Result Identify if MSA of Submission is the MSA of Event C_EVT_DAT
Output Data	
Previous Objects	Is MSA of Submission the MSA of Event?
Information Exchange	event report
Requirements	ACO_FUR_0104
Data Set	
Events	
State	

Table 5.272: Task Notify MSA of Event of Event Report

### Event Event Report sent to the MSA of Event

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Event Report sent to the MSA of Event
Event Type	End event
Description	MSA of Submission transmits the Event Report (IE840) to the MSA of Event.
Previous Objects	Notify MSA of Event of Event Report

Table 5.273: Event Event Report sent to the MSA of Event

### Event Event Report stored at the MSA of Submission

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Event Report stored at the MSA of Submission
Event Type	End event
Description	Event Report (IE840) is stored at the MSA of Submission. As the MSA of Submission is also the MSA of Event the transmission of

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	the Event Report is not applicable.
Previous Objects	Is MSA of Submission the MSA of Event?

Table 5.274: Event Event Report stored at the MSA of Submission

### Event Event Report received from MSA of Submission

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Event Report received from MSA of Submission
Event Type	Message start event
Description	The MSA of Dispatch received the event report (IE840) from the MSA of Submission
Previous Objects	Notify MSA of Event of Event Report

Table 5.275: Event Event Report received from MSA of Submission

### Task Perform validation of Event Report

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform validation of Event Report
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-03-Perform validation of Common Domain message.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-16-Submission of an Event Report (Handling)
Input Data	
Output Data	
Previous Objects	Event Report received from MSA of Submission
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.276: Task Perform validation of Event Report

### Task Handle validation results of Event Report

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Handle validation results of Event Report
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-05-Handle validation Results of Common Domain message.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-16-Submission of an Event Report (Handling)
Input Data	
Output Data	
Previous Objects	Perform validation of Event Report
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.277: Task Handle validation results of Event Report

#### Event Refusal message sent to MSA of Submission since Event Report had been found invalid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message sent to MSA of Submission since Event Report had been found invalid
Event Type	End event
Description	A Refusal message (IE917/IE906) was sent to MSA of Dispatch since the Event Report (IE840) had been found invalid and the process ends at this point
Previous Objects	What is the outcome of the handling of validation results?

Table 5.278: Event Refusal message sent to MSA of Submission since Event Report had been found invalid

#### Event Event Report recorded at the MSA of Event since Event Report had been found valid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Event Report recorded at the MSA of Event since Event Report had been found valid
Event Type	End event
Description	Event Report (IE840) successfully recorded at the MSA of Event. The whole process ends at this point
Previous Objects	What is the outcome of the handling of validation results?

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Table 5.279: Event Event Report recorded at the MSA of Event since Event Report had been found valid

## 5.13. Process L4-ACO-01-16-Submission of an Event Report (Handling)

### 5.13.1. Objective of Process

The purpose of this process is to describe all possible paths concerning the handling of a submitted Event Report in accordance with the current Common Specifications (FESS and DDNEA). The process focuses on the activities performed by the MSA of validating the Event Report submitted by the MSA of Submission and then disseminating the validated report to all concerned actors of the movement (MSA of Dispatch, MSA of Destination/MSA of Export/MSA of Export, Consignor and Consignee).

The process can be triggered upon two conditions:

- a. When the Event Report is received from MSA of Submission and recorded successfully at MSA of Event.
- b. When the Event Report exists in MSA of Event (MSA of Event is also MSA of Submission)

In both cases the EMCS of the MSA of Event assigns a unique reference to the Event Report and the process continues.

Then the EMCS of MSA of Event updates the Event Report type to: "Validated document". The EMCS of the MSA of Event identifies whether the MSA of Event (current MSA) is also the MSA of Submission:

- In case the MSA of Event is also the MSA of Submission then the EMCS sends an acknowledgment of the validated Event Report to the Excise Officer.
- Otherwise the process continues.

The EMCS at MSA of Event identifies whether the MSA of Event is the MSA of Dispatch and thus has the latest e-AD information or the latest information for the Duty paid movement (B2B, B2C or undocumented) in order to disseminate the validated Event Report. Otherwise the MSA of Event is a third MSA (including the case it is a former MSA of Destination/MSA of Export) or the MSA of Destination/MSA of Export and has to obtain the latest information of e-AD/e-SAD:

In case the MSA of Event is the MSA of Dispatch (hence the latest e-AD or Duty paid movement (B2B, B2C or undocumented) information is available), the process continues.

In case of Duty paid B2C or undocumented movement the information should be available to the MSA of Event (including the case it is a third MSA) or the MSA of Destination/MSA of Export) in order for the process to continue.

In case the latest e-AD information is not available (MSA of Event is a third MSA (including the case it is a former MSA of Destination/MSA of Export) or the MSA of Destination/MSA of Export) then it proceeds with performing a Download of an e-AD in order to obtain the latest information concerning the specific movement:

The MSA of Event performs a Download of an e-AD/e-SAD by using the "L4-ACO-01-28-Perform Download of an e-AD/e-SAD (Query-Results)" sub-process:

a. In case:

- A refusal message (IE917/IE906) is received from the MSA of Dispatch (since the Movement Download Request (IE784) had been found invalid at the MSA of Dispatch); or
- A refusal message (IE917/IE906) is sent to MSA of Dispatch (since the received Movement Download Answer (IE785) or History of a Movement (IE838) had been found invalid at the MSA of Event); or
- A Movement Download Response IE785 (indicating that the e-AD/e-SAD was not available (online) at the MSA of Dispatch) is stored (since it had been found valid at the MSA of Event);

the latest information for the e-AD/e-SAD is not available at the MSA of Event and the whole process ends at this point.

b. In case the History of a Movement (IE838) is stored (since it had been found valid), the latest information of the e-AD/e-SAD is available at the MSA of Event and the process continues.

At this point the MSA of Event (either this is the MSA of Dispatch, MSA of Destination/Export, or a third MSA or a former MSA of Destination/MSA of Export) has the latest e-AD information and can proceed to Event Report dissemination.

1. In case the MSA of Event is the MSA of Dispatch:

o If the destination of the movement is known the MSA of Dispatch notifies the Consignor and the MSA of Destination/MSA of Export of the validated Event Report. Then, the EMCS of the MSA of Destination/MSA of Export proceeds with the validation of the Event Report data, by using the “Perform validation of Event Report” sub process. Then, the EMCS at MSA of Destination/MSA of Export proceeds with the handling of the validation results by using the “Handle validation results of Event Report” call activity. Depending on the outcome (end event) of the “Handle validation results of Event Report” call activity, the following may happen:

a. If the Event Report was found invalid, a refusal message (IE917/IE906) is sent to the Excise office of the MSA of Dispatch and the whole process ends at this point;

b. If the Event Report is found valid the Event Report is recorded at the EMCS of MSA of Destination/MSA of Export. Then the EMCS of the MSA of Destination identifies whether the MSA of Destination (current MSA) is also the MSA of Submission.

- In case the MSA of Destination is also the MSA of Submission, the EMCS sends an acknowledgment of the validated Event Report to the Excise Officer.

- Otherwise the process continues.

Then the EMCS identifies if the destination of the movement is for Export.

- If the destination of the movement is for Export the process ends at this point with the Event Report acknowledged by the Excise Officer.

- If the destination of the movement is not for Export then the EMCS transfers the Event Report (IE840:C\_EVT\_DAT) to Consignee. The process ends at this point with the Event Report acknowledged by the Excise Officer and sent to Consignee.

Upon completion of dissemination of the validated Event Report to MSA of Destination/MSA of Export and to Consignor the whole process ends at this point.

o If the destination of the movement is not known then the MSA of Dispatch notifies the Consignor of the validated Event Report. Upon completion of dissemination of the validated Event Report to Consignor the whole process ends at this point.

2. In case the MSA of Event is the MSA of Destination/MSA of Export, the EMCS of the MSA of Destination/MSA of Export notifies the Consignee and the MSA of Dispatch of the validated Event Report. Then, the EMCS at MSA of Dispatch proceeds with the validation of the Event Report data, by using the “Perform validation of Event Report” sub process. Then the EMCS of the MSA of Dispatch, proceeds with the handling of the validation results by using the “Handle validation results of Event Report” call activity. Depending on the outcome (end event) of the “Handle validation results of Event Report” call activity, the following may happen:

a. If the Event Report was found invalid, a refusal message (IE917/IE906) is sent to the Excise office of the MSA of Destination/MSA of Export and the whole process ends at this point;

b. If the Event Report is found valid the Event Report is recorded at the EMCS of MSA of Dispatch. The EMCS of the MSA of Dispatch identifies whether the MSA of Dispatch is also the MSA of Submission:

- In case the MSA of Dispatch is also the MSA of Submission then the EMCS sends an acknowledgment of the validated Event Report to the Excise Officer.

- Otherwise the process continues

Then, The EMCS at the MSA of Dispatch transmits the Event Report (IE840:C\_EVT\_DAT) to Consignor.

Upon completion of dissemination of the validated Event Report to MSA of Dispatch and to Consignor the whole process ends at this point.

3. In case the MSA of Event is a third MSA:

o If the Destination of the movement is known, the EMCS of the third MSA notifies in parallel the MSA of Dispatch and the MSA of Destination/MSA of Export of the validated Event Report. Then the process continues as follows:

The EMCS at MSA of Dispatch proceeds with the validation of the Event Report data, by using the “Perform validation of Event Report” sub process. Then the EMCS of the MSA of Dispatch, proceeds with the handling of the validation results by using the “Handle validation results of Event Report” call activity. Depending on the outcome (end event) of the “Handle validation results of Event Report” call activity, the following may happen:

a. If the Event Report was found invalid, a refusal message (IE917/IE906) is sent to the Excise office of the third MSA and the whole process ends at this point;

b. If the Event Report is found valid the Event Report is recorded at the EMCS of MSA of Dispatch.

The EMCS of the MSA of Dispatch identifies whether the MSA of Dispatch is also the MSA of Submission:

- In case the MSA of Dispatch is also the MSA of Submission then the EMCS sends an acknowledgment of the validated Event Report to the Excise Officer.

- Otherwise the process continues

Then, The EMCS at the MSA of Dispatch transmits the Event Report (IE840:C\_EVT\_DAT) to Consignor.

The EMCS of the MSA of Destination/MSA of Export proceeds with the validation of the Event Report data, by using the “Perform validation of Event Report” sub process. Then, the EMCS at MSA of Destination/MSA of Export proceeds with the handling of the validation results by using the “Handle validation results of Event Report” call activity. Depending on the outcome (end event) of the “Handle validation results of Event Report” call activity, the following may happen:

a. If the Event Report was found invalid, a refusal message (IE917/IE906) is sent to the Excise office of the third MSA and the whole process ends at this point;

b. If the Event Report is found valid the Event Report is recorded at the EMCS of MSA of Destination/MSA of Export.

The EMCS identifies whether the MSA of Destination/MSA of Export is also the MSA of Submission:

- In case the MSA of Destination/MSA of Export is also the MSA of Submission then the EMCS sends an acknowledgment of the validated Event Report to the Excise Officer.

- Otherwise the process continues

Then the EMCS identifies if the destination of the movement is for Export. If this is the case then the process ends at this point with the Event Report acknowledged by the Excise Officer.

- If the destination of the movement is for Export the process ends at this point with the Event Report acknowledged by the Excise Officer.

- If the destination of the movement is not for Export then the EMCS transfers the Event Report (IE840:C\_EVT\_DAT) to Consignee. The process ends at this point with the Event Report acknowledged by the Excise Officer and sent to Consignee.

Upon completion of dissemination of the validated Event Report to MSA of Dispatch, MSA of Destination/MSA of Export, Consignor and Consignee the whole process ends at this point.

o If the destination of the movement is not known the EMCS of the third MSA notifies the MSA of Dispatch.

The EMCS at MSA of Dispatch proceeds with the validation of the Event Report data, by using the “Perform validation of Event Report” sub process. Then the EMCS of the MSA of Dispatch, proceeds with the handling of the validation results by using the “Handle validation results of Event Report” call activity. Depending on the outcome (end event) of the “Handle validation results of Event Report” call activity, the following may happen:

a. If the Event Report was found invalid, a refusal message (IE917/IE906) is sent to the Excise office of the third MSA (since the Event Report was found invalid) and the whole process ends at this point;

b. If the Event Report is found valid the Event Report is recorded at the EMCS of MSA of Dispatch.

The EMCS of the MSA of Dispatch identifies whether the MSA of Dispatch is also the MSA of Submission:

- In case the MSA of Dispatch is also the MSA of Submission then the EMCS sends an acknowledgment of the validated Event Report to the Excise Officer.

- Otherwise the process continues

Then, The EMCS at the MSA of Dispatch transmits the Event Report (IE840:C\_EVT\_DAT) to Consignor.

Upon completion of dissemination of the validated Event Report to MSA of Dispatch and to Consignor the whole process ends at this point.

The final result of the whole process should be the successful dissemination of the validated Event Report (IE840) to the concerned actors (both MSAs and economic operators).

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PROCESS MODELS

### 5.13.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-ACO-01-16
Full Name	Submission of an Event Report (Handling)
Assumptions	<p>1. The Common Domain validations performed at the receiving side (through the “Perform validation of Event Report” sub-process) are described only in DDNEA (i.e. they are not described in FESS)</p> <p>2. The MSA provides a confirmation for the Event Report to the Excise Officer only when it is the MSA of Submission, in alignment with DDNEA</p>
Constraints	No constraints.
L3 Reference Models	L3-ACO-01-02-Mandatory Exchange of Information
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.280: Process Details

### 5.13.3. Tasks and Events

**Event Event Report received (over the Common Domain) from MSA of Submission and recorded successfully at MSA of Event**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Event Report received (over the Common Domain) from MSA of Submission and recorded successfully at MSA of Event
Event Type	Conditional start event
Description	Event report is received from the MSA of Submission since the MSA of Submission is different from the MSA of Event. The whole process starts at this point
Previous Objects	

Table 5.281: Event Event Report received (over the Common Domain) from MSA of Submission and recorded successfully at MSA of Event

### Task Assign unique reference to Event Report

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Assign unique reference to Event Report
Task Type	Service task
Description	The MSA of Event assigns a unique reference number to the IE840: C_EVT_DAT report

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Actor	EMCS
Input Data	C_EVT_DAT
Output Data	Event Report Number
Previous Objects	Event Report received (over the Common Domain) from MSA of Submission and recorded successfully at MSA of Event Event report exists in MSA of Event (MSA of Event is also MSA of Submission)
Information Exchange	
Requirements	ACO_FUR_0105
Data Set	
Events	
State	

Table 5.282: Task Assign unique reference to Event Report

#### Event Event report exists in MSA of Event (MSA of Event is also MSA of Submission)

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Event report exists in MSA of Event (MSA of Event is also MSA of Submission)
Event Type	Conditional start event
Description	Event report is stored at the MSA of Event (since the MSA of Event is also the MSA of Submission)
Previous Objects	

Table 5.283: Event Event report exists in MSA of Event (MSA of Event is also MSA of Submission)

#### Task Update event report type to "Validated document"

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Update event report type to "Validated document"
Task Type	Service task
Description	The MSA of Event updates the IE840: C_EVT_DAT report type to "Validated document"
Actor	EMCS
Input Data	Message Type
Output Data	Message Type

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PROCESS MODELS

Previous Objects	Assign unique reference to Event Report
Information Exchange	
Requirements	ACO_FUR_0106
Data Set	
Events	
State	

Table 5.284: Task Update event report type to "Validated document"

### Task Acknowledge Confirmation for Event Report

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Acknowledge Confirmation for Event Report
Task Type	User task
Description	The MSA of Event forwards the (IE840:C_EVT_DAT) Event Report to the Excise Officer
Actor	Excise officer
Input Data	Result Identify if the MSA of Event is the MSA of Submission C_EVT_DAT
Output Data	C_EVT_DAT
Previous Objects	Is the MSA of Event the MSA of Submission?
Information Exchange	
Requirements	ACO_FUR_0017
Data Set	
Events	
State	

Table 5.285: Task Acknowledge Confirmation for Event Report

### Task Identify if the MSA of Event is the MSA of Submission

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if the MSA of Event is the MSA of Submission
Task Type	Service task
Description	The EMCS of the MSA of Event identifies if the MSA of Event is the MSA of Submission, in order to identify if should notify the Excise Officer of the

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	validated Event Report
Actor	EMCS
Input Data	MS of Submission Event Report Reference Member State of Event
Output Data	Result Identify if the MSA of Event is the MSA of Submission
Previous Objects	Update event report type to "Validated document"
Information Exchange	
Requirements	ACO_FUR_0107
Data Set	
Events	
State	

Table 5.286: Task Identify if the MSA of Event is the MSA of Submission

### Task Identify if the MSA of Event is the MSA of Dispatch

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if the MSA of Event is the MSA of Dispatch
Task Type	Service task
Description	The EMCS of the MSA of Event identifies whether the MSA of Event is also the MSA of Dispatch as in this case the MSA of Event has the latest e-AD information in order to disseminate the Event Report. In any other case, (third MSA or former MSA of Destination/ MSA of Export) the MSA of Event has to obtain the latest e-AD information
Actor	EMCS
Input Data	Member State of Event Result Identify if the MSA of Event is the MSA of Submission Member State of Dispatch ARC
Output Data	Result Identify if the MSA of Event is the MSA of Dispatch
Previous Objects	Acknowledge Confirmation for Event Report Is the MSA of Event the MSA of Submission?
Information Exchange	
Requirements	ACO_FUR_0108
Data Set	

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PROCESS MODELS

Events	
State	

Table 5.287: Task Identify if the MSA of Event is the MSA of Dispatch

### Task Identify if the Event report is for Duty Suspension or Duty Paid B2B

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if the Event report is for Duty Suspension or Duty Paid B2B
Task Type	Service task
Description	The MSA of Event which is not the MSA of Dispatch should identify if the Event report is for duty suspension, for duty paid – Business to Business (duty paid B2B) movements or for duty paid – distance selling (duty paid B2C) movements or undocumented movements (i.e. movements using a non-European Union standard document)
Actor	EMCS
Input Data	Result Identify if the MSA of Event is the MSA of Dispatch EXCISE MOVEMENT for IE717, IE802, IE829, IE837, IE840, IE861, IE871
Output Data	Result Identify if the Event report is for Duty Suspension or Duty Paid B2B
Previous Objects	Is the MSA of Event the MSA of Dispatch?
Information Exchange	
Requirements	ACO_FUR_0109
Data Set	
Events	
State	

Table 5.288: Task Identify if the Event report is for Duty Suspension or Duty Paid B2B

### Task Perform Download of an e-AD/e-SAD (Query-Results)

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Download of an e-AD/e-SAD (Query-Results)
Task Type	Call activity (collapsed)
Description	This task is modelled in a separate process: L4-ACO-01-28-Perform Download of an e-AD/e-SAD (Query-Results)
Actor	EMCS
Appears also in the following processes	L4-ACO-01-09-Interruption of a Movement L4-ACO-01-17-Control and Submission of Control Report

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	L4-CORE-AS-IS-01-0X-Provide Information on Intended Claims
Input Data	
Output Data	
Previous Objects	Is the Event for Duty Suspension or Duty Paid B2B?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.289: Task Perform Download of an e-AD/e-SAD (Query-Results)

**Event Refusal message received/sent or Status Response recorded (indicating e-AD/e-SAD not available (online)) hence latest information for the e-AD/e-SAD not available**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message received/sent or Status Response recorded (indicating e-AD/e-SAD not available (online)) hence latest information for the e-AD/e-SAD not available
Event Type	End event
Description	<ul style="list-style-type: none"> <li>• A refusal message (IE917/IE906) was received from the MSA of Dispatch (since the Status Request (IE904) had been found invalid at the MSA of Dispatch); or</li> <li>• A refusal message (IE917/IE906) was sent to the MSA of Dispatch (since the received Status Response (IE905) or the Data Packaging (IE934) had been found invalid at the current MSA); or</li> <li>• A Status Response IE905 (indicating that the e-AD/e-SAD was not available (online) at the MSA of Dispatch) was stored (since it had been found valid at the current MSA).</li> </ul> <p>Considering the above, the latest information for the e-AD/e-SAD is not available at the current MSA.</p>
Appears also in the following processes	L4-ACO-01-09-Interruption of a Movement L4-ACO-01-17-Control and Submission of Control Report L4-CORE-AS-IS-01-0X-Provide Information on Intended Claims
Previous Objects	What is the outcome of the Download of an e-AD/e-SAD (Query-Results)?

Table 5.290: Event Refusal message received/sent or Status Response recorded (indicating e-AD/e-SAD not available (online)) hence latest information for the e-AD/e-SAD not available

**Event History of a Movement recorded hence latest information for the e-AD/e-SAD available**

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EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	History of a Movement recorded hence latest information for the e-AD/e-SAD available
Event Type	Conditional intermediate event
Description	The Data Packaging (IE934) was stored (since it had been found valid), hence the latest information of the e-AD/e-SAD is available at the current MSA.
Appears also in the following processes	L4-ACO-01-09-Interruption of a Movement L4-ACO-01-17-Control and Submission of Control Report L4-CORE-AS-IS-01-0X-Provide Information on Intended Claims
Previous Objects	What is the outcome of the Download of an e-AD/e-SAD (Query-Results)?

Table 5.291: Event History of a Movement recorded hence latest information for the e-AD/e-SAD available

### Task Notify MSA of Dispatch of Event Report

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify MSA of Dispatch of Event Report
Task Type	Send task
Description	The MSA pf Event which is a third MSA notifies the MSA of Dispatch of the validated event report
Actor	EMCS
Input Data	C_EVT_DAT
Output Data	
Previous Objects	Is the destination of the movement known? Is the MSA of Event the MSA of Destination/MSA of Export or a third MSA? Is the destination of the movement known?
Information Exchange	event report
Requirements	ACO_FUR_0111
Data Set	
Events	
State	

Table 5.292: Task Notify MSA of Dispatch of Event Report

### Task Notify MSA of Destination of Event Report

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TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify MSA of Destination of Event Report
Task Type	Send task
Description	The MSA of Event which is a third MSA notifies the MSA of Destination of the validated event report
Actor	EMCS
Input Data	C_EVT_DAT
Output Data	
Previous Objects	Is the destination of the movement known? Is the destination of the movement known?
Information Exchange	event report
Requirements	ACO_FUR_0112
Data Set	
Events	
State	

Table 5.293: Task Notify MSA of Destination of Event Report

### Event Event Report received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Event Report received
Event Type	Message start event
Description	The MSA of Dispatch received the validated Event Report (IE840)
Previous Objects	Notify MSA of Dispatch of Event Report

Table 5.294: Event Event Report received

### Task Perform validation of Event Report

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform validation of Event Report
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-03-Perform validation of Common Domain message.
Actor	EMCS
Appears also in the following	L4-ACO-01-16-Submission of an Event Report (Handling)

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processes	L4-ACO-01-15-Submission of an Event Report (Submit)
Input Data	
Output Data	
Previous Objects	Event Report received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.295: Task Perform validation of Event Report

### Task Notify Consignor of Event Report

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Consignor of Event Report
Task Type	Send task
Description	The MSA of Event which is the MSA of Dispatch notifies the Consignor of the validated event report
Actor	EMCS
Input Data	C_EVT_DAT
Output Data	
Previous Objects	Is the destination of the movement known? Is the destination of the movement known?
Information Exchange	event report
Requirements	ACO_FUR_0113
Data Set	
Events	
State	

Table 5.296: Task Notify Consignor of Event Report

### Task Handle validation results of Event Report

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle validation results of Event Report

Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-05-Handle validation Results of Common Domain message.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-16-Submission of an Event Report (Handling) L4-ACO-01-15-Submission of an Event Report (Submit)
Input Data	
Output Data	
Previous Objects	Perform validation of Event Report
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.297: Task Handle validation results of Event Report

### Task Notify Consignee of Event Report

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Consignee of Event Report
Task Type	Send task
Description	The MSA of Event which is the MSA of Destination notifies the Consignee of the validated event report
Actor	EMCS
Input Data	C_EVT_DAT
Output Data	
Previous Objects	Is the MSA of Event the MSA of Destination/MSA of Export or a third MSA?
Information Exchange	event report
Requirements	ACO_FUR_0110
Data Set	
Events	
State	

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PROCESS MODELS

Table 5.298: Task Notify Consignee of Event Report

**Event Event Report received**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Event Report received
Event Type	Message start event
Description	The MSA of Destination received the Event Report (IE840)
Previous Objects	Notify MSA of Destination of Event Report

Table 5.299: Event Event Report received

**Task Perform validation of Event Report**

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform validation of Event Report
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-03-Perform validation of Common Domain message.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-16-Submission of an Event Report (Handling) L4-ACO-01-15-Submission of an Event Report (Submit)
Input Data	
Output Data	
Previous Objects	Event Report received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.300: Task Perform validation of Event Report

**Event Refusal message sent to MSA of Event since the Event Report had been found invalid**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message sent to MSA of Event since the Event Report had been found invalid
Event Type	End event

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Description	A Refusal message (IE917/IE906) was sent to MSA of Dispatch since the event report (IE840) had been found invalid and the process ends at this point
Appears also in the following processes	L4-ACO-01-16-Submission of an Event Report (Handling)
Previous Objects	What is the outcome of the handling of validation results?

Table 5.301: Event Refusal message sent to MSA of Event since the Event Report had been found invalid

### Event Event report sent to the MSA of Dispatch and to Consignee

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Event report sent to the MSA of Dispatch and to Consignee
Event Type	End event
Description	The validated event report (IE840) sent tot the MSA of Dispatch and to Consignee
Previous Objects	Notify Consignee of Event Report

Table 5.302: Event Event report sent to the MSA of Dispatch and to Consignee

### Event Event report sent to MSA of Dispatch

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Event report sent to MSA of Dispatch
Event Type	End event
Description	The validated event report (IE840) sent to MSA of Dispatch
Previous Objects	Notify MSA of Dispatch of Event Report

Table 5.303: Event Event report sent to MSA of Dispatch

### Event Event Report sent to MSA of Destination/MSA of Export

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Event Report sent to MSA of Destination/MSA of Export
Event Type	End event
Description	The validated even report (IE840) sent to MSA of Destination
Previous Objects	Notify MSA of Destination of Event Report

Table 5.304: Event Event Report sent to MSA of Destination/MSA of Export

### Task Handle validation results of Event Report

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TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle validation results of Event Report
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-05-Handle validation Results of Common Domain message.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-16-Submission of an Event Report (Handling) L4-ACO-01-15-Submission of an Event Report (Submit)
Input Data	
Output Data	
Previous Objects	Perform validation of Event Report
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.305: Task Handle validation results of Event Report

### Event Event report recorded since it had been found valid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Event report recorded since it had been found valid
Event Type	Conditional intermediate event
Description	The event report (IE840) was recorded since it had been found valid and the process continues
Appears also in the following processes	L4-ACO-01-16-Submission of an Event Report (Handling)
Previous Objects	What is the outcome of the handling of validation results?

Table 5.306: Event Event report recorded since it had been found valid

### Event Event Report sent to Consignor and MSA of Destination/MSA of Export

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Event Report sent to Consignor and MSA of Destination/MSA of Export
Event Type	End event
Description	The validated event report (IE840) sent to MSA of Destination and to Consignor

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PROCESS MODELS

Previous Objects	Notify Consignor of Event Report
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Table 5.307: Event Event Report sent to Consignor and MSA of Destination/MSA of Export

### Task Identify if the MSA of Dispatch is the MSA of Submission

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if the MSA of Dispatch is the MSA of Submission
Task Type	Service task
Description	The EMCS of the MSA of Dispatch identifies if the MSA of Dispatch is the MSA of Submission, in order to identify if should notify the Excise Officer of the validated Event Report
Actor	EMCS
Input Data	Member State of Dispatch ARC MS of Submission Event Report Reference
Output Data	Result Identify if the MSA of Dispatch is the MSA of Submission
Previous Objects	Event report recorded since it had been found valid
Information Exchange	
Requirements	ACO_FUR_0114
Data Set	
Events	
State	

Table 5.308: Task Identify if the MSA of Dispatch is the MSA of Submission

### Task Acknowledge Confirmation for Event Report

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Acknowledge Confirmation for Event Report
Task Type	User task
Description	The MSA of Event forwards the (IE840:C_EVT_DAT) Event Report to the Excise Officer
Actor	Excise officer
Input Data	C_EVT_DAT Result Identify if the MSA of Dispatch is the MSA of Submission
Output Data	C_EVT_DAT

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PROCESS MODELS

Previous Objects	Is the MSA of Dispatch the MSA of Submission?
Information Exchange	
Requirements	ACO_FUR_0017
Data Set	
Events	
State	

Table 5.309: Task Acknowledge Confirmation for Event Report

### Event Refusal message sent to MSA of Event since the Event Report had been found invalid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message sent to MSA of Event since the Event Report had been found invalid
Event Type	End event
Description	A Refusal message (IE917/IE906) was sent to MSA of Dispatch since the event report (IE840) had been found invalid and the process ends at this point
Appears also in the following processes	L4-ACO-01-16-Submission of an Event Report (Handling)
Previous Objects	What is the outcome of the handling of validation results?

Table 5.310: Event Refusal message sent to MSA of Event since the Event Report had been found invalid

### Event Event report recorded since it had been found valid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Event report recorded since it had been found valid
Event Type	Conditional intermediate event
Description	The event report (IE840) was recorded since it had been found valid and the process continues
Appears also in the following processes	L4-ACO-01-16-Submission of an Event Report (Handling)
Previous Objects	What is the outcome of the handling of validation results?

Table 5.311: Event Event report recorded since it had been found valid

### Task Identify if the MSA of Destination is the MSA of Submission

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Identify if the MSA of Destination is the MSA of Submission
Task Type	Service task
Description	The EMCS of the MSA of Destination/MSA of Export identifies if the MSA of Destination/MSA of Export is the MSA of Submission, in order to identify if should notify the Excise Officer of the validated Event Report
Actor	EMCS
Input Data	ARC Member State of Destination MS of Submission Event Report Reference
Output Data	Result Identify if the MSA of Destination is the MSA of Submission
Previous Objects	Event report recorded since it had been found valid
Information Exchange	
Requirements	ACO_FUR_0115
Data Set	
Events	
State	

Table 5.312: Task Identify if the MSA of Destination is the MSA of Submission

### Task Notify Consignor of Event Report

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Consignor of Event Report
Task Type	Send task
Description	The MSA of Dispatch notifies Consignor of the validated event report (IE840)
Actor	EMCS
Input Data	C_EVT_DAT
Output Data	
Previous Objects	Is the MSA of Dispatch the MSA of Submission? Acknowledge Confirmation for Event Report
Information Exchange	event report
Requirements	ACO_FUR_0113

Excise Functional Requirements BPM Report for EMCS
PROCESS MODELS

Data Set	
Events	
State	

Table 5.313: Task Notify Consignor of Event Report

### Task Acknowledge Confirmation for Event Report

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Acknowledge Confirmation for Event Report
Task Type	User task
Description	The MSA of Event forwards the (IE840:C_EVT_DAT) Event Report to the Excise Officer
Actor	Excise officer
Input Data	C_EVT_DAT Result Identify if the MSA of Destination is the MSA of Submission
Output Data	C_EVT_DAT
Previous Objects	Is the MSA of Destination the MSA of Submission?
Information Exchange	
Requirements	ACO_FUR_0017
Data Set	
Events	
State	

Table 5.314: Task Acknowledge Confirmation for Event Report

### Event Event Report acknowledged by Excise Officer and sent to Consignor

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Event Report acknowledged by Excise Officer and sent to Consignor
Event Type	End event
Description	The validated event report (IE840) have been acknowledged by Excise Officer and sent to Consignor
Previous Objects	Notify Consignor of Event Report

Table 5.315: Event Event Report acknowledged by Excise Officer and sent to Consignor

### Task Identify if the Event report is for Duty Suspension or Duty Paid B2B

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PROCESS MODELS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if the Event report is for Duty Suspension or Duty Paid B2B
Task Type	Service task
Description	The MSA of Event which is not the MSA of Dispatch should identify if the Event report is for duty suspension, for duty paid – Business to Business (duty paid B2B) movements or for duty paid – distance selling (duty paid B2C) movements or undocumented movements (i.e. movements using a non-European Union standard document)
Actor	EMCS
Input Data	EXCISE MOVEMENT for IE717, IE802, IE829, IE837, IE840, IE861, IE871
Output Data	Result Identify if the Event report is for Duty Suspension or Duty Paid B2B
Previous Objects	Acknowledge Confirmation for Event Report Is the MSA of Destination the MSA of Submission?
Information Exchange	
Requirements	ACO_FUR_0109
Data Set	
Events	
State	

Table 5.316: Task Identify if the Event report is for Duty Suspension or Duty Paid B2B

### Task Identify if the destination of the movement is for Export

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if the destination of the movement is for Export
Task Type	Service task
Description	The EMCS identifies if the destination of the movement is for Export
Actor	EMCS
Input Data	ARC Sequence Number Destination Type Code
Output Data	Result Identify whether the destination of the movement is for Export
Previous Objects	Is the Event for Duty Suspension or Duty Paid B2B?
Information Exchange	

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PROCESS MODELS

Requirements	ACO_FUR_0116
Data Set	
Events	
State	

Table 5.317: Task Identify if the destination of the movement is for Export

### Event Event Report acknowledged by Excise Officer

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Event Report acknowledged by Excise Officer
Event Type	End event
Description	The Event report is acknowledged by Excise Officer
Appears also in the following processes	L4-ACO-01-16-Submission of an Event Report (Handling)
Previous Objects	Is the Event for Duty Suspension or Duty Paid B2B?

Table 5.318: Event Event Report acknowledged by Excise Officer

### Task Notify Consignee of Event Report

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Consignee of Event Report
Task Type	Send task
Description	The MSA of Destination notifies Consignee of the validated event report (IE840)
Actor	EMCS
Input Data	C_EVT_DAT
Output Data	
Previous Objects	Is the destination of the movement for Export?
Information Exchange	event report
Requirements	ACO_FUR_0110
Data Set	
Events	
State	

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PROCESS MODELS

Table 5.319: Task Notify Consignee of Event Report

### Event Event Report acknowledged by Excise Officer

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Event Report acknowledged by Excise Officer
Event Type	End event
Description	The Event report is acknowledged by Excise Officer
Appears also in the following processes	L4-ACO-01-16-Submission of an Event Report (Handling)
Previous Objects	Is the destination of the movement for Export?

Table 5.320: Event Event Report acknowledged by Excise Officer

### Event Event Report acknowledged by Excise Officer and sent to Consignee

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Event Report acknowledged by Excise Officer and sent to Consignee
Event Type	End event
Description	The validated event report (IE840) have been acknowledged by Excise Officer and sent to Consignee
Previous Objects	Notify Consignee of Event Report

Table 5.321: Event Event Report acknowledged by Excise Officer and sent to Consignee

## 5.14. Process L4-ACO-01-17-Control and Submission of Control Report

### 5.14.1. Objective of Process

The purpose of this process is to describe all possible paths concerning the handling of a submitted Control Report in accordance with the current Common Specifications (FESS and DDNEA). The process focuses on the activities performed by the MSA where the Control Report is submitted (MSA of Control) and disseminated to all concerned actors of the movement (MSA of Dispatch and MSA of Destination/MSA of Export).

Submission of a control report is mandatory and shall be sent to the Member States concerned within seven days of the control (as defined in Article 11 of Implementing Regulation (EU) 2016/323), where one of the cases referred to in points (a) to (e) of Article 15(1) of Regulation (EU) No 389/2012 is detected, as a result of a documentary or physical control of goods during a movement.

The process can be triggered either:

- On the basis of a documentary or a physical control of a movement under duty suspension or concerning an identified duty paid B2B movement, duty paid B2C movement or an undocumented movement (i.e. a movement using a non-European Union standard document), a control officer decides to submit a control report.

- On the basis of complementary investigations after the submission of a control report, a control officer decides to submit a complementary Control Report.

The EMCS automatically records all the information provided, builds and records/stores the Control Report message (IE717).

The EMCS of the MSA of Control identifies whether this is the first submission of the Control Report:

- In case the Control Report type is: “Initial submission”, then the EMCS of the MSA of Control assigns a unique reference to the Control Report and the process continues.
- In case the Control Report type is: “Complementary submission” then the process continues.

The EMCS of MSA of Control updates the Control Report message type to: “Validated document”.

Then the EMCS of the MSA of Control identifies whether the MSA of Control is the MSA of Dispatch (as in this case it obtains the latest e-AD/e-SAD information). In any other case (is a third MSA (including the case it is a former MSA of Destination/MSA of Export) or the MSA of Destination/MSA of Export and has to obtain the latest information of e-AD/e-SAD:

- In case the MSA of Control is the MSA of Dispatch the process continues.
- In case of Duty paid B2C or undocumented movement the information should be available to the MSA of Event (including the case it is a third MSA) or the MSA of Destination/MSA of Export) in order for the process to continue.
- In case the MSA of Control is either a third MSA (including the case it is a former MSA of Destination/MSA of Export) or the MSA of Destination/MSA of Export, the MSA of Control performs a Download of an e-AD/e-SAD by using the "L4-ACO-01-28-Perform Download of an e-AD/e-SAD (Query-Results)" sub-process the following may happen:

a. In case:

- A refusal message (IE917/IE906) is received from the MSA of Dispatch (since the Movement Download Request (IE784) Request had been found invalid at the MSA of Dispatch); or
- A refusal message (IE917/IE906) is sent to MSA of Dispatch (since the received Movement Download Answer (IE785) or History of a Movement (IE838) had been found invalid at the MSA of Control); or
- A Movement Download Answer IE785 (indicating that the e-AD/e-SAD was not available (online) at the MSA of Dispatch) is stored (since it had been found valid at the MSA of Control);

the latest information for the e-AD/e-SAD is not available at the MSA of Control and the whole process ends at this point.

b. In case the History of a Movement (IE838) is stored (since it had been found valid), the latest information of the e-AD/e-SAD is available at the MSA of Control and the process continues.

Upon obtaining the latest information of the e-AD/e-SAD, depending on whether the MSA of Control is the MSA of Destination/MSA of Export or a third MSA:

1. In case the MSA of Control is the MSA of Destination/MSA of Export:

The EMCS of the MSA of Destination/MSA of Export notifies the MSA of Dispatch of the Control Report (IE717: C\_CCR\_DAT, Control Report Message Type: Validated document). Then the EMCS of the MSA of Dispatch, proceeds with the validation of the Control Report message, by using the “Perform validation of Control Report” sub process and proceeds with the handling of the validation results by using the “Handle validation results of Control Report” call activity. Depending on the outcome of the “Handle validation results of Control Report” call activity, the following may happen:

- a. If the Control Report is found invalid, a refusal message (IE917/IE906) is sent to the Excise office of the MSA of Destination/MSA of Export and the whole process ends at this point;
- b. If the Control Report is found valid the Control Report is recorded and stored at the EMCS of MSA of Dispatch.

2. In case the MSA of Control is a third MSA:

In case the destination of the movement is known then, the EMCS of the third MSA notifies in parallel the MSA of Dispatch and the MSA of Destination/MSA of Export of the Control Report. The process continues as follows:

The EMCS at MSA of Dispatch proceeds with the validation of the Control Report data, by using the “Perform validation of Control Report” sub process. Then the EMCS of the MSA of Dispatch, proceeds with the handling of the validation results by using the “Handle validation results of Control Report” call activity.

Depending on the outcome (end control) of the “Handle validation results of Control Report” call activity, the following may happen:

- a. If the Control Report is found invalid, a refusal message (IE917/IE906) is sent to the Excise office of the third MSA (since the Control Report was found invalid) and the whole process ends at this point;
- b. If the Control Report is found valid the Control Report is recorded and stored at the EMCS of MSA of Dispatch.

The EMCS of the MSA of Destination/MSA of Export proceeds with the validation of the Control Report data, by using the “Perform validation of Control Report” sub process. Then, the EMCS at MSA of Destination/MSA of Export proceeds with the handling of the validation results by using the “Handle validation results of Control Report” call activity. Depending on the outcome (end control) of the “Handle validation results of Control Report” call activity, the following may happen:

- a. If the Control Report is found invalid, a refusal message (IE917/IE906) is sent to the Excise office of the third MSA and the whole process ends at this point;
- b. If the Control Report is found valid the Control Report is recorded at the EMCS of MSA of Destination/MSA of Export. Then the EMCS of the MSA of Destination/MSA of Export identifies if a control at arrival of goods is indicated by examining if the “Control at Arrival Required” flag is “true”:
  - In case the “Control at Arrival Required” flag is “true” then a Control is requested by MSA of Control to MSA of Destination/MSA of Export at arrival of goods. The process ends at this point.
  - Otherwise the Control Report is stored at the MSA of Destination/MSA of Export. The process ends at this point.

In case the destination of the movement is not known to the EMCS of the third MSA, the EMCS of the third MSA notifies in the MSA of Dispatch of the Control Report. The process continues as follows:

The EMCS at MSA of Dispatch proceeds with the validation of the Control Report data, by using the “Perform validation of Control Report” sub process. Then the EMCS of the MSA of Dispatch, proceeds with the handling of the validation results by using the “Handle validation results of Control Report” call activity. Depending on the outcome (end control) of the “Handle validation results of Control Report” call activity, the following may happen:

- a. If the Control Report is found invalid, a refusal message (IE917/IE906) is sent to the Excise office of the third MSA and the whole process ends at this point;
- b. If the Control Report is found valid the Control Report is recorded and stored at the EMCS of MSA of Dispatch and the whole process ends at this point.

### 3. In case the MSA of Control is the MSA of Dispatch:

In case the destination of the movement is known then the MSA of Dispatch notifies the MSA of Destination/MSA of Export/Export of the Control Report (IE717: C\_CCR\_DAT, Control Report Message Type: Validated document). The EMCS of the MSA of Destination/MSA of Export proceeds with the validation of the Control Report data, by using the “Perform validation of Control Report” sub process. Then, the EMCS at MSA of Destination/MSA of Export proceeds with the handling of the validation results by using the “Handle validation results of Control Report” call activity. Depending on the outcome (end control) of the “Handle validation results of Control Report” call activity, the following may happen:

- a. If the Control Report is found invalid a refusal message (IE917/IE906) is sent to the Excise office of the MSA of Dispatch (since the Control Report was found invalid) and the whole process ends at this point;
- b. If the Control Report is found valid the Control Report is recorded at the EMCS of MSA of Destination/MSA of Export. Then the EMCS of the MSA of Destination/MSA of Export identifies if a control at arrival of goods is indicated by examining if the “Control at Arrival Required” flag is “true”:
  - In case the “Control at Arrival Required” flag is “true” then a Control is requested by MSA of Control to MSA of Destination/MSA of Export at arrival of goods. The process ends at this point.
  - Otherwise the Control Report is stored at the MSA of Destination/MSA of Export. The process ends at this point.

In case the destination of the movement is not known then the Control Report cannot be disseminated to MSA of Destination/MSA of Export and the whole process ends at this point.

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### 5.14.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-ACO-01-17
Full Name	Control and Submission of Control Report
Assumptions	<p>1.The structural validations on the received control report (IE717: C_CCR_DAT) -concerning the flag of the movements for performing a control at arrival of the goods- are referred only in DDNEA and not in FESS, thus these validations are not modeled due to this misalignment between the FESS and the DDNEA.</p> <p>2.The Common Domain validations performed at the receiving side (through the “Perform validation of Control Report” sub-process) are described only in DDNEA (i.e. they are not described in FESS)</p>
Constraints	No constraints.
L3 Reference Models	L3-ACO-01-02-Mandatory Exchange of Information
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.322: Process Details

### 5.14.3. Tasks and Events

#### Event The Control Officer aims to submit a Control Report

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	The Control Officer aims to submit a Control Report
Event Type	Start event
Description	On the basis of a documentary or a physical control of a movement under duty suspension or concerning an identified duty paid B2B movement, duty paid B2C movement or an undocumented movement (i.e. a movement using a non-European Union standard document), a Control Officer decides to submit a Control Report.
Previous Objects	

Table 5.323: Event The Control Officer aims to submit a Control Report

#### Event The Control Officer aims to submit a complementary Control Report

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	The Control Officer aims to submit a complementary Control Report
Event Type	Start event

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Description	On the basis of complementary investigations after the submission of a control report a Control Officer decides to submit a complementary Control Report
Previous Objects	

Table 5.324: Event The Control Officer aims to submit a complementary Control Report

### Task Register draft Control Report

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register draft Control Report
Task Type	User task
Description	Excise Officer registers the draft event report to EMCS of MSA of Control
Actor	Control officer
Input Data	C_CCR_DAT
Output Data	C_CCR_DAT
Previous Objects	The Control Officer aims to submit a complementary Control Report The Control Officer aims to submit a Control Report
Information Exchange	
Requirements	ACO_FUR_0117
Data Set	
Events	
State	

Table 5.325: Task Register draft Control Report

### Task Record draft Control Report

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record draft Control Report
Task Type	Service task
Description	the EMCS of the MSA where the Control Report is submitted records the draft Control Report
Actor	EMCS
Input Data	C_CCR_DAT
Output Data	C_CCR_DAT

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Previous Objects	Register draft Control Report
Information Exchange	
Requirements	ACO_FUR_0118
Data Set	
Events	
State	

Table 5.326: Task Record draft Control Report

### Task Build Control Report

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build Control Report
Task Type	Service task
Description	The MSA of Control builds the Control Report based on information received from the Excise Officer
Actor	EMCS
Input Data	C_CCR_DAT
Output Data	C_CCR_DAT
Previous Objects	Record draft Control Report
Information Exchange	
Requirements	ACO_FUR_0119
Data Set	
Events	
State	

Table 5.327: Task Build Control Report

### Task Record Control Report

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Control Report
Task Type	Service task
Description	The EMCS of the MSA of Control stores the Control Report
Actor	EMCS

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Input Data	C_CCR_DAT
Output Data	C_CCR_DAT
Previous Objects	Build Control Report
Information Exchange	
Requirements	ACO_FUR_0120
Data Set	
Events	
State	

Table 5.328: Task Record Control Report

### Task Identify whether this is initial submission

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify whether this is initial submission
Task Type	Service task
Description	The MSA of Control identifies if this is the initial submission of the Control Report, i.e. it identifies if a Control Report Reference is not already assigned to the Control Report IE717
Actor	EMCS
Input Data	Control Report Reference
Output Data	Result Identify whether this is initial submission
Previous Objects	Record Control Report
Information Exchange	
Requirements	ACO_FUR_0121
Data Set	
Events	
State	

Table 5.329: Task Identify whether this is initial submission

### Task Assign a unique reference to Control Report

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Assign a unique reference to Control Report
Task Type	Service task
Description	The MSA of Control assigns a unique reference number to the Control Report
Actor	EMCS
Input Data	Result Identify whether this is initial submission C_CCR_DAT
Output Data	Control Report Reference
Previous Objects	Is this initial submission?
Information Exchange	
Requirements	ACO_FUR_0177
Data Set	
Events	
State	

Table 5.330: Task Assign a unique reference to Control Report

### Task Update Control Report type to "Validated document"

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Update Control Report type to "Validated document"
Task Type	Service task
Description	The MSA of Control updates the IE717: C_CCR_DAT report type to "Validated document"
Actor	EMCS
Input Data	Message Type
Output Data	Message Type
Previous Objects	Is this initial submission? Assign a unique reference to Control Report
Information Exchange	
Requirements	ACO_FUR_0122
Data Set	
Events	

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PROCESS MODELS

State	
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Table 5.331: Task Update Control Report type to "Validated document"

### Task Identify if the MSA of Control is the MSA of Dispatch

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if the MSA of Control is the MSA of Dispatch
Task Type	Service task
Description	The EMCS of the MSA of Control identifies if the current MSA (i.e. the MSA of Control) is the MSA of Dispatch to identify if the latest information is available. In any other case (Third MSA or MSA of Destination) the EMCS has to obtain the latest information of e-AD
Actor	EMCS
Input Data	Member State of Dispatch Control Report Reference ARC
Output Data	Result Identify if the MSA of Control is the MSA of Dispatch
Previous Objects	Update Control Report type to "Validated document"
Information Exchange	
Requirements	ACO_FUR_0123
Data Set	
Events	
State	

Table 5.332: Task Identify if the MSA of Control is the MSA of Dispatch

### Task Identify if the Control report is for Duty Suspension or Duty Paid B2B

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if the Control report is for Duty Suspension or Duty Paid B2B
Task Type	Service task
Description	The MSA of Control which is not the MSA of Dispatch should identify if the Control report is for duty suspension movements, for duty paid B2B movements or for duty paid – distance selling (duty paid B2C) movements or undocumented movements (i.e. movements using a non-European Union standard document).
Actor	EMCS
Input Data	Result Identify if the MSA of Control is the MSA of Dispatch EXCISE MOVEMENT for IE717, IE802, IE829, IE837, IE840, IE861,

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PROCESS MODELS

	IE871
Output Data	Result Identify if the Control report is for Duty Suspension or Duty Paid B2B
Previous Objects	Is MSA of Control the MSA of Dispatch?
Information Exchange	
Requirements	ACO_FUR_0124
Data Set	
Events	
State	

Table 5.333: Task Identify if the Control report is for Duty Suspension or Duty Paid B2B

### Task Perform Download of an e-AD/e-SAD (Query-Results)

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Download of an e-AD/e-SAD (Query-Results)
Task Type	Call activity (collapsed)
Description	This task is modelled in a separate process: L4-ACO-01-28-Perform Download of an e-AD/e-SAD (Query-Results)
Actor	EMCS
Appears also in the following processes	L4-ACO-01-09-Interruption of a Movement L4-ACO-01-16-Submission of an Event Report (Handling) L4-CORE-AS-IS-01-0X-Provide Information on Intended Claims
Input Data	
Output Data	
Previous Objects	Is the Control for Duty Suspension or Duty Paid B2B?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.334: Task Perform Download of an e-AD/e-SAD (Query-Results)

**Event Refusal message received/sent or Status Response recorded (indicating e-AD/e-SAD not available (online)) hence latest information for the e-AD/e-SAD not available**

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EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message received/sent or Status Response recorded (indicating e-AD/e-SAD not available (online)) hence latest information for the e-AD/e-SAD not available
Event Type	End event
Description	<ul style="list-style-type: none"> <li>• A refusal message (IE917/IE906) was received from the MSA of Dispatch (since the Status Request (IE904) had been found invalid at the MSA of Dispatch); or</li> <li>• A refusal message (IE917/IE906) was sent to the MSA of Dispatch (since the received Status Response (IE905) or the Data Packaging (IE934) had been found invalid at the current MSA); or</li> <li>• A Status Response IE905 (indicating that the e-AD/e-SAD was not available (online) at the MSA of Dispatch) was stored (since it had been found valid at the current MSA).</li> </ul> Considering the above, the latest information for the e-AD/e-SAD is not available at the current MSA.
Appears also in the following processes	L4-ACO-01-09-Interruption of a Movement L4-ACO-01-16-Submission of an Event Report (Handling) L4-CORE-AS-IS-01-0X-Provide Information on Intended Claims
Previous Objects	What is the outcome of the Download of an e-AD/e-SAD (Query-Results)?

Table 5.335: Event Refusal message received/sent or Status Response recorded (indicating e-AD/e-SAD not available (online)) hence latest information for the e-AD/e-SAD not available

### Event History of a Movement recorded hence latest information for the e-AD/e-SAD available

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	History of a Movement recorded hence latest information for the e-AD/e-SAD available
Event Type	Conditional intermediate event
Description	The Data Packaging (IE934) was stored (since it had been found valid), hence the latest information of the e-AD/e-SAD is available at the current MSA.
Appears also in the following processes	L4-ACO-01-09-Interruption of a Movement L4-ACO-01-16-Submission of an Event Report (Handling) L4-CORE-AS-IS-01-0X-Provide Information on Intended Claims
Previous Objects	What is the outcome of the Download of an e-AD/e-SAD (Query-Results)?

Table 5.336: Event History of a Movement recorded hence latest information for the e-AD/e-SAD available

### Task Notify MSA of Dispatch

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Excise Functional Requirements BPM Report for EMCS
PROCESS MODELS

Task Name	Notify MSA of Dispatch
Task Type	Send task
Description	MSA of Destination transmits the IE717 Control Report to MSA of Dispatch
Actor	EMCS
Input Data	C_CCR_DAT
Output Data	
Previous Objects	Is the destination of the movement known? Is MSA of Control (i.e.the current MSA) the MSA of Destination/MSA of Export or a third MSA? Is the destination of the movement known?
Information Exchange	control report
Requirements	ACO_FUR_0125
Data Set	
Events	
State	

Table 5.337: Task Notify MSA of Dispatch

### Task Notify MSA of Destination

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify MSA of Destination
Task Type	Send task
Description	MSA of Dispatch transmits the IE717 Control Report to MSA of Destination
Actor	EMCS
Input Data	C_CCR_DAT
Output Data	
Previous Objects	Is the destination of the movement known? Is the destination of the movement known?
Information Exchange	control report
Requirements	ACO_FUR_0126
Data Set	

Excise Functional Requirements BPM Report for EMCS
PROCESS MODELS

Events	
State	

Table 5.338: Task Notify MSA of Destination

### Event Control Report received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Control Report received
Event Type	Message start event
Description	IE717 validated control report received from MSA of Control
Appears also in the following processes	L4-ACO-01-17-Control and Submission of Control Report
Previous Objects	Notify MSA of Dispatch

Table 5.339: Event Control Report received

### Event Control Report sent to MSA of Dispatch

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Control Report sent to MSA of Dispatch
Event Type	End event
Description	
Previous Objects	Notify MSA of Dispatch

Table 5.340: Event Control Report sent to MSA of Dispatch

### Task Perform validation of Control Report

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform validation of Control Report
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-03-Perform validation of Common Domain message.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-17-Control and Submission of Control Report
Input Data	
Output Data	
Previous Objects	Control Report received

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Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.341: Task Perform validation of Control Report

### Event Control Report sent to MSA of Destination

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Control Report sent to MSA of Destination
Event Type	End event
Description	
Previous Objects	Notify MSA of Destination

Table 5.342: Event Control Report sent to MSA of Destination

### Event Control Report not disseminated

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Control Report not disseminated
Event Type	End event
Description	
Previous Objects	Is the destination of the movement known? Is the destination of the movement known?

Table 5.343: Event Control Report not disseminated

### Event Control Report received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Control Report received
Event Type	Message start event
Description	IE717 validated control report received from MSA of Control
Appears also in the following processes	L4-ACO-01-17-Control and Submission of Control Report
Previous Objects	Notify MSA of Destination

Table 5.344: Event Control Report received

### Task Handle validation results of Control Report

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle validation results of Control Report
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-05-Handle validation Results of Common Domain message.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-17-Control and Submission of Control Report
Input Data	
Output Data	
Previous Objects	Perform validation of Control Report
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.345: Task Handle validation results of Control Report

### Task Perform validation of Control Report

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform validation of Control Report
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-03-Perform validation of Common Domain message.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-17-Control and Submission of Control Report
Input Data	
Output Data	
Previous Objects	Control Report received
Information Exchange	
Requirements	
Data Set	
Events	

Excise Functional Requirements BPM Report for EMCS
PROCESS MODELS

State	
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Table 5.346: Task Perform validation of Control Report

### Task Handle validation results of Control Report

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle validation results of Control Report
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-05-Handle validation Results of Common Domain message.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-17-Control and Submission of Control Report
Input Data	
Output Data	
Previous Objects	Perform validation of Control Report
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.347: Task Handle validation results of Control Report

### Event Refusal message sent to MSA of Control since the Control Report had been found invalid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message sent to MSA of Control since the Control Report had been found invalid
Event Type	End event
Description	A Refusal message (IE917/IE906) was sent to MSA of Dispatch since the event report (IE840) had been found invalid and the process ends at this point
Appears also in the following processes	L4-ACO-01-17-Control and Submission of Control Report
Previous Objects	What is the outcome of the handling of validation results?

Table 5.348: Event Refusal message sent to MSA of Control since the Control Report had been found invalid

### Event Control Report stored at the MSA of Dispatch since it had been found valid

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EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Control Report stored at the MSA of Dispatch since it had been found valid
Event Type	End event
Description	The Control Report recored and stored at EMCS of the MSA of Dispatch since it had been found valid.
Previous Objects	What is the outcome of the handling of validation results?

Table 5.349: Event Control Report stored at the MSA of Dispatch since it had been found valid

### Event Refusal message sent to MSA of Control since the Control Report had been found invalid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message sent to MSA of Control since the Control Report had been found invalid
Event Type	End event
Description	A Refusal message (IE917/IE906) was sent to MSA of Dispatch since the event report (IE840) had been found invalid and the process ends at this point
Appears also in the following processes	L4-ACO-01-17-Control and Submission of Control Report
Previous Objects	What is the outcome of the handling of validation results?

Table 5.350: Event Refusal message sent to MSA of Control since the Control Report had been found invalid

### Event Control Report recorded since it had been found valid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Control Report recorded since it had been found valid
Event Type	Conditional intermediate event
Description	The event report (IE717) was recorded since it had been found valid and the process continues
Previous Objects	What is the outcome of the handling of validation results?

Table 5.351: Event Control Report recorded since it had been found valid

### Task Identify if Control at Arrival Required

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Control at Arrival Required
Task Type	Service task

Description	The EMCS of the MSA of Destination identifies if the "Control at Arrival Required" flag (in the Control Report IE717) is "true", in order to identify if a control is requested by the MSA of Control at arrival of goods
Actor	EMCS
Input Data	Control at Arrival Required
Output Data	Result Identify if Control at Arrival Required
Previous Objects	Control Report recorded since it had been found valid
Information Exchange	
Requirements	ACO_FUR_0127
Data Set	
Events	
State	

Table 5.352: Task Identify if Control at Arrival Required

**Event Control Report stored at the MSA of Destination/MSA of Export since it had been found valid**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Control Report stored at the MSA of Destination/MSA of Export since it had been found valid
Event Type	End event
Description	The Control Report recored and stored at EMCS of the MSA of Destination/MSA of Export since it had been found valid.
Previous Objects	Is Control at Arrival Required?

Table 5.353: Event Control Report stored at the MSA of Destination/MSA of Export since it had been found valid

**Event Control Report stored at the MSA of Destination/MSA of Export since it had been found valid and Control requested by MSA of Control to MSA of Destination at Arrival of goods**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Control Report stored at the MSA of Destination/MSA of Export since it had been found valid and Control requested by MSA of Control to MSA of Destination at Arrival of goods
Event Type	End event
Description	Control requested by MSA of Control to MSA of Destination at Arrival of goods.

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Previous Objects	Is Control at Arrival Required?
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Table 5.354: Event Control Report stored at the MSA of Destination/MSA of Export since it had been found valid and Control requested by MSA of Control to MSA of Destination at Arrival of goods

## 5.15. Process L4-ACO-01-18-Status Synchronisation Mechanism

### 5.15.1. Objective of Process

The purpose of this process is to describe all possible paths in the electronic flow of the message exchange protocol for the Status Synchronisation Mechanism, in accordance with the current Common Specifications (FESS).

It has to be noted that the actors (“Requesting MSA”, “Requested MSA”) participating in this Business Process, are general roles used instead of specific roles such as “MSA of Dispatch” or “MSA of Destination”.

Consequently, depending on the Business Case/Scenario, the relevant MSA (Dispatch or Destination) could act either as “Requested MSA” or “Requesting MSA”.

This decouples the roles that the applications may have for a given ARC queried by the IE784/IE785 mechanism.

The process is triggered in any of the following Cases/Scenarios:

- The Requesting MSA decides to initiate "Manual Status Request/Response" ; or
- The Requesting MSA decides to initiate "Manual Status Synchronisation Request"; or
- The Automated mechanism triggered at the application of the Requesting MSA.

More specifically the Cases/Scenarios are described below:

- In the case the process starts when the Requesting MSA decides to initiate a "Manual Status Request/Response", a “Manual Status Request/Response” is registered by the Requesting MSA. Subsequently, the “Manual Status Request/Response” is recorded by the application.
- In the case the process starts when the Requesting MSA decides to initiate a "Manual Status Synchronisation Request", "Manual Status Synchronisation Request" is registered by the Requesting MSA. Subsequently, the "Manual Status Synchronisation Request" is recorded by the application.
- In the case of receiving a draft report of receipt for an unknown ARC or upon the expiry of the TIM\_EAD\_ESAD timer after a missed report of receipt, the Automated mechanism is triggered at the application of the Requesting MSA. It shall be noted that the Automated mechanism is also triggered upon the expiry of the TIM\_EAD\_ESAD timer in the case of a Duty Paid B2B movement, in which the Report of Receipt has been sent by the consignee, but due to formalities not being fulfilled at the MS of Destination, the Report of Receipt has not been yet transmitted by the MS of Destination to the MS of Dispatch. In this case, in the Status synchronisation mechanism that shall be activated, the Report of Receipt message will not be regenerated to be sent to the MS of Dispatch (i.e. since no message was sent via the common domain, no message will be regenerated).

In each of the three mentioned above Business Cases/Scenarios, a “Movement Download Request” (IE784) message is built. The “Movement Download Request” (IE784) message considers the input given for each Case/Scenario, as mentioned in the above business flows, and is constructed accordingly.

The “Movement Download Request” (IE784) message is recorded and the Requesting MSA notifies the Requested MSA accordingly.

The “Movement Download Request” (IE784) message is received by the Requested MSA. Then, the Requested MSA application proceeds with validation and the handling of the validation results by using “Perform validation of Movement Download Request” subprocess and “Handle validation results of Movement Download Request” call-activity. Depending on the outcome (end event) of the “Handle validation results of Movement Download Request” call-activity, the following could happen:

- If a refusal message (IE917/IE906) is sent to the Requesting MSA (since the Movement Download Request (IE784) was found invalid), the whole process ends at this point;
- If the Movement Download Request (IE784) is recorded (since the Movement Download Request (IE784) was found valid), the process continues.

The Requested MSA Examines the Movement Download Request (IE784) message content (i.e. ARC (known/unknown), ARC state of the Requesting MSA, last business (event) message sent, “Status Request Message type” item). Considering the information deriving from the Movement Download Request (IE784) examination in previous step, the Movement Download Answer (IE785) message is built and recorded by the Requested MSA application. Then the Requested MSA notifies the Requesting MSA of Movement Download Answer (IE785).

The Movement Download Answer (IE785) message is received by the Requested MSA. Then, the Requested MSA application proceeds with validation and the handling of the validation results by using “Perform validation of Movement Download Answer” subprocess and “Handle validation results of Movement Download Answer” call-activity. Depending on the outcome (end event) of the “Handle validation results of Movement Download Answer” call-activity, the following may happen:

- If a refusal message (IE917/IE906) is sent to the Requested MSA (since the Status Request was found invalid), the whole process ends at this point;
- If the Movement Download Answer (IE785) is recorded (since the Status Response was found valid), the process continues.

Then, the Requested MSA considering the content of the Movement Download Request (IE784) examined in previous step identifies whether the “Status Request Message Type” item exists.

- If the item is “Not Applicable”, the process ends after the Movement Download Answer (IE785) is sent to the Requesting MSA. The Requesting MSA has received all the available information from the Requested MSA in the Movement Download Answer (IE785).
- If the item has value “1”, the process continues since this value identifies that the Requesting MSA has requested the last message sent from the Requested MSA in order for the two MSAs to be synchronised.

Furthermore, considering the content of the Movement Download Request (IE784) examined in previous step, requested MSA identifies whether the two MSAs are synchronised or not.

- If the two MSAs are synchronised, no additional message is intended to be sent, considering the examined information of the Movement Download Request (IE784). The process ends at this point and Requesting MSA has received all the available information from the Requested MSA in the Movement Download Answer (IE785).
- If the two MSAs are desynchronised, the missing message (IEZZZ) is regenerated.

In this step, the message, which was built in the context of another Business Process and has been missing (IEZZZ) (i.e. IE801, IE803, IE807, IE810, IE813, IE818, IE819, IE829, IE839, IE905) is regenerated having the same message body but different message header. Afterwards, the regenerated missing message (IEZZZ) is sent to the Requesting MSA and the process ends at this point at the Requested MSA.

The Movement Download Answer (IE785) and the missing message (IEZZZ), have been sent to Requesting MSA since MSAs (Requested and Requesting) were found to be desynchronised.

The Requesting MSA receives the missing message (IEZZZ) and the relevant Business Processes are triggered respectively (i.e. Submit and Register e-AD, Perform report of Receipt/Export, Perform Cancellation of movement, Perform Change of Destination of a movement, Perform Alert or Rejection of an e-AD, Manual Closing of the movement).

If the Requesting MSA receives no message after the Movement Download Answer (IE785) has been recorded, since it is valid, the process continues.

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Following, the EMCS at the Requesting MSA identifies whether the request is triggered manually or automatically.

- a. If it is triggered manually, then the EMCS at the Requesting MSA forwards the Movement Download Answer (IE785) and the missing business message, when applicable, to the MSA official and the whole process ends.
- b. In it is triggered automatically, then the whole process ends at this point.

### 5.15.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-ACO-01-18
Full Name	Status Synchronisation Mechanism
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-ACO-01-01-Cooperation on Request
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.355: Process Details

### 5.15.3. Tasks and Events

#### Event Requesting MSA decides to initiate "Manual Status Request"

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Requesting MSA decides to initiate "Manual Status Request"
Event Type	Start event
Description	The Excise Officer decided to initiate the Manual Status Request process. This part of the flow starting with the decision of the registration of the Manual Status Request describes the mechanism that could be used at any time by any MSA application to request the status of an e-AD from any MSA application, independent of any preconditions other than the ARC being known or unknown. Several information exchanges of an excise operation (identified by a unique ARC) require a timely response. Due to exceptions, those responses may not arrive in time.
Appears also in the following processes	L4-ACO-AS-IS-01-XX-Manual Status Request/Response
Previous Objects	

Table 5.356: Event Requesting MSA decides to initiate "Manual Status Request"

#### Event Requesting MSA decides to initiate "Manual Status Synchronisation Request"

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
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Event Name	Requesting MSA decides to initiate "Manual Status Synchronisation Request"
Event Type	Start event
Description	The Excise Officer decided to initiate Manual Status Synchronisation Request. This part of the flow starting with the registration of the “Manual Status Synchronisation Request” describes the mechanism, which could be provided by a MSA application to its MSA Officials as a more advanced (sophisticated) use of the “Manual Status Request/Response”.
Appears also in the following processes	L4-ACO-AS-IS-01-XX-Manual/Automatic Synchronization Request
Previous Objects	

Table 5.357: Event Requesting MSA decides to initiate "Manual Status Synchronisation Request"

### Task Register Manual Status Request or Manual Status Synchronisation Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Manual Status Request or Manual Status Synchronisation Request
Task Type	User task
Description	The Excise Officer registers a Manual Status Request to the Requesting MSA. The Status Synchronisation Request is triggered manually by the MSA Official for a specific ARC existing in the system. The MSA Official shall indicate that he/she is simply enquiring about the status of the movement. In the IE784: C_DOW_SUB the MSA Official of the Requesting MSA application shall also provide the sequence number of the last business (event) message sent to the Requested MSA application for the specific ARC.  OR  The Excise Officer registers a Manual Status Synchronisation Request to the Requesting MSA. In particular, the “Manual Status Synchronisation Request” is used to indicate that the MSA Official requests not only the status of the movement but also the last message sent from the Requested MSA application.
Actor	Excise officer
Appears also in the following processes	L4-ACO-AS-IS-01-XX-Manual Status Request/Response
Input Data	ATTRIBUTES for IE784 EXCISE MOVEMENT e-AD/e-SAD for IE784
Output Data	ATTRIBUTES for IE784 EXCISE MOVEMENT e-AD/e-SAD for IE784

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Previous Objects	Requesting MSA decides to initiate "Manual Status Synchronisation Request" Requesting MSA decides to initiate "Manual Status Request"
Information Exchange	
Requirements	ACO_FUR_0001
Data Set	
Events	
State	

Table 5.358: Task Register Manual Status Request or Manual Status Synchronisation Request

### Task Record Manual Status Request or Manual Status Synchronisation Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Manual Status Request or Manual Status Synchronisation Request
Task Type	Service task
Description	The Manual Status Request or Manual Status Synchronisation Request is stored by the Requesting MSA.
Actor	EMCS
Appears also in the following processes	L4-ACO-AS-IS-01-XX-Manual Status Request/Response
Input Data	ATTRIBUTES for IE784 EXCISE MOVEMENT e-AD/e-SAD for IE784
Output Data	ATTRIBUTES for IE784 EXCISE MOVEMENT e-AD/e-SAD for IE784
Previous Objects	Register Manual Status Request or Manual Status Synchronisation Request
Information Exchange	
Requirements	ACO_FUR_0003
Data Set	
Events	
State	

Table 5.359: Task Record Manual Status Request or Manual Status Synchronisation Request

### Event Automated mechanism triggered

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EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Automated mechanism triggered
Event Type	Start event
Description	<p>Automated mechanism of Status Synchronisation is triggered in Requesting MSA application by a system relevant event.</p> <p>The automated mechanism is triggered in order to identify whether an e-AD/e-SAD/Report of Receipt is missing due to a technical failure or due to business discrepancy.</p> <p>Possible case/scenarios that trigger this part of the flow could be:</p> <ul style="list-style-type: none"> <li>i. Report of Receipt for unknown ARC (Requesting MSA has not received the e-AD/e-SAD sent by the Requested MSA due to network failure)</li> <li>ii. TIM_EAD_ESAD expiration after missed RoR. In addition, in the case of TIM_EAD_ESAD timer expiration for a Duty Paid B2B movement, in which the Report of Receipt has been sent by the consignee, but due to formalities not being fulfilled at the MS of Destination, the Report of Receipt has not been yet transmitted by the MS of Destination to the MS of Dispatch (i.e. there is not missed RoR, but RoR is correctly not being sent yet).</li> </ul>
Appears also in the following processes	L4-ACO-AS-IS-01-XX-Manual/Automatic Synchronization Request
Previous Objects	

Table 5.360: Event Automated mechanism triggered

### Task Build Movement Download Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build Movement Download Request
Task Type	Service task
Description	EMCS, taking into consideration the query of the MSA Official, builds the Movement Download Request message (IE784:C_DOW_SUB).
Actor	EMCS
Appears also in the following processes	L4-ACO-01-21-Download of an e-AD/e-SAD (Query)
Input Data	EXCISE MOVEMENT e-AD/e-SAD for IE784 ATTRIBUTES for IE784
Output Data	C_DOW_SUB
Previous Objects	Automated mechanism triggered Record Manual Status Request or Manual Status Synchronisation Request
Information Exchange	
Requirements	ACO_FUR_0005

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Data Set	
Events	
State	

Table 5.361: Task Build Movement Download Request

### Task Record Movement Download Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Movement Download Request
Task Type	Service task
Description	The Movement Download Request message (IE784:C_DOW_SUB) is stored by EMCS.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-21-Download of an e-AD/e-SAD (Query)
Input Data	C_DOW_SUB
Output Data	C_DOW_SUB
Previous Objects	Build Movement Download Request
Information Exchange	
Requirements	ACO_FUR_0006
Data Set	
Events	
State	

Table 5.362: Task Record Movement Download Request

### Task Notify Requested MSA of Movement Download Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Requested MSA of Movement Download Request
Task Type	Send task
Description	The Requesting MSA notifies the Requested MSA of Movement Download Request (IE784).
Actor	EMCS
Appears also in the following processes	L4-ACO-AS-IS-01-XX-Manual Status Request/Response L4-ACO-AS-IS-01-XX-Manual/Automatic Synchronization Request

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Input Data	C_DOW_SUB
Output Data	
Previous Objects	Record Movement Download Request
Information Exchange	movement download request
Requirements	ACO_FUR_0007
Data Set	
Events	
State	

Table 5.363: Task Notify Requested MSA of Movement Download Request

### Event Movement Download Request message Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Download Request message Received
Event Type	Message start event
Description	The Requested MSA received a Movement Download Request (IE784) from the Requesting MSA.
Appears also in the following processes	L4-ACO-AS-IS-01-XX-Manual Status Request/Response L4-ACO-AS-IS-01-XX-Manual/Automatic Synchronization Request
Previous Objects	Notify Requested MSA of Movement Download Request

Table 5.364: Event Movement Download Request message Received

### Task Perform validation of Movement Download Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform validation of Movement Download Request
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-03-Perform validation of Common Domain message.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-21-Download of an e-AD/e-SAD (Query)
Input Data	

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Output Data	
Previous Objects	Movement Download Request message Received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.365: Task Perform validation of Movement Download Request

### Task Handle validation results of Movement Download Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle validation results of Movement Download Request
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-05-Handle validation Results of Common Domain message.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-21-Download of an e-AD/e-SAD (Query)
Input Data	
Output Data	
Previous Objects	Perform validation of Movement Download Request
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.366: Task Handle validation results of Movement Download Request

### Event Refusal message sent to Requested MSA since Movement Download Request found invalid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message sent to Requested MSA since Movement Download Request found invalid
Event Type	End event
Description	A Refusal message (IE917/IE906) was sent to the MSA of Dispatch since the Movement Download Request (IE784) had been found invalid.

Excise Functional Requirements BPM Report for EMCS
PROCESS MODELS

Appears also in the following processes	L4-ACO-AS-IS-01-XX-Manual Status Request/Response L4-ACO-AS-IS-01-XX-Manual/Automatic Synchronization Request
Previous Objects	What is the outcome of the handling of validation results?

Table 5.367: Event Refusal message sent to Requested MSA since Movement Download Request found invalid

### Event Movement Download Request recorded since it had been found valid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Download Request recorded since it had been found valid
Event Type	Conditional intermediate event
Description	The Movement Download Request (IE784) was recorded since Movement Download Request (IE784) had been found valid and the process continues.
Appears also in the following processes	L4-ACO-AS-IS-01-XX-Manual Status Request/Response L4-ACO-AS-IS-01-XX-Manual/Automatic Synchronization Request
Previous Objects	What is the outcome of the handling of validation results?

Table 5.368: Event Movement Download Request recorded since it had been found valid

### Task Examine the Movement Download Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Examine the Movement Download Request
Task Type	Service task
Description	The Requested MSA examines the IE784 message content to identify what the requesting MSA is expecting in reply. In the first place, it is identified for an ARC if it is known or unknown. In the case of a known ARC, the Requested MSA examines the "state" of the Requesting MSA for the specific ARC. Furthermore the last business (event) message sent from the Requested MSA for the specific ARC is identified.
Actor	EMCS
Input Data	Last Received Message Type Status Sequence Number ARC
Output Data	EXCISE MOVEMENT e-AD/e-SAD for IE785
Previous Objects	Movement Download Request recorded since it had been found valid

Excise Functional Requirements BPM Report for EMCS
PROCESS MODELS

Information Exchange	
Requirements	ACO_FUR_0008
Data Set	
Events	
State	

Table 5.369: Task Examine the Movement Download Request

### Task Build Movement Download Answer

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build Movement Download Answer
Task Type	Service task
Description	<p>The Requested MSA builds a Movement Download Answer (IE785). More specifically, following up the examination of the content of Movement Download Request (IE784), Requested MSA application builds a Movement Download Answer (IE785) including the following information existing in the requested MSA application:</p> <ul style="list-style-type: none"> <li>·the ARC of the specific movement: it is the same as the ARC in the request message (IE784:C_DOW_SUB),</li> <li>·the status: indicating the state of the specific movement in the requested MSA application; otherwise, when the specific ARC is unknown to the requested MSA application, it is set to "None",</li> <li>·the last received message type: indicating the number of the last business message sent from the requesting MSA application and received by the requested MSA application for the specific ARC; otherwise, when the specific ARC is unknown to the requested MSA application or when the requested MSA application has not received a business message from the requesting MSA application, it is set to "None".</li> </ul>
Actor	EMCS
Appears also in the following processes	L4-ACO-AS-IS-01-XX-Manual Status Request/Response L4-ACO-AS-IS-01-XX-Manual/Automatic Synchronization Request
Input Data	C_DOW_SUB
Output Data	C_DOW_RES
Previous Objects	Examine the Movement Download Request
Information Exchange	
Requirements	ACO_FUR_0009

Excise Functional Requirements BPM Report for EMCS
PROCESS MODELS

Data Set	
Events	
State	

Table 5.370: Task Build Movement Download Answer

### Task Record Movement Download Answer

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Movement Download Answer
Task Type	Service task
Description	The Movement Download Answer (IE785:C_DOW_RES) is stored.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-22-Download of an e-AD/e-SAD (Results)
Input Data	C_DOW_RES
Output Data	C_DOW_RES
Previous Objects	Build Movement Download Answer
Information Exchange	
Requirements	ACO_FUR_0010
Data Set	
Events	
State	

Table 5.371: Task Record Movement Download Answer

### Event Status Response message received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Status Response message received
Event Type	Message intermediate event (catch)
Description	The Movement Download Answer (IE785) is received by the Requesting MSA application.
Appears also in the following processes	L4-ACO-AS-IS-01-XX-Manual Status Request/Response L4-ACO-AS-IS-01-XX-Manual/Automatic Synchronization Request
Previous Objects	Notify Requested MSA of Movement Download Request Notify Requesting MSA about Movement Download Answer

Excise Functional Requirements BPM Report for EMCS
PROCESS MODELS

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Table 5.372: Event Status Response message received

### Task Perform validation of Movement Download Answer

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform validation of Movement Download Answer
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-03-Perform validation of Common Domain message.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-22-Download of an e-AD/e-SAD (Results)
Input Data	
Output Data	
Previous Objects	Status Response message received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.373: Task Perform validation of Movement Download Answer

### Task Notify Requesting MSA about Movement Download Answer

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Requesting MSA about Movement Download Answer
Task Type	Send task
Description	The Movement Download Answer (IE785:C_DOW_RES) is sent to the Requesting MSA.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-22-Download of an e-AD/e-SAD (Results)
Input Data	C_DOW_RES
Output Data	
Previous Objects	Record Movement Download Answer

Excise Functional Requirements BPM Report for EMCS
PROCESS MODELS

Information Exchange	movement download answer
Requirements	ACO_FUR_0011
Data Set	
Events	
State	

Table 5.374: Task Notify Requesting MSA about Movement Download Answer

### Task Handle validation results of Movement Download Answer

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle validation results of Movement Download Answer
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-05-Handle validation Results of Common Domain message.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-22-Download of an e-AD/e-SAD (Results)
Input Data	
Output Data	
Previous Objects	Perform validation of Movement Download Answer
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.375: Task Handle validation results of Movement Download Answer

### Task Identify whether it is a status synchronisation request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify whether it is a status synchronisation request
Task Type	Service task
Description	<p>The Requested MSA identifies whether the "Status Request Message Type" field of the Movement Download Request (IE784) is present in the message.</p> <p>More specifically the data item "Status Request Message Type"</p> <p>1. either is not applicable in the case that the IE784 message is sent for a</p>

	Status Request/Response scenario; or 2. will have the value “1” indicating that the Excise Officer of the Requesting MSA application is triggering a Status Synchronisation Request.
Actor	EMCS
Input Data	Status Request Message Type
Output Data	Result Identify whether it is a status synchronisation request
Previous Objects	Notify Requesting MSA about Movement Download Answer
Information Exchange	
Requirements	ACO_FUR_0013
Data Set	
Events	
State	

Table 5.376: Task Identify whether it is a status synchronisation request

### Task Identify whether Requested MSA is desynchronised with Requesting MSA

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify whether Requested MSA is desynchronised with Requesting MSA
Task Type	Service task
Description	<p>The Requested MSA identifies whether the former is synchronised or not with the Requesting MSA.</p> <p>When a Status Synchronisation Request is performed (manual or automatic), the lost/delayed message is retransmitted.</p> <p>The Requesting MSA application triggering the Status Synchronisation Request might either be synchronised with the Requested MSA application or desynchronised:</p> <p>* Scenario 1, Synchronised:</p> <p>If the Requesting and the Requested MSA applications are synchronised, it leads to the conclusion that the lost/delayed message has already been sent by the Requested MSA application. In this case, the Requesting MSA application after receiving the (alleged) lost/delayed message should ignore it, since it has already been processed;</p> <p>* Scenario 2, Desynchronised:</p> <p>If the Requesting and the Requested MSA applications are desynchronised, then the Requesting MSA application after receiving the lost/delayed message should process it in order to become synchronised with the Requested MSA application.</p>

Excise Functional Requirements BPM Report for EMCS
PROCESS MODELS

Actor	EMCS
Input Data	Status
Output Data	Result Identify whether Requested MSA is desynchronised with Requesting MSA
Previous Objects	Is the request a status synchronisation request?
Information Exchange	
Requirements	ACO_FUR_0014
Data Set	
Events	
State	

Table 5.377: Task Identify whether Requested MSA is desynchronised with Requesting MSA

### Event Refusal message sent to Requested MSA since Movement Download Answer message found invalid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message sent to Requested MSA since Movement Download Answer message found invalid
Event Type	End event
Description	A Refusal message (IE917/IE906) was sent to the Requested MSA since the Movement Download Answer (IE785) had been found invalid and the process ends at this point.
Appears also in the following processes	L4-ACO-AS-IS-01-XX-Manual Status Request/Response L4-ACO-AS-IS-01-XX-Manual/Automatic Synchronization Request
Previous Objects	What is the outcome of the handling of validation results of Movement Download Answer message?

Table 5.378: Event Refusal message sent to Requested MSA since Movement Download Answer message found invalid

### Event Movement Download Answer sent

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Download Answer sent
Event Type	End event
Description	The Movement Download Answer (IE785) is sent and no other message is intended to be sent since the Movement Download Request (IE784) is sent

	for a Status Request/Response scenario. The process ends at this point and Requesting MSA has received all the available information from the Requested MSA in the Movement Download Answer (IE785).
Appears also in the following processes	L4-ACO-AS-IS-01-XX-Manual/Automatic Synchronization Request
Previous Objects	Is the request a status synchronisation request?

Table 5.379: Event Movement Download Answer sent

### Event Movement Download Answer message recorded since found valid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Download Answer message recorded since found valid
Event Type	Conditional intermediate event
Description	Movement Download Answer (IE785) was recorded by Requesting MSA application, since it has been found valid.
Appears also in the following processes	L4-ACO-AS-IS-01-XX-Manual Status Request/Response L4-ACO-AS-IS-01-XX-Manual/Automatic Synchronization Request
Previous Objects	What is the outcome of the handling of validation results of Movement Download Answer message?

Table 5.380: Event Movement Download Answer message recorded since found valid

### Task Regenerate missing message

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Regenerate missing message
Task Type	Service task
Description	<p>The Requested MSA regenerates the missing message, that is, the regenerated message (i.e. IE801, IE803, IE807, IE810, IE813, IE818, IE819, IE829, IE839, IE905) has the same message body but different message header than the lost/delayed message.</p> <p>More specifically the Correlation Identifier is Required for the resubmitted missing messages as a result of status synchronisation request (IE801, IE803, IE807, IE810, IE813, IE818, IE819, IE829, IE839, IE905*).</p> <p>It does not apply for requests and one way messages.</p> <p>(*) An IE905 message can be a response message or a one way message (manual closure).</p> <p>In the former case, the field is Required.</p> <p>In the latter case, the field does not apply.</p> <p>However, the field is Required when an IE905 for manual closure is resubmitted as a result of status synchronisation request.</p>
Actor	EMCS

Appears also in the following processes	L4-ACO-AS-IS-01-XX-Manual/Automatic Synchronization Request
Input Data	ARC
Output Data	
Previous Objects	Is Requested MSA desynchronised with Requesting MSA?
Information Exchange	Missing message, sent from the Requested MSA (see IE801, IE803, IE807, IE810, IE813, IE818, IE819, IE829, IE839; IE905)
Requirements	ACO_FUR_0015
Data Set	
Events	
State	

Table 5.381: Task Regenerate missing message

### Event Movement Download Answer sent and MSAs (Requested and Requesting) found to be synchronised

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Download Answer sent and MSAs (Requested and Requesting) found to be synchronised
Event Type	End event
Description	The process ends at this point and Requesting MSA has received all the available information from the Requested MSA in the Movement Download Answer (IE785). Although the "Status Request Message Type" item has value "1", no additional message is intended to be sent since the two MSAs (Requested and Requesting) are synchronised based on the examined information of the Movement Download Request (IE784).
Previous Objects	Is Requested MSA desynchronised with Requesting MSA?

Table 5.382: Event Movement Download Answer sent and MSAs (Requested and Requesting) found to be synchronised

### Event No message is received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	No message is received
Event Type	Conditional intermediate event
Description	No message is received by Requesting MSA. This intermediate event could be addressed in the following two business

	<p>cases:</p> <p>a. In the case when Movement Download Answer (IE785) is received and the Requested MSA has identified that the two MSAs are already synchronised, which implies that the lost/delayed message is not sent by the Requested MSA again; OR</p> <p>b. In the case when Movement Download Answer (IE785) is received and the Requested MSA considers the ARC as unknown, which implies that there is no available last lost/delayed message to be sent; OR</p> <p>c. In the case when Movement Download Answer (IE785) is not expected to be followed by the last lost/delayed message, since in the Movement Download Request (IE784) the "Status Request Message Type" field is not applicable.</p>
Previous Objects	Movement Download Answer message recorded since found valid

Table 5.383: Event No message is received

### Event Missing message, sent from the Requested MSA, received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Missing message, sent from the Requested MSA, received
Event Type	Message intermediate event (catch)
Description	<p>Missing message (IEZZZ), sent from the Requested MSA, is received. As a result last message, sent from the Requested MSA and considered as missing is received.</p> <p>Each of the following messages could be possibly received as a missing message, IE801, IE803, IE807, IE810, IE813, IE818, IE819, IE829, IE839, IE905.</p>
Appears also in the following processes	L4-ACO-AS-IS-01-XX-Manual/Automatic Synchronization Request
Previous Objects	<p>Notify Requesting MSA of missing message</p> <p>Movement Download Answer message recorded since found valid</p>

Table 5.384: Event Missing message, sent from the Requested MSA, received

### Task Notify Requesting MSA of missing message

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Requesting MSA of missing message
Task Type	Send task
Description	The Requested MSA notifies the Requesting MSA of the missing message (see IE801, IE803, IE807, IE810, IE813, IE818, IE819, IE829, IE839, IE905)

Excise Functional Requirements BPM Report for EMCS
PROCESS MODELS

Actor	EMCS
Appears also in the following processes	L4-ACO-AS-IS-01-XX-Manual/Automatic Synchronization Request
Input Data	
Output Data	
Previous Objects	Regenerate missing message
Information Exchange	Missing message, sent from the Requested MSA (see IE801, IE803, IE807, IE810, IE813, IE818, IE819, IE829, IE839; IE905) Missing message, sent from the Requested MSA (see IE801, IE803, IE807, IE810, IE813, IE818, IE819, IE829, IE839; IE905)
Requirements	ACO_FUR_0016
Data Set	
Events	
State	

Table 5.385: Task Notify Requesting MSA of missing message

#### Task Acknowledge Movement Download Answer and missing message, if applicable

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Acknowledge Movement Download Answer and missing message, if applicable
Task Type	User task
Description	The Excise Officer is informed for the Movement Download Answer and missing message, if applicable.
Actor	Excise officer
Input Data	C_DOW_RES
Output Data	C_DOW_RES
Previous Objects	Is the request triggered manually or automatically?
Information Exchange	Missing message, sent from the Requested MSA (see IE801, IE803, IE807, IE810, IE813, IE818, IE819, IE829, IE839; IE905) Missing message, sent from the Requested MSA (see IE801, IE803, IE807, IE810, IE813, IE818, IE819, IE829, IE839; IE905)
Requirements	ACO_FUR_0017
Data Set	

Excise Functional Requirements BPM Report for EMCS
PROCESS MODELS

Events	
State	

Table 5.386: Task Acknowledge Movement Download Answer and missing message, if applicable

### Event Movement Download Answer and missing message sent since MSAs (Requested and Requesting) found to be desynchronised

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Download Answer and missing message sent since MSAs (Requested and Requesting) found to be desynchronised
Event Type	End event
Description	The Movement Download Answer (IE785) and the Missing message (IEZZZ) sent to Requesting MSA since MSAs (Requested and Requesting) were found to be desynchronised.
Appears also in the following processes	L4-ACO-AS-IS-01-XX-Manual/Automatic Synchronization Request
Previous Objects	Notify Requesting MSA of missing message

Table 5.387: Event Movement Download Answer and missing message sent since MSAs (Requested and Requesting) found to be desynchronised

### Event Movement Download Answer and missing message if applicable received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Download Answer and missing message if applicable received
Event Type	End event
Description	The Movement Download Answer (IE785) and the missing message (IEZZZ) are received consecutively. The Excise Officer is informed for the Movement Download Answer and the missing message, if applicable. The process ends at this point and Requesting MSA has received all the available information from the Requested MSA in the Movement Download Answer (IE785) and the missing message (IEZZZ). Upon the reception of the missing message (IEZZZ) the relevant Business Processes are triggered respectively.
Previous Objects	Acknowledge Movement Download Answer and missing message, if applicable

Table 5.388: Event Movement Download Answer and missing message if applicable received

### Event Movement Download Answer and missing message if applicable recorded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
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Event Name	Movement Download Answer and missing message if applicable recorded
Event Type	End event
Description	<p>The Movement Download Answer (IE785) and the missing message (IEZZZ) are received consecutively.</p> <p>The process ends at this point and Requesting MSA has received all the available information from the Requested MSA in the Movement Download Answer (IE785) and the missing message (IEZZZ).</p> <p>Upon the reception of the missing message (IEZZZ) the relevant Business Processes are triggered respectively.</p>
Previous Objects	Is the request triggered manually or automatically?

Table 5.389: Event Movement Download Answer and missing message if applicable recorded

## 5.16. Process L4-ACO-01-19-General query to retrieve an e-AD/e-SAD (Query)

### 5.16.1. Objective of Process

The purpose of this process is to describe the electronic flow for requesting to retrieve an e-AD/e-SAD either inside the national MSA or in a remote MSA, based on a combination of search criteria. It shall be noted that the outcome of such queries may return data related to different types of movements (i.e. duty suspension or duty paid B2B).

The process is triggered when an Official in a MSA decides to retrieve an e-AD/e-SAD. For this reason, the MSA Official builds his query giving the supposed initiator MSA of the searched e-AD/e-SAD(s) and a series of query criteria concerning one or several of the fields describing the movement and registers it to its national MSA (National (Requestor) MSA).

After receiving the aforementioned query for an e-AD/e-SAD, the National (Requestor) MSA stores it, and determines which MSA initiated the e-AD/e-SAD. It might be the case that the Initiator MSA is the National (Requestor) MSA itself. In that case, the same application plays both roles and the National (Requestor) MSA will handle the query itself; thus no request is submitted to another MSA. This process ends at this point and the continuation of the flow of the concerned query takes place at EMCS through the process “L4-ACO-01-20-General query to retrieve an e-AD/e-SAD (Results)”.

Otherwise, the National (Requestor) MSA builds a Common Request message for the specific e-AD/e-SAD, taking into consideration the query criteria defined by the MSA Official and after storing it, sends the Common Request to the Initiator MSA.

The Initiator MSA receives the Common Request, performs the required validations and handles the results of these validations (for a detailed description of the respective processes, please refer to “L4-CORE-01-03” and “L4-CORE-01-05” respectively).

Afterwards, the Initiator MSA determines whether the Common Request has been found valid or not. In the former case, the Common Request has already been stored by the Initiator MSA (in the context of process “L4-CORE-01-05”) and this process ends at this point. The continuation of the flow of the concerned query takes place at EMCS through the process “L4-ACO-01-20-General query to retrieve an e-AD/e-SAD (Results)”.

Otherwise, a refusal message has already been sent to the National (Requestor) MSA for the receipt of an invalid Common Request (in the context of process “L4-CORE-01-05”) and the process ends at this point without storing the Common Request.

### 5.16.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
------------------------	-------------------------

Excise Functional Requirements BPM Report for EMCS
PROCESS MODELS

Process ID	L4-ACO-01-19
Full Name	General query to retrieve an e-AD/e-SAD (Query)
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-ACO-01-01-Cooperation on Request
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.390: Process Details

### 5.16.3. Tasks and Events

#### Event MSA Official decided to retrieve an e-AD/e-SAD

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	MSA Official decided to retrieve an e-AD/e-SAD
Event Type	Start event
Description	The MSA Official decided to initiate a query in order to retrieve an e-AD/e-SAD.
Previous Objects	

Table 5.391: Event MSA Official decided to retrieve an e-AD/e-SAD

#### Task Register Query for an e-AD/e-SAD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Query for an e-AD/e-SAD
Task Type	User task
Description	The MSA Official, initiates an e-AD/e-SAD query, providing the supposed Initiator MSA of the searched e-AD/e-SAD(s) and a combination of search criteria.
Actor	MSA Official
Input Data	ATTRIBUTES for IE701 E-AD/E-SAD LIST REQUEST
Output Data	E-AD/E-SAD LIST REQUEST ATTRIBUTES for IE701
Previous Objects	MSA Official decided to retrieve an e-AD/e-SAD
Information Exchange	

Excise Functional Requirements BPM Report for EMCS
PROCESS MODELS

Requirements	ACO_FUR_0002
Data Set	
Events	
State	

Table 5.392: Task Register Query for an e-AD/e-SAD

### Task Record Query for an e-AD/e-SAD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Query for an e-AD/e-SAD
Task Type	Service task
Description	The query for an e-AD/e-SAD is stored by the National (Requestor) MSA.
Actor	EMCS
Input Data	ATTRIBUTES for IE701 E-AD/E-SAD LIST REQUEST
Output Data	E-AD/E-SAD LIST REQUEST ATTRIBUTES for IE701
Previous Objects	Register Query for an e-AD/e-SAD
Information Exchange	
Requirements	ACO_FUR_0004
Data Set	
Events	
State	

Table 5.393: Task Record Query for an e-AD/e-SAD

### Task Identify if the National (Requestor) MSA is the Initiator MSA

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if the National (Requestor) MSA is the Initiator MSA
Task Type	Service task
Description	The National (Requestor) MSA determines which MSA initiated the e-AD/e-SAD. The Initiator MSA may be the National (Requestor) MSA itself; in that case, the same application plays both roles and no request is submitted to the Initiator MSA.

Excise Functional Requirements BPM Report for EMCS
PROCESS MODELS

Actor	EMCS
Input Data	Member State Code
Output Data	Result Identify if the National (Requestor) MSA is the Initiator MSA
Previous Objects	Record Query for an e-AD/e-SAD
Information Exchange	
Requirements	ACO_FUR_0012
Data Set	
Events	
State	

Table 5.394: Task Identify if the National (Requestor) MSA is the Initiator MSA

### Task Build Common Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build Common Request
Task Type	Service task
Description	The National (Requestor) MSA, taking into consideration the query of the MSA Official, builds the Common Request message.
Actor	EMCS
Input Data	E-AD/E-SAD LIST REQUEST ATTRIBUTES for IE701
Output Data	C_REQ_SUB
Previous Objects	Is National (Requestor) MSA the Initiator MSA?
Information Exchange	
Requirements	ACO_FUR_0018
Data Set	
Events	
State	

Table 5.395: Task Build Common Request

### Task Record Common Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Common Request
Task Type	Service task
Description	The Common Request message is stored.
Actor	EMCS
Input Data	C_REQ_SUB
Output Data	C_REQ_SUB
Previous Objects	Build Common Request
Information Exchange	
Requirements	ACO_FUR_0019
Data Set	
Events	
State	

Table 5.396: Task Record Common Request

### Task Notify Initiator MSA about Common Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Initiator MSA about Common Request
Task Type	Send task
Description	The National (Requestor) MSA sends the Common Request message to the Initiator MSA.
Actor	EMCS
Input Data	C_REQ_SUB
Output Data	
Previous Objects	Record Common Request
Information Exchange	common request
Requirements	ACO_FUR_0020
Data Set	
Events	
State	

Table 5.397: Task Notify Initiator MSA about Common Request

**Event Query for an e-AD/e-SAD has been Received and the National (Requestor) MSA is the same as the Initiator MSA**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Query for an e-AD/e-SAD has been Received and the National (Requestor) MSA is the same as the Initiator MSA
Event Type	End event
Description	This process ends at the National (Requestor) MSA with the receipt of a query for an e-AD/e-SAD for which it has been identified that the National (Requestor) MSA is the same with the Initiator MSA.
Previous Objects	Is National (Requestor) MSA the Initiator MSA?

Table 5.398: Event Query for an e-AD/e-SAD has been Received and the National (Requestor) MSA is the same as the Initiator MSA

**Event Common Request has been Sent**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Common Request has been Sent
Event Type	End event
Description	This process ends at the National (Requestor) MSA with the submission of a Common Request for an e-AD/e-SAD to the Initiator MSA.
Previous Objects	Notify Initiator MSA about Common Request

Table 5.399: Event Common Request has been Sent

**Event Common Request Received**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Common Request Received
Event Type	Message start event
Description	A Common Request message is received by the Initiator MSA.
Previous Objects	Notify Initiator MSA about Common Request

Table 5.400: Event Common Request Received

**Task Perform Validation of Common Request**

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Validation of Common Request

Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-03-Perform validation of Common Domain message.
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Common Request Received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.401: Task Perform Validation of Common Request

### Task Handle Validation Results of Common Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Validation Results of Common Request
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-05-Handle validation Results of Common Domain message.
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Perform Validation of Common Request
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.402: Task Handle Validation Results of Common Request

### Task Identify if the message is found valid

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if the message is found valid

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Task Type	Service task
Description	Following the validation of the message, it is determined whether the message has been found valid or not.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-02-Administrative cooperation-Deadline for results L4-CORE-01-10-Download of an e-AD (Results) L4-ACO-01-04-Administrative Cooperation-Spontaneous Information L4-ACO-01-21-Download of an e-AD/e-SAD (Query) L4-ACO-01-20-General query to retrieve an e-AD/e-SAD (Results) L4-ACO-01-26-Administrative Cooperation-Provide Feedback L4-ACO-01-01-Administrative Cooperation-Request for assistance (Request) L4-ACO-01-25-Movement Verification for Duty Paid Movements-Spontaneous information L4-ACO-01-03-Administrative Cooperation-Request for assistance (Results) L4-ACO-01-07-Request for history information (Results) L4-ACO-01-06-History-Deadline for results L4-CORE-01-09-Download of an e-AD (Query) L4-ACO-01-22-Download of an e-AD/e-SAD (Results) L4-ACO-01-27-Movement Verification-Provide Feedback L4-ACO-01-05-Request for history information (Request)
Input Data	Result Identify if the Common Domain message is syntactically valid Result Identify if the Common Domain message passed Coordination Protocol validation successfully
Output Data	Result Identify if the message is found valid
Previous Objects	Handle Validation Results of Common Request
Information Exchange	
Requirements	ACO_FUR_0021
Data Set	
Events	
State	

Table 5.403: Task Identify if the message is found valid

### Event Common Request has been Recorded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Common Request has been Recorded
Event Type	Conditional intermediate event

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Description	Common Request has been recorded.
Previous Objects	Message found valid?

Table 5.404: Event Common Request has been Recorded

### Event Common Request has been Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Common Request has been Received
Event Type	End event
Description	This process ends with the receipt of a valid Common Request message.
Previous Objects	Common Request has been Recorded

Table 5.405: Event Common Request has been Received

### Event Refusal Message has been sent to National (Requestor) MSA

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal Message has been sent to National (Requestor) MSA
Event Type	End event
Description	This process ends without storing the Common Request, while a refusal message has been sent by the Initiator MSA to the National (Requestor) MSA, for the receipt of an invalid Common Request.
Previous Objects	Message found valid?

Table 5.406: Event Refusal Message has been sent to National (Requestor) MSA

## 5.17. Process L4-ACO-01-20-General query to retrieve an e-AD/e-SAD (Results)

### 5.17.1. Objective of Process

The purpose of this process is to describe the electronic flow for replying to a query for an e-AD/e-SAD. It shall be noted that the outcome of such queries may return data related to different types of movements (i.e. duty suspension or duty paid B2B).

The process is triggered due to the receipt of a query for an e-AD/e-SAD by the National (Requestor) MSA, as detailed in process “L4-ACO-01-19-General query to retrieve an e-AD/e-SAD (Query)”.

In the case that it has been identified that the National (Requestor) MSA is the same with the Initiator MSA, i.e. no request has been sent to another MSA, the National (Requestor) MSA retrieves the locally available list of summaries of the e-AD/e-SAD(s) that match with the submitted criteria and therefore the MSA Official that initiated the respective query is informed accordingly.

Otherwise, the Initiator MSA that has already received a respective Common Request message from the National (Requestor) MSA determines whether the query indicated in the Common Request returns any results or not.

The aforementioned query might not return any results, either if no e-AD/e-SAD matches the search criteria or if the maximum limit of retrieved e-AD/e-SAD(s) has been reached or if the only e-AD/e-SAD that matches the query has been archived. In this case, the Initiator MSA builds a Refusal of Common Request message, stores it and sends it to the National (Requestor) MSA.

Otherwise, the Initiator MSA builds a List of e-AD/e-SAD message including a list of summaries of the e-AD/e-SAD(s) that match with the submitted criteria and after storing it, sends it to the National (Requestor) MSA.

The National (Requestor) MSA receives therefore either a Refusal of Common Request message or a List of e-AD/e-SAD message.

In the first case that a Refusal of Common Request is received, the National (Requestor) MSA performs the required validations and handles the results of these validations (for a detailed description of the respective processes, please refer to “L4-CORE-01-03” and “L4-CORE-01-05” respectively). Afterwards, the National (Requestor) MSA determines whether the Refusal of Common Request has been found valid or not. In the latter case, a refusal message has already been sent to the Initiator MSA for the receipt of an invalid Refusal of Common Request (in the context of process “L4-CORE-01-05”) and the process ends at this point without storing the Refusal of Common Request. Otherwise, the Refusal of Common Request has already been stored by the National (Requestor) MSA (in the context of process “L4-CORE-01-05”) and the MSA Official, that initiated the respective query, is informed accordingly of the refusal of the query of an e-AD/e-SAD.

In the case that a List of e-AD/e-SAD is received, the National (Requestor) MSA performs the required validations and handles the results of these validations (for a detailed description of the respective processes, please refer to “L4-CORE-01-03” and “L4-CORE-01-05” respectively). Afterwards, the MSA of Dispatch determines whether the List of e-AD/e-SAD has been found valid or not. In the latter case, a refusal message has already been sent to the Initiator MSA for the receipt of an invalid List of e-AD/e-SAD (in the context of process “L4-CORE-01-05”) and the process ends at this point without storing the List of e-AD/e-SAD message. Otherwise, the List of e-AD/e-SAD has already been stored by the National (Requestor) MSA (in the context of process “L4-CORE-01-05”) and the MSA Official, that initiated the respective query, is informed accordingly about the list of e-AD/e-SAD(s).

The process ends with:

a. The receipt of a refusal of the query of an e-AD/e-SAD by the Requesting MSA; the MSA Official that initiated the query is informed accordingly.

If too many e-AD/e-SAD(s) were found, the MSA Official may refine his search by restarting the query with complementary criteria; OR

b. The receipt of a list of e-AD/e-SAD(s) by the Requesting MSA i.e. a list of summaries of the e-AD/e-SADs that match with the submitted criteria; the MSA Official that initiated the query is informed accordingly.

From the retrieved list, the MSA Official selects the relevant e-AD/e-SAD to consult it locally (if it is available) or to download it through “L4-ACO-01-21-Download of an e-AD/e-SAD (Query)”.

### 5.17.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-ACO-01-20
Full Name	General query to retrieve an e-AD/e-SAD (Results)
Assumptions	N/A
Constraints	No constraints.

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L3 Reference Models	L3-ACO-01-01-Cooperation on Request
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.407: Process Details

### 5.17.3. Tasks and Events

#### Event Query for an e-AD/e-SAD has been Received and the National (Requestor) MSA is the Initiator MSA

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Query for an e-AD/e-SAD has been Received and the National (Requestor) MSA is the Initiator MSA
Event Type	Conditional start event
Description	A query for an e-AD/e-SAD has been received by the National (Requestor) MSA and it has been identified that the National (Requestor) MSA is the same with the Initiator MSA.
Previous Objects	

Table 5.408: Event Query for an e-AD/e-SAD has been Received and the National (Requestor) MSA is the Initiator MSA

#### Event List of e-AD/e-SAD Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	List of e-AD/e-SAD Received
Event Type	Message start event
Description	A List of e-AD/e-SAD message is received.
Previous Objects	Notify National (Requestor) MSA about List of e-AD/e-SAD

Table 5.409: Event List of e-AD/e-SAD Received

#### Event Refusal of Common Request Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal of Common Request Received
Event Type	Message start event
Description	A Refusal of Common Request message is received.
Previous Objects	Notify National (Requestor) MSA about Refusal of Common Request

Table 5.410: Event Refusal of Common Request Received

#### Task Perform Validation of Refusal of Common Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Validation of Refusal of Common Request
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-03-Perform validation of Common Domain message.
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Refusal of Common Request Received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.411: Task Perform Validation of Refusal of Common Request

### Task Perform Validation of List of e-AD/e-SAD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Validation of List of e-AD/e-SAD
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-03-Perform validation of Common Domain message.
Actor	EMCS
Input Data	
Output Data	
Previous Objects	List of e-AD/e-SAD Received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.412: Task Perform Validation of List of e-AD/e-SAD

### Task Retrieve locally available list of e-ADs/e-SADs

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Retrieve locally available list of e-ADs/e-SADs
Task Type	Service task
Description	The list of summaries of the e-ADs/e-SADs that match with the submitted criteria is retrieved by the National (Requestor) MSA.
Actor	EMCS
Input Data	ATTRIBUTES for IE701 E-AD/E-SAD LIST REQUEST
Output Data	C_LST_VAL
Previous Objects	Query for an e-AD/e-SAD has been Received and the National (Requestor) MSA is the Initiator MSA
Information Exchange	
Requirements	ACO_FUR_0039
Data Set	
Events	
State	

Table 5.413: Task Retrieve locally available list of e-ADs/e-SADs

### Event Common Request has been Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Common Request has been Received
Event Type	Start event
Description	A Common Request has been received.
Previous Objects	

Table 5.414: Event Common Request has been Received

### Task Identify if Query returns results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Query returns results
Task Type	Service task
Description	The Initiator MSA checks if the query indicated in the Common Request returns any results.  The aforementioned query might not return any results if any of the

	following cases applies: <ul style="list-style-type: none"> <li>• No e-AD/e-SAD matches the search criteria;</li> <li>• The maximum limit of retrieved e-ADs/e-SADs has been reached.</li> </ul>
Actor	EMCS
Input Data	C_REQ_SUB
Output Data	Result Identify if Query returns results
Previous Objects	Common Request has been Received
Information Exchange	
Requirements	ACO_FUR_0022
Data Set	
Events	
State	

Table 5.415: Task Identify if Query returns results

### Task Handle Validation Results of Refusal of Common Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Validation Results of Refusal of Common Request
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-05-Handle validation Results of Common Domain message.
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Perform Validation of Refusal of Common Request
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.416: Task Handle Validation Results of Refusal of Common Request

### Task Build a List of e-AD/e-SAD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build a List of e-AD/e-SAD
Task Type	Service task
Description	Based on the results of the query the Initiator MSA builds a List of e-AD/e-SAD. The query returns a list of summaries of the e-ADs/e-SADs that match with the submitted criteria.
Actor	EMCS
Input Data	EXCISE MOVEMENT Result Identify if Query returns results C_REQ_SUB
Output Data	C_LST_VAL
Previous Objects	Does Query return results?
Information Exchange	
Requirements	ACO_FUR_0036
Data Set	
Events	
State	

Table 5.417: Task Build a List of e-AD/e-SAD

### Task Handle Validation Results of List of e-AD/e-SAD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Validation Results of List of e-AD/e-SAD
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-05-Handle validation Results of Common Domain message.
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Perform Validation of List of e-AD/e-SAD
Information Exchange	
Requirements	
Data Set	

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Events	
State	

Table 5.418: Task Handle Validation Results of List of e-AD/e-SAD

### Task Record List of e-AD/e-SAD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record List of e-AD/e-SAD
Task Type	Service task
Description	The List of e-AD/e-SAD is stored.
Actor	EMCS
Input Data	C_LST_VAL
Output Data	C_LST_VAL
Previous Objects	Build a List of e-AD/e-SAD
Information Exchange	
Requirements	ACO_FUR_0037
Data Set	
Events	
State	

Table 5.419: Task Record List of e-AD/e-SAD

### Task Build Refusal of Common Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build Refusal of Common Request
Task Type	Service task
Description	If any of the aforementioned cases applies, the Initiator MSA responds with a Refusal of Common Request message.
Actor	EMCS
Input Data	C_REQ_SUB Result Identify if Query returns results
Output Data	C_REQ_REF
Previous Objects	Does Query return results?

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Information Exchange	
Requirements	ACO_FUR_0033
Data Set	
Events	
State	

Table 5.420: Task Build Refusal of Common Request

### Task Identify if the message is found valid

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if the message is found valid
Task Type	Service task
Description	Following the validation of the message, it is determined whether the message has been found valid or not.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-02-Administrative cooperation-Deadline for results L4-CORE-01-10-Download of an e-AD (Results) L4-ACO-01-04-Administrative Cooperation-Spontaneous Information L4-ACO-01-19-General query to retrieve an e-AD/e-SAD (Query) L4-ACO-01-21-Download of an e-AD/e-SAD (Query) L4-ACO-01-20-General query to retrieve an e-AD/e-SAD (Results) L4-ACO-01-26-Administrative Cooperation-Provide Feedback L4-ACO-01-01-Administrative Cooperation-Request for assistance (Request) L4-ACO-01-25-Movement Verification for Duty Paid Movements-Spontaneous information L4-ACO-01-03-Administrative Cooperation-Request for assistance (Results) L4-ACO-01-07-Request for history information (Results) L4-ACO-01-06-History-Deadline for results L4-CORE-01-09-Download of an e-AD (Query) L4-ACO-01-22-Download of an e-AD/e-SAD (Results) L4-ACO-01-27-Movement Verification-Provide Feedback L4-ACO-01-05-Request for history information (Request)
Input Data	Result Identify if the Common Domain message is syntactically valid Result Identify if the Common Domain message passed Coordination Protocol validation successfully
Output Data	Result Identify if the message is found valid
Previous Objects	Handle Validation Results of Refusal of Common Request
Information Exchange	

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Requirements	ACO_FUR_0021
Data Set	
Events	
State	

Table 5.421: Task Identify if the message is found valid

### Task Identify if the message is found valid

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if the message is found valid
Task Type	Service task
Description	Following the validation of the message, it is determined whether the message has been found valid or not.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-02-Administrative cooperation-Deadline for results L4-CORE-01-10-Download of an e-AD (Results) L4-ACO-01-04-Administrative Cooperation-Spontaneous Information L4-ACO-01-19-General query to retrieve an e-AD/e-SAD (Query) L4-ACO-01-21-Download of an e-AD/e-SAD (Query) L4-ACO-01-20-General query to retrieve an e-AD/e-SAD (Results) L4-ACO-01-26-Administrative Cooperation-Provide Feedback L4-ACO-01-01-Administrative Cooperation-Request for assistance (Request) L4-ACO-01-25-Movement Verification for Duty Paid Movements-Spontaneous information L4-ACO-01-03-Administrative Cooperation-Request for assistance (Results) L4-ACO-01-07-Request for history information (Results) L4-ACO-01-06-History-Deadline for results L4-CORE-01-09-Download of an e-AD (Query) L4-ACO-01-22-Download of an e-AD/e-SAD (Results) L4-ACO-01-27-Movement Verification-Provide Feedback L4-ACO-01-05-Request for history information (Request)
Input Data	Result Identify if the Common Domain message is syntactically valid Result Identify if the Common Domain message passed Coordination Protocol validation successfully
Output Data	Result Identify if the message is found valid
Previous Objects	Handle Validation Results of List of e-AD/e-SAD
Information Exchange	
Requirements	ACO_FUR_0021

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Data Set	
Events	
State	

Table 5.422: Task Identify if the message is found valid

### Task Record Refusal of Common Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Refusal of Common Request
Task Type	Service task
Description	The Refusal of Common Request is stored.
Actor	EMCS
Input Data	C_REQ_REF
Output Data	C_REQ_REF
Previous Objects	Build Refusal of Common Request
Information Exchange	
Requirements	ACO_FUR_0034
Data Set	
Events	
State	

Table 5.423: Task Record Refusal of Common Request

### Task Notify National (Requestor) MSA about List of e-AD/e-SAD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify National (Requestor) MSA about List of e-AD/e-SAD
Task Type	Send task
Description	The List of e-AD/e-SAD is sent to the National (Requestor) MSA.
Actor	EMCS
Input Data	C_LST_VAL
Output Data	
Previous Objects	Record List of e-AD/e-SAD

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Information Exchange	list of e-AD/e-SAD as result of a general query
Requirements	ACO_FUR_0038
Data Set	
Events	
State	

Table 5.424: Task Notify National (Requestor) MSA about List of e-AD/e-SAD

### Task Notify National (Requestor) MSA about Refusal of Common Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify National (Requestor) MSA about Refusal of Common Request
Task Type	Send task
Description	The Refusal of Common Request is sent to the National (Requestor) MSA.
Actor	EMCS
Input Data	C_REQ_REF
Output Data	
Previous Objects	Record Refusal of Common Request
Information Exchange	refusal of common request
Requirements	ACO_FUR_0035
Data Set	
Events	
State	

Table 5.425: Task Notify National (Requestor) MSA about Refusal of Common Request

### Task Acknowledge Refusal of Query for an e-AD/e-SAD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Acknowledge Refusal of Query for an e-AD/e-SAD
Task Type	User task
Description	The Requesting MSA Official is informed of the refusal of the query of an e-AD/e-SAD.
Actor	MSA Official
Input Data	C_REQ_REF

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Output Data	C_REQ_REF
Previous Objects	Refusal of Common Request has been Recorded
Information Exchange	
Requirements	ACO_FUR_0017
Data Set	
Events	
State	

Table 5.426: Task Acknowledge Refusal of Query for an e-AD/e-SAD

### Event List of e-AD/e-SAD has been Sent

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	List of e-AD/e-SAD has been Sent
Event Type	End event
Description	The process ends at the Initiator MSA with the submission of a list of summaries of the e-ADs/e-SADs that match with the submitted criteria to the National (Requestor) MSA.
Previous Objects	Notify National (Requestor) MSA about List of e-AD/e-SAD

Table 5.427: Event List of e-AD/e-SAD has been Sent

### Task Acknowledge list of e-ADs/e-SADs

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Acknowledge list of e-ADs/e-SADs
Task Type	User task
Description	The MSA Official is informed about the list of e-ADs/e-SADs.
Actor	MSA Official
Input Data	C_LST_VAL
Output Data	C_LST_VAL
Previous Objects	List of e-AD/e-SAD has been Recorded Retrieve locally available list of e-ADs/e-SADs
Information Exchange	
Requirements	ACO_FUR_0017

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Data Set	
Events	
State	

Table 5.428: Task Acknowledge list of e-ADs/e-SADs

### Event Refusal of Common Request has been Recorded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal of Common Request has been Recorded
Event Type	Conditional intermediate event
Description	Refusal of Common Request has been stored.
Previous Objects	Message found valid?

Table 5.429: Event Refusal of Common Request has been Recorded

### Event Query of an e-AD/e-SAD has been Refused

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Query of an e-AD/e-SAD has been Refused
Event Type	End event
Description	<p>This process ends with the receipt of a refusal of the query of an e-AD/e-SAD by the Requesting MSA.</p> <p>When deemed necessary the MSA Official that is informed accordingly may request archived/historical data to be retrieved and the continuation of the flow may take place at EMCS through the process “L4-ACO-01-05”.</p> <p>If too many e-ADs/e-SADs were found, the MSA Official may refine his search by restarting the query with complementary criteria.</p>
Previous Objects	Acknowledge Refusal of Query for an e-AD/e-SAD

Table 5.430: Event Query of an e-AD/e-SAD has been Refused

### Event Refusal of Common Request has been Sent

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal of Common Request has been Sent
Event Type	End event
Description	The process ends at the Initiator MSA with the submission of a Refusal of Common Request to the National (Requestor) MSA.
Previous Objects	Notify National (Requestor) MSA about Refusal of Common Request

Table 5.431: Event Refusal of Common Request has been Sent

### Event List of e-AD/e-SAD has been Recorded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	List of e-AD/e-SAD has been Recorded
Event Type	Conditional intermediate event
Description	The List of e-ADs has been stored.
Previous Objects	Message found valid?

Table 5.432: Event List of e-AD/e-SAD has been Recorded

### Event Refusal message has been Sent to Initiator MSA

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message has been Sent to Initiator MSA
Event Type	End event
Description	This process ends without storing the message, while a refusal message has been sent by the National (Requestor) MSA to the Initiator MSA, for the receipt of an invalid message.
Appears also in the following processes	L4-ACO-01-20-General query to retrieve an e-AD/e-SAD (Results)
Previous Objects	Message found valid?

Table 5.433: Event Refusal message has been Sent to Initiator MSA

### Event Refusal message has been Sent to Initiator MSA

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message has been Sent to Initiator MSA
Event Type	End event
Description	This process ends without storing the message, while a refusal message has been sent by the National (Requestor) MSA to the Initiator MSA, for the receipt of an invalid message.
Appears also in the following processes	L4-ACO-01-20-General query to retrieve an e-AD/e-SAD (Results)
Previous Objects	Message found valid?

Table 5.434: Event Refusal message has been Sent to Initiator MSA

### Event List of e-ADs/e-SADs has been Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	List of e-ADs/e-SADs has been Received

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Event Type	End event
Description	<p>This process ends with the receipt of a list of e-ADs/e-SADs by the MSA Official that initiated the query.</p> <p>The query returns a list of summaries of the e-ADs/e-SADs that match with the submitted criteria. From the retrieved list, the requesting MSA Official selects the relevant e-AD/e-SADs to consult it locally (if it is available) or to download it through L4-ACO-01-21.</p>
Previous Objects	Acknowledge list of e-ADs/e-SADs

Table 5.435: Event List of e-ADs/e-SADs has been Received

## 5.18. Process L4-ACO-01-21-Download of an e-AD/e-SAD (Query)

### 5.18.1. Objective of Process

The purpose of this process is to describe the electronic flow for retrieving movement data relative to an e-AD/e-SAD.

The function remains available during the whole time window commonly agreed by the Member States, i.e. at least three years after the date of submission of the e-AD/e-SAD (See Article 21(1) of Regulation (EU) No 389/2012).

The process is triggered when an Official in a MSA decides to download all records concerning a given e-AD/e-SAD, in the case where this e-AD/e-SAD has not already been communicated to him/her or if there is a need for resynchronization. For this reason the MSA Official registers a specific query to its MSA application (Requesting MSA), providing the ARC of the searched e-AD/e-SAD.

After receiving the aforementioned movement download request, the Requesting MSA stores it and determines which MSA initially validated the e-AD/e-SAD (it is the MSA of which the Member State code appears in characters 3 and 4 of the ARC). It might be the case that the Initiator MSA is the Requesting MSA itself. In that case, the same application plays both roles and the Requesting MSA will handle the request itself; thus no request will be submitted to another MSA.

If the Initiator MSA is in another Member State, then that MSA will be notified of the Movement Download Request by sending message IE784:C\_DOW\_SUB. After receiving the Movement Download Request, the Initiator MSA will perform its validation and handle the validation results. If the message is found valid, it will be stored by the Initiator MSA. If the message is found invalid, then a refusal message will be sent to the Requesting MSA. If this process ends with the successful receipt of the Movement Download Request, the continuation of the flow of the concerned query will take place at EMCS through the process “L4-ACO-01-22-Download of an e-AD/e-SAD (Results)”.

It shall be noted that there is no obligation for the Member States to store and archive messages that were rejected due to a syntactic error. However, when technically possible (e.g. valid IT structure and format), rejected messages might represent decisions of Member States Administration and should be stored and archived in the same way as valid messages. In particular, the information concerning movements of excise goods should be stored and archived for the same duration as valid messages. Rejected messages do not have to be automatically retrievable (i.e. they could be retrieved only via an ACO request).

### 5.18.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
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Process ID	L4-ACO-01-21
Full Name	Download of an e-AD/e-SAD (Query)
Assumptions	<p>*The model include references only to the messages' IDs (e.g. IE784, IE785 and IE838) and messages' names (e.g. "movement download request", "movement download answer" and "history of a movement") available in the Excise BPMs and artefacts.</p> <ul style="list-style-type: none"> <li>• It shall be noted that there is no obligation for the Member States to store and archive messages that were rejected due to a syntactic error. However, when technically possible (e.g. valid IT structure and format), rejected messages might represent decisions of Member States Administration and should be stored and archived in the same way as valid messages. In particular, the information concerning movements of excise goods should be stored and archived for the same duration as valid messages. Rejected messages do not have be automatically retrievable (i.e. they could be retrieved only via an ACO request).</li> </ul>
Constraints	No constraints.
L3 Reference Models	L3-ACO-01-01-Cooperation on Request
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.436: Process Details

### 5.18.3. Tasks and Events

#### Event MSA Official decided to download all records concerning an e-AD/e-SAD

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	MSA Official decided to download all records concerning an e-AD/e-SAD
Event Type	Start event
Description	The MSA Official decides to initiate a search for movement data relative to an e-AD/e-SAD in the case where this e-AD/e-SAD has not already been communicated to them or if there is a need for re-synchronisation.
Previous Objects	

Table 5.437: Event MSA Official decided to download all records concerning an e-AD/e-SAD

#### Task Register draft Movement Download Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register draft Movement Download Request
Task Type	User task

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Description	The MSA official registers his query providing the ARC of the searched e-AD/e-SAD by submitting a search message to his MSA application.
Actor	MSA Official
Input Data	EXCISE MOVEMENT e-AD/e-SAD for IE784 ATTRIBUTES for IE784
Output Data	ATTRIBUTES for IE784 EXCISE MOVEMENT e-AD/e-SAD for IE784
Previous Objects	MSA Official decided to download all records concerning an e-AD/e-SAD
Information Exchange	
Requirements	ACO_FUR_0023
Data Set	
Events	
State	

Table 5.438: Task Register draft Movement Download Request

### Task Record draft Movement Download Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record draft Movement Download Request
Task Type	Service task
Description	The Movement Download Request for the specific e-AD/e-SAD is stored by EMCS.
Actor	EMCS
Input Data	ATTRIBUTES for IE784 EXCISE MOVEMENT e-AD/e-SAD for IE784
Output Data	EXCISE MOVEMENT e-AD/e-SAD for IE784 ATTRIBUTES for IE784
Previous Objects	Register draft Movement Download Request
Information Exchange	
Requirements	ACO_FUR_0024
Data Set	
Events	
State	

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Table 5.439: Task Record draft Movement Download Request

### Task Identify if the Requesting MSA is the Initiator MSA

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if the Requesting MSA is the Initiator MSA
Task Type	Service task
Description	EMCS determines which MSA initially validated the e-AD/e-SAD (it is the MSA of which the Member State code appears in characters 3 and 4 of the ARC). The Initiator MSA may be the Requesting MSA itself; in that case, no request is submitted to the Initiator MSA.
Actor	EMCS
Input Data	ARC
Output Data	Result Identify if the Requesting MSA is the Initiator MSA
Previous Objects	Record draft Movement Download Request
Information Exchange	
Requirements	ACO_FUR_0025
Data Set	
Events	
State	

Table 5.440: Task Identify if the Requesting MSA is the Initiator MSA

### Task Build Movement Download Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build Movement Download Request
Task Type	Service task
Description	EMCS, taking into consideration the query of the MSA Official, builds the Movement Download Request message (IE784:C_DOW_SUB).
Actor	EMCS
Appears also in the following processes	L4-ACO-01-18-Status Synchronisation Mechanism
Input Data	EXCISE MOVEMENT e-AD/e-SAD for IE784 ATTRIBUTES for IE784
Output Data	C_DOW_SUB

Previous Objects	Is Requesting MSA the Initiator MSA?
Information Exchange	
Requirements	ACO_FUR_0005
Data Set	
Events	
State	

Table 5.441: Task Build Movement Download Request

### Task Record Movement Download Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Movement Download Request
Task Type	Service task
Description	The Movement Download Request message (IE784:C_DOW_SUB) is stored by EMCS.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-18-Status Synchronisation Mechanism
Input Data	C_DOW_SUB
Output Data	C_DOW_SUB
Previous Objects	Build Movement Download Request
Information Exchange	
Requirements	ACO_FUR_0006
Data Set	
Events	
State	

Table 5.442: Task Record Movement Download Request

### Task Notify Initiator MSA about Movement Download Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Initiator MSA about Movement Download Request
Task Type	Send task
Description	EMCS sends the Movement Download Request message

	(IE784:C_DOW_SUB) to the Initiator MSA (it is the MSA of which the Member State code appears in the third and fourth characters of the ARC).
Actor	EMCS
Input Data	C_DOW_SUB
Output Data	
Previous Objects	Record Movement Download Request
Information Exchange	movement download request
Requirements	ACO_FUR_0026
Data Set	
Events	
State	

Table 5.443: Task Notify Initiator MSA about Movement Download Request

### Event Movement Download Request received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Download Request received
Event Type	Message start event
Description	The Initiator MSA application has received the Movement Download Request message (IE784:C_REQ_SUB).
Previous Objects	Notify Initiator MSA about Movement Download Request

Table 5.444: Event Movement Download Request received

### Task Perform validation of Movement Download Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform validation of Movement Download Request
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-03-Perform validation of Common Domain message.
Actor	Initiator MSA
Appears also in the following processes	L4-ACO-01-18-Status Synchronisation Mechanism
Input Data	
Output Data	

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Previous Objects	Movement Download Request received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.445: Task Perform validation of Movement Download Request

### Event Movement Download Request has been Received and the Requesting MSA is the Initiator MSA

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Download Request has been Received and the Requesting MSA is the Initiator MSA
Event Type	End event
Description	This process ends at the Requesting MSA with the receipt of a Movement Download Request (IE784:C_DOW_SUB) of a specific e-AD/e-SAD for which it has been identified that the Requesting MSA itself is in fact the Initiator MSA.
Previous Objects	Is Requesting MSA the Initiator MSA?

Table 5.446: Event Movement Download Request has been Received and the Requesting MSA is the Initiator MSA

### Event Movement Download Request has been Sent

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Download Request has been Sent
Event Type	End event
Description	This process ends at the Requesting MSA with the submission of a Movement Download Request (IE784:C_DOW_SUB) of a specific e-AD/e-SAD to the Initiator MSA.
Previous Objects	Notify Initiator MSA about Movement Download Request

Table 5.447: Event Movement Download Request has been Sent

### Task Handle validation results of Movement Download Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle validation results of Movement Download Request
Task Type	Call activity (collapsed)

Description	This task is modeled in a separate process: L4-CORE-01-05-Handle validation Results of Common Domain message.
Actor	Initiator MSA
Appears also in the following processes	L4-ACO-01-18-Status Synchronisation Mechanism
Input Data	
Output Data	
Previous Objects	Perform validation of Movement Download Request
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.448: Task Handle validation results of Movement Download Request

### Task Identify if the message is found valid

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if the message is found valid
Task Type	Service task
Description	Following the validation of the message, it is determined whether the message has been found valid or not.
Actor	Initiator MSA
Appears also in the following processes	L4-ACO-01-02-Administrative cooperation-Deadline for results L4-CORE-01-10-Download of an e-AD (Results) L4-ACO-01-04-Administrative Cooperation-Spontaneous Information L4-ACO-01-19-General query to retrieve an e-AD/e-SAD (Query) L4-ACO-01-20-General query to retrieve an e-AD/e-SAD (Results) L4-ACO-01-26-Administrative Cooperation-Provide Feedback L4-ACO-01-01-Administrative Cooperation-Request for assistance (Request) L4-ACO-01-25-Movement Verification for Duty Paid Movements-Spontaneous information L4-ACO-01-03-Administrative Cooperation-Request for assistance (Results) L4-ACO-01-07-Request for history information (Results) L4-ACO-01-06-History-Deadline for results L4-CORE-01-09-Download of an e-AD (Query) L4-ACO-01-22-Download of an e-AD/e-SAD (Results) L4-ACO-01-27-Movement Verification-Provide Feedback L4-ACO-01-05-Request for history information (Request)

Input Data	Result Identify if the Common Domain message is syntactically valid Result Identify if the Common Domain message passed Coordination Protocol validation successfully
Output Data	Result Identify if the message is found valid
Previous Objects	Handle validation results of Movement Download Request
Information Exchange	
Requirements	ACO_FUR_0021
Data Set	
Events	
State	

Table 5.449: Task Identify if the message is found valid

### Event Movement Download Request has been recorded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Download Request has been recorded
Event Type	Conditional intermediate event
Description	The Initiator MSA stores the Movement Download Request message (IE784:C_REQ_SUB) after its successful validation.
Previous Objects	Message found valid?

Table 5.450: Event Movement Download Request has been recorded

### Event Movement Download Request has been received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Download Request has been received
Event Type	End event
Description	
Previous Objects	Movement Download Request has been recorded

Table 5.451: Event Movement Download Request has been received

### Event Refusal Message has been sent to Requesting MSA

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal Message has been sent to Requesting MSA

Event Type	End event
Description	This process ends without storing the message, while a refusal message has been sent to the Requesting MSA, for the receipt of an invalid message.
Appears also in the following processes	L4-ACO-01-02-Administrative cooperation-Deadline for results L4-CORE-01-09-Download of an e-AD (Query) L4-ACO-01-06-History-Deadline for results L4-ACO-01-05-Request for history information (Request) L4-ACO-01-01-Administrative Cooperation-Request for assistance (Request)
Previous Objects	Message found valid?

Table 5.452: Event Refusal Message has been sent to Requesting MSA

## 5.19. Process L4-ACO-01-22-Download of an e-AD/e-SAD (Results)

### 5.19.1. Objective of Process

The purpose of this process is to describe the electronic flow for replying to a Movement Download Request. The process is triggered by Movement Download Request initiated by the Requesting MSA, as detailed in process "L4-ACO-01-21-Download of an e-AD/e-SAD (Query)".

In the case when it has been identified that the Requesting MSA itself is the Initiator MSA, i.e. no request has been sent to another MSA, the Requesting MSA retrieves the locally available records concerning the given e-AD/e-SAD and the MSA Official that initiated the respective request is informed accordingly.

Otherwise, the Initiator MSA that has already received a respective Movement Download Request message from the Requesting MSA first sends a results message (IE785) with the following information

1. the ARC provided by the MSA official of the Requesting MSA application;
  2. the status:
    - \*indicating the state of the specific movement in the Initiator MSA application;
    - \*otherwise, when the specific ARC is unknown to the Requesting MSA application, it is set to "None";
  3. the last received message type:
    - \*indicating the number of the last message sent from the Requesting MSA application and received by the Initiator MSA application for the specific ARC;
    - \*otherwise, when the specific ARC is unknown to the Initiator MSA application or when the Initiator MSA application has not received a business message from the Requesting MSA application, it is set to "None";
- If a national movement related to the requested ARC exists, then the local state of this movement must be provided. The initiator MSA may also provide additional information on a voluntary basis.

Additionally, if the e-AD/e-SAD was retrieved, the Initiator MSA application builds the history message of the movement (IE838:C\_HIS\_VAL) including the successive states of the e-AD/e-SAD as well as all attached reports of receipt, of control and of event. It sends the history message (IE838:C\_HIS\_VAL) back to the Requesting MSA.

In case any national movements exist related to the retrieved ARC, the initiator MSA can include the messages for national movements in the history message (IE838:C\_HIS\_VAL). The pre-condition is that these national messages should first be translated into the Common Domain messages type and format.

If no e-AD/e-SAD is retrieved no history message (IE838:C\_HIS\_VAL) is sent. This is an indication that the ARC is not known or that the e-AD/e-SAD is no more available on line.

This latter happens after the commonly agreed time window for consultation of movement data. Each Member State shall keep the information concerning movements of excise goods within the Union and the records contained in the national registers referred to in Article 19 of the Council Regulation (EC) No 389/2012, for at least five years from the end of the calendar year in which the movement began. That period may be limited to three years with respect to information entered into the national registers before 1 July 2012.

The Requesting MSA at its side, after handling the validation results of the Movement Download Answer message (IE785) received, examines the status that is indicated in it.

If the local state in the answer message (IE785) is 'None' the Requesting MSA expects no further response (history of the movement) from the Initiator MSA and this process ends at this point for the Requesting MSA.

Otherwise, the Requesting MSA expects the History of the Movement (IE838) from the Initiator MSA.

The Requesting MSA application receives, validates and registers the movement history (IE838:C\_HIS\_VAL) from the Initiator MSA. It forwards the movement history (IE838:C\_HIS\_VAL) to the MSA Official.

The process ends with the receipt of either the history of the movement or the information that the queried e-AD/e-SAD was not found online by the Requesting MSA. The MSA Official that initiated the respective request is informed accordingly.

### 5.19.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-ACO-01-22
Full Name	Download of an e-AD/e-SAD (Results)
Assumptions	<ul style="list-style-type: none"> <li>The model include references only to the messages' IDs (e.g. IE784, IE785 and IE838) and messages' names (e.g. "Movement Download Request", "Movement Download Answer" and "History of a Movement") available in the Excise BPMs and artefacts.</li> </ul>
Constraints	No constraints.
L3 Reference Models	L3-ACO-01-01-Cooperation on Request
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.453: Process Details

### 5.19.3. Tasks and Events

**Event Movement Download Request has been Received and the Requesting MSA is the Initiator MSA**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Download Request has been Received and the Requesting MSA is the Initiator MSA
Event Type	Conditional start event

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Description	A Movement Download Request of a specific e-AD has been received by the Requesting MSA and it has been identified that the Requesting MSA itself is in fact the Initiator MSA.
Previous Objects	

Table 5.454: Event Movement Download Request has been Received and the Requesting MSA is the Initiator MSA

### Event Movement Download Answer has been Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Download Answer has been Received
Event Type	Message start event
Description	A Movement Download Answer message (IE785:C_REQ_RES) is received.
Previous Objects	Notify Requesting MSA about Movement Download Answer

Table 5.455: Event Movement Download Answer has been Received

### Task Perform validation of Movement Download Answer

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform validation of Movement Download Answer
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-03-Perform validation of Common Domain message.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-18-Status Synchronisation Mechanism
Input Data	
Output Data	
Previous Objects	Movement Download Answer has been Received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.456: Task Perform validation of Movement Download Answer

### Task Identify if e-AD/e-SAD is available (online) at Initiator MSA

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if e-AD/e-SAD is available (online) at Initiator MSA
Task Type	Service task
Description	EMCS receives the query message (IE784:C_REQ_SUB). Therefore it checks whether the e-AD/e-SAD is available or not. If the e-AD/e-SAD is not found, this means either that the commonly agreed time for consultation of movement data has passed, and therefore the e-AD/e-SAD has been archived and is no more available online. When deemed necessary a MSA may request archived/historical data to be retrieved as defined in process "L4-ACO-AS-IS-01-07-Request for history information (Results)".
Actor	EMCS
Input Data	C_DOW_SUB
Output Data	Result Identify if e-AD/e-SAD is available (online)
Previous Objects	Movement Download Request has been Received
Information Exchange	
Requirements	ACO_FUR_0027
Data Set	
Events	
State	

Table 5.457: Task Identify if e-AD/e-SAD is available (online) at Initiator MSA

### Task Handle validation results of Movement Download Answer

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle validation results of Movement Download Answer
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-05-Handle validation Results of Common Domain message.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-18-Status Synchronisation Mechanism
Input Data	
Output Data	
Previous Objects	Perform validation of Movement Download Answer
Information Exchange	

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Requirements	
Data Set	
Events	
State	

Table 5.458: Task Handle validation results of Movement Download Answer

### Event Movement Download Request has been Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Download Request has been Received
Event Type	Start event
Description	A Movement Download Request has been received.
Previous Objects	

Table 5.459: Event Movement Download Request has been Received

### Task Build Movement Download Answer indicating e-AD/e-SAD was found

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build Movement Download Answer indicating e-AD/e-SAD was found
Task Type	Service task
Description	<p>EMCS builds the Movement Download Answer message (IE785:C_DOW_RES) with the following information:</p> <ol style="list-style-type: none"> <li>1. the ARC provided by the MSA official in the Requesting MSA application;</li> <li>2. the status: <ul style="list-style-type: none"> <li>*indicating the state of the specific movement in the Initiator MSA application;</li> </ul> </li> <li>3. the last received message type: <ul style="list-style-type: none"> <li>*indicating the number of the last message sent from the Requesting MSA application and received by the Initiator MSA application for the specific ARC.</li> </ul> </li> </ol>
Actor	EMCS
Input Data	Result Identify if e-AD/e-SAD is available (online) C_DOW_SUB
Output Data	C_DOW_RES
Previous Objects	Is e-AD/e-SAD available online?
Information Exchange	
Requirements	ACO_FUR_0028

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Data Set	
Events	
State	

Table 5.460: Task Build Movement Download Answer indicating e-AD/e-SAD was found

### Task Build Movement Download Answer indicating e-AD/e-SAD was not found

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build Movement Download Answer indicating e-AD/e-SAD was not found
Task Type	Service task
Description	EMCS builds the Movement Download Answer (IE785:C_DOW_RES) message conveying that the status of the movement is “None”, since the specific ARC is unknown to the Initiator MSA application and therefore the e-AD/e-SAD has not been found online.
Actor	EMCS
Input Data	C_DOW_SUB Result Identify if e-AD/e-SAD is available (online)
Output Data	C_DOW_RES
Previous Objects	Is e-AD/e-SAD available online?
Information Exchange	
Requirements	ACO_FUR_0029
Data Set	
Events	
State	

Table 5.461: Task Build Movement Download Answer indicating e-AD/e-SAD was not found

### Task Identify if the message is found valid

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if the message is found valid
Task Type	Service task
Description	Following the validation of the message, it is determined whether the message has been found valid or not.
Actor	EMCS
Appears also in the following	L4-ACO-01-02-Administrative cooperation-Deadline for results L4-CORE-01-10-Download of an e-AD (Results)

processes	L4-ACO-01-04-Administrative Cooperation-Spontaneous Information L4-ACO-01-19-General query to retrieve an e-AD/e-SAD (Query) L4-ACO-01-21-Download of an e-AD/e-SAD (Query) L4-ACO-01-20-General query to retrieve an e-AD/e-SAD (Results) L4-ACO-01-26-Administrative Cooperation-Provide Feedback L4-ACO-01-01-Administrative Cooperation-Request for assistance (Request) L4-ACO-01-25-Movement Verification for Duty Paid Movements-Spontaneous information L4-ACO-01-03-Administrative Cooperation-Request for assistance (Results) L4-ACO-01-07-Request for history information (Results) L4-ACO-01-06-History-Deadline for results L4-CORE-01-09-Download of an e-AD (Query) L4-ACO-01-22-Download of an e-AD/e-SAD (Results) L4-ACO-01-27-Movement Verification-Provide Feedback L4-ACO-01-05-Request for history information (Request)
Input Data	Result Identify if the Common Domain message is syntactically valid Result Identify if the Common Domain message passed Coordination Protocol validation successfully
Output Data	Result Identify if the message is found valid
Previous Objects	Handle validation results of Movement Download Answer
Information Exchange	
Requirements	ACO_FUR_0021
Data Set	
Events	
State	

Table 5.462: Task Identify if the message is found valid

### Task Record Movement Download Answer

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Movement Download Answer
Task Type	Service task
Description	The Movement Download Answer (IE785:C_DOW_RES) is stored.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-22-Download of an e-AD/e-SAD (Results) L4-ACO-01-18-Status Synchronisation Mechanism

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Input Data	C_DOW_RES
Output Data	C_DOW_RES
Previous Objects	Build Movement Download Answer indicating e-AD/e-SAD was found Build Movement Download Answer indicating e-AD/e-SAD was not found
Information Exchange	
Requirements	ACO_FUR_0010
Data Set	
Events	
State	

Table 5.463: Task Record Movement Download Answer

### Task Notify Requesting MSA about Movement Download Answer

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Requesting MSA about Movement Download Answer
Task Type	Send task
Description	The Movement Download Answer (IE785:C_DOW_RES) is sent to the Requesting MSA.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-18-Status Synchronisation Mechanism
Input Data	C_DOW_RES
Output Data	
Previous Objects	Record Movement Download Answer
Information Exchange	movement download answer
Requirements	ACO_FUR_0011
Data Set	
Events	
State	

Table 5.464: Task Notify Requesting MSA about Movement Download Answer

### Task Acknowledge Status of Movement Download Answer

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Acknowledge Status of Movement Download Answer
Task Type	User task
Description	The MSA Official is informed about the status of the Movement Download Answer.
Actor	MSA Official
Input Data	C_DOW_RES
Output Data	C_DOW_RES
Previous Objects	Record Movement Download Answer
Information Exchange	
Requirements	ACO_FUR_0017
Data Set	
Events	
State	

Table 5.465: Task Acknowledge Status of Movement Download Answer

### Task Record Movement Download Answer

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Movement Download Answer
Task Type	Service task
Description	The Movement Download Answer (IE785:C_DOW_RES) is stored.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-22-Download of an e-AD/e-SAD (Results) L4-ACO-01-18-Status Synchronisation Mechanism
Input Data	C_DOW_RES
Output Data	C_DOW_RES
Previous Objects	Message found valid?
Information Exchange	
Requirements	ACO_FUR_0010

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Data Set	
Events	
State	

Table 5.466: Task Record Movement Download Answer

### Task Build History of a Movement

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build History of a Movement
Task Type	Service task
Description	<p>EMCS builds the History of a Movement (IE838:C_HIS_VAL) message that includes all business messages comprising the movement history, including the successive states of the e-AD/e-SAD as well as all attached reports of receipt, of control and of event.</p> <p>In case any national movements exist related to the retrieved ARC, the Initiator MSA can include the messages for national movements in the history message (IE838:C_HIS_VAL). The pre-condition is that these national messages should first be translated into the Common Domain messages type and format.</p>
Actor	EMCS
Input Data	C_DOW_SUB Result Identify if e-AD/e-SAD is available (online)
Output Data	C_HIS_VAL
Previous Objects	Is e-AD/e-SAD available online?
Information Exchange	
Requirements	ACO_FUR_0030
Data Set	
Events	
State	

Table 5.467: Task Build History of a Movement

### Event Refusal message sent to Initiator MSA

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message sent to Initiator MSA
Event Type	End event
Description	This process ends without storing the message, while a refusal message has been sent to the Initiator MSA for the exchange of an invalid message.

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Appears also in the following processes	L4-ACO-01-22-Download of an e-AD/e-SAD (Results)
Previous Objects	Message found valid?

Table 5.468: Event Refusal message sent to Initiator MSA

### Task Identify if the e-AD/e-SAD was found?

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if the e-AD/e-SAD was found?
Task Type	Service task
Description	From the "Status" defined in the Movement Download Answer, it is determined whether the e-AD/e-SAD is found by the Initiator MSA or not. It might be the case that ARC requested is not known by the Initiator MSA or the e-AD/e-SAD is no more available online. Otherwise, the Requesting MSA will expect the movement history (IE838:C_HIS_VAL) from the Initiator MSA.
Actor	EMCS
Input Data	Sequence Number ARC Status
Output Data	Result Identify if the e-AD/e-SAD was found?
Previous Objects	Acknowledge Status of Movement Download Answer
Information Exchange	
Requirements	ACO_FUR_0040
Data Set	
Events	
State	

Table 5.469: Task Identify if the e-AD/e-SAD was found?

### Event e-AD/e-SAD is not available (online)

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	e-AD/e-SAD is not available (online)
Event Type	End event
Description	The ARC requested is invalid or the commonly agreed time for consultation of movement data has passed, and therefore the e-AD/e-SAD has been archived and is no more available online. The Requesting MSA

	expects no further response (movement history) from the Initiator MSA.
Appears also in the following processes	L4-CORE-01-10-Download of an e-AD (Results) L4-ACO-01-22-Download of an e-AD/e-SAD (Results)
Previous Objects	Is e-AD/e-SAD available online?

Table 5.470: Event e-AD/e-SAD is not available (online)

### Task Record History of a Movement

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record History of a Movement
Task Type	Service task
Description	The History of the Movement (IE838:C_HIS_VAL) is stored.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-22-Download of an e-AD/e-SAD (Results)
Input Data	C_HIS_VAL
Output Data	C_HIS_VAL
Previous Objects	Build History of a Movement
Information Exchange	
Requirements	ACO_FUR_0031
Data Set	
Events	
State	

Table 5.471: Task Record History of a Movement

### Event e-AD/e-SAD is not available (online)

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	e-AD/e-SAD is not available (online)
Event Type	End event
Description	The ARC requested is invalid or the commonly agreed time for consultation of movement data has passed, and therefore the e-AD/e-SAD has been archived and is no more available online. The Requesting MSA expects no further response (movement history) from the Initiator MSA.
Appears also in the following	L4-CORE-01-10-Download of an e-AD (Results)

processes	L4-ACO-01-22-Download of an e-AD/e-SAD (Results)
Previous Objects	e-AD/e-SAD found?

Table 5.472: Event e-AD/e-SAD is not available (online)

### Event History of a Movement Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	History of a Movement Received
Event Type	Message intermediate event (catch)
Description	A History of a Movement message (IE838:C_HIS_VAL) is received.
Previous Objects	Notify Requesting MSA about History of a Movement e-AD/e-SAD found?

Table 5.473: Event History of a Movement Received

### Task Perform validation of History of a Movement

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform validation of History of a Movement
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-03-Perform validation of Common Domain message.
Actor	EMCS
Input Data	
Output Data	
Previous Objects	History of a Movement Received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.474: Task Perform validation of History of a Movement

### Task Retrieve locally available History of the Movement

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Retrieve locally available History of the Movement

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Task Type	Service task
Description	The History of the Movement (IE838:C_HIS_VAL) is retrieved by EMCS.
Actor	EMCS
Input Data	C_DOW_SUB
Output Data	C_HIS_VAL
Previous Objects	Movement Download Request has been Received and the Requesting MSA is the Initiator MSA
Information Exchange	
Requirements	ACO_FUR_0041
Data Set	
Events	
State	

Table 5.475: Task Retrieve locally available History of the Movement

### Task Handle validation results of History of a Movement

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle validation results of History of a Movement
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-05-Handle validation Results of Common Domain message.
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Perform validation of History of a Movement
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.476: Task Handle validation results of History of a Movement

### Task Notify Requesting MSA about History of a Movement

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Requesting MSA about History of a Movement
Task Type	Send task
Description	The History of the Movement (IE838:C_HIS_VAL) message is sent to the Requesting MSA.
Actor	EMCS
Input Data	C_HIS_VAL
Output Data	
Previous Objects	Record History of a Movement
Information Exchange	history of a movement
Requirements	ACO_FUR_0032
Data Set	
Events	
State	

Table 5.477: Task Notify Requesting MSA about History of a Movement

### Task Identify if the message is found valid

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if the message is found valid
Task Type	Service task
Description	Following the validation of the message, it is determined whether the message has been found valid or not.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-02-Administrative cooperation-Deadline for results L4-CORE-01-10-Download of an e-AD (Results) L4-ACO-01-04-Administrative Cooperation-Spontaneous Information L4-ACO-01-19-General query to retrieve an e-AD/e-SAD (Query) L4-ACO-01-21-Download of an e-AD/e-SAD (Query) L4-ACO-01-20-General query to retrieve an e-AD/e-SAD (Results) L4-ACO-01-26-Administrative Cooperation-Provide Feedback L4-ACO-01-01-Administrative Cooperation-Request for assistance (Request) L4-ACO-01-25-Movement Verification for Duty Paid Movements-Spontaneous information L4-ACO-01-03-Administrative Cooperation-Request for assistance (Results) L4-ACO-01-07-Request for history information (Results) L4-ACO-01-06-History-Deadline for results

	L4-CORE-01-09-Download of an e-AD (Query) L4-ACO-01-22-Download of an e-AD/e-SAD (Results) L4-ACO-01-27-Movement Verification–Provide Feedback L4-ACO-01-05-Request for history information (Request)
Input Data	Result Identify if the Common Domain message is syntactically valid Result Identify if the Common Domain message passed Coordination Protocol validation successfully
Output Data	Result Identify if the message is found valid
Previous Objects	Handle validation results of History of a Movement
Information Exchange	
Requirements	ACO_FUR_0021
Data Set	
Events	
State	

Table 5.478: Task Identify if the message is found valid

### Event The History of the Movement has been Sent

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	The History of the Movement has been Sent
Event Type	End event
Description	This process ends at the Initiator MSA with the submission of the requested History of the Movement.
Previous Objects	Notify Requesting MSA about History of a Movement

Table 5.479: Event The History of the Movement has been Sent

### Task Acknowledge History of a Movement

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Acknowledge History of a Movement
Task Type	User task
Description	The MSA Official is informed about the History of the Movement (IE838:C_HIS_VAL) he requested.
Actor	MSA Official
Input Data	C_HIS_VAL

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Output Data	C_HIS_VAL
Previous Objects	Record History of a Movement Retrieve locally available History of the Movement
Information Exchange	
Requirements	ACO_FUR_0017
Data Set	
Events	
State	

Table 5.480: Task Acknowledge History of a Movement

### Task Record History of a Movement

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record History of a Movement
Task Type	Task
Description	The History of the Movement (IE838:C_HIS_VAL) is stored.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-22-Download of an e-AD/e-SAD (Results)
Input Data	C_HIS_VAL
Output Data	C_HIS_VAL
Previous Objects	Message found valid?
Information Exchange	
Requirements	ACO_FUR_0031
Data Set	
Events	
State	

Table 5.481: Task Record History of a Movement

### Event Refusal message sent to Initiator MSA

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message sent to Initiator MSA

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Event Type	End event
Description	This process ends without storing the message, while a refusal message has been sent to the Initiator MSA for the exchange of an invalid message.
Appears also in the following processes	L4-ACO-01-22-Download of an e-AD/e-SAD (Results)
Previous Objects	Message found valid?

Table 5.482: Event Refusal message sent to Initiator MSA

### Event The History of a Movement has been Received.

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	The History of a Movement has been Received.
Event Type	End event
Description	This process ends with the receipt of the requested History of the Movement.
Previous Objects	Acknowledge History of a Movement

Table 5.483: Event The History of a Movement has been Received.

## 5.20. Process L4-ACO-01-23-Handle Refusal Response- Movement Verification

### 5.20.1. Objective of Process

The purpose of this process is to describe the electronic flow for the refusal of the request message. The process is triggered when the ELO of the Requested MSA decides to register a refusal message after receiving a Movement Verification Request.

The Requested MSA receives the aforementioned refusal, stores it and prepares an Answer Message.

### 5.20.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-ACO-01-23
Full Name	Handle Refusal Response
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-ACO-01-01-Cooperation on Request
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.484: Process Details

### 5.20.3. Tasks and Events

#### Event Requested ELO does not agree with the contents of the Request message

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Requested ELO does not agree with the contents of the Request message
Event Type	Start event
Description	An Administrative Cooperation Common Request has been Received and the Requested ELO does not agree with the contents of the request.
Previous Objects	

Table 5.485: Event Requested ELO does not agree with the contents of the Request message

#### Task Register Refusal for Request Message

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Refusal for Request Message
Task Type	User task
Description	The ELO of the Requested MSA decides to refuse the request message.
Actor	ELO
Input Data	CONTACT for IE722, IE723, IE724, IE725 MV Correlation ID Refusal Reason Complement_LNG Result Identify if ELO agrees with the contents of the Request Refusal Reason Code Refusal Reason Complement ADDRESSED AUTHORITY for IE723
Output Data	ADDRESSED AUTHORITY for IE723 Refusal Reason Complement_LNG MV Correlation ID Refusal Reason Code CONTACT for IE722, IE723, IE724, IE725 Refusal Reason Complement
Previous Objects	Requested ELO does not agree with the contents of the Request message
Information Exchange	
Requirements	ACO_FUR_0128
Data Set	
Events	
State	

Table 5.486: Task Register Refusal for Request Message

### Task Record Refusal Message

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Refusal Message
Task Type	Service task
Description	The refusal is stored by the Requested MSA.
Actor	EMCS
Input Data	Refusal Reason Code Refusal Reason Complement MV Correlation ID CONTACT for IE722, IE723, IE724, IE725 Refusal Reason Complement_LNG ADDRESSED AUTHORITY for IE723
Output Data	Refusal Reason Code Refusal Reason Complement ADDRESSED AUTHORITY for IE723 MV Correlation ID Refusal Reason Complement_LNG CONTACT for IE722, IE723, IE724, IE725
Previous Objects	Register Refusal for Request Message
Information Exchange	
Requirements	ACO_FUR_0129
Data Set	
Events	
State	

Table 5.487: Task Record Refusal Message

### Task Build Movement Verification Answer indicating refusal on the request message

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build Movement Verification Answer indicating refusal on the request message
Task Type	Service task
Description	The Requested MSA builds a Movement Verification Answer (IE723).
Actor	EMCS
Input Data	Refusal Reason Code ADDRESSED AUTHORITY for IE723 CONTACT for IE722, IE723, IE724, IE725 MV Correlation ID

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	Refusal Reason Complement Refusal Reason Complement_LNG
Output Data	C_MVS_ANS
Previous Objects	Record Refusal Message
Information Exchange	
Requirements	ACO_FUR_0130
Data Set	
Events	
State	

Table 5.488: Task Build Movement Verification Answer indicating refusal on the request message

### Task Record Movement Verification Answer indicating refusal on the request message

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Movement Verification Answer indicating refusal on the request message
Task Type	Service task
Description	The Movement Verification Answer (IE723) indicating refusal on request message is recorded by the EMCS of the Requested MSA.
Actor	EMCS
Input Data	C_MVS_ANS
Output Data	C_MVS_ANS
Previous Objects	Build Movement Verification Answer indicating refusal on the request message
Information Exchange	
Requirements	ACO_FUR_0131
Data Set	
Events	
State	

Table 5.489: Task Record Movement Verification Answer indicating refusal on the request message

### Task Perform Change of the Request State to "Closed"

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Change of the Request State to "Closed"
Task Type	Task
Description	The state of the request is updated to "Closed"
Actor	EMCS
Input Data	MV Correlation ID
Output Data	Request State
Previous Objects	Record Movement Verification Answer indicating refusal on the request message
Information Exchange	
Requirements	ACO_FUR_0132
Data Set	Request State
Events	IE723 indicating refusal on the request message recorded
State	Closed

Table 5.490: Task Perform Change of the Request State to "Closed"

### Event Movement Verification Answer indicating refusal of the request message has been created.

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Verification Answer indicating refusal of the request message has been created.
Event Type	End event
Description	A Movement Verification Answer indicating a refusal has been created
Previous Objects	Perform Change of the Request State to "Closed"

Table 5.491: Event Movement Verification Answer indicating refusal of the request message has been created.

## 5.21. Process L4-ACO-01-24-Handle Refusal Response

### 5.21.1. Objective of Process

The purpose of this process is to describe the electronic flow for the refusal of the request message. The process is triggered when the ELO of the Requested MSA decides to register a refusal message after receiving an Administrative Cooperation Common Request. The Requested MSA receives the aforementioned refusal, stores it and prepares an Answer Message.

### 5.21.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-ACO-01-24
Full Name	Handle Refusal Response
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-ACO-01-01-Cooperation on Request
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.492: Process Details

### 5.21.3. Tasks and Events

#### Event Requested ELO does not agree with the contents of the Request message

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Requested ELO does not agree with the contents of the Request message
Event Type	Start event
Description	An Administrative Cooperation Common Request has been Received and the Requested ELO does not agree with the contents of the request.
Previous Objects	

Table 5.493: Event Requested ELO does not agree with the contents of the Request message

#### Task Register Refusal for Request Message

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Refusal for Request Message
Task Type	User task
Description	The ELO of the Requested MSA decides to refuse the request message.
Actor	ELO
Input Data	Follow Up Correlation ID Refusal Reason Code Refusal Reason Complement_LNG ATTRIBUTES for IE868 Result Identify if ELO agrees with the contents of the Request Refusal Reason Complement
Output Data	Refusal Reason Complement Follow Up Correlation ID

	Refusal Reason Complement_LNG Refusal Reason Code ATTRIBUTES for IE868
Previous Objects	Requested ELO does not agree with the contents of the Request message
Information Exchange	
Requirements	ACO_FUR_0128
Data Set	
Events	
State	

Table 5.494: Task Register Refusal for Request Message

### Task Record Refusal Message

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Refusal Message
Task Type	Service task
Description	The refusal is stored by the Requested MSA.
Actor	EMCS
Input Data	Refusal Reason Code Follow Up Correlation ID ATTRIBUTES for IE868 Refusal Reason Complement Refusal Reason Complement_LNG
Output Data	ATTRIBUTES for IE868 Refusal Reason Complement Refusal Reason Complement_LNG Follow Up Correlation ID Refusal Reason Code
Previous Objects	Register Refusal for Request Message
Information Exchange	
Requirements	ACO_FUR_0129
Data Set	
Events	
State	

Table 5.495: Task Record Refusal Message

**Task Build Answer Message indicating refusal on the request message**

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build Answer Message indicating refusal on the request message
Task Type	Service task
Description	The Requested MSA builds an Answer Message (IE868).
Actor	EMCS
Input Data	Follow Up Correlation ID ATTRIBUTES for IE868 Refusal Reason Code Refusal Reason Complement Refusal Reason Complement_LNG
Output Data	C_COO_ANS
Previous Objects	Record Refusal Message
Information Exchange	
Requirements	ACO_FUR_0133
Data Set	
Events	
State	

Table 5.496: Task Build Answer Message indicating refusal on the request message

**Task Record Answer Message indicating refusal on the request message**

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Answer Message indicating refusal on the request message
Task Type	Service task
Description	The Answer Message (IE868) indicating refusal on request message is recorded by the EMCS of the Requested MSA.
Actor	EMCS
Input Data	C_COO_ANS
Output Data	C_COO_ANS
Previous Objects	Build Answer Message indicating refusal on the request message

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Information Exchange	
Requirements	ACO_FUR_0134
Data Set	
Events	
State	

Table 5.497: Task Record Answer Message indicating refusal on the request message

### Task Perform Change of the Request State to "Closed"

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Change of the Request State to "Closed"
Task Type	Task
Description	The state of the request is updated to "Closed"
Actor	EMCS
Input Data	Follow Up Correlation ID
Output Data	Request State
Previous Objects	Record Answer Message indicating refusal on the request message
Information Exchange	
Requirements	ACO_FUR_0132
Data Set	Request State
Events	IE868 indicating refusal on the request message recorded
State	Closed

Table 5.498: Task Perform Change of the Request State to "Closed"

### Event Answer message indicating refusal of the request message has been created.

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Answer message indicating refusal of the request message has been created.
Event Type	End event
Description	An Answer Message indicating a refusal message has been created
Previous Objects	Perform Change of the Request State to "Closed"

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Table 5.499: Event Answer message indicating refusal of the request message has been created.

## 5.22. Process L4-ACO-01-25-Movement Verification for Duty Paid Movements- Spontaneous information

### 5.22.1. Objective of Process

The purpose of this process is to describe the electronic flow for providing one-way information between two MSAs for movements of excise goods that is, duty paid B2C movements or undocumented movements (i.e. movements using a non-European Union standard document).

To that end, Article 3 of Regulation 389/2012 provides for one central liaison office per Member State, possibly supplemented by liaison departments with specific competences. The term ELO designates hereafter any of these authorities, each for its part of competence.

Upon any event, among which the outcome of risk assessment, the ELO of an issuing MSA may find it relevant to achieve investigations and/or actions concerning movements of excise goods that is, duty paid B2C movements or undocumented movements (i.e. movements using a non-European Union standard document), and to send the results to the ELO of one or several addressed MSAs..

The ELO of the issuing MSA summarises their findings concerning a movement (duty paid B2B movement, duty paid B2C movement or an undocumented movement), into a Movement Verification Results message (IE725:C\_MVS\_RES).

If relevant, they may attach supporting document(s) to the results message. After receiving the aforementioned findings, the Issuing MSA stores them and builds a Movement Verification Results message (taking into consideration the information/results registered by its ELO) which is stored locally and finally sent to the Addressed MSA.

The Addressed MSA receives the Movement Verification Results, performs the required validations and handles the results of these validations (for a detailed description of the respective processes, please refer to “L4-CORE-01-03 and “L4-CORE-01-05” respectively).

Afterwards, the Addressed MSA determines whether the Movement Verification Results message has been found valid or not.

In the latter case, a refusal message has already been sent to the Issuing MSA for the receipt of an invalid Administrative Cooperation Results message (in the context of process “L4-CORE-AS-IS-01-05”) and the process ends at this point without storing the Movement Verification Results.

In the former case, the Movement Verification Results has already been stored by the Addressed MSA (in the context of process “L4-CORE-01-05”) and the ELO of the Addressed MSA is informed about the information found and/or the results of the controls performed.

This process ends at this point and the ELO of the Addressed MSA is informed on anomalies, discrepancies or offences that might impact his Member State. Therefore he is free:

- to order further controls; and/or
- to communicate the information to the relevant persons; or
- to keep the information for later use; or
- to simply ignore the information if he judges it irrelevant for him.

The addressed MSA is informed on anomalies, discrepancies or offences concerning a duty paid B2C movement or an undocumented movement that might impact their Member State;

It is in a position to continue exchanges if they find it relevant; these further exchanges may be with or without support of EMCS.

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It shall be noted that in this case, since the feedback mechanism is not applicable for spontaneous information cases, only the relevant details (Data Groups/Items) shall be present.

### 5.22.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-ACO-01-25
Full Name	Movement Verification for Duty Paid Movements-Spontaneous information
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-ACO-01-03-Optional Exchange of Information
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.500: Process Details

### 5.22.3. Tasks and Events

#### Event The ELO discovered information and/or completed a series of controls on a particular subject for a Duty Paid B2C movement or an undocumented movement

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	The ELO discovered information and/or completed a series of controls on a particular subject for a Duty Paid B2C movement or an undocumented movement
Event Type	Start event
Description	Upon any event, among which the outcome of risk assessment, the ELO of an issuing MSA may find it relevant to achieve investigations and/or actions concerning movements of excise goods that is duty paid B2C movements or undocumented movements (i.e. movements using a non-European Union standard document), and to send the results to the ELO of one or several addressed MSAs.
Previous Objects	

Table 5.501: Event The ELO discovered information and/or completed a series of controls on a particular subject for a Duty Paid B2C movement or an undocumented movement

#### Task Register Information found and/or Results of the Controls performed for Duty Paid B2C or undocumented movement

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Information found and/or Results of the Controls performed for

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	Duty Paid B2C or undocumented movement
Task Type	User task
Description	The ELO of the issuing MSA summarises the findings concerning a movement (duty paid B2C movement or an undocumented movement) and registers this findings to the Issuing MSA into a movement verification results message (IE725:C_MVS_RES). If relevant, they may attach supporting document(s) to the results message.
Actor	ELO
Input Data	CONTACT for IE722, IE723, IE724, IE725 ACTIONS Result FINDINGS FOLLOW UP for IE723, IE725
Output Data	FOLLOW UP for IE723, IE725 ACTIONS Result CONTACT for IE722, IE723, IE724, IE725 FINDINGS
Previous Objects	The ELO discovered information and/or completed a series of controls on a particular subject for a Duty Paid B2C movement or an undocumented movement
Information Exchange	
Requirements	ACO_FUR_0135
Data Set	
Events	
State	

Table 5.502: Task Register Information found and/or Results of the Controls performed for Duty Paid B2C or undocumented movement

### Task Record Information found and/or Results of the Controls performed for Duty Paid B2C or undocumented movement

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Information found and/or Results of the Controls performed for Duty Paid B2C or undocumented movement
Task Type	Service task
Description	The information found and/or the results of the controls performed for a Duty Paid B2C or undocumented movement are stored by the Issuing MSA.
Actor	EMCS
Input Data	ACTIONS Result CONTACT for IE722, IE723, IE724, IE725

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	FINDINGS FOLLOW UP for IE723, IE725
Output Data	CONTACT for IE722, IE723, IE724, IE725 ACTIONS Result FOLLOW UP for IE723, IE725
Previous Objects	Register Information found and/or Results of the Controls performed for Duty Paid B2C or undocumented movement
Information Exchange	
Requirements	ACO_FUR_0136
Data Set	
Events	
State	

Table 5.503: Task Record Information found and/or Results of the Controls performed for Duty Paid B2C or undocumented movement

### Task Build Movement Verification Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build Movement Verification Results
Task Type	Service task
Description	A Movement Verification Results message (IE725) is built, taking into consideration the information/results received by the ELO.  Note: In this case, since the feedback mechanism is not applicable for spontaneous information cases, only the relevant details (Data Groups/Items) shall be present.
Actor	EMCS
Input Data	FINDINGS ACTIONS Result CONTACT for IE722, IE723, IE724, IE725 FOLLOW UP for IE723, IE725
Output Data	C_MVS_RES
Previous Objects	Record Information found and/or Results of the Controls performed for Duty Paid B2C or undocumented movement
Information Exchange	
Requirements	ACO_FUR_0079

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Data Set	
Events	
State	

Table 5.504: Task Build Movement Verification Results

### Task Record Movement Verification Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Movement Verification Results
Task Type	Service task
Description	The Movement Verification Results message (IE725) is stored.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-13-Movement Verification-Request (Results)
Input Data	C_MVS_RES
Output Data	C_MVS_RES
Previous Objects	Build Movement Verification Results
Information Exchange	
Requirements	ACO_FUR_0080
Data Set	
Events	
State	

Table 5.505: Task Record Movement Verification Results

### Task Notify Addressed MSA about Movement verification Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Addressed MSA about Movement verification Results
Task Type	Send task
Description	The Issuing MSA sends the Movement verification Results to the Addressed MSA.
Actor	EMCS
Input Data	C_MVS_RES

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Output Data	
Previous Objects	Record Movement Verification Results
Information Exchange	movement verification results
Requirements	ACO_FUR_0100
Data Set	
Events	
State	

Table 5.506: Task Notify Addressed MSA about Movement verification Results

### Event Movement Verification Results message has been sent

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Verification Results message has been sent
Event Type	End event
Description	This process ends at the Issuing MSA with the submission of a Movement Verification Results message to the Addressed MSA. No further actions are expected by the Addressed MSA in terms of information exchange messages (excluding rejection messages in case of functional errors).
Previous Objects	Notify Addressed MSA about Movement verification Results

Table 5.507: Event Movement Verification Results message has been sent

### Event Movement Verification Results message received by the Addressed MSA

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Verification Results message received by the Addressed MSA
Event Type	Message start event
Description	A Movement Verification Results message has been received by the Addressed MSA.
Previous Objects	Notify Addressed MSA about Movement verification Results

Table 5.508: Event Movement Verification Results message received by the Addressed MSA

### Task Perform Validation of Movement Verification Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Validation of Movement Verification Results

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Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-03-Perform validation of Common Domain message.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-13-Movement Verification-Request (Results)
Input Data	
Output Data	
Previous Objects	Movement Verification Results message received by the Addressed MSA
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.509: Task Perform Validation of Movement Verification Results

### Task Handle Validation Results of Movement Verification Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Validation Results of Movement Verification Results
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-05-Handle validation Results of Common Domain message.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-13-Movement Verification-Request (Results)
Input Data	
Output Data	
Previous Objects	Perform Validation of Movement Verification Results
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.510: Task Handle Validation Results of Movement Verification Results

### Task Identify if the message is found valid

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if the message is found valid
Task Type	Service task
Description	Following the validation of the message, it is determined whether the message has been found valid or not.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-02-Administrative cooperation-Deadline for results L4-CORE-01-10-Download of an e-AD (Results) L4-ACO-01-04-Administrative Cooperation-Spontaneous Information L4-ACO-01-19-General query to retrieve an e-AD/e-SAD (Query) L4-ACO-01-21-Download of an e-AD/e-SAD (Query) L4-ACO-01-20-General query to retrieve an e-AD/e-SAD (Results) L4-ACO-01-26-Administrative Cooperation-Provide Feedback L4-ACO-01-01-Administrative Cooperation-Request for assistance (Request) L4-ACO-01-03-Administrative Cooperation-Request for assistance (Results) L4-ACO-01-07-Request for history information (Results) L4-ACO-01-06-History-Deadline for results L4-CORE-01-09-Download of an e-AD (Query) L4-ACO-01-22-Download of an e-AD/e-SAD (Results) L4-ACO-01-27-Movement Verification-Provide Feedback L4-ACO-01-05-Request for history information (Request)
Input Data	Result Identify if the Common Domain message is syntactically valid Result Identify if the Common Domain message passed Coordination Protocol validation successfully
Output Data	Result Identify if the message is found valid
Previous Objects	Handle Validation Results of Movement Verification Results
Information Exchange	
Requirements	ACO_FUR_0021
Data Set	
Events	
State	

Table 5.511: Task Identify if the message is found valid

### Task Acknowledge Information found and/or Results of the Controls performed

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Acknowledge Information found and/or Results of the Controls performed
Task Type	User task
Description	The ELO of the Addressed MSA is informed about the information found and/or the results of the controls performed by the ELO of the Issuing MSA.
Actor	ELO
Input Data	C_MVS_RES
Output Data	C_MVS_RES
Previous Objects	Movement Verification Results message has been recorded
Information Exchange	
Requirements	ACO_FUR_0017
Data Set	
Events	
State	

Table 5.512: Task Acknowledge Information found and/or Results of the Controls performed

### Event Movement Verification Results message has been recorded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Verification Results message has been recorded
Event Type	Conditional intermediate event
Description	The Movement Verification Results message (IE725) has been stored.
Appears also in the following processes	L4-ACO-01-13-Movement Verification-Request (Results)
Previous Objects	Message found valid?

Table 5.513: Event Movement Verification Results message has been recorded

### Event Information found and/or Results of the Controls performed have been Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Information found and/or Results of the Controls performed have been Received
Event Type	End event
Description	This process ends with the receipt of the information found and the results of actions/controls completed by the Addressed MSA. The ELO of the Addressed MSA is therefore informed on anomalies,

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	discrepancies or offences that might impact his Member State.
Appears also in the following processes	L4-ACO-01-04-Administrative Cooperation-Spontaneous Information
Previous Objects	Acknowledge Information found and/or Results of the Controls performed

Table 5.514: Event Information found and/or Results of the Controls performed have been Received

### Event Refusal Message has been sent to Issuing MSA for the Movement Verification Results message

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal Message has been sent to Issuing MSA for the Movement Verification Results message
Event Type	End event
Description	
Previous Objects	Message found valid?

Table 5.515: Event Refusal Message has been sent to Issuing MSA for the Movement Verification Results message

## 5.23. Process L4-ACO-01-26-Administrative Cooperation–Provide Feedback

### 5.23.1. Objective of Process

The purpose of this process is to describe the electronic flow for the provision of feedback on the results received by the Requested MSA for an Administrative cooperation request.

The process is triggered when the ELO of the Requesting MSA decides to register a results message containing the concrete follow up actions that have been taken by the Requesting MSA and information about how relevant the results were considered.

The Requested MSA receives the aforementioned feedback information and stores it.

### 5.23.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-ACO-01-26
Full Name	Administrative Cooperation–Provide Feedback
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-ACO-01-01-Cooperation on Request
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.516: Process Details

### 5.23.3. Tasks and Events

#### Event Feedback on the received results is expected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Feedback on the received results is expected
Event Type	Start event
Description	Results message has been received by the Requesting MSA for an Administrative cooperation request with indicator that feedback is expected
Previous Objects	

Table 5.517: Event Feedback on the received results is expected

#### Task Register feedback for results received

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register feedback for results received
Task Type	User task
Description	The ELO of the Requesting MSA registers any concrete follow up actions taken on the basis of the results received for an Administrative cooperation request
Actor	ELO
Input Data	FEEDBACK REQUEST CONTACT for IE721, IE867 Follow Up Correlation ID
Output Data	FEEDBACK REQUEST Follow Up Correlation ID CONTACT for IE721, IE867
Previous Objects	Feedback on the received results is expected
Information Exchange	
Requirements	ACO_FUR_0137
Data Set	
Events	
State	

Table 5.518: Task Register feedback for results received

#### Task Record feedback information for results received

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Record feedback information for results received
Task Type	Service task
Description	The feedback information (provided on the follow-up action(s) taken by the Requesting MSA on the basis of the results received from the Requested MSA) is stored by the Requesting MSA.
Actor	EMCS
Input Data	Follow Up Correlation ID FEEDBACK REQUEST CONTACT for IE721, IE867
Output Data	Follow Up Correlation ID FEEDBACK REQUEST CONTACT for IE721, IE867
Previous Objects	Register feedback for results received
Information Exchange	
Requirements	ACO_FUR_0138
Data Set	
Events	
State	

Table 5.519: Task Record feedback information for results received

### Task Build feedback information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build feedback information
Task Type	Service task
Description	The Requesting MSA builds the Results message IE867 indicating the feedback information
Actor	EMCS
Input Data	FEEDBACK REQUEST CONTACT for IE721, IE867 Follow Up Correlation ID
Output Data	C_COO_RES
Previous Objects	Record feedback information for results received
Information Exchange	

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Requirements	ACO_FUR_0139
Data Set	
Events	
State	

Table 5.520: Task Build feedback information

### Task Record feedback information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record feedback information
Task Type	Service task
Description	The feedback information (IE867) is stored by the Requesting MSA.
Actor	EMCS
Input Data	C_COO_RES
Output Data	C_COO_RES
Previous Objects	Build feedback information
Information Exchange	
Requirements	ACO_FUR_0160
Data Set	
Events	
State	

Table 5.521: Task Record feedback information

### Task Notify Requested MSA about feedback information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Requested MSA about feedback information
Task Type	Send task
Description	The Requesting MSA submits to the Requested MSA the IE867 Results message, which contains the feedback information.
Actor	EMCS
Input Data	C_COO_RES
Output Data	

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Previous Objects	Record feedback information
Information Exchange	administrative cooperation results
Requirements	ACO_FUR_0161
Data Set	
Events	
State	

Table 5.522: Task Notify Requested MSA about feedback information

### Event Administrative Cooperation Results message providing feedback on the results has been sent

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Administrative Cooperation Results message providing feedback on the results has been sent
Event Type	End event
Description	This process ends at the Requesting MSA with the submission of an Administrative Cooperation Results message providing feedback concerning the results to the Requested MSA. No further actions are expected by the Requested MSA in terms of information exchange messages (excluding rejection messages in case of functional errors).
Previous Objects	Notify Requested MSA about feedback information

Table 5.523: Event Administrative Cooperation Results message providing feedback on the results has been sent

### Event Feedback received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Feedback received
Event Type	Message start event
Description	A Results message (IE867) providing feedback has been received
Previous Objects	Notify Requested MSA about feedback information

Table 5.524: Event Feedback received

### Task Perform Validation of Feedback information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Validation of Feedback information
Task Type	Subprocess (collapsed)

Description	This task is modeled in a separate process: L4-CORE-01-03-Perform validation of Common Domain message.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-27-Movement Verification–Provide Feedback
Input Data	
Output Data	
Previous Objects	Feedback received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.525: Task Perform Validation of Feedback information

### Task Handle Validation Results of feedback information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Validation Results of feedback information
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-05-Handle validation Results of Common Domain message.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-27-Movement Verification–Provide Feedback
Input Data	
Output Data	
Previous Objects	Perform Validation of Feedback information
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.526: Task Handle Validation Results of feedback information

### Task Identify if the message is found valid

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if the message is found valid
Task Type	Service task
Description	Following the validation of the message, it is determined whether the message has been found valid or not.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-02-Administrative cooperation-Deadline for results L4-CORE-01-10-Download of an e-AD (Results) L4-ACO-01-04-Administrative Cooperation-Spontaneous Information L4-ACO-01-19-General query to retrieve an e-AD/e-SAD (Query) L4-ACO-01-21-Download of an e-AD/e-SAD (Query) L4-ACO-01-20-General query to retrieve an e-AD/e-SAD (Results) L4-ACO-01-01-Administrative Cooperation-Request for assistance (Request) L4-ACO-01-25-Movement Verification for Duty Paid Movements-Spontaneous information L4-ACO-01-03-Administrative Cooperation-Request for assistance (Results) L4-ACO-01-07-Request for history information (Results) L4-ACO-01-06-History-Deadline for results L4-CORE-01-09-Download of an e-AD (Query) L4-ACO-01-22-Download of an e-AD/e-SAD (Results) L4-ACO-01-27-Movement Verification-Provide Feedback L4-ACO-01-05-Request for history information (Request)
Input Data	Result Identify if the Common Domain message is syntactically valid Result Identify if the Common Domain message passed Coordination Protocol validation successfully
Output Data	Result Identify if the message is found valid
Previous Objects	Handle Validation Results of feedback information
Information Exchange	
Requirements	ACO_FUR_0021
Data Set	
Events	
State	

Table 5.527: Task Identify if the message is found valid

**Event Feedback information has been recorded**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
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Event Name	Feedback information has been recorded
Event Type	Conditional intermediate event
Description	The feedback information has been stored.
Appears also in the following processes	L4-ACO-01-27-Movement Verification–Provide Feedback
Previous Objects	Message found valid?

Table 5.528: Event Feedback information has been recorded

### Task Perform Change of the Request State to "Closed"

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Change of the Request State to "Closed"
Task Type	Service task
Description	The state of the feedback request is updated to “Closed”.
Actor	EMCS
Input Data	Result Identify if the message is found valid Follow Up Correlation ID
Output Data	Request State
Previous Objects	Feedback information has been recorded
Information Exchange	
Requirements	ACO_FUR_0132
Data Set	Request State
Events	IE867 received
State	Closed

Table 5.529: Task Perform Change of the Request State to "Closed"

### Event Refusal Message has been sent to the Requested MSA

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal Message has been sent to the Requested MSA
Event Type	End event
Description	This process ends without storing the message, while a refusal message has been sent to the Requested MSA, for the receipt of an invalid message.

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Appears also in the following processes	L4-ACO-01-03-Administrative Cooperation–Request for assistance (Results) L4-ACO-01-07-Request for history information (Results) L4-ACO-01-27-Movement Verification–Provide Feedback L4-ACO-01-05-Request for history information (Request) L4-ACO-01-01-Administrative Cooperation–Request for assistance (Request)
Previous Objects	Message found valid?

Table 5.530: Event Refusal Message has been sent to the Requested MSA

### Event Feedback message has been received and stored

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Feedback message has been received and stored
Event Type	End event
Description	Feedback has been received and stored. The status of the request has been updated to "closed".
Appears also in the following processes	L4-ACO-01-27-Movement Verification–Provide Feedback
Previous Objects	Perform Change of the Request State to "Closed"

Table 5.531: Event Feedback message has been received and stored

## 5.24. Process L4-ACO-01-27-Movement Verification–Provide Feedback

### 5.24.1. Objective of Process

The purpose of this process is to describe the electronic flow for the provision of feedback on the results received by the Requested MSA for specific movements (i.e. duty paid B2B movements, duty paid B2C movements and/or any undocumented movements) or for the flow of goods between two traders. The process is triggered when the ELO of the Requesting MSA decides to register a result message containing the concrete follow up actions that have been taken by the Requesting MSA and information about how relevant the results were considered. The Requested MSA receives the aforementioned feedback information and stores it.

### 5.24.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-ACO-01-27
Full Name	Movement Verification–Provide Feedback
Assumptions	N/A
Constraints	No constraints.

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L3 Reference Models	L3-ACO-01-01-Cooperation on Request
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.532: Process Details

### 5.24.3. Tasks and Events

#### Event Feedback on the received results is expected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Feedback on the received results is expected
Event Type	Start event
Description	Results message has been received by the Requesting MSA for a Movement verification request with indicator that feedback is expected
Previous Objects	

Table 5.533: Event Feedback on the received results is expected

#### Task Register feedback for results received

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register feedback for results received
Task Type	User task
Description	The ELO of the Requesting MSA registers any concrete follow up actions taken on the basis of the results received for a Movement Verification request
Actor	ELO
Input Data	MV Correlation ID FEEDBACK REQUEST CONTACT for IE722, IE723, IE724, IE725 FINDINGS
Output Data	MV Correlation ID FINDINGS CONTACT for IE722, IE723, IE724, IE725 FEEDBACK REQUEST
Previous Objects	Feedback on the received results is expected
Information Exchange	
Requirements	ACO_FUR_0137
Data Set	

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Events	
State	

Table 5.534: Task Register feedback for results received

### Task Record feedback information for results received

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record feedback information for results received
Task Type	Service task
Description	The feedback information request for results provided concerning Movement verification request is stored by the Requesting MSA
Actor	EMCS
Input Data	CONTACT for IE722, IE723, IE724, IE725 FINDINGS MV Correlation ID FEEDBACK REQUEST
Output Data	FINDINGS MV Correlation ID CONTACT for IE722, IE723, IE724, IE725 FEEDBACK REQUEST
Previous Objects	Register feedback for results received
Information Exchange	
Requirements	ACO_FUR_0138
Data Set	
Events	
State	

Table 5.535: Task Record feedback information for results received

### Task Build feedback information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build feedback information
Task Type	Service task
Description	The Requesting MSA builds the Results message IE725 indicating the feedback information
Actor	EMCS
Input Data	CONTACT for IE722, IE723, IE724, IE725

	FINDINGS FEEDBACK REQUEST MV Correlation ID
Output Data	C_MVS_RES
Previous Objects	Record feedback information for results received
Information Exchange	
Requirements	ACO_FUR_0162
Data Set	
Events	
State	

Table 5.536: Task Build feedback information

### Task Record feedback information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record feedback information
Task Type	Service task
Description	The feedback information (IE725) is stored by the Requesting MSA.
Actor	EMCS
Input Data	C_MVS_RES
Output Data	C_MVS_RES
Previous Objects	Build feedback information
Information Exchange	
Requirements	ACO_FUR_0163
Data Set	
Events	
State	

Table 5.537: Task Record feedback information

### Task Notify Requested MSA about feedback information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Notify Requested MSA about feedback information
Task Type	Send task
Description	The Requesting MSA submits the IE725 Results message to the Requested MSA
Actor	EMCS
Input Data	C_MVS_RES
Output Data	
Previous Objects	Record feedback information
Information Exchange	movement verification results
Requirements	ACO_FUR_0164
Data Set	
Events	
State	

Table 5.538: Task Notify Requested MSA about feedback information

### Event Movement Verification Results message providing feedback on the results has been sent

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Verification Results message providing feedback on the results has been sent
Event Type	End event
Description	This process ends at the Requesting MSA with the submission of a Movement Verification Results message providing feedback concerning the results to the Requested MSA. No further actions are expected by the Requested MSA in terms of information exchange messages (excluding rejection messages in case of functional errors).
Previous Objects	Notify Requested MSA about feedback information

Table 5.539: Event Movement Verification Results message providing feedback on the results has been sent

### Event Feedback received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Feedback received
Event Type	Message start event
Description	A Results message providing feedback has been received
Previous Objects	Notify Requested MSA about feedback information

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Table 5.540: Event Feedback received

### Task Perform Validation of Feedback information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Validation of Feedback information
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-03-Perform validation of Common Domain message.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-26-Administrative Cooperation–Provide Feedback
Input Data	
Output Data	
Previous Objects	Feedback received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.541: Task Perform Validation of Feedback information

### Task Handle Validation Results of feedback information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Validation Results of feedback information
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-05-Handle validation Results of Common Domain message.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-26-Administrative Cooperation–Provide Feedback
Input Data	
Output Data	
Previous Objects	Perform Validation of Feedback information
Information Exchange	

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PROCESS MODELS

Requirements	
Data Set	
Events	
State	

Table 5.542: Task Handle Validation Results of feedback information

### Task Identify if the message is found valid

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if the message is found valid
Task Type	Service task
Description	Following the validation of the message, it is determined whether the message has been found valid or not.
Actor	EMCS
Appears also in the following processes	L4-ACO-01-02-Administrative cooperation-Deadline for results L4-CORE-01-10-Download of an e-AD (Results) L4-ACO-01-04-Administrative Cooperation-Spontaneous Information L4-ACO-01-19-General query to retrieve an e-AD/e-SAD (Query) L4-ACO-01-21-Download of an e-AD/e-SAD (Query) L4-ACO-01-20-General query to retrieve an e-AD/e-SAD (Results) L4-ACO-01-26-Administrative Cooperation-Provide Feedback L4-ACO-01-01-Administrative Cooperation-Request for assistance (Request) L4-ACO-01-25-Movement Verification for Duty Paid Movements-Spontaneous information L4-ACO-01-03-Administrative Cooperation-Request for assistance (Results) L4-ACO-01-07-Request for history information (Results) L4-ACO-01-06-History-Deadline for results L4-CORE-01-09-Download of an e-AD (Query) L4-ACO-01-22-Download of an e-AD/e-SAD (Results) L4-ACO-01-05-Request for history information (Request)
Input Data	Result Identify if the Common Domain message is syntactically valid Result Identify if the Common Domain message passed Coordination Protocol validation successfully
Output Data	Result Identify if the message is found valid
Previous Objects	Handle Validation Results of feedback information
Information Exchange	
Requirements	ACO_FUR_0021

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PROCESS MODELS

Data Set	
Events	
State	

Table 5.543: Task Identify if the message is found valid

### Event Feedback information has been recorded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Feedback information has been recorded
Event Type	Conditional intermediate event
Description	The feedback information has been stored.
Appears also in the following processes	L4-ACO-01-26-Administrative Cooperation–Provide Feedback
Previous Objects	Message found valid?

Table 5.544: Event Feedback information has been recorded

### Task Perform Change of the Request State to "Closed"

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Change of the Request State to "Closed"
Task Type	Service task
Description	The state of the feedback request is updated to “Closed”.
Actor	EMCS
Input Data	Result Identify if the message is found valid Follow Up Correlation ID
Output Data	Request State
Previous Objects	Feedback information has been recorded
Information Exchange	
Requirements	ACO_FUR_0132
Data Set	Request State
Events	IE725 received
State	Closed

Table 5.545: Task Perform Change of the Request State to "Closed"

### Event Refusal Message has been sent to the Requested MSA

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal Message has been sent to the Requested MSA
Event Type	End event
Description	This process ends without storing the message, while a refusal message has been sent to the Requested MSA, for the receipt of an invalid message.
Appears also in the following processes	L4-ACO-01-03-Administrative Cooperation–Request for assistance (Results) L4-ACO-01-07-Request for history information (Results) L4-ACO-01-05-Request for history information (Request) L4-ACO-01-26-Administrative Cooperation–Provide Feedback L4-ACO-01-01-Administrative Cooperation–Request for assistance (Request)
Previous Objects	Message found valid?

Table 5.546: Event Refusal Message has been sent to the Requested MSA

### Event Feedback message has been received and stored

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Feedback message has been received and stored
Event Type	End event
Description	Feedback has been received and stored. The status of the request has been updated to "closed".
Appears also in the following processes	L4-ACO-01-26-Administrative Cooperation–Provide Feedback
Previous Objects	Perform Change of the Request State to "Closed"

Table 5.547: Event Feedback message has been received and stored

## 5.25. Process L4-ACO-01-30-Manual Closure of a Movement

### 5.25.1. Objective of Process

The purpose of this process is to describe all possible paths in the electronic flow of the Manual Closure of a movement of Excise Goods under suspension of Excise Duty (inside the territory of the European Union), in accordance with the current Common Specifications (FESS and DDNEA).

The process is triggered when:

- An Excise Officer at the MSA of Destination decides to request a manual closure of a movement; or

- An Excise Officer at the MSA of Dispatch initiates a manual closure.

In case the process is initiated by an Excise Officer at the MSA of Destination requesting a manual closure of a movement, the Excise Officer registers the manual closure request and builds the corresponding IE880:C\_MNC\_SUB message. Then, the created manual closure request message is sent to the MSA of Dispatch.

The MSA of Dispatch receives the manual closure request and proceeds with validating the data, by using the “Perform validation of Manual Closure Request” sub process. Then, the EMCS at MSA of Dispatch proceeds with the handling of the validation results by using the “Handle validation results of Manual Closure Request” call activity. Depending on the outcome (end event) of the “Handle validation results of Manual Closure Request” call activity, the following may happen:

- If a refusal message (IE917/IE704) is sent to the requestor (since the message was found invalid), the whole process ends at this point;
- If the Manual Closure request (IE880) is recorded (since the message was found valid), the process continues.

Then, the EMCS at MSA of Dispatch identifies whether the content of the Manual Closure request message indicates that the specific movement (i.e. indicated in the manual closure request message) can be manually closed. Based on this, it builds the Manual Closure response message (IE881:C\_MNC\_RES) and changes the state of the movement to ‘e-AD Manually Closed’. After this, the EMCS at MSA of Dispatch notifies the MSA of Destination and the Consignee about the Manual Closure of the movement by sending the IE881:C\_MNC\_RES message and the process ends.

In case the process is initiated by an Excise Officer at the MSA of Dispatch, the Excise Officer registers the manual closure by building a IE881:C\_MNC\_RES message. The MSA of Dispatch builds the Manual Closure response message (IE881:C\_MNC\_RES) and changes the state of the movement to ‘e-AD Manually Closed’. After this, the EMCS at MSA of Dispatch notifies the MSA of Destination and the Consignee about the Manual Closure of the movement by sending the IE881:C\_MNC\_RES message and the process ends.

### 5.25.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-ACO-01-30
Full Name	Manual Closure of a Movement
Assumptions	<ul style="list-style-type: none"> <li>• As specified in FESS UC-306-110, in cases where the manual closure process is initiated by an Excise Officer at the MSA of Dispatch, then there is no obligation to submit a IE880:C_MNC_SUB message. In such cases, an Excise Officer at the MSA of Dispatch initiates a manual closure by registering a IE881:C_MNC_RES message.</li> </ul>
Constraints	No constraints.

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L3 Reference Models	
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.548: Process Details

### 5.25.3. Tasks and Events

#### Event Excise Officer requests a manual closure of a movement

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Excise Officer requests a manual closure of a movement
Event Type	Start event
Description	The Excise Officer at MSA of Destination/Export decides to request a manual closure for a specific e-AD.
Previous Objects	

Table 5.549: Event Excise Officer requests a manual closure of a movement

#### Task Register draft Manual Closure Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register draft Manual Closure Request
Task Type	User task
Description	The Excise Officer registers the Manual Closure for a certain e-AD to its MSA (MSA of Destination).
Actor	Excise officer
Input Data	MANUAL CLOSURE Body for IE881 ATTRIBUTES for IE880 SUPPORTING DOCUMENTS for IE721, IE722, IE867, IE880 and IE881
Output Data	ATTRIBUTES for IE880 SUPPORTING DOCUMENTS for IE721, IE722, IE867, IE880 and IE881 MANUAL CLOSURE Body for IE881
Previous Objects	Excise Officer requests a manual closure of a movement
Information Exchange	
Requirements	ACO_FUR_0182
Data Set	
Events	
State	

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Table 5.550: Task Register draft Manual Closure Request

### Task Record draft Manual Closure Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record draft Manual Closure Request
Task Type	Service task
Description	The Manual Closure request for the specific e-AD is stored.
Actor	EMCS
Input Data	MANUAL CLOSURE Body for IE881 SUPPORTING DOCUMENTS for IE721, IE722, IE867, IE880 and IE881 ATTRIBUTES for IE880
Output Data	SUPPORTING DOCUMENTS for IE721, IE722, IE867, IE880 and IE881 MANUAL CLOSURE Body for IE881 ATTRIBUTES for IE880
Previous Objects	Register draft Manual Closure Request
Information Exchange	
Requirements	ACO_FUR_0183
Data Set	
Events	
State	

Table 5.551: Task Record draft Manual Closure Request

### Task Build Manual Closure Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build Manual Closure Request
Task Type	Service task
Description	The MSA of Destination/Export builds a Manual Closure request message (IE880:C_MNC_SUB), taking into consideration the request received from the Excise Officer.
Actor	EMCS
Input Data	ATTRIBUTES for IE880 SUPPORTING DOCUMENTS for IE721, IE722, IE867, IE880 and IE881 MANUAL CLOSURE Body for IE881
Output Data	C_MNC_SUB

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Previous Objects	Record draft Manual Closure Request
Information Exchange	
Requirements	ACO_FUR_0184
Data Set	
Events	
State	

Table 5.552: Task Build Manual Closure Request

### Task Record Manual Closure Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Manual Closure Request
Task Type	Service task
Description	The Manual Closure Request message (IE880:C_MNC_SUB) is stored.
Actor	EMCS
Input Data	C_MNC_SUB
Output Data	C_MNC_SUB
Previous Objects	Build Manual Closure Request
Information Exchange	
Requirements	ACO_FUR_0185
Data Set	
Events	
State	

Table 5.553: Task Record Manual Closure Request

### Task Notify MSA of Dispatch about Manual Closure Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify MSA of Dispatch about Manual Closure Request
Task Type	Send task
Description	EMCS sends the Manual Closure request message (IE880:C_MNC_SUB) to the MSA of Dispatch.
Actor	EMCS

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Input Data	C_MNC_SUB
Output Data	
Previous Objects	Record Manual Closure Request
Information Exchange	Manual closure request
Requirements	ACO_FUR_0186
Data Set	
Events	
State	

Table 5.554: Task Notify MSA of Dispatch about Manual Closure Request

### Event Manual Closure Request has been Sent

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Manual Closure Request has been Sent
Event Type	End event
Description	The Manual Closure Request message (IE880:C_MNC_SUB) has been sent to the MSA of Dispatch.
Previous Objects	Notify MSA of Dispatch about Manual Closure Request

Table 5.555: Event Manual Closure Request has been Sent

### Event Manual Closure Request received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Manual Closure Request received
Event Type	Message start event
Description	The MSA of Dispatch has received the Manual Closure Request message (IE880:C_MNC_SUB).
Previous Objects	Notify MSA of Dispatch about Manual Closure Request

Table 5.556: Event Manual Closure Request received

### Task Perform validation of Manual Closure Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform validation of Manual Closure Request
Task Type	Subprocess (collapsed)

Description	This task is modeled in a separate process: L4-CORE-01-03-Perform validation of Common Domain message.
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Manual Closure Request received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.557: Task Perform validation of Manual Closure Request

### Task Handle validation results of Manual Closure Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle validation results of Manual Closure Request
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-05-Handle validation Results of Common Domain message.
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Perform validation of Manual Closure Request
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.558: Task Handle validation results of Manual Closure Request

### Event Refusal Message has been sent to the MSA of Dispatch since the Manual Closure request has been found invalid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal Message has been sent to the MSA of Dispatch since the Manual Closure request has been found invalid

Event Type	End event
Description	This process ends without storing the message, while a refusal message has been sent to the Requesting MSA, for the receipt of an invalid message.
Previous Objects	Message found valid?

Table 5.559: Event Refusal Message has been sent to the MSA of Dispatch since the Manual Closure request has been found invalid

### Event Manual Closure Request has been recorded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Manual Closure Request has been recorded
Event Type	Conditional intermediate event
Description	The MSA of Dispatch stores the Manual Closure Request message (IE880:C_MNC_SUB) after its successful validation.
Previous Objects	Message found valid?

Table 5.560: Event Manual Closure Request has been recorded

### Task Assess Manual Closure Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Assess Manual Closure Request
Task Type	Service task
Description	<p>The MSA of Dispatch assesses the received Manual Closure Request message (IE880:C_MNC_SUB) to identify whether it should be accepted or rejected.</p> <p>It shall be noted that it is a national decision whether the assessment of a received Manual Closure request will be automated (i.e. performed by EMCS only), or manual (i.e. an Excise Officer at the MSA of Dispatch shall review the Manual Closure request).</p>
Actor	EMCS
Input Data	C_MNC_SUB
Output Data	C_MNC_SUB
Previous Objects	Is approval from an Excise Officer required?
Information Exchange	
Requirements	ACO_FUR_0197

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Data Set	
Events	
State	

Table 5.561: Task Assess Manual Closure Request

### Task Review Manual Closure Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Review Manual Closure Request
Task Type	User task
Description	An Excise Officer at MSA of Dispatch reviews the received Manual Closure Request message (IE880:C_MNC_SUB) to identify whether it should be accepted or rejected.
Actor	Excise officer
Input Data	
Output Data	Result Review Manual Closure Request
Previous Objects	Is approval from an Excise Officer required?
Information Exchange	Manual closure request
Requirements	AC_FUR_0198
Data Set	
Events	
State	

Table 5.562: Task Review Manual Closure Request

### Task Build Manual Closure Rejection

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build Manual Closure Rejection
Task Type	Service task
Description	The MSA of Dispatch builds the Manual Closure response message (IE881:C_MNC_RES) indicating that the received Manual Closure request has not been accepted (i.e. value of data item <Manual Closure Request Accepted is '0') and additionally specifying the reason for rejection the request.
Actor	EMCS
Input Data	ATTRIBUTES for IE881 SUPPORTING DOCUMENTS for IE721, IE722, IE867, IE880 and IE881

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	MANUAL CLOSURE Body for IE881
Output Data	C_MNC_RES
Previous Objects	Is the Manual Closure request accepted?
Information Exchange	
Requirements	ACO_FUR_0189
Data Set	
Events	
State	

Table 5.563: Task Build Manual Closure Rejection

### Task Build Manual Closure Acceptance

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build Manual Closure Acceptance
Task Type	Service task
Description	The MSA of Dispatch builds the Manual Closure response message (IE881:C_MNC_RES) indicating that the received Manual Closure request has been accepted (i.e. value of data item <Manual Closure Request Accepted is '1').
Actor	EMCS
Input Data	SUPPORTING DOCUMENTS for IE721, IE722, IE867, IE880 and IE881 MANUAL CLOSURE Body for IE881 ATTRIBUTES for IE881
Output Data	C_MNC_RES
Previous Objects	Is the Manual Closure request accepted? Register Manual Closure
Information Exchange	
Requirements	ACO_FUR_0187
Data Set	
Events	
State	

Table 5.564: Task Build Manual Closure Acceptance

### Event Excise Officer at MSA of Dispatch initiates Manual Closure

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Excise Officer at MSA of Dispatch initiates Manual Closure
Event Type	Start event
Description	The Excise Officer at MSA of Dispatch decides to initiate a manual closure for a specific e-AD.
Previous Objects	

Table 5.565: Event Excise Officer at MSA of Dispatch initiates Manual Closure

### Task Record Manual Closure Rejection

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Manual Closure Rejection
Task Type	Service task
Description	The Manual Closure Response message (IE881:C_MNC_SUB) indicating a rejected manual closure request (i.e. value of data item <Manual Closure Request Accepted is '0') is stored.
Actor	EMCS
Input Data	C_MNC_RES
Output Data	C_MNC_RES
Previous Objects	Build Manual Closure Rejection
Information Exchange	
Requirements	ACO_FUR_0190
Data Set	
Events	
State	

Table 5.566: Task Record Manual Closure Rejection

### Task Register Manual Closure

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Manual Closure
Task Type	User task
Description	The Excise Officer registers the Manual Closure for a certain e-AD to its MSA (MSA of Dispatch).

Actor	Excise officer
Input Data	MANUAL CLOSURE Body for IE881 ATTRIBUTES for IE881 SUPPORTING DOCUMENTS for IE721, IE722, IE867, IE880 and IE881
Output Data	MANUAL CLOSURE Body for IE881 SUPPORTING DOCUMENTS for IE721, IE722, IE867, IE880 and IE881 ATTRIBUTES for IE881
Previous Objects	Excise Officer at MSA of Dispatch initiates Manual Closure
Information Exchange	
Requirements	ACO_FUR_0195
Data Set	
Events	
State	

Table 5.567: Task Register Manual Closure

### Task Record Manual Closure Acceptance

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Manual Closure Acceptance
Task Type	Service task
Description	The Manual Closure Response message (IE881:C_MNC_SUB) indicating an accepted manual closure (i.e. value of data item <Manual Closure Request Accepted is '1') is stored.
Actor	EMCS
Input Data	C_MNC_RES
Output Data	C_MNC_RES
Previous Objects	Build Manual Closure Acceptance
Information Exchange	
Requirements	ACO_FUR_0188
Data Set	
Events	
State	

Table 5.568: Task Record Manual Closure Acceptance

**Task Notify MSA of Destination/Export of the rejection of the Manual Closure request**

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify MSA of Destination/Export of the rejection of the Manual Closure request
Task Type	Send task
Description	EMCS sends the Manual Closure Response message (IE881:C_MNC_RES) to the MSA of Destination/Export.
Actor	EMCS
Input Data	C_MNC_RES
Output Data	
Previous Objects	Record Manual Closure Rejection
Information Exchange	Manual closure response
Requirements	ACO_FUR_0191
Data Set	
Events	
State	

Table 5.569: Task Notify MSA of Destination/Export of the rejection of the Manual Closure request

**Task Perform change of state to e-AD Manually Closed**

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform change of state to e-AD Manually Closed
Task Type	Service task
Description	
Actor	EMCS
Appears also in the following processes	L4-ACO-01-30-Manual Closure of a Movement
Input Data	Sequence Number Manual Closure Request Accepted ARC
Output Data	e-AD/e-SAD state
Previous Objects	Record Manual Closure Acceptance

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Information Exchange	
Requirements	ACO_FUR_0196
Data Set	
Events	A Manual Closure Response indicating acceptance has been recorded
State	e-AD/e-SAD Manually Closed

Table 5.570: Task Perform change of state to e-AD Manually Closed

### Event Manual Closure Response received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Manual Closure Response received
Event Type	Message start event
Description	
Previous Objects	Notify MSA of Destination/Export of Manual Closure of movement

Table 5.571: Event Manual Closure Response received

### Task Perform change of state to e-AD Manually Closed

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform change of state to e-AD Manually Closed
Task Type	Service task
Description	
Actor	EMCS
Appears also in the following processes	L4-ACO-01-30-Manual Closure of a Movement
Input Data	Sequence Number Manual Closure Request Accepted ARC
Output Data	e-AD/e-SAD state
Previous Objects	Manual Closure Response received
Information Exchange	
Requirements	ACO_FUR_0196

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Data Set	
Events	A Manual Closure Response indicating acceptance has been recorded
State	e-AD/e-SAD Manually Closed

Table 5.572: Task Perform change of state to e-AD Manually Closed

### Task Notify MSA of Destination/Export of Manual Closure of movement

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify MSA of Destination/Export of Manual Closure of movement
Task Type	Send task
Description	EMCS sends the Manual Closure Response message (IE881:C_MNC_RES) to the MSA of Destination/Export.
Actor	EMCS
Input Data	C_MNC_RES
Output Data	
Previous Objects	Perform change of state to e-AD Manually Closed
Information Exchange	Manual closure response
Requirements	ACO_FUR_0192
Data Set	
Events	
State	

Table 5.573: Task Notify MSA of Destination/Export of Manual Closure of movement

### Task Notify Consignee of Manual Closure of movement

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Consignee of Manual Closure of movement
Task Type	Send task
Description	EMCS sends the Manual Closure Response message (IE881:C_MNC_RES) to the respective Consignee.
Actor	EMCS
Input Data	C_MNC_RES
Output Data	

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Previous Objects	Perform change of state to e-AD Manually Closed
Information Exchange	Manual closure response
Requirements	ACO_FUR_0194
Data Set	
Events	
State	

Table 5.574: Task Notify Consignee of Manual Closure of movement

### Event Manual Closure rejection has been sent to the MSA of Destination/Export

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Manual Closure rejection has been sent to the MSA of Destination/Export
Event Type	End event
Description	The Manual Closure Response message (IE881:C_MNC_RES) has been sent to the MSA of Destination/Export.
Previous Objects	Notify MSA of Destination/Export of the rejection of the Manual Closure request

Table 5.575: Event Manual Closure rejection has been sent to the MSA of Destination/Export

### Task Notify Consignor of Manual Closure of movement

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Consignor of Manual Closure of movement
Task Type	Send task
Description	EMCS sends the Manual Closure Response message (IE881:C_MNC_RES) to the respective Consignor.
Actor	EMCS
Input Data	C_MNC_RES
Output Data	
Previous Objects	Notify MSA of Destination/Export of Manual Closure of movement
Information Exchange	Manual closure response
Requirements	ACO_FUR_0193
Data Set	

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Events	
State	

Table 5.576: Task Notify Consignor of Manual Closure of movement

### Event Manual Closure acceptance has been sent to the Consignee

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Manual Closure acceptance has been sent to the Consignee
Event Type	End event
Description	
Previous Objects	Notify Consignee of Manual Closure of movement

Table 5.577: Event Manual Closure acceptance has been sent to the Consignee

### Task Handle Timers Associated with a Manually Closed movement

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Timers Associated with a Manually Closed movement
Task Type	Subprocess (collapsed)
Description	
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Notify Consignor of Manual Closure of movement
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.578: Task Handle Timers Associated with a Manually Closed movement

### Event Manual Closure acceptance has been sent to the MSA of Destination/Export and Consignor

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Manual Closure acceptance has been sent to the MSA of Destination/Export and Consignor
Event Type	End event
Description	The Manual Closure Response message (IE881:C_MNC_RES) has been

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	sent to the MSA of Destination/Export and the respective Consignor.
Previous Objects	Handle Timers Associated with a Manually Closed movement

Table 5.579: Event Manual Closure acceptance has been sent to the MSA of Destination/Export and Consignor

## 5.26. Process L4-CORE-01-00-Core Master Process

### 5.26.1. Objective of Process

The purpose of this diagram is to present in one diagram the main flow concerning Core process. This diagram mainly focuses on the successful path. For more details please check the respective L4 BPMN diagrams.

### 5.26.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-CORE-01-00
Full Name	Core Master Process
Assumptions	In these specifications, unless explicitly stated otherwise, the terms "consignor" and "consignee" mean any type of consignor and consignee respectively. For instance, "consignor" may mean authorised warehouse keeper, or registered consignor, or certified consignor or temporary certified consignor. The specific type of operator will be indicated only when a process, rule, condition, etc. requires it (i.e. applies only to one or more specific types of consignors or of consignees).
Constraints	No constraints.
L3 Reference Models	N/A
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.580: Process Details

### 5.26.3. Tasks and Events

#### Task Submit and Register e-AD/e-SAD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Submit and Register e-AD/e-SAD
Task Type	Call activity (collapsed)
Description	
Actor	Excise Authority
Input Data	
Output Data	

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Previous Objects	Excise Goods to be moved inside the territory of the European Union
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.581: Task Submit and Register e-AD/e-SAD

### Task Issue reminder at expiry of timer for Update of Destination Fields (TIM\_FDF)

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Issue reminder at expiry of timer for Update of Destination Fields (TIM_FDF)
Task Type	Call activity (collapsed)
Description	
Actor	Excise Authority
Input Data	
Output Data	
Previous Objects	Has timer TIM_FDF expired?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.582: Task Issue reminder at expiry of timer for Update of Destination Fields (TIM\_FDF)

### Event Excise Goods to be moved inside the territory of the European Union

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Excise Goods to be moved inside the territory of the European Union
Event Type	Start event
Description	
Previous Objects	

Table 5.583: Event Excise Goods to be moved inside the territory of the European Union

### Task Perform Alert or Rejection

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Alert or Rejection
Task Type	Call activity (collapsed)
Description	
Actor	Excise Authority
Input Data	
Output Data	
Previous Objects	Decision to perform Alert or Rejection?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.584: Task Perform Alert or Rejection

#### Task Issue reminder at expiry of timer for Change of Destination (TIM\_CHS)

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Issue reminder at expiry of timer for Change of Destination (TIM_CHS)
Task Type	Call activity (collapsed)
Description	
Actor	Excise Authority
Appears also in the following processes	L4-CORE-01-00-Core Master Process
Input Data	
Output Data	
Previous Objects	Has timer TIM_CHS expired?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.585: Task Issue reminder at expiry of timer for Change of Destination (TIM\_CHS)

#### Task Issue Reminder at expiry of time limit for Report of Receipt/Export

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TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Issue Reminder at expiry of time limit for Report of Receipt/Export
Task Type	Call activity (collapsed)
Description	
Actor	Excise Authority
Input Data	
Output Data	
Previous Objects	Has timer TIM_EAD_ESAD expired?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.586: Task Issue Reminder at expiry of time limit for Report of Receipt/Export

### Task Perform Report of Receipt/Export

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Report of Receipt/Export
Task Type	Call activity (collapsed)
Description	
Actor	Excise Authority
Input Data	
Output Data	
Previous Objects	Issue Reminder at expiry of time limit for Report of Receipt/Export Has timer TIM_EAD_ESAD expired?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.587: Task Perform Report of Receipt/Export

### Task Manage Explanations on Reason for Shortages or Excesses

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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PROCESS MODELS

Task Name	Manage Explanations on Reason for Shortages or Excesses
Task Type	Call activity (collapsed)
Description	
Actor	Excise Authority
Input Data	
Output Data	
Previous Objects	Are Shortages or Excesses declared in the Report of Receipt/Export?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.588: Task Manage Explanations on Reason for Shortages or Excesses

### Task Manage Information on Intended Claims

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Manage Information on Intended Claims
Task Type	Call activity (collapsed)
Description	
Actor	Excise Authority
Input Data	
Output Data	
Previous Objects	Manage Explanations on Reason for Shortages or Excesses
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.589: Task Manage Information on Intended Claims

### Task Perform Cancellation of the e-AD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Cancellation of the e-AD
Task Type	Call activity (collapsed)

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Description	
Actor	Excise Authority
Input Data	
Output Data	
Previous Objects	Movement to be Cancelled? (Duty Suspension only)
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.590: Task Perform Cancellation of the e-AD

### Event Delivery has been accepted and Movement has ended

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Delivery has been accepted and Movement has ended
Event Type	End event
Description	
Previous Objects	What does the Report of Receipt/Export indicate?

Table 5.591: Event Delivery has been accepted and Movement has ended

### Task Issue reminder at expiry of timer for Change of Destination (TIM\_CHS)

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Issue reminder at expiry of timer for Change of Destination (TIM_CHS)
Task Type	Call activity (collapsed)
Description	
Actor	Excise Authority
Appears also in the following processes	L4-CORE-01-00-Core Master Process
Input Data	
Output Data	
Previous Objects	Has timer TIM_CHS expired?
Information Exchange	
Requirements	

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Data Set	
Events	
State	

Table 5.592: Task Issue reminder at expiry of timer for Change of Destination (TIM\_CHS)

### Event e-AD has been Cancelled

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	e-AD has been Cancelled
Event Type	End event
Description	
Previous Objects	Perform Cancellation of the e-AD

Table 5.593: Event e-AD has been Cancelled

### Task Perform Splitting of the Consignment

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Splitting of the Consignment
Task Type	Call activity (collapsed)
Description	
Actor	Excise Authority
Input Data	
Output Data	
Previous Objects	Movement to be Split or Destination to be Changed?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.594: Task Perform Splitting of the Consignment

### Task Perform Change of Destination for the Consignment

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Change of Destination for the Consignment
Task Type	Call activity (collapsed)
Description	

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Actor	Excise Authority
Input Data	
Output Data	
Previous Objects	Movement to be Split or Destination to be Changed?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.595: Task Perform Change of Destination for the Consignment

### Event The e-AD has been replaced by two or several new e-ADs

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	The e-AD has been replaced by two or several new e-ADs
Event Type	End event
Description	
Previous Objects	Perform Splitting of the Consignment

Table 5.596: Event The e-AD has been replaced by two or several new e-ADs

## 5.27. Process L4-CORE-01-01-Submit and Register e-AD/e-SAD

### 5.27.1. Objective of Process

The purpose of this process is to describe all possible paths in the electronic flow of the-AD/e-SAD for a movement of Excise Goods under suspension of Excise Duty (inside the territory of the European Union), in accordance with the current Common Specifications (FESS and DDNEA).

The origin of the movement of Excise Goods under suspension of Excise Duty can be a Tax warehouse or Import. The destination of the movement of Excise Goods (under suspension of Excise Duty) can be a Tax warehouse, a Registered consignee, a Temporary registered consignee, a Direct Delivery, an Exempted consignee (\*) or Export.

The origin of the movement of Excise Goods for Duty Paid B2B can be 'Duty Paid B2B'. The destination of the movement of Excise Goods for Duty Paid B2B can be a Certified consignee or a Temporary certified consignee.

The process is triggered when the EMCS at MSA of Dispatch receives a draft e-AD/e-SAD (IE815) from the Consignor. After the receipt of the draft e-AD/e-SAD, the EMCS at the MSA of Dispatch validates its data, by using the “Perform validation of draft e-AD/e-SAD” sub process. Then, the EMCS at MSA of Dispatch proceeds with the handling of the validation results by using the “Handle validation results of draft e-AD/e-SAD” call activity. Depending on the outcome (end event) of the “Handle validation results of draft e-AD/e-SAD” call activity, the following may happen:

- a. If a refusal message (IE917/IE704) is sent to the Consignor (since the draft e-AD/e-SAD was found invalid), the whole process ends at this point;
- b. If the e-AD/e-SAD (IE801) is recorded (since the draft e-AD/e-SAD was found valid), the process continues.

Then, the EMCS at MSA of Dispatch sets the state of the e-AD/e-SAD to Accepted or Accepted for Export (in case of Local Clearance at Export) and notifies the Consignor of the e-AD/e-SAD (IE801).

Following, the EMCS at MSA of Dispatch identifies whether the destination of the movement is known:

- In case the destination of the movement is unknown -only applicable for movements under suspension (i.e. the destination fields were left empty according to Article 22(1) and (2)), the EMCS at MSA of Dispatch starts the timer TIM\_FDF (to expire at the limit date for filling in these fields) and the process continues;
- In case the destination of the movement is known, the EMCS at MSA of Dispatch notifies the MSA of Destination/ MSA of Export of the e-AD/e-SAD (IE801) and the process continues.

Then, the EMCS at MSA of Dispatch starts the timer TIM\_EAD\_ESAD at the date of dispatch (to expire at the expected end of movement, i.e. date of dispatch plus journey time - with the addition, for a Duty Paid movement, of the value of the common system parameter “Time To Complete Fiscal Formalities for Duty Paid Movements”) and the process continues.

After the receipt of the e-AD/e-SAD, the EMCS at MSA of Destination/ MSA of Export proceeds with validating its data, by using the “Perform validation of e-AD/e-SAD” sub process. Then, the EMCS at MSA of Destination/ MSA of Export proceeds with the handling of the validation results by using the “Handle validation results of e-AD/e-SAD” call activity. Depending on the outcome (end event) of the “Handle validation results of e-AD/e-SAD” call activity, the following may happen:

- a. If a refusal message (IE917/IE906) is sent to the MSA of Dispatch (since the e-AD/e-SAD was found invalid), the whole process ends at this point;
- b. If the e-AD/e-SAD is recorded (since the e-AD/e-SAD was found valid), the process continues.

Then, the EMCS at MSA of Destination/ MSA of Export sets state of the e-AD/e-SAD to Accepted or Accepted for Export (in case of Local Clearance at Export). After this:

- In case of MSA of Export, the whole process ends here;
- In case of MSA of Destination, the EMCS at MSA of Destination notifies the Consignee of the e-AD/e-SAD (IE801) and the whole process ends.

(\* The exempted consignees (Article 11 and 12 of the (EU) 2020/262) will be dealt separately.

### 5.27.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-CORE-01-01
Full Name	Submit and Register an e-AD/e-SAD

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Assumptions	<ul style="list-style-type: none"> <li>The e-AD should be submitted as short as possible before the day of dispatch, no longer than 1 week (7 calendar days) before this day.</li> </ul>
Constraints	No constraints.
L3 Reference Models	L3-CORE-01-01-Submit and Register an e-AD
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.597: Process Details

### 5.27.3. Tasks and Events

#### Task Perform validation of draft e-AD/e-SAD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform validation of draft e-AD/e-SAD
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-04-Perform validation of External Domain message
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Draft e-AD/e-SAD received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.598: Task Perform validation of draft e-AD/e-SAD

#### Event Draft e-AD/e-SAD received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Draft e-AD/e-SAD received
Event Type	Message start event
Description	The current process starts when the MSA of Dispatch receives a draft e-AD/e-SAD (IE815) from the Consignor.
Appears also in the following processes	2014_3_L4-CBC-01-01-Submit and Register e-AD

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Previous Objects	Consignor
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Table 5.599: Event Draft e-AD/e-SAD received

### Task Handle validation results of draft e-AD/e-SAD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle validation results of draft e-AD/e-SAD
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-06-Handle validation Results of External Domain message
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Perform validation of draft e-AD/e-SAD
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.600: Task Handle validation results of draft e-AD/e-SAD

### Event Refusal message sent to Consignor since draft e-AD/e-SAD had been found invalid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message sent to Consignor since draft e-AD/e-SAD had been found invalid
Event Type	End event
Description	
Previous Objects	What is the outcome of the handling of validation results?

Table 5.601: Event Refusal message sent to Consignor since draft e-AD/e-SAD had been found invalid

### Event e-AD/e-SAD recorded since draft e-AD/e-SAD had been found valid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	e-AD/e-SAD recorded since draft e-AD/e-SAD had been found valid
Event Type	Conditional intermediate event
Description	The e-AD (IE801) was recorded since the draft e-AD (IE815) had been

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	found valid and the process continues.
Previous Objects	What is the outcome of the handling of validation results?

Table 5.602: Event e-AD/e-SAD recorded since draft e-AD/e-SAD had been found valid

### Task Set e-AD/e-SAD state to Accepted or Accepted for Export

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Set e-AD/e-SAD state to Accepted or Accepted for Export
Task Type	Service task
Description	If the movement is not for Local Clearance at Export, the MSA sets the e-AD/e-SAD to the Accepted state.  If the movement is for Local Clearance at Export, the MSA sets the e-AD to the Accepted for Export state
Actor	EMCS
Appears also in the following processes	L4-CORE-01-01-Submit and Register e-AD/e-SAD
Input Data	Destination Type Code Sequence Number ARC
Output Data	e-AD/e-SAD state
Previous Objects	e-AD/e-SAD recorded since draft e-AD/e-SAD had been found valid
Information Exchange	
Requirements	COR_FUR_0001
Data Set	e-AD/e-SAD state
Events	the Destination Type of the movement is anything but “6 = Destination - Export” the Destination Type of the movement is “6 = Destination - Export”
State	Accepted Accepted for Export

Table 5.603: Task Set e-AD/e-SAD state to Accepted or Accepted for Export

### Task Notify Consignor of e-AD/e-SAD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Notify Consignor of e-AD/e-SAD
Task Type	Send task
Description	The MSA of Dispatch notifies the Consignor of the e-AD/e-SAD (IE801).
Actor	EMCS
Appears also in the following processes	L4-CORE-01-19-Forward e-AD(s) to Consignor 2014_3_L4-CBC-01-01-Submit and Register e-AD
Input Data	C_EAD_VAL
Output Data	
Previous Objects	Set e-AD/e-SAD state to Accepted or Accepted for Export
Information Exchange	e-AD/e-SAD
Requirements	COR_FUR_0002
Data Set	
Events	
State	

Table 5.604: Task Notify Consignor of e-AD/e-SAD

### Task Identify whether Destination of the movement is known (Duty Suspension only)

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify whether Destination of the movement is known (Duty Suspension only)
Task Type	Service task
Description	The MSA of Dispatch identifies whether the destination of the movement is known (i.e. whether the destination fields in the e-AD/e-SAD (IE801) are filled in).  Note: This task is only performed for movements of goods under duty suspension.
Actor	EMCS
Appears also in the following processes	L4-CORE-01-20-Forward e-AD(s) to MSA of Destination 2014_3_L4-CBC-01-01-Submit and Register e-AD
Input Data	ARC Destination Type Code Sequence Number

Output Data	Result Identify whether the destination of the movement is known
Previous Objects	Notify Consignor of e-AD/e-SAD
Information Exchange	
Requirements	COR_FUR_0003
Data Set	
Events	
State	

Table 5.605: Task Identify whether Destination of the movement is known (Duty Suspension only)

### Task Start timer TIM\_FDF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Start timer TIM_FDF
Task Type	Service task
Description	<p>If the destination of the movement is unknown (i.e. the destination fields were left empty according to Article 22 of Council Directive 2020/262), the MSA of Dispatch starts a timer (TIM_FDF) to expire at the limit date for filling in these fields.</p> <p>That limit date cannot be later than the expected end of movement (i.e. the expiry of the TIM_EAD_ESAD timer).</p> <p>TIM_FDF is a timer associated with the filling in of destination fields (Article 22(1) of Directive 2020/262). Its Expiry Date is Date of dispatch + national parameter and cannot be more than the expiry date of TIM_EAD_ESAD.</p>
Actor	EMCS
Appears also in the following processes	L4-CORE-01-20-Forward e-AD(s) to MSA of Destination 2014_3_L4-CBC-01-01-Submit and Register e-AD
Input Data	Result Identify whether the destination of the movement is known ARC Sequence Number
Output Data	TIM_FDF Expiry Date
Previous Objects	Is the destination of the movement known?
Information Exchange	

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Requirements	COR_FUR_0004
Data Set	
Events	
State	

Table 5.606: Task Start timer TIM\_FDF

### Task Notify MSA of Destination/ MSA of Export of e-AD/e-SAD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify MSA of Destination/ MSA of Export of e-AD/e-SAD
Task Type	Send task
Description	If the destination of the movement is known, the MSA of Dispatch notifies the MSA of Destination/ MSA of Export of the e-AD/e-SAD (IE801).
Actor	EMCS
Appears also in the following processes	L4-CORE-01-20-Forward e-AD(s) to MSA of Destination 2014_3_L4-CBC-01-01-Submit and Register e-AD
Input Data	C_EAD_VAL
Output Data	
Previous Objects	Is the destination of the movement known?
Information Exchange	e-AD/e-SAD
Requirements	COR_FUR_0005
Data Set	
Events	
State	

Table 5.607: Task Notify MSA of Destination/ MSA of Export of e-AD/e-SAD

### Task Start timer TIM\_EAD\_ESAD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Start timer TIM_EAD_ESAD
Task Type	Service task
Description	When the submitted e-AD/e-SAD is valid, the MSA dispatch application starts the TIM_EAD_ESAD timer to expire at the expected end of the movement, which is the date of dispatch plus the journey time for Duty Suspension movements, while for Duty Paid movements it is the date of

	dispatch plus the journey time plus the offset defined in the common system parameter “Time To Complete Fiscal Formalities for Duty Paid Movements”.
Actor	EMCS
Appears also in the following processes	L4-CORE-01-19-Forward e-AD(s) to Consignor 2014_3_L4-CBC-01-01-Submit and Register e-AD
Input Data	Sequence Number ARC
Output Data	Timer TIM_EAD_ESAD Expiry Date
Previous Objects	Merging gateway for timers initiation
Information Exchange	
Requirements	COR_FUR_0006
Data Set	
Events	
State	

Table 5.608: Task Start timer TIM\_EAD\_ESAD

### Event e-AD/e-SAD received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	e-AD/e-SAD received
Event Type	Message start event
Description	The MSA of Destination received the e-AD/e-SAD (IE801) from the MSA of Dispatch.
Appears also in the following processes	2014_3_L4-CBC-01-01-Submit and Register e-AD
Previous Objects	Notify MSA of Destination/ MSA of Export of e-AD/e-SAD

Table 5.609: Event e-AD/e-SAD received

### Task Perform validation of e-AD/e-SAD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform validation of e-AD/e-SAD
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-03-Perform

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	validation of Common Domain message
Actor	EMCS
Appears also in the following processes	L4-CORE-01-20-Forward e-AD(s) to MSA of Destination L4-CORE-AS-IS-01-0x-Perform change of destination L4-CORE-01-16-Handle e-AD/e-SAD upon Change of Destination
Input Data	
Output Data	
Previous Objects	e-AD/e-SAD received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.610: Task Perform validation of e-AD/e-SAD

**Event e-AD/e-SAD is in Accepted or Accepted for Export state is in Accepted or Accepted for Export state**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	e-AD/e-SAD is in Accepted or Accepted for Export state is in Accepted or Accepted for Export state
Event Type	End event
Description	The e-AD/e-SAD is in the Accepted or Accepted for Export state.
Appears also in the following processes	2014_3_L4-CBC-01-01-Submit and Register e-AD
Previous Objects	Start timer TIM_EAD_ESAD

Table 5.611: Event e-AD/e-SAD is in Accepted or Accepted for Export state is in Accepted or Accepted for Export state

**Task Handle validation results of e-AD/e-SAD**

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle validation results of e-AD/e-SAD
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-05-Handle validation Results of Common Domain message
Actor	EMCS
Appears also in the following	L4-CORE-01-20-Forward e-AD(s) to MSA of Destination

processes	L4-CORE-AS-IS-01-0x-Perform change of destination L4-CORE-01-16-Handle e-AD/e-SAD upon Change of Destination
Input Data	
Output Data	
Previous Objects	Perform validation of e-AD/e-SAD
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.612: Task Handle validation results of e-AD/e-SAD

### Event Refusal message sent to MSA of Dispatch since e-AD/e-SAD had been found invalid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message sent to MSA of Dispatch since e-AD/e-SAD had been found invalid
Event Type	End event
Description	A Refusal message (IE917/IE906) was sent to MSA of Dispatch since the e-AD/e-SAD (IE801) had been found invalid and the process ends at this point.
Appears also in the following processes	L4-CORE-AS-IS-01-0x-Perform change of destination
Previous Objects	What is the outcome of the handling of validation results?

Table 5.613: Event Refusal message sent to MSA of Dispatch since e-AD/e-SAD had been found invalid

### Event e-AD/e-SAD recorded since it had been found valid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	e-AD/e-SAD recorded since it had been found valid
Event Type	Conditional intermediate event
Description	The e-AD/e-SAD (IE801) was recorded since it had been found valid and the process continues.
Appears also in the following processes	L4-CORE-AS-IS-01-0x-Perform change of destination
Previous Objects	What is the outcome of the handling of validation results?

Table 5.614: Event e-AD/e-SAD recorded since it had been found valid

### Task Set e-AD/e-SAD state to Accepted or Accepted for Export

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Set e-AD/e-SAD state to Accepted or Accepted for Export
Task Type	Service task
Description	If the movement is not for Local Clearance at Export, the MSA sets the e-AD/e-SAD to the Accepted state.  If the movement is for Local Clearance at Export, the MSA sets the e-AD to the Accepted for Export state
Actor	EMCS
Appears also in the following processes	L4-CORE-01-01-Submit and Register e-AD/e-SAD
Input Data	Destination Type Code Sequence Number ARC
Output Data	e-AD/e-SAD state
Previous Objects	e-AD/e-SAD recorded since it had been found valid
Information Exchange	
Requirements	COR_FUR_0001
Data Set	e-AD/e-SAD state
Events	the Destination Type of the movement is anything but “6 = Destination - Export” the Destination Type of the movement is “6 = Destination - Export”
State	Accepted Accepted for Export

Table 5.615: Task Set e-AD/e-SAD state to Accepted or Accepted for Export

### Task Notify Consignee of e-AD/e-SAD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Consignee of e-AD/e-SAD
Task Type	Send task
Description	The MSA of Destination notifies the Consignee of the e-AD/e-SAD (IE801).

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Actor	EMCS
Appears also in the following processes	L4-CORE-01-20-Forward e-AD(s) to MSA of Destination 2014_3_L4-CBC-01-01-Submit and Register e-AD
Input Data	C_EAD_VAL
Output Data	
Previous Objects	Is the destination of the movement for Export?
Information Exchange	e-AD/e-SAD
Requirements	COR_FUR_0007
Data Set	
Events	
State	

Table 5.616: Task Notify Consignee of e-AD/e-SAD

#### Event e-AD found valid and received by MSA of Export

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	e-AD found valid and received by MSA of Export
Event Type	End event
Description	The e-AD (IE801) was found valid and received by MSA of Export. At this point the process ends.
Previous Objects	Is the destination of the movement for Export?

Table 5.617: Event e-AD found valid and received by MSA of Export

#### Event e-AD/e-SAD found valid and message sent to Consignee

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	e-AD/e-SAD found valid and message sent to Consignee
Event Type	End event
Description	The e-AD/e-SAD (IE801) was found valid, the MSA of Destination sent the e-AD/e-SAD (IE801) to the Consignee and the process ends.
Appears also in the following processes	2014_3_L4-CBC-01-01-Submit and Register e-AD
Previous Objects	Notify Consignee of e-AD/e-SAD

Table 5.618: Event e-AD/e-SAD found valid and message sent to Consignee

## 5.28. Process L4-CORE-01-02-Perform Report of Receipt/Export

### 5.28.1. Objective of Process

The purpose of this process is to describe all possible paths in the electronic flow of the Report of Receipt/Export for an Excise movement (inside the territory of the European Union), in accordance with the current Common Specifications (FESS and DDNEA).

The process is triggered when:

- The EMCS at MSA of Destination receives a draft Report of Receipt (draft IE818) from the Consignee; or
- The EMCS at MSA of Export receives Exit Results (IE518) from the Customs Office of Export.

In case the process starts when the EMCS at MSA of Destination receives a draft Report of Receipt (draft IE818) from the Consignee, the EMCS at MSA of Destination proceeds with validating the data of the draft Report of Receipt, by using the “Perform validation of draft Report of Receipt” sub process. Then, the EMCS at MSA of Destination proceeds with the handling of the validation results by using the “Handle validation results of draft Report of Receipt” call activity. Depending on the outcome (end event) of the “Handle validation results of draft Report of Receipt” call activity, the following may happen:

- a. If a refusal message (IE917/IE704) is sent to the Consignee (since the e-AD/e-SAD was found invalid), the whole process ends at this point;
- b. If the Report of Receipt (IE818) is recorded (since the draft Report of Receipt was found valid), the process continues.

Then, the EMCS at MSA of Destination identifies whether the content of the Report of Receipt indicates that the consignment has been accepted, partially refused or refused. Based on this, it changes the e-AD/e-SAD state to Delivered, Partially Refused or Refused, respectively. After this, the EMCS at MSA of Destination notifies the Consignee of the Report of Receipt (IE818) and the process continues.

In case the process starts when the EMCS at MSA of Export receives Exit Results (IE518) from the Customs Office of Export, the EMCS at MSA of Export identifies whether the content of the Exit Results indicates that the consignment has been accepted or refused. Based on this, it builds either a Report of Export (IE818) indicating acceptance or a Report of Export (IE818) indicating refusal, respectively. Subsequently, the EMCS at MSA of Export records the Report of Export. Then, it changes the e-AD/e-SAD state to Delivered or Refused and the process continues.

Then, the EMCS at MSA of Destination/ MSA of Export notifies the MSA of Dispatch of the Report of Receipt/Export (IE818). After the receipt of the Report of Receipt/Export, the EMCS at MSA of Dispatch proceeds with validating its data, by using the “Perform validation of Report of Receipt/Export” sub process. Then, the EMCS at MSA of Dispatch proceeds with the handling of the validation results by using the “Handle validation results of Report of Receipt/Export” call activity. Depending on the outcome (end event) of the “Handle validation results of Report of Receipt/Export” call activity, the following may happen:

- a. If a refusal message (IE917/IE906) is sent to the MSA of Destination/ MSA of Export (since the Report of Receipt/Export was found invalid), the whole process ends at this point;

b. If the Report of Receipt/Export is recorded (since the Report of Receipt/Export was found valid), the process continues.

Then, the EMCS at MSA of Dispatch identifies whether it is a Report of Receipt or a Report of Export. In case of Report of Receipt, the EMCS at MSA of Dispatch identifies whether the content of the Report of Receipt indicates that the consignment has been accepted, partially refused or refused. Based on this, it changes the e-AD/e-SAD state to Delivered, Partially Refused or Refused, respectively. In case of Report of Export, the EMCS at MSA of Dispatch identifies whether the content of the Report of Export indicates that the consignment has been accepted or refused. Based on this, it changes the e-AD/e-SAD state to Delivered or Refused, respectively. After this, the EMCS at MSA of Dispatch notifies the Consignor of the Report of Receipt/Export (IE818) and the process continues.

Following, in case the Report of Receipt/Export indicated that the consignment has been partially refused or refused, the EMCS at MSA of Dispatch starts the timer TIM\_CHS (to expire at the limit date for submission of a change of destination (IE813) or splitting (IE825)) and the whole process ends here.

In case the Report of Receipt/Export indicated that the consignment has been accepted, the EMCS at MSA of Dispatch identifies whether the timer TIM\_EAD\_ESAD is still running:

- If the TIM\_EAD\_ESAD is still running, the EMCS at MSA of Dispatch stops this timer (that was to expire at the expected end of the movement) and the whole process ends;
- If the TIM\_EAD\_ESAD has already expired, the EMCS at MSA of Dispatch resets the flag that has been raised locally (at expiration time) and finally the whole process ends.

### 5.28.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-CORE-01-02
Full Name	Perform Report of Receipt/Export
Assumptions	The Consignee has an up-to-date copy of the e-AD regarding the movement
Constraints	No constraints.
L3 Reference Models	L3-CORE-01-02-Perform Report of Receipt/ Export
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.619: Process Details

### 5.28.3. Tasks and Events

#### Task Identify whether the Consignment has been Accepted or Refused

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Identify whether the Consignment has been Accepted or Refused
Task Type	Service task
Description	The MSA identifies if the Consignment has been accepted (accepted and satisfactory or accepted although unsatisfactory) or refused, based on the Control Result Code of the received Exit Results (IE518).
Actor	EMCS
Input Data	UCC - Control Result Code
Output Data	Result Identify whether the Consignment has been Accepted or Refused
Previous Objects	Exit Results received
Information Exchange	
Requirements	COR_FUR_0008
Data Set	
Events	
State	

Table 5.620: Task Identify whether the Consignment has been Accepted or Refused

### Event Exit Results received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Exit Results received
Event Type	Message start event
Description	The current process can start when the MSA of Export receives Exit Results (IE518) from the Customs Office of Export.
Previous Objects	Customs Office of Export

Table 5.621: Event Exit Results received

### Task Build Report of Export indicating Refusal

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build Report of Export indicating Refusal
Task Type	Service task
Description	If the Consignment has been refused the MSA of Export builds a Report of Export (IE818) indicating Refusal.
Actor	EMCS
Input Data	UCC - Control Result Code

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Output Data	
Previous Objects	Is the Consignment Accepted or Refused?
Information Exchange	Report of Receipt/Export
Requirements	COR_FUR_0009
Data Set	
Events	
State	

Table 5.622: Task Build Report of Export indicating Refusal

### Task Build Report of Export indicating Acceptance

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build Report of Export indicating Acceptance
Task Type	Service task
Description	If the Consignment has been accepted, the MSA of Export builds a Report of Export (IE818) indicating Acceptance.
Actor	EMCS
Input Data	UCC - Control Result Code
Output Data	
Previous Objects	Is the Consignment Accepted or Refused?
Information Exchange	Report of Receipt/Export
Requirements	COR_FUR_0010
Data Set	
Events	
State	

Table 5.623: Task Build Report of Export indicating Acceptance

### Task Perform validation of draft Report of Receipt

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform validation of draft Report of Receipt
Task Type	Subprocess (collapsed)

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Description	This task is modeled in a separate process: L4-CORE-01-04-Perform validation of External Domain message
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Draft Report of Receipt received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.624: Task Perform validation of draft Report of Receipt

### Event Draft Report of Receipt received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Draft Report of Receipt received
Event Type	Message start event
Description	The current process can start when the MSA of Destination receives a draft Report of Receipt (IE818) from the Consignee.
Previous Objects	Consignee

Table 5.625: Event Draft Report of Receipt received

### Task Handle validation results of draft Report of Receipt

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle validation results of draft Report of Receipt
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-06-Handle validation Results of External Domain message
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Perform validation of draft Report of Receipt
Information Exchange	
Requirements	

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Data Set	
Events	
State	

Table 5.626: Task Handle validation results of draft Report of Receipt

### Task Record Report of Export

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Report of Export
Task Type	Service task
Description	The Report of Export (IE818) is stored.
Actor	EMCS
Input Data	C_DEL_DAT
Output Data	C_DEL_DAT
Previous Objects	Build Report of Export indicating Refusal Build Report of Export indicating Acceptance
Information Exchange	
Requirements	COR_FUR_0011
Data Set	
Events	
State	

Table 5.627: Task Record Report of Export

### Event Refusal message sent to Consignee since draft Report of Receipt had been found invalid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message sent to Consignee since draft Report of Receipt had been found invalid
Event Type	End event
Description	A Refusal message (IE917/IE704) was sent to the Consignee since the draft Report of Receipt (IE818) had been found invalid and the process ends.
Previous Objects	What is the outcome of the handling of validation results?

Table 5.628: Event Refusal message sent to Consignee since draft Report of Receipt had been found invalid

### Task Perform change of e-AD/e-SAD state to Delivered or Refused

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform change of e-AD/e-SAD state to Delivered or Refused
Task Type	Service task
Description	If the Consignment has been accepted the state of the e-AD/e-SAD is changed to Delivered. If the Consignment has been refused the state of the e-AD/e-SAD is changed to Refused.
Actor	EMCS
Appears also in the following processes	L4-CORE-01-02-Perform Report of Receipt/Export
Input Data	Global Conclusion of Receipt ARC Sequence Number
Output Data	e-AD/e-SAD state
Previous Objects	Record Report of Export
Information Exchange	
Requirements	COR_FUR_0012
Data Set	e-AD/e-SAD state
Events	A Report of Export indicating acceptance has been recorded A Report of Export indicating refusal has been recorded
State	Delivered Refused

Table 5.629: Task Perform change of e-AD/e-SAD state to Delivered or Refused

### Event Report of Receipt recorded since draft Report of Receipt had been found valid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Report of Receipt recorded since draft Report of Receipt had been found valid
Event Type	Conditional intermediate event
Description	The Report of Receipt (IE818) was recorded since the draft Report of Receipt (IE818) had been found valid and the process continues.
Previous Objects	What is the outcome of the handling of validation results?

Table 5.630: Event Report of Receipt recorded since draft Report of Receipt had been found valid

### Task Identify whether the Consignment has been Accepted, Partially Refused or Refused

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify whether the Consignment has been Accepted, Partially Refused or Refused
Task Type	Service task
Description	The MSA identifies if the Consignment has been accepted (accepted and satisfactory or accepted although unsatisfactory), partially refused or refused based on the Global Conclusion of Receipt of the received Report of Receipt (IE818).
Actor	EMCS
Appears also in the following processes	L4-CORE-01-02-Perform Report of Receipt/Export
Input Data	ARC Global Conclusion of Receipt Sequence Number
Output Data	Result Identify whether the Consignment has been Accepted, Partially Refused or Refused
Previous Objects	Report of Receipt recorded since draft Report of Receipt had been found valid
Information Exchange	
Requirements	COR_FUR_0013
Data Set	
Events	
State	

Table 5.631: Task Identify whether the Consignment has been Accepted, Partially Refused or Refused

### Task Perform change of e-AD/e-SAD state to Delivered, Partially Refused or Refused

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform change of e-AD/e-SAD state to Delivered, Partially Refused or Refused
Task Type	Service task
Description	If the Consignment has been accepted the state of the e-AD/e-SAD is changed to Delivered. If the Consignment has been partially refused the state of the e-AD/e-SAD is changed to Partially Refused. If the Consignment has been refused the state of the e-AD/e-SAD is changed to Refused.

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Actor	EMCS
Appears also in the following processes	L4-CORE-01-02-Perform Report of Receipt/Export
Input Data	Sequence Number Global Conclusion of Receipt ARC
Output Data	e-AD/e-SAD state
Previous Objects	Identify whether the Consignment has been Accepted, Partially Refused or Refused
Information Exchange	
Requirements	COR_FUR_0014
Data Set	e-AD/e-SAD state
Events	A Report of Receipt indicating refusal has been recorded A Report of Receipt indicating acceptance has been recorded A Report of Receipt indicating partial refusal has been recorded
State	Refused Partially Refused Delivered

Table 5.632: Task Perform change of e-AD/e-SAD state to Delivered, Partially Refused or Refused

### Task Notify Consignee of the Report of Receipt

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Consignee of the Report of Receipt
Task Type	Send task
Description	The MSA of Destination notifies the Consignee of the Report of Receipt (IE818).
Actor	EMCS
Input Data	C_DEL_DAT
Output Data	
Previous Objects	Perform change of e-AD/e-SAD state to Delivered, Partially Refused or Refused
Information Exchange	Report of Receipt/Export

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Requirements	COR_FUR_0015
Data Set	
Events	
State	

Table 5.633: Task Notify Consignee of the Report of Receipt

### Event Report of Receipt/Export received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Report of Receipt/Export received
Event Type	Message start event
Description	The MSA of Dispatch received the Report of Receipt/Export (IE818) from the MSA of Destination.
Previous Objects	Notify MSA of Dispatch of Report of Receipt/Export

Table 5.634: Event Report of Receipt/Export received

### Task Perform validation of Report of Receipt/Export

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform validation of Report of Receipt/Export
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-03-Perform validation of Common Domain message
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Report of Receipt/Export received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.635: Task Perform validation of Report of Receipt/Export

### Task Manage Duty

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Manage Duty
Task Type	Subprocess
Description	<p>The MSA of Destination performs any necessary formalities to ensure that the consignee has fulfilled all his/her legal obligations (e.g. payment of excise duty), before forwarding the Report of Receipt for a duty paid B2B movement to the MSA of Dispatch.</p> <p>It shall be highlighted that the Report of Receipt for Duty Paid B2B movements has two different implications depending on the transmitter.</p> <ul style="list-style-type: none"> <li>- from consignee to MS of Destination (“goods have been received”)</li> <li>- from MS of Destination to MS of Dispatch (“all necessary formalities fulfilled at destination” and consequently refund allowed)</li> </ul> <p>The MSA of Destination performs any necessary formalities to ensure that the consignee has fulfilled all his/her legal obligations (e.g. payment of excise duty), before forwarding the Report of Receipt for a duty paid B2B movement to the MSA of Dispatch. Therefore, it shall be noted that since there are additional fiscal formalities to be handled by the MSA destination application upon the reception of the Report of Receipt (IE818) from the consignee, there may be a delay in the sending of the Report of Receipt (IE818) from the MSA destination application to the MSA dispatch application. Once the applicable fiscal formalities are handled, the MSA destination application sends back the validated RoR to the consignee as a confirmation that the consignee has taken delivery of the goods and that all required formalities have been fulfilled at destination.”</p> <p>*This task is not modeled in a separate process because it is a national matter.</p>
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Is it a Duty Paid movement?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.636: Task Manage Duty

### Task Handle validation results of Report of Receipt/Export

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Handle validation results of Report of Receipt/Export
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-05-Handle validation Results of Common Domain message
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Perform validation of Report of Receipt/Export
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.637: Task Handle validation results of Report of Receipt/Export

#### Task Notify MSA of Dispatch of Report of Receipt/Export

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify MSA of Dispatch of Report of Receipt/Export
Task Type	Send task
Description	<p>The MSA of Destination notifies the MSA of Dispatch of Report of Receipt/Export (IE818).</p> <p>It shall be highlighted that the Report of Receipt for Duty Paid B2B movements has two different implications depending on the transmitter.</p> <ul style="list-style-type: none"> <li>- from consignee to MS of Destination (“goods have been received”)</li> <li>- from MS of Destination to MS of Dispatch (“all necessary formalities fulfilled at destination” and consequently refund allowed)</li> </ul>
Actor	EMCS
Input Data	C_DEL_DAT
Output Data	
Previous Objects	<p>Is it a Duty Paid movement?</p> <p>Manage Duty</p> <p>Perform change of e-AD/e-SAD state to Delivered or Refused</p>
Information Exchange	Report of Receipt/Export
Requirements	COR_FUR_0016

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Data Set	
Events	
State	

Table 5.638: Task Notify MSA of Dispatch of Report of Receipt/Export

### Event e-AD/e-SAD state changed into Delivered, Partially Refused or Refused

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	e-AD/e-SAD state changed into Delivered, Partially Refused or Refused
Event Type	End event
Description	The e-AD/e-SAD state at the MSA of Destination/ MSA of Export changed to Delivered, Partially Refused or Refused.
Previous Objects	Notify MSA of Dispatch of Report of Receipt/Export

Table 5.639: Event e-AD/e-SAD state changed into Delivered, Partially Refused or Refused

### Event Refusal message sent to MSA of Destination/ MSA of Export since Report of Receipt/Export had been found invalid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message sent to MSA of Destination/ MSA of Export since Report of Receipt/Export had been found invalid
Event Type	End event
Description	A Refusal message (IE917/IE906) was sent to the MSA of Destination/ MSA of Export since the Report of Receipt/Export (IE818) had been found invalid and the process ends at this point.
Previous Objects	What is the outcome of the handling of validation results?

Table 5.640: Event Refusal message sent to MSA of Destination/ MSA of Export since Report of Receipt/Export had been found invalid

### Event Report of Receipt/Export recorded since it had been found valid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Report of Receipt/Export recorded since it had been found valid
Event Type	Conditional intermediate event
Description	The Report of Receipt/Export (IE818) was recorded since it had been found valid and the process continues.
Previous Objects	What is the outcome of the handling of validation results?

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Table 5.641: Event Report of Receipt/Export recorded since it had been found valid

### Task Identify whether it is Report of Receipt or Report of Export

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify whether it is Report of Receipt or Report of Export
Task Type	Service task
Description	The MSA of Dispatch identifies if the received IE818 is a Report of Receipt or Report of Export. (This check can be done based on the Global Conclusion of Receipt of the received IE818).
Actor	EMCS
Input Data	Sequence Number ARC Global Conclusion of Receipt
Output Data	Result Identify whether it is Report of Receipt or Report of Export
Previous Objects	Report of Receipt/Export recorded since it had been found valid
Information Exchange	
Requirements	COR_FUR_0017
Data Set	
Events	
State	

Table 5.642: Task Identify whether it is Report of Receipt or Report of Export

### Task Identify whether the Consignment has been Accepted or Refused

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify whether the Consignment has been Accepted or Refused
Task Type	Service task
Description	The MSA identifies if the Consignment has been accepted (accepted and satisfactory or accepted although unsatisfactory) or refused, based on the Global Conclusion of Receipt of the received Report of Export (IE818).
Actor	EMCS
Input Data	ARC Sequence Number Global Conclusion of Receipt
Output Data	Result Identify whether the Consignment has been Accepted or Refused for

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	a Report for Export
Previous Objects	Report of Receipt or Report of Export?
Information Exchange	Report of Receipt/Export Report of Receipt/Export
Requirements	COR_FUR_0008 COR_FUR_0023
Data Set	
Events	
State	

Table 5.643: Task Identify whether the Consignment has been Accepted or Refused

### Task Identify whether the Consignment has been Accepted, Partially Refused or Refused

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify whether the Consignment has been Accepted, Partially Refused or Refused
Task Type	Service task
Description	The MSA identifies if the Consignment has been accepted (accepted and satisfactory or accepted although unsatisfactory), partially refused or refused based on the Global Conclusion of Receipt of the received Report of Receipt (IE818).
Actor	EMCS
Appears also in the following processes	L4-CORE-01-02-Perform Report of Receipt/Export
Input Data	ARC Global Conclusion of Receipt Sequence Number
Output Data	Result Identify whether the Consignment has been Accepted, Partially Refused or Refused
Previous Objects	Report of Receipt or Report of Export?
Information Exchange	
Requirements	COR_FUR_0013
Data Set	
Events	

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State	
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Table 5.644: Task Identify whether the Consignment has been Accepted, Partially Refused or Refused

### Task Perform change of e-AD/e-SAD state to Delivered or Refused

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform change of e-AD/e-SAD state to Delivered or Refused
Task Type	Service task
Description	If the Consignment has been accepted the state of the e-AD/e-SAD is changed to Delivered. If the Consignment has been refused the state of the e-AD/e-SAD is changed to Refused.
Actor	EMCS
Appears also in the following processes	L4-CORE-01-02-Perform Report of Receipt/Export
Input Data	Global Conclusion of Receipt ARC Sequence Number
Output Data	e-AD/e-SAD state
Previous Objects	Identify whether the Consignment has been Accepted or Refused
Information Exchange	
Requirements	COR_FUR_0012
Data Set	e-AD/e-SAD state
Events	A Report of Export indicating acceptance has been recorded A Report of Export indicating refusal has been recorded
State	Delivered Refused

Table 5.645: Task Perform change of e-AD/e-SAD state to Delivered or Refused

### Task Perform change of e-AD/e-SAD state to Delivered, Partially Refused or Refused

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform change of e-AD/e-SAD state to Delivered, Partially Refused or Refused
Task Type	Service task

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Description	If the Consignment has been accepted the state of the e-AD/e-SAD is changed to Delivered. If the Consignment has been partially refused the state of the e-AD/e-SAD is changed to Partially Refused. If the Consignment has been refused the state of the e-AD/e-SAD is changed to Refused.
Actor	EMCS
Appears also in the following processes	L4-CORE-01-02-Perform Report of Receipt/Export
Input Data	Sequence Number Global Conclusion of Receipt ARC
Output Data	e-AD/e-SAD state
Previous Objects	Identify whether the Consignment has been Accepted, Partially Refused or Refused
Information Exchange	
Requirements	COR_FUR_0014
Data Set	e-AD/e-SAD state
Events	A Report of Receipt indicating refusal has been recorded A Report of Receipt indicating acceptance has been recorded A Report of Receipt indicating partial refusal has been recorded
State	Refused Partially Refused Delivered

Table 5.646: Task Perform change of e-AD/e-SAD state to Delivered, Partially Refused or Refused

### Task Notify Consignor of Report of Receipt/Export

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Consignor of Report of Receipt/Export
Task Type	Send task
Description	The MSA of Dispatch notifies the Consignor of the Report of Receipt/Export (IE818).
Actor	EMCS
Input Data	C_DEL_DAT

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Output Data	
Previous Objects	Perform change of e-AD/e-SAD state to Delivered or Refused Perform change of e-AD/e-SAD state to Delivered, Partially Refused or Refused
Information Exchange	Report of Receipt/Export
Requirements	COR_FUR_0018
Data Set	
Events	
State	

Table 5.647: Task Notify Consignor of Report of Receipt/Export

### Task Start timer TIM\_CHS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Start timer TIM_CHS
Task Type	Service task
Description	<p>If the e-AD/e-SAD is rejected, the MSA of Dispatch application starts a timer (TIM_CHS) to expire at limit date for submission of a change of destination (or splitting) or, exceptionally, cancellation.</p> <p>TIM_CHS is a timer associated with the submission of a change of destination or of a splitting when the delivery is refused or the consignment is rejected. Its Expiry Date is Date of refusal/rejection + national parameter limited by a common system parameter.</p>
Actor	EMCS
Appears also in the following processes	L4-CORE-01-08-Perform Alert or Rejection L4-CORE-AS-IS-01-0x-Perform Alert or Rejection
Input Data	Movement Rejected flag Sequence Number Global Conclusion of Receipt ARC
Output Data	TIM_CHS Expiry Date
Previous Objects	Has the Consignment been accepted?
Information Exchange	
Requirements	COR_FUR_0019

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Data Set	
Events	
State	

Table 5.648: Task Start timer TIM\_CHS

### Task Identify whether the timer TIM\_EAD\_ESAD is still running

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify whether the timer TIM_EAD_ESAD is still running
Task Type	Service task
Description	If the Consignment has been accepted (accepted and satisfactory or accepted although unsatisfactory), the MSA of Dispatch identifies whether the timer TIM_EAD_ESAD is still running.
Actor	EMCS
Input Data	Sequence Number ARC Global Conclusion of Receipt Timer TIM_EAD_ESAD Expiry Date
Output Data	Result Identify whether the TIM_EAD_ESAD is still running
Previous Objects	Has the Consignment been accepted?
Information Exchange	
Requirements	COR_FUR_0020
Data Set	
Events	
State	

Table 5.649: Task Identify whether the timer TIM\_EAD\_ESAD is still running

### Event Report of Receipt/Export sent to Consignor and timer TIM\_CHS initiated

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Report of Receipt/Export sent to Consignor and timer TIM_CHS initiated
Event Type	End event
Description	When the Report of Receipt/Export (IE818) was found valid and the Consignment was Partially Refused or Refused, the MSA of Dispatch sent the Report of Report/Export (IE818) to the Consignor. The MSA of Dispatch also started the timer TIM_CHS to expire at the limit date for submission of a change of destination (IE813) or splitting* (IE825). This is the end of the process.

	* Splitting is only applicable for Duty Suspension
Previous Objects	Start timer TIM_CHS

Table 5.650: Event Report of Receipt/Export sent to Consignor and timer TIM\_CHS initiated

### Task Stop timer TIM\_EAD\_ESAD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Stop timer TIM_EAD_ESAD
Task Type	Service task
Description	If the timer TIM_EAD_ESAD is still running, the MSA of Dispatch stops this timer (that was to expire at the expected end of the movement).
Actor	EMCS
Input Data	ARC Sequence Number Result Identify whether the TIM_EAD_ESAD is still running
Output Data	TIM_EAD_ESAD End Date
Previous Objects	Is timer TIM_EAD_ESAD still running?
Information Exchange	
Requirements	COR_FUR_0021
Data Set	
Events	
State	

Table 5.651: Task Stop timer TIM\_EAD\_ESAD

### Task Reset the flag of timer TIM\_EAD\_ESAD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Reset the flag of timer TIM_EAD_ESAD
Task Type	Service task
Description	If the timer TIM_EAD_ESAD has already expired, the MSA of Dispatch resets the flag that has been raised locally (at expiration time).
Actor	EMCS
Input Data	Sequence Number Result Identify whether the TIM_EAD_ESAD is still running ARC

Output Data	TIM_EAD_ESAD Reset Flag Date
Previous Objects	Is timer TIM_EAD_ESAD still running?
Information Exchange	
Requirements	COR_FUR_0022
Data Set	
Events	
State	

Table 5.652: Task Reset the flag of timer TIM\_EAD\_ESAD

### Event Report of Receipt/Export sent to Consignor and TIM\_EAD\_ESAD either stopped or got flag reset

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Report of Receipt/Export sent to Consignor and TIM_EAD_ESAD either stopped or got flag reset
Event Type	End event
Description	When the Report of Receipt/Export (IE818) was found valid and the Consignment was Accepted, the MSA of Dispatch sent the Report of Report/Export (IE818) to the Consignor. The MSA of Dispatch also stopped the timer TIM_EAD_ESAD (in case the timer TIM_EAD_ESAD was still running) or reset the flag that had been raised locally at expiration time (in case the timer TIM_EAD_ESAD had already expired). This is the end of the process.
Previous Objects	Reset the flag of timer TIM_EAD_ESAD Stop timer TIM_EAD_ESAD

Table 5.653: Event Report of Receipt/Export sent to Consignor and TIM\_EAD\_ESAD either stopped or got flag reset

## 5.29. Process L4-CORE-01-05-Handle validation Results of Common Domain message

### 5.29.1. Objective of Process

The purpose of this process is to describe all possible paths in the electronic flow of the handling of the validation results of a message received over the Common Domain, in accordance with the current Common Specifications (FESS and DDNEA).

The process is triggered when a message received over the Common Domain is validated by the Receiving MSA and the validation results are available. Depending on the validation result the following may happen:

a. If the Common Domain message was found invalid due to Syntactic violation(s), the EMCS at the Receiving MSA builds a Negative Acknowledgement of XML Receipt refusal message (IE917) and then it records it. Following, the EMCS at the Receiving MSA notifies the Sending MSA of the Negative Acknowledgement of XML Receipt refusal message (IE917). After the receipt of the Negative Acknowledgement of XML Receipt refusal message, the EMCS at the Sending MSA records it and the whole process ends at this point;

b. If the Common Domain message was found invalid due to Coordination Protocol violation(s), the EMCS at the Receiving MSA builds a Functional Negative Acknowledgement refusal message (IE906) and then it records it. Following, the EMCS at the Receiving MSA notifies the Sending MSA of the Functional Negative Acknowledgement refusal message (IE906). After the receipt of the Functional Negative Acknowledgement refusal message, the EMCS at the Sending MSA records it and the whole process ends here;

c. If the Common Domain message was found valid, the EMCS at the Receiving MSA records the valid message and the whole process ends.

### 5.29.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-CORE-01-05
Full Name	Handle validation Results of Common Domain message
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	N/A
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.654: Process Details

### 5.29.3. Tasks and Events

#### Event Common Domain message validated and results are available

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Common Domain message validated and results are available
Event Type	Start event
Description	The validation mechanism has processed the Common Domain message and produced validation results. The current process starts when the validation results are available to be handled.
Previous Objects	

Table 5.655: Event Common Domain message validated and results are available

### Event Negative Acknowledgement of XML Receipt refusal message received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Negative Acknowledgement of XML Receipt refusal message received
Event Type	Message start event
Description	Sending MSA receives by Receiving MSA a Negative Acknowledgement of XML Receipt refusal message (IE917).
Previous Objects	Notify Sending MSA of Negative Acknowledgement of XML Receipt refusal message

Table 5.656: Event Negative Acknowledgement of XML Receipt refusal message received

### Task Build Negative Acknowledgement of XML Receipt refusal message

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build Negative Acknowledgement of XML Receipt refusal message
Task Type	Service task
Description	If the message is found invalid due to Syntactic violation(s), the MSA builds a Negative Acknowledgement of XML Receipt refusal message (IE917).
Actor	EMCS
Input Data	Result Identify if the Common Domain message is syntactically valid
Output Data	C_XML_NCK
Previous Objects	What is the Common Domain validation result?
Information Exchange	<ul style="list-style-type: none"> <li>movement download answer</li> <li>cancellation of an e-AD</li> <li>control report</li> <li>movement verification request</li> <li>explanation on reason for shortage</li> <li>Report of Receipt/Export</li> <li>movement verification answer</li> <li>reminder message for Excise movement</li> <li>notification of diverted e-AD/e-SAD</li> <li>history of a movement</li> <li>movement verification results</li> <li>list of e-AD/e-SAD as result of a general query</li> <li>administrative cooperation results</li> <li>change of destination</li> <li>movement download request</li> <li>alert or rejection of an e-AD/e-SAD</li> </ul>

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	reminder message for movement verification request refusal of common request event report interruption of movement administrative cooperation common request e-AD/e-SAD common request basis for recovery of duties reminder message for administrative cooperation answer message
Requirements	COR_FUR_0087
Data Set	
Events	
State	

Table 5.657: Task Build Negative Acknowledgement of XML Receipt refusal message

### Task Record Negative Acknowledgement of XML Receipt refusal message

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Negative Acknowledgement of XML Receipt refusal message
Task Type	Service task
Description	The Negative Acknowledgement of XML Receipt refusal message (IE917) is stored.
Actor	EMCS
Appears also in the following processes	L4-CORE-01-06-Handle validation Results of External Domain message
Input Data	C_XML_NCK
Output Data	C_XML_NCK
Previous Objects	Build Negative Acknowledgement of XML Receipt refusal message
Information Exchange	
Requirements	COR_FUR_0095
Data Set	
Events	
State	

Table 5.658: Task Record Negative Acknowledgement of XML Receipt refusal message

### Task Record valid message

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record valid message
Task Type	Service task
Description	The valid message is stored.
Actor	EMCS
Input Data	Result Identify if the Common Domain message passed Coordination Protocol validation successfully Result Identify if the Common Domain message is syntactically valid
Output Data	
Previous Objects	What is the Common Domain validation result?
Information Exchange	<p>movement verification results</p> <p>reminder message for Excise movement</p> <p>movement download request</p> <p>history of a movement</p> <p>movement verification request</p> <p>notification of diverted e-AD/e-SAD</p> <p>movement download answer</p> <p>explanation on reason for shortage</p> <p>alert or rejection of an e-AD/e-SAD</p> <p>list of e-AD/e-SAD as result of a general query</p> <p>reminder message for movement verification request</p> <p>movement download request</p> <p>basis for recovery of duties</p> <p>movement download answer</p> <p>Report of Receipt/Export</p> <p>change of destination</p> <p>e-AD/e-SAD</p> <p>event report</p> <p>common request</p> <p>event report</p> <p>refusal of common request</p> <p>administrative cooperation results</p> <p>administrative cooperation common request</p> <p>interruption of movement</p> <p>administrative cooperation common request</p> <p>Report of Receipt/Export</p> <p>answer message</p> <p>alert or rejection of an e-AD/e-SAD</p> <p>interruption of movement</p> <p>reminder message for movement verification request</p> <p>cancellation of an e-AD</p> <p>control report</p> <p>movement verification request</p> <p>answer message</p>

	cancellation of an e-AD e-AD/e-SAD reminder message for Excise movement movement verification answer movement verification answer control report reminder message for administrative cooperation common request history of a movement administrative cooperation results basis for recovery of duties change of destination explanation on reason for shortage notification of diverted e-AD/e-SAD reminder message for administrative cooperation refusal of common request movement verification results list of e-AD/e-SAD as result of a general query
Requirements	COR_FUR_0089
Data Set	
Events	
State	

Table 5.659: Task Record valid message

### Task Record Negative Acknowledgement of XML Receipt refusal message

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Negative Acknowledgement of XML Receipt refusal message
Task Type	Service task
Description	The Negative Acknowledgement of XML Receipt refusal message (IE917) is stored.
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Negative Acknowledgement of XML Receipt refusal message received
Information Exchange	Negative Acknowledgement of XML Receipt message Negative Acknowledgement of XML Receipt message
Requirements	COR_FUR_0095
Data Set	

Events	
State	

Table 5.660: Task Record Negative Acknowledgement of XML Receipt refusal message

### Event Functional Negative Acknowledgement refusal message received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Functional Negative Acknowledgement refusal message received
Event Type	Message start event
Description	Sending MSA receives by Receiving MSA a Functional Negative Acknowledgement refusal message.
Previous Objects	Notify Sending MSA of Functional Negative Acknowledgement refusal message

Table 5.661: Event Functional Negative Acknowledgement refusal message received

### Task Build Functional Negative Acknowledgement refusal message

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build Functional Negative Acknowledgement refusal message
Task Type	Service task
Description	If the message is found invalid due to Coordination Protocol violation(s), the MSA builds a Functional Negative Acknowledgement refusal message (IE906).
Actor	EMCS
Input Data	Result Identify if the Common Domain message passed Coordination Protocol validation successfully
Output Data	C_FUN_NCK
Previous Objects	What is the Common Domain validation result?
Information Exchange	movement verification request reminder message for Excise movement notification of diverted e-AD/e-SAD history of a movement administrative cooperation results answer message Report of Receipt/Export e-AD/e-SAD reminder message for movement verification request movement verification answer control report alert or rejection of an e-AD/e-SAD

	event report common request cancellation of an e-AD change of destination basis for recovery of duties movement download answer administrative cooperation common request explanation on reason for shortage movement verification results interruption of movement reminder message for administrative cooperation movement download request refusal of common request list of e-AD/e-SAD as result of a general query
Requirements	COR_FUR_0090
Data Set	
Events	
State	

Table 5.662: Task Build Functional Negative Acknowledgement refusal message

### Task Notify Sending MSA of Negative Acknowledgement of XML Receipt refusal message

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Sending MSA of Negative Acknowledgement of XML Receipt refusal message
Task Type	Send task
Description	The Receiving MSA notifies the Sending MSA of the Negative Acknowledgement of XML Receipt refusal message (IE917).
Actor	EMCS
Input Data	C_XML_NCK
Output Data	
Previous Objects	Record Negative Acknowledgement of XML Receipt refusal message
Information Exchange	Negative Acknowledgement of XML Receipt message
Requirements	COR_FUR_0088
Data Set	
Events	
State	

Table 5.663: Task Notify Sending MSA of Negative Acknowledgement of XML Receipt refusal message

### Task Record Functional Negative Acknowledgement refusal message

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Functional Negative Acknowledgement refusal message
Task Type	Service task
Description	The Functional Negative Acknowledgement refusal message (IE906) is stored.
Actor	EMCS
Input Data	C_FUN_NCK
Output Data	C_FUN_NCK
Previous Objects	Build Functional Negative Acknowledgement refusal message
Information Exchange	
Requirements	COR_FUR_0091
Data Set	
Events	
State	

Table 5.664: Task Record Functional Negative Acknowledgement refusal message

### Task Record Functional Negative Acknowledgement refusal message

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Functional Negative Acknowledgement refusal message
Task Type	Service task
Description	The Functional Negative Acknowledgement refusal message (IE906) is stored.
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Functional Negative Acknowledgement refusal message received
Information Exchange	Functional Negative Acknowledgement Functional Negative Acknowledgement
Requirements	COR_FUR_0091

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Data Set	
Events	
State	

Table 5.665: Task Record Functional Negative Acknowledgement refusal message

### Task Notify Sending MSA of Functional Negative Acknowledgement refusal message

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Sending MSA of Functional Negative Acknowledgement refusal message
Task Type	Send task
Description	The Receiving MSA notifies the Sending MSA of the Functional Negative Acknowledgement refusal message (IE906).
Actor	EMCS
Input Data	C_FUN_NCK
Output Data	
Previous Objects	Record Functional Negative Acknowledgement refusal message
Information Exchange	Functional Negative Acknowledgement
Requirements	COR_FUR_0092
Data Set	
Events	
State	

Table 5.666: Task Notify Sending MSA of Functional Negative Acknowledgement refusal message

### Event Negative Acknowledgement of XML Receipt refusal message received from Receiving MSA and recorded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Negative Acknowledgement of XML Receipt refusal message received from Receiving MSA and recorded
Event Type	End event
Description	The Sending MSA received and stored the Negative Acknowledgement of XML Receipt refusal message (IE917).

Previous Objects	Record Negative Acknowledgement of XML Receipt refusal message
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Table 5.667: Event Negative Acknowledgement of XML Receipt refusal message received from Receiving MSA and recorded

### Event Functional Negative Acknowledgement refusal message received from Receiving MSA and recorded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Functional Negative Acknowledgement refusal message received from Receiving MSA and recorded
Event Type	End event
Description	The Sending MSA received and stored the Functional Negative Acknowledgement refusal message (IE906).
Previous Objects	Record Functional Negative Acknowledgement refusal message

Table 5.668: Event Functional Negative Acknowledgement refusal message received from Receiving MSA and recorded

### Event Negative Acknowledgement of XML Receipt refusal message sent to Sending MSA

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Negative Acknowledgement of XML Receipt refusal message sent to Sending MSA
Event Type	End event
Description	The Receiving MSA sent a Negative Acknowledgement of XML Receipt refusal (IE917) message to the Sending MSA.
Previous Objects	Notify Sending MSA of Negative Acknowledgement of XML Receipt refusal message

Table 5.669: Event Negative Acknowledgement of XML Receipt refusal message sent to Sending MSA

### Event Message recorded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Message recorded
Event Type	End event
Description	The valid message is recorded.
Appears also in the following processes	L4-CORE-01-06-Handle validation Results of External Domain message
Previous Objects	Record valid message

Table 5.670: Event Message recorded

### Event Functional Negative Acknowledgement refusal message sent to Sending MSA

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Functional Negative Acknowledgement refusal message sent to Sending MSA
Event Type	End event
Description	The Receiving MSA sent a Functional Negative Acknowledgement refusal message (IE906) to the Sending MSA.
Previous Objects	Notify Sending MSA of Functional Negative Acknowledgement refusal message

Table 5.671: Event Functional Negative Acknowledgement refusal message sent to Sending MSA

## 5.30. Process L4-CORE-01-06-Handle validation Results of External Domain message

### 5.30.1. Objective of Process

The purpose of this process is to describe all possible paths in the electronic flow of the handling of the validation results of a message received over the External Domain, in accordance with the current Common Specifications.

The process is triggered when a message received over the External Domain is validated by the MSA and the validation results are available. Depending on the validation result the following may happen:

- a. If the External Domain message was found invalid due to Syntactic violation(s), the EMCS at the MSA builds a Negative Acknowledgement of XML Receipt refusal message (IE917) and then it records it. Following, the EMCS at the MSA notifies the Economic Operator of the Negative Acknowledgement of XML Receipt refusal message (IE917) and the whole process ends at this point;
- b. If the External Domain message was found invalid due to Coordination Protocol violation(s) or due to Business Compliance violation(s), the EMCS at the MSA builds a Generic Refusal Message (IE704) and then it records it. Following, the EMCS at the MSA notifies the Economic Operator of the Generic Refusal Message (IE704) and the whole process ends here;
- c. If the External Domain message was found valid, the EMCS at the MSA identifies whether the External Domain message is a draft e-AD/e-SAD (IE815). In case the External Domain message is a draft e-AD/e-SAD the EMCS at the MSA assigns an ARC to the e-AD/e-SAD. If the EMCS at the MSA identifies that the External Domain message is not a draft e-AD/e-SAD the process continues. Finally, the EMCS at the MSA records the valid message and the whole process ends.

### 5.30.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-CORE-01-06

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Full Name	Handle validation Results of External Domain message
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-CORE-01-01-Submit and Register an e-AD/e-SAD L3-CORE-01-02-Perform Report of Receipt/Export L3-CORE-01-04-Perform Cancellation L3-CORE-01-05-Perform Splitting of Consignment L3-CORE-01-06-Perform Change of Destination L3-CORE-01-12-Manage Explanations on Reasons for Shortages or Excesses
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.672: Process Details

### 5.30.3. Tasks and Events

#### Event External Domain message validated and results are available

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	External Domain message validated and results are available
Event Type	Start event
Description	The validation mechanism has processed the External Domain message and produced validation results. The current process starts when the validation results are available to be handled.
Previous Objects	

Table 5.673: Event External Domain message validated and results are available

#### Task Build Negative Acknowledgement of XML Receipt refusal message

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build Negative Acknowledgement of XML Receipt refusal message
Task Type	Service task
Description	If the message is found invalid due to Syntactic violation(s), the MSA builds a Negative Acknowledgement of XML Receipt refusal message (IE917).
Actor	EMCS
Input Data	Result Identify if the External Domain message is syntactically valid

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Output Data	C_XML_NCK
Previous Objects	What is the External Domain validation result?
Information Exchange	<p>explanation on reason for shortage</p> <p>Draft Change of Destination submitted draft of e-AD/e-SAD alert or rejection of an e-AD/e-SAD cancellation of an e-AD submitted draft of splitting operation</p> <p>Draft Report of Receipt</p> <p>Draft Explanation on Delay for Delivery</p> <p>Draft Explanation on Delay for Delivery</p>
Requirements	COR_FUR_0087
Data Set	
Events	
State	

Table 5.674: Task Build Negative Acknowledgement of XML Receipt refusal message

### Task Identify whether the External Domain message is a draft e-AD/e-SAD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify whether the External Domain message is a draft e-AD/e-SAD
Task Type	Service task
Description	The MSA identifies whether the External Domain message is a draft e-AD/e-SAD (IE815).
Actor	EMCS
Input Data	<p>Result Identify if the External Domain message is syntactically valid</p> <p>Result Identify if the External Domain message passed Coordination Protocol validation successfully</p> <p>Result Identify if the External Domain message passed Business Compliance validation successfully</p>
Output Data	Result Identify whether the External Domain message is a draft e-AD/e-SAD
Previous Objects	What is the External Domain validation result?
Information Exchange	<p>Draft Report of Receipt</p> <p>Draft Explanation on Delay for Delivery</p> <p>Draft Explanation on Delay for Delivery</p> <p>cancellation of an e-AD</p>

	submitted draft of e-AD/e-SAD explanation on reason for shortage submitted draft of splitting operation alert or rejection of an e-AD/e-SAD Draft Change of Destination
Requirements	COR_FUR_0094
Data Set	
Events	
State	

Table 5.675: Task Identify whether the External Domain message is a draft e-AD/e-SAD

### Task Record Negative Acknowledgement of XML Receipt refusal message

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Negative Acknowledgement of XML Receipt refusal message
Task Type	Service task
Description	The Negative Acknowledgement of XML Receipt refusal message (IE917) is stored.
Actor	EMCS
Appears also in the following processes	L4-CORE-01-05-Handle validation Results of Common Domain message
Input Data	C_XML_NCK
Output Data	C_XML_NCK
Previous Objects	Build Negative Acknowledgement of XML Receipt refusal message
Information Exchange	
Requirements	COR_FUR_0095
Data Set	
Events	
State	

Table 5.676: Task Record Negative Acknowledgement of XML Receipt refusal message

### Task Build Generic Refusal Message

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build Generic Refusal Message

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Task Type	Service task
Description	If the message is found invalid due to Coordination Protocol or Business Compliance violation(s), the MSA builds a Generic Refusal Message (IE704).
Actor	EMCS
Input Data	Result Identify if the External Domain message passed Business Compliance validation successfully Result Identify if the External Domain message passed Coordination Protocol validation successfully
Output Data	N_REJ_DAT
Previous Objects	What is the External Domain validation result?
Information Exchange	cancellation of an e-AD alert or rejection of an e-AD/e-SAD submitted draft of splitting operation Draft Explanation on Delay for Delivery submitted draft of e-AD/e-SAD explanation on reason for shortage Draft Change of Destination Draft Report of Receipt Draft Explanation on Delay for Delivery
Requirements	COR_FUR_0096
Data Set	
Events	
State	

Table 5.677: Task Build Generic Refusal Message

### Task Perform ARC assignment to the e-AD/e-SAD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform ARC assignment to the e-AD/e-SAD
Task Type	Service task
Description	The MSA assigns an ARC to the e-AD/e-SAD (IE801).
Actor	EMCS
Appears also in the following processes	2014_3_L4-CBC-01-01-Submit and Register e-AD
Input Data	Result Identify whether the External Domain message is a draft e-AD/e-SAD N_EAD_SUB

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Output Data	C_EAD_VAL
Previous Objects	Is the message received a draft e-AD/e-SAD?
Information Exchange	
Requirements	COR_FUR_0097
Data Set	
Events	
State	

Table 5.678: Task Perform ARC assignment to the e-AD/e-SAD

### Task Notify Economic Operator of Negative Acknowledgement of XML Receipt refusal message

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Economic Operator of Negative Acknowledgement of XML Receipt refusal message
Task Type	Send task
Description	The MSA notifies the Economic Operator of the Negative Acknowledgement of XML Receipt refusal message (IE917).
Actor	EMCS
Input Data	C_XML_NCK
Output Data	
Previous Objects	Record Negative Acknowledgement of XML Receipt refusal message
Information Exchange	Negative Acknowledgement of XML Receipt message
Requirements	COR_FUR_0093
Data Set	
Events	
State	

Table 5.679: Task Notify Economic Operator of Negative Acknowledgement of XML Receipt refusal message

### Task Record Generic Refusal Message

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Generic Refusal Message

Task Type	Service task
Description	The Generic Refusal Message (IE704) is stored.
Actor	EMCS
Input Data	N_REJ_DAT
Output Data	N_REJ_DAT
Previous Objects	Build Generic Refusal Message
Information Exchange	
Requirements	COR_FUR_0104
Data Set	
Events	
State	

Table 5.680: Task Record Generic Refusal Message

### Task Record valid message

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record valid message
Task Type	Service task
Description	The valid message is stored.
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Perform ARC assignment to the e-AD/e-SAD Is the message received a draft e-AD/e-SAD?
Information Exchange	Draft Report of Receipt Draft Change of Destination Draft Explanation on Delay for Delivery submitted draft of e-AD/e-SAD explanation on reason for shortage Draft Change of Destination explanation on reason for shortage cancellation of an e-AD Draft Explanation on Delay for Delivery submitted draft of splitting operation Draft Explanation on Delay for Delivery alert or rejection of an e-AD/e-SAD submitted draft of splitting operation

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	cancellation of an e-AD submitted draft of e-AD/e-SAD Draft Report of Receipt alert or rejection of an e-AD/e-SAD Draft Explanation on Delay for Delivery
Requirements	COR_FUR_0089
Data Set	
Events	
State	

Table 5.681: Task Record valid message

### Task Notify Economic Operator of Generic Refusal Message

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Economic Operator of Generic Refusal Message
Task Type	Send task
Description	The MSA notifies the Economic Operator of the Generic Refusal Message (IE704).
Actor	EMCS
Input Data	N_REJ_DAT
Output Data	
Previous Objects	Record Generic Refusal Message
Information Exchange	generic refusal message
Requirements	COR_FUR_0105
Data Set	
Events	
State	

Table 5.682: Task Notify Economic Operator of Generic Refusal Message

### Event Negative Acknowledgement of XML Receipt refusal message sent to Economic Operator

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Negative Acknowledgement of XML Receipt refusal message sent to Economic Operator
Event Type	End event

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Description	The Negative Acknowledgement of XML Receipt refusal message (IE917) was sent to the Economic Operator.
Previous Objects	Notify Economic Operator of Negative Acknowledgement of XML Receipt refusal message

Table 5.683: Event Negative Acknowledgement of XML Receipt refusal message sent to Economic Operator

### Event Message recorded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Message recorded
Event Type	End event
Description	The valid message is recorded.
Appears also in the following processes	L4-CORE-01-05-Handle validation Results of Common Domain message
Previous Objects	Record valid message

Table 5.684: Event Message recorded

### Event Generic Refusal message sent to Economic Operator

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Generic Refusal message sent to Economic Operator
Event Type	End event
Description	The Generic Refusal message (IE704) was sent to the Economic Operator.
Previous Objects	Notify Economic Operator of Generic Refusal Message

Table 5.685: Event Generic Refusal message sent to Economic Operator

## 5.31. Process L4-CORE-01-07-Issue Reminder at expiry of time limit for Report of Receipt/Export

### 5.31.1. Objective of Process

The purpose of this process is to describe all possible paths in the electronic flow of the Reminder at the expiry of the time limit for Report of Receipt/Export for an Excise movement (inside the territory of the European Union), in accordance with the current Common Specifications (FESS and DDNEA).

The process is triggered when the timer TIM\_EAD\_ESAD (the timer that was issued at the date of dispatch to expire at the expected end of movement, i.e. date of dispatch plus journey time -with the addition, for a Duty Paid movement, of the common system parameter “Time To Complete Fiscal Formalities for Duty Paid Movements”) has expired at the MSA of Dispatch.

The EMCS at MSA of Dispatch continues with performing synchronisation actions with the MSA of Destination/ MSA of Export, by using the “Automatic Status Synchronisation Request after timer

TIM\_EAD\_ESAD expiration” call activity. Following, if a missing Report of Receipt/Export (IE818) is expected from the MSA of Destination/ MSA Export (which means that the MSA of Destination/ MSA of Export is in the Delivered, Partially Refused or Refused state, whereas the MSA of Dispatch is in the Accepted state, i.e. the MSA of Destination/ MSA of Export has sent the Report of Receipt/Export but the MSA of Dispatch application has not received it), the whole process ends here. If no missing Report of Receipt/Export is expected (which means that the MSA of Destination and MSA of Dispatch are both in the Accepted state, i.e. the MSA of Destination has not sent the Report of Receipt/Export within the allocated time), the EMCS at MSA of Dispatch builds a Reminder (IE802) and then it records it. Then, the EMCS at MSA of Dispatch notifies the Consignor and the MSA of Destination of the Reminder (IE802). The MSA of Dispatch may receive:

- a draft Explanation on Delay (IE837) from the Consignor; and/or
- a Consignee's Explanation on Delay (IE837) from the MSA of Destination;
- no message, when no Explanation on Delay is received from the Consignor and/or the MSA of Destination.

In case a draft Explanation on Delay (IE837) is received from the Consignor, the EMCS at MSA of Dispatch proceeds with validating the data of the draft Explanation on Delay, by using the “Perform validation of draft Explanation on Delay” sub-process. Then, the EMCS at MSA of Dispatch continues with the handling of the validation results by using the “Handle validation results of draft Explanation on Delay” call activity. The outcome (end event) of the “Handle validation results of draft Explanation on Delay” call activity may be the following:

- a. A refusal message (IE917/IE704) is sent to the Consignor (since the draft Explanation on Delay was found invalid); or
- b. The Explanation on Delay (IE837) of the Consignor is recorded (since the draft Explanation on Delay was found valid). In such case, the EMCS at MSA of Dispatch proceeds with notifying the MSA of Destination/ Export of the Explanation on Delay (IE837) of the Consignor.

After the receipt of the Reminder (IE802), the EMCS at the MSA of Destination/ MSA of Export proceeds with validating the data of the Reminder, by using the “Perform validation of Reminder” sub process. Then, the EMCS at MSA of Destination/ MSA of Export carries on with the handling of the validation results by using the “Handle validation results of Reminder” call activity. Depending on the outcome (end event) of the “Handle validation results of Reminder” call activity, the following may happen:

- a. If a refusal message (IE917/IE906) is sent to the MSA of Dispatch (since the Reminder was found invalid), the whole process ends at this point;
- b. If the Reminder (IE802) is recorded (since the Reminder was found valid), the process continues.

If the movement is not for export, the EMCS at MSA of Destination notifies the Consignee of the Reminder (IE802). After this, the EMCS at MSA of Destination may receive from the Consignee:

- a draft Explanation on Delay (IE837) from the Consignee; or
- no message.

In case a draft Explanation on Delay (IE837) is received by the MSA of Destination from the Consignee, the EMCS at MSA of Destination proceeds with validating the data of the draft Explanation on Delay, by using the “Perform validation of draft Explanation on Delay” sub process. Then, the EMCS at MSA of Destination proceeds with the handling of the validation results by using the “Handle validation results of draft Explanation on Delay” call activity. The outcome (end event) of the “Handle validation results of draft Explanation on Delay” call activity may be the following:

- a. A refusal message (IE917/IE704) is sent to the Consignee (since the draft Explanation on Delay was found invalid);
- b. The Explanation on Delay (IE837) of the Consignee is recorded (since the draft Explanation on Delay was found valid). In such case, the EMCS at MSA of Destination proceeds with notifying the MSA of Dispatch of the Explanation on Delay (IE837) of the Consignee. MSA of Dispatch will then perform all necessary validations of the common domain message.

If the movement is for export, the EMCS at MSA of Export may receive:

- an Explanation on Delay (IE837) of the Consignor from the MSA of Dispatch; or
- no message.

In case an Explanation on Delay (IE837) of Consignor is received from the MSA of Dispatch, the EMCS at MSA of Export continues with validating the data of the Explanation on Delay, by using the “Perform validation of Explanation on Delay” sub process. After this, the EMCS at MSA of Export proceeds with the handling of the validation results by using the “Handle validation results of Explanation on Delay” call activity. Depending on the outcome (end event) of the “Handle validation results of Explanation on Delay” call activity, the following may happen:

- If a refusal message (IE917/IE906) is sent to the MSA of Dispatch (since the Explanation on Delay was found invalid), the whole process ends here;
- If the Explanation on Delay (IE837) of the Consignor is recorded (since the Explanation on Delay was found valid), the process ends.

In case an Explanation on Delay (IE837) of Consignor is received from the MSA of Dispatch, the EMCS at MSA of Destination/MSA of Export continues with validating the data of the Explanation on Delay, by using the “Perform validation of Explanation on Delay” sub-process. After this, the EMCS at MSA of Destination/MSA of Export proceeds with the handling of the validation results by using the “Handle validation results of Explanation on Delay” call activity. Depending on the outcome (end event) of the “Handle validation results of Explanation on Delay” call activity, the following may happen:

- If a refusal message (IE917/IE906) is sent to the MSA of Dispatch (since the Explanation on Delay was found invalid), the whole process ends here;
- If the Explanation on Delay (IE837) of the Consignor is recorded (since the Explanation on Delay was found valid), the whole process also ends.

### 5.31.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-CORE-01-07
Full Name	Issue Reminder at expiry of time limit for Report of Receipt/Export
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	N/A
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.686: Process Details

### 5.31.3. Tasks and Events

#### Task Perform Synchronisation Actions

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Synchronisation Actions
Task Type	Call activity (collapsed)

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Description	This task is modeled in a separate process: L4-ACO-01-18
Actor	EMCS
Input Data	
Output Data	
Previous Objects	TIM_EAD_ESAD expired
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.687: Task Perform Synchronisation Actions

### Event TIM\_EAD\_ESAD expired

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	TIM_EAD_ESAD expired
Event Type	Timer start event
Description	The current process starts when the timer TIM_EAD_ESAD (the timer that was issued at the date of dispatch to expire at the expected end of movement, i.e. date of dispatch plus journey time - with the addition, for a Duty Paid movement, of the value of the common system parameter “Time To Complete Fiscal Formalities for Duty Paid Movements”) has expired at the MSA of Dispatch.
Previous Objects	

Table 5.688: Event TIM\_EAD\_ESAD expired

### Task Build Reminder

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build Reminder
Task Type	Service task
Description	The MSA of Dispatch builds a Reminder (IE802).
Actor	EMCS
Appears also in the following processes	L4-CORE-AS-IS-01-0x-Issue reminder at expiry of timer for Change of Destination fields (TIM_CHS) L4-CORE-AS-IS-01-0x-Issue reminder at expiry of timer for Update of Destination (TIM_FDF)
Input Data	ARC Timer TIM_EAD_ESAD Expiry Date

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	Sequence Number
Output Data	C_EXC_REM
Previous Objects	Does the MSA of Dispatch expect from MSA of Destination/ MSA of Export a missing Report of Receipt/Export?
Information Exchange	
Requirements	COR_FUR_0082
Data Set	
Events	
State	

Table 5.689: Task Build Reminder

### Task Record Reminder

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Reminder
Task Type	Service task
Description	The Reminder (IE802) is stored.
Actor	EMCS
Appears also in the following processes	L4-CORE-01-25-Issue reminder at expiry of timer for Update of Destination Fields (TIM_FDF) L4-CORE-01-24-Issue reminder at expiry of timer for Change of Destination (TIM_CHS) L4-CORE-AS-IS-01-0x-Issue reminder at expiry of timer for Change of Destination fields (TIM_CHS) L4-CORE-AS-IS-01-0x-Issue reminder at expiry of timer for Update of Destination (TIM_FDF)
Input Data	C_EXC_REM
Output Data	C_EXC_REM
Previous Objects	Build Reminder
Information Exchange	
Requirements	COR_FUR_0083
Data Set	
Events	

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State	
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Table 5.690: Task Record Reminder

### Event A missing Report of Receipt/Export expected from MSA of Destination/ MSA of Export

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	A missing Report of Receipt/Export expected from MSA of Destination/ MSA of Export
Event Type	End event
Description	The MSA of Dispatch expects from the MSA of Destination/ MSA of Export the missing Report of Receipt/Export (IE818).
Appears also in the following processes	L4-CORE-01-08-Automatic Status Synchronisation Request after timer TIM_EAD expiration
Previous Objects	Does the MSA of Dispatch expect from MSA of Destination/ MSA of Export a missing Report of Receipt/Export?

Table 5.691: Event A missing Report of Receipt/Export expected from MSA of Destination/ MSA of Export

### Task Notify Consignor of Reminder

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Consignor of Reminder
Task Type	Send task
Description	The MSA of Dispatch notifies the Consignor of the Reminder (IE802).
Actor	EMCS
Input Data	C_EXC_REM
Output Data	
Previous Objects	Record Reminder
Information Exchange	reminder message for Excise movement
Requirements	COR_FUR_0078
Data Set	
Events	
State	

Table 5.692: Task Notify Consignor of Reminder

### Event Draft Explanation on Delay of Consignor received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Draft Explanation on Delay of Consignor received
Event Type	Message intermediate event (catch)
Description	The MSA of Dispatch received a draft Explanation on Delay (IE837) from the Consignor.
Appears also in the following processes	L4-CORE-AS-IS-01-0x-Issue reminder at expiry of timer for Update of Destination (TIM_FDF)
Previous Objects	Consignor Notify Consignor of Reminder

Table 5.693: Event Draft Explanation on Delay of Consignor received

### Task Perform validation of draft Explanation on Delay

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform validation of draft Explanation on Delay
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-04-Perform validation of External Domain message.
Actor	EMCS
Appears also in the following processes	L4-CORE-01-25-Issue reminder at expiry of timer for Update of Destination Fields (TIM_FDF) L4-CORE-AS-IS-01-0x-Issue reminder at expiry of timer for Update of Destination (TIM_FDF)
Input Data	
Output Data	
Previous Objects	Draft Explanation on Delay of Consignor received Proactively send Explanation on Delay for Delivery
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.694: Task Perform validation of draft Explanation on Delay

### Event No message received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
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Event Name	No message received
Event Type	Conditional intermediate event
Description	The MSA of Dispatch received neither a draft Explanation on Delay (IE837) from the Consignor, nor an Explanation on Delay (IE837) from the Member State of Destination.
Previous Objects	Notify Consignor of Reminder

Table 5.695: Event No message received

### Event Proactively send Explanation on Delay for Delivery

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proactively send Explanation on Delay for Delivery
Event Type	Message start event
Description	The current process starts when the competent authorities at the Member State exceptionally wishes to proactively provide explanations on a potential delay for delivery.
Appears also in the following processes	L4-CORE-01-07-Issue Reminder at expiry of time limit for Report of Receipt/Export
Previous Objects	Consignor

Table 5.696: Event Proactively send Explanation on Delay for Delivery

### Event No Explanation on Delay received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	No Explanation on Delay received
Event Type	End event
Description	No draft Explanation on Delay (IE837) or Explanation on Delay (IE837) received.
Previous Objects	No message received

Table 5.697: Event No Explanation on Delay received

### Task Handle validation results of draft Explanation on Delay

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle validation results of draft Explanation on Delay
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-06-Handle validation Results of External Domain message

Actor	EMCS
Appears also in the following processes	L4-CORE-01-25-Issue reminder at expiry of timer for Update of Destination Fields (TIM_FDF) L4-CORE-AS-IS-01-0x-Issue reminder at expiry of timer for Update of Destination (TIM_FDF)
Input Data	
Output Data	
Previous Objects	Perform validation of draft Explanation on Delay
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.698: Task Handle validation results of draft Explanation on Delay

### Event Reminder received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Reminder received
Event Type	Message start event
Description	The MSA of Destination/ MSA of Export received a Reminder (IE802) from the MSA of Dispatch.
Previous Objects	Notify Consignor of Reminder

Table 5.699: Event Reminder received

### Task Perform validation of Reminder

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform validation of Reminder
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-03-Perform validation of Common Domain message
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Reminder received

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Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.700: Task Perform validation of Reminder

### Task Handle validation results of Reminder

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle validation results of Reminder
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-05-Handle validation Results of Common Domain message
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Perform validation of Reminder
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.701: Task Handle validation results of Reminder

### Event Refusal message sent to Consignor since draft Explanation on Delay had been found invalid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message sent to Consignor since draft Explanation on Delay had been found invalid
Event Type	End event
Description	A Refusal message (IE917/IE704) was sent to the Consignor since the draft Explanation on Delay (IE837) had been found invalid.
Appears also in the following processes	L4-CORE-AS-IS-01-0x-Issue reminder at expiry of timer for Update of Destination (TIM_FDF)
Previous Objects	What is the outcome of the handling of validation results?

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Table 5.702: Event Refusal message sent to Consignor since draft Explanation on Delay had been found invalid

**Event Explanation on Delay of Consignor recorded since draft Explanation on Delay had been found valid**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Explanation on Delay of Consignor recorded since draft Explanation on Delay had been found valid
Event Type	Conditional intermediate event
Description	The Explanation on Delay of the Consignor (IE837) was recorded since the draft Explanation on Delay of the Consignor (IE837) had been found valid and the process continues.
Appears also in the following processes	L4-CORE-AS-IS-01-0x-Issue reminder at expiry of timer for Update of Destination (TIM_FDF)
Previous Objects	What is the outcome of the handling of validation results?

Table 5.703: Event Explanation on Delay of Consignor recorded since draft Explanation on Delay had been found valid

**Event Refusal message sent to MSA of Dispatch since Reminder had been found invalid**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message sent to MSA of Dispatch since Reminder had been found invalid
Event Type	End event
Description	A Refusal message (IE917/IE906) was sent to the MSA of Dispatch since the Reminder (IE802) had been found invalid.
Previous Objects	What is the outcome of the handling of validation results?

Table 5.704: Event Refusal message sent to MSA of Dispatch since Reminder had been found invalid

**Event Reminder recorded since it had been found valid**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Reminder recorded since it had been found valid
Event Type	Conditional intermediate event
Description	The Reminder (IE802) was recorded since it had been found valid and the process continues.
Previous Objects	What is the outcome of the handling of validation results?

Table 5.705: Event Reminder recorded since it had been found valid

### Task Notify MSA of Destination/ MSA of Export of Explanation on Delay of Consignor

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify MSA of Destination/ MSA of Export of Explanation on Delay of Consignor
Task Type	Send task
Description	The MSA of Dispatch notifies the MSA of Destination/ MSA of Export of the Explanation on Delay (IE837) of the Consignor.
Actor	EMCS
Input Data	C_DEL_EXP
Output Data	
Previous Objects	Explanation on Delay of Consignor recorded since draft Explanation on Delay had been found valid
Information Exchange	explanation on delay for delivery
Requirements	COR_FUR_0079
Data Set	
Events	
State	

Table 5.706: Task Notify MSA of Destination/ MSA of Export of Explanation on Delay of Consignor

### Task Notify Consignee of Reminder

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Consignee of Reminder
Task Type	Send task
Description	The MSA of Destination notifies the Consignee of the Reminder (IE802).
Actor	EMCS
Input Data	C_EXC_REM
Output Data	
Previous Objects	Is the destination of the movement for Export?
Information Exchange	reminder message for Excise movement
Requirements	COR_FUR_0080

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Data Set	
Events	
State	

Table 5.707: Task Notify Consignee of Reminder

### Event Explanation on Delay of Consignor sent to MSA of Destination/ MSA of Export

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Explanation on Delay of Consignor sent to MSA of Destination/ MSA of Export
Event Type	End event
Description	The Explanation on Delay (IE837) of the Consignor was sent to the MSA of Destination/ MSA of Export.
Previous Objects	Notify MSA of Destination/ MSA of Export of Explanation on Delay of Consignor

Table 5.708: Event Explanation on Delay of Consignor sent to MSA of Destination/ MSA of Export

### Event No message received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	No message received
Event Type	Conditional intermediate event
Description	The MSA of Export has not received any Explanation on Delay of the Consignor (IE837) from the MSA of Dispatch. MSA of Destination received an Explanation on Delay (IE837) neither from the Consignee, nor from the MSA of Dispatch.
Previous Objects	Is the destination of the movement for Export? Notify Consignee of Reminder Notify Consignee of Reminder

Table 5.709: Event No message received

### Event Explanation on Delay of Consignor received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Explanation on Delay of Consignor received
Event Type	Message intermediate event (catch)
Description	The MSA of Destination received an Explanation on Delay (IE837) of the Consignor from the MSA of Dispatch.
Previous Objects	Is the destination of the movement for Export? Notify Consignee of Reminder Notify MSA of Destination/ MSA of Export of Explanation on Delay of

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	Consignor
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Table 5.710: Event Explanation on Delay of Consignor received

### Task Perform validation of Explanation on Delay

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform validation of Explanation on Delay
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-03-Perform validation of Common Domain message
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Explanation on Delay of Consignor received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.711: Task Perform validation of Explanation on Delay

### Event No Explanation on Delay received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	No Explanation on Delay received
Event Type	End event
Description	No Explanation on Delay (IE837) received.
Previous Objects	No message received

Table 5.712: Event No Explanation on Delay received

### Event Draft Explanation on Delay of Consignee received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Draft Explanation on Delay of Consignee received
Event Type	Message intermediate event (catch)
Description	The MSA of Destination received a draft Explanation on Delay (IE837) from the Consignee.

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Previous Objects	Consignee Notify Consignee of Reminder
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Table 5.713: Event Draft Explanation on Delay of Consignee received

### Task Perform validation of draft Explanation on Delay

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform validation of draft Explanation on Delay
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-04-Perform validation of External Domain message
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Draft Explanation on Delay of Consignee received Proactively send Explanation on Delay for Delivery
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.714: Task Perform validation of draft Explanation on Delay

### Task Handle validation results of Explanation on Delay

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle validation results of Explanation on Delay
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-05-Handle validation Results of Common Domain message
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Perform validation of Explanation on Delay
Information Exchange	
Requirements	

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Data Set	
Events	
State	

Table 5.715: Task Handle validation results of Explanation on Delay

### Event Proactively send Explanation on Delay for Delivery

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proactively send Explanation on Delay for Delivery
Event Type	Message start event
Description	The current process starts when the competent authorities at the Member State exceptionally wishes to proactively provide explanations on a potential delay for delivery.
Appears also in the following processes	L4-CORE-01-07-Issue Reminder at expiry of time limit for Report of Receipt/Export
Previous Objects	Consignee

Table 5.716: Event Proactively send Explanation on Delay for Delivery

### Task Handle validation results of draft Explanation on Delay

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle validation results of draft Explanation on Delay
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-06-Handle validation Results of External Domain message
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Perform validation of draft Explanation on Delay
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.717: Task Handle validation results of draft Explanation on Delay

**Event Refusal message sent to MSA of Dispatch since Explanation on Delay had been found invalid**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message sent to MSA of Dispatch since Explanation on Delay had been found invalid
Event Type	End event
Description	A Refusal message (IE917/IE906) was sent to the MSA of Dispatch since the Explanation on Delay (IE837) of the Consignor had been found invalid.
Previous Objects	What is the outcome of the handling of validation results?

Table 5.718: Event Refusal message sent to MSA of Dispatch since Explanation on Delay had been found invalid

**Event Explanation on Delay of Consignee received**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Explanation on Delay of Consignee received
Event Type	Message intermediate event (catch)
Description	The MSA of Dispatch received from the MSA of Destination the Explanation on Delay (IE837) of the Consignee.
Previous Objects	Notify MSA of Dispatch of Explanation on Delay of Consignee Notify Consignor of Reminder

Table 5.719: Event Explanation on Delay of Consignee received

**Event Explanation on Delay of Consignor recorded since it had been found valid**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Explanation on Delay of Consignor recorded since it had been found valid
Event Type	End event
Description	The Explanation on Delay of the Consignor (IE837) was recorded since it had been found valid.
Previous Objects	What is the outcome of the handling of validation results?

Table 5.720: Event Explanation on Delay of Consignor recorded since it had been found valid

**Event Refusal message sent to Consignee since draft Explanation on Delay had been found invalid**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message sent to Consignee since draft Explanation on Delay had been found invalid
Event Type	End event

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Description	A Refusal message (IE917/IE704) was sent to the Consignee since the draft Explanation on Delay (IE837) had been found invalid.
Previous Objects	What is the outcome of the handling of validation results?

Table 5.721: Event Refusal message sent to Consignee since draft Explanation on Delay had been found invalid

### Task Perform validation of Explanation on Delay

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform validation of Explanation on Delay
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-03-Perform validation of Common Domain message
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Explanation on Delay of Consignee received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.722: Task Perform validation of Explanation on Delay

### Event Explanation on Delay of Consignee recorded since draft Explanataion on Delay had been found valid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Explanation on Delay of Consignee recorded since draft Explanataion on Delay had been found valid
Event Type	Conditional intermediate event
Description	The Explanation on Delay of the Consignee (IE837) was recorded since the draft Explanation on Delay of the Consignee (IE837) had been found valid and the process continues.
Previous Objects	What is the outcome of the handling of validation results?

Table 5.723: Event Explanation on Delay of Consignee recorded since draft Explanataion on Delay had been found valid

### Task Notify MSA of Dispatch of Explanation on Delay of Consignee

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify MSA of Dispatch of Explanation on Delay of Consignee
Task Type	Send task
Description	The MSA of Destination notifies the MSA of Dispatch of the Explanation on Delay (IE837) of the Consignee.
Actor	EMCS
Input Data	C_DEL_EXP
Output Data	
Previous Objects	Explanation on Delay of Consignee recorded since draft Explanataion on Delay had been found valid
Information Exchange	explanation on delay for delivery
Requirements	COR_FUR_0081
Data Set	
Events	
State	

Table 5.724: Task Notify MSA of Dispatch of Explanation on Delay of Consignee

### Task Handle validation results of Explanation on Delay

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle validation results of Explanation on Delay
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-05-Handle validation Results of Common Domain message
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Perform validation of Explanation on Delay
Information Exchange	
Requirements	
Data Set	
Events	

State	
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Table 5.725: Task Handle validation results of Explanation on Delay

### Event Explanation on Delay of Consignee sent to MSA of Dispatch

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Explanation on Delay of Consignee sent to MSA of Dispatch
Event Type	End event
Description	The Explanation on Delay (IE837) of the Consignee was sent to the MSA of Dispatch.
Previous Objects	Notify MSA of Dispatch of Explanation on Delay of Consignee

Table 5.726: Event Explanation on Delay of Consignee sent to MSA of Dispatch

### Event Refusal message sent to MSA of Destination/ MSA of Export since Explanation on Delay had been found invalid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message sent to MSA of Destination/ MSA of Export since Explanation on Delay had been found invalid
Event Type	End event
Description	A Refusal message (IE917/IE906) was sent to the MSA of Destination since the Explanation on Delay (IE837) of the Consignee had been found invalid.
Previous Objects	What is the outcome of the handling of validation results?

Table 5.727: Event Refusal message sent to MSA of Destination/ MSA of Export since Explanation on Delay had been found invalid

### Event Explanation on Delay of Consignee recorded since it had been found valid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Explanation on Delay of Consignee recorded since it had been found valid
Event Type	End event
Description	The Explanation on Delay of the Consignee (IE837) was recorded since it had been found valid.
Previous Objects	What is the outcome of the handling of validation results?

Table 5.728: Event Explanation on Delay of Consignee recorded since it had been found valid

## 5.32. Process L4-CORE-01-08-Perform Alert or Rejection

### 5.32.1. Objective of Process

The purpose of this process is to describe all the possible paths when the Consignee performs an Alert or Rejection of the e-AD/e-SAD.

It has to be noted that the Business Process described below can take place before the goods arrive at the destination.

Submission of the 'Alert or Rejection of an e-AD/e-SAD' message is mandatory where one of the cases referred to in points (a) to (c) or (e) of Article 15(1) of Regulation (EU) No 389/2012 is detected, and shall be sent to the competent authority of the Member State of dispatch within one day of the moment when the competent authority becomes aware of the discrepancies (as defined in Article 13 of Implementing Regulation (EU) 2016/323).

The process starts when the Consignee submits a draft Alert or Rejection of an e-AD/e-SAD (IE819). The MSA of Destination receives the draft Alert or Rejection of an e-AD/e-SAD and proceeds with validation and the handling of the validation results by using "Perform validation of Alert or Rejection of an e-AD/e-SAD" subprocess and "Handle validation results of Alert or Rejection of an e-AD/e-SAD" call-activity. Depending on the outcome (end event) of the "Handle validation results of Alert or Rejection of an e-AD/e-SAD" call-activity, the following could happen:

- If a refusal message (IE917/IE704) is sent to the Consignee (since the Alert or Rejection of an e-AD/e-SAD (IE819) was found invalid), the whole process ends at this point;
- If the Alert or Rejection of an e-AD/e-SAD (IE819) is recorded (since Alert or Rejection of an e-AD/e-SAD (IE819) was found valid), the process continues.

The MSA of Destination identifies in the message content of the valid, recorded Alert or Rejection of an e-AD/e-SAD (IE819), whether the "E-AD/e-SAD Rejected flag" item, has '1' (Rejection) or '0' (Alert) value.

- If the value is '1' (Rejection), this causes state transition for the concerned e-AD from "Accepted" to "Rejected"
- If the value is '0' (Alert), the state remains as is.

Upon the mentioned above check and following step, the MSA of Destination, notifies both the Consignee and the MSA of Dispatch and the process ends at this point at the MSA of Destination pool.

The Alert or Rejection of an e-AD/e-SAD (IE819) message is received by the MSA of Dispatch. Then, the MSA of Dispatch proceeds with validation and the handling of the validation results by using "Perform validation of Alert or Rejection of an e-AD/e-SAD" subprocess and "Handle validation results of Alert or Rejection of an e-AD/e-SAD" call-activity. Depending on the outcome (end event) of the "Handle validation results of Alert or Rejection of an e-AD/e-SAD" call-activity, the following may happen:

- If a refusal message (IE917/IE906) is sent to the MSA of Destination (since the Alert or Rejection of an e-AD/e-SAD (IE819) was found invalid), the whole process ends at this point;
- If the Alert or Rejection of an e-AD/e-SAD (IE819) is recorded (since the Alert or Rejection of an e-AD/e-SAD (IE819) was found valid), the process continues.

The MSA of Dispatch identifies in the message content of the valid, recorded Alert or Rejection of an e-AD/e-SAD (IE819), whether the "E-AD/e-SAD Rejected flag" item, has '1' (Rejection) or '0' (Alert) value.

- If the value is '1' (Rejection), this causes state transition for the concerned e-AD from "Accepted" to "Rejected".

The Consignor is expected to change the e-AD/e-SAD destination, split the e-AD, or cancel the e-AD as a response to the rejection and the MSA dispatch application initiates the TIM\_CHS timer, which once elapsed, will send a reminder to the Consignor.

Please note that the "Rejected" state is not a final state and the Consignor is expected to take actions such that the e-AD/e-SAD will finally reach a final state.

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- If the value is '0' (Alert), the state remains as is.

Upon the mentioned above check and following step, the MSA of Dispatch, sends the Alert or Rejection of an e-AD/e-SAD to the Consignor and the whole process ends.

### 5.32.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-CORE-01-08
Full Name	Perform Alert or Rejection
Assumptions	The goods have not arrived at their destination
Constraints	No constraints.
L3 Reference Models	L3-ACO-01-02-Mandatory Exchange of Information
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.729: Process Details

### 5.32.3. Tasks and Events

#### Task Perform validations of Alert or Rejection

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform validations of Alert or Rejection
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-04-Perform validation of External Domain message
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Draft Alert or Rejection of an e-AD/e-SAD received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.730: Task Perform validations of Alert or Rejection

#### Event Draft Alert or Rejection of an e-AD/e-SAD received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Draft Alert or Rejection of an e-AD/e-SAD received
Event Type	Message start event
Description	The process starts when the application of the MSA of Destination receives a draft of alert/rejection message (IE819:C_REJ_DAT) for validation from the Consignee.
Previous Objects	Consignee

Table 5.731: Event Draft Alert or Rejection of an e-AD/e-SAD received

### Task Handle validation results of the Alert or Rejection

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle validation results of the Alert or Rejection
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-06-Handle validation Results of External Domain message
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Perform validations of Alert or Rejection
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.732: Task Handle validation results of the Alert or Rejection

### Event Refusal message sent to Consignee since draft Alert or Rejection of an e-AD/e-SAD message had been found invalid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message sent to Consignee since draft Alert or Rejection of an e-AD/e-SAD message had been found invalid
Event Type	End event
Description	A Refusal message (IE917/IE704) was sent to Consignee since the Alert or Rejection of an e-AD/e-SAD (IE819) had been found invalid and the process ends at this point.
Previous Objects	What is the outcome of the handling of validation results?

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Table 5.733: Event Refusal message sent to Consignee since draft Alert or Rejection of an e-AD/e-SAD message had been found invalid

#### Event Alert or Rejection recorded since draft Alert or Rejection of message had been found valid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Alert or Rejection recorded since draft Alert or Rejection of message had been found valid
Event Type	Conditional intermediate event
Description	The Alert or Rejection of an e-AD/e-SAD (IE819) was recorded since it had been found valid and the process continues.
Previous Objects	What is the outcome of the handling of validation results?

Table 5.734: Event Alert or Rejection recorded since draft Alert or Rejection of message had been found valid

#### Task Identify whether it is an Alert or a Rejection

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify whether it is an Alert or a Rejection
Task Type	Service task
Description	The MSA examines the Alert or Rejection of an e-AD/e-SAD (IE819) message content in order to identify whether the "E-AD/E-SAD Rejected flag" item has '1' (Rejection) or '0' (Alert) value. This data item is expected to determine whether the e-AD/e-SAD is intended to be rejected or there is an alert for the e-AD/e-SAD.
Actor	EMCS
Appears also in the following processes	L4-CORE-01-08-Perform Alert or Rejection
Input Data	Movement Rejected flag
Output Data	Result Identify whether it is an Alert or a Rejection
Previous Objects	Alert or Rejection recorded since draft Alert or Rejection of message had been found valid
Information Exchange	
Requirements	COR_FUR_0024
Data Set	
Events	
State	

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Table 5.735: Task Identify whether it is an Alert or a Rejection

### Task Perform change of e-AD/e-SAD state to Rejected

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform change of e-AD/e-SAD state to Rejected
Task Type	Service task
Description	The state of the movement is set to “Rejected”.
Actor	EMCS
Appears also in the following processes	L4-CORE-01-08-Perform Alert or Rejection
Input Data	Movement Rejected flag ARC Sequence Number
Output Data	e-AD/e-SAD state
Previous Objects	Alert or Rejection of an e-AD/e-SAD?
Information Exchange	
Requirements	COR_FUR_0025
Data Set	e-AD/e-SAD state
Events	IE819 received with e-AD/e-SAD Rejected flag = Yes/True
State	Rejected

Table 5.736: Task Perform change of e-AD/e-SAD state to Rejected

### Event Alert or Rejection received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Alert or Rejection received
Event Type	Message start event
Description	The MSA of Dispatch received the Alert or Rejection (IE819) from the MSA of Destination.
Previous Objects	Notify Consignee and MSA of Dispatch of Alert or Rejection

Table 5.737: Event Alert or Rejection received

### Task Perform validations of Alert or Rejection

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform validations of Alert or Rejection
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-03-Perform validation of Common Domain message
Actor	EMCS
Appears also in the following processes	L4-CORE-AS-IS-01-0x-Perform Alert or Rejection
Input Data	
Output Data	
Previous Objects	Alert or Rejection received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.738: Task Perform validations of Alert or Rejection

### Task Notify Consignee and MSA of Dispatch of Alert or Rejection

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Consignee and MSA of Dispatch of Alert or Rejection
Task Type	Send task
Description	The MSA of Destination sends to the MSA of Dispatch the Alert or Rejection (IE819)
Actor	EMCS
Input Data	C_REJ_DAT
Output Data	
Previous Objects	Perform change of e-AD/e-SAD state to Rejected Alert or Rejection of an e-AD/e-SAD?
Information Exchange	alert or rejection of an e-AD/e-SAD
Requirements	COR_FUR_0026
Data Set	
Events	

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State	
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Table 5.739: Task Notify Consignee and MSA of Dispatch of Alert or Rejection

### Task Handle validation results of the Alert or Rejection

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle validation results of the Alert or Rejection
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-05-Handle validation Results of Common Domain message
Actor	EMCS
Appears also in the following processes	L4-CORE-AS-IS-01-0x-Perform Alert or Rejection
Input Data	
Output Data	
Previous Objects	Perform validations of Alert or Rejection
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.740: Task Handle validation results of the Alert or Rejection

### Event Alert or Rejection message sent to Consignee and MSA of Dispatch

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Alert or Rejection message sent to Consignee and MSA of Dispatch
Event Type	End event
Description	Alert or Rejection (IE819) sent to Consignee and MSA of Dispatch.
Previous Objects	Notify Consignee and MSA of Dispatch of Alert or Rejection

Table 5.741: Event Alert or Rejection message sent to Consignee and MSA of Dispatch

### Event Refusal message sent to MSA of Dispatch since Alert or Rejection found invalid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message sent to MSA of Dispatch since Alert or Rejection found invalid
Event Type	End event

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Description	A Refusal message (IE917/IE906) was sent to MSA of Dispatch since the Alert or Rejection (IE819) had been found invalid and the process ends at this point.
Previous Objects	What is the outcome of the handling of validation results?

Table 5.742: Event Refusal message sent to MSA of Dispatch since Alert or Rejection found invalid

#### Event Alert or Rejection recorded since Alert or Rejection message had been found valid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Alert or Rejection recorded since Alert or Rejection message had been found valid
Event Type	Conditional intermediate event
Description	The Alert or Rejection (IE819) was recorded since it had been found valid and the process continues.
Previous Objects	What is the outcome of the handling of validation results?

Table 5.743: Event Alert or Rejection recorded since Alert or Rejection message had been found valid

#### Task Identify whether it is an Alert or a Rejection

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify whether it is an Alert or a Rejection
Task Type	Service task
Description	The MSA examines the Alert or Rejection of an e-AD/e-SAD (IE819) message content in order to identify whether the "E-AD/E-SAD Rejected flag" item has '1' (Rejection) or '0' (Alert) value. This data item is expected to determine whether the e-AD/e-SAD is intended to be rejected or there is an alert for the e-AD/e-SAD.
Actor	EMCS
Appears also in the following processes	L4-CORE-01-08-Perform Alert or Rejection
Input Data	Movement Rejected flag
Output Data	Result Identify whether it is an Alert or a Rejection
Previous Objects	Alert or Rejection recorded since Alert or Rejection message had been found valid
Information Exchange	
Requirements	COR_FUR_0024

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Data Set	
Events	
State	

Table 5.744: Task Identify whether it is an Alert or a Rejection

### Task Perform change of e-AD/e-SAD state to Rejected

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform change of e-AD/e-SAD state to Rejected
Task Type	Service task
Description	The state of the movement is set to “Rejected”.
Actor	EMCS
Appears also in the following processes	L4-CORE-01-08-Perform Alert or Rejection
Input Data	Movement Rejected flag ARC Sequence Number
Output Data	e-AD/e-SAD state
Previous Objects	Alert or Rejection of an e-AD/e-SAD?
Information Exchange	
Requirements	COR_FUR_0025
Data Set	e-AD/e-SAD state
Events	IE819 received with e-AD/e-SAD Rejected flag = Yes/True
State	Rejected

Table 5.745: Task Perform change of e-AD/e-SAD state to Rejected

### Task Start timer TIM\_CHS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Start timer TIM_CHS
Task Type	Service task
Description	If the e-AD/e-SAD is rejected, the MSA of Dispatch application starts a timer (TIM_CHS) to expire at limit date for submission of a change of destination (or splitting) or, exceptionally, cancellation.

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	TIM_CHS is a timer associated with the submission of a change of destination or of a splitting when the delivery is refused or the consignment is rejected. Its Expiry Date is Date of refusal/rejection + national parameter limited by a common system parameter.
Actor	EMCS
Appears also in the following processes	L4-CORE-AS-IS-01-0x-Perform Alert or Rejection L4-CORE-01-02-Perform Report of Receipt/Export
Input Data	Movement Rejected flag Sequence Number Global Conclusion of Receipt ARC
Output Data	TIM_CHS Expiry Date
Previous Objects	Perform change of e-AD/e-SAD state to Rejected
Information Exchange	
Requirements	COR_FUR_0019
Data Set	
Events	
State	

Table 5.746: Task Start timer TIM\_CHS

### Task Notify Consignor of the Alert or Rejection

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Consignor of the Alert or Rejection
Task Type	Send task
Description	The MSA of Dispatch sends the Alert or Rejection (IE819) to the Consignor The MSA of Dispatch sends the Alert or Rejection (IE819) to the Consignor
Actor	EMCS
Input Data	C_REJ_DAT
Output Data	
Previous Objects	Alert or Rejection of an e-AD/e-SAD? Start timer TIM_CHS
Information Exchange	alert or rejection of an e-AD/e-SAD

Requirements	COR_FUR_0028
Data Set	
Events	
State	

Table 5.747: Task Notify Consignor of the Alert or Rejection

### Event Alert or Rejection sent to Consignor

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Alert or Rejection sent to Consignor
Event Type	End event
Description	The Alert or Rejection (IE819) was recorded and sent to Consignor.
Previous Objects	Notify Consignor of the Alert or Rejection

Table 5.748: Event Alert or Rejection sent to Consignor

## 5.33. Process L4-CORE-01-09-Manage Explanations for Shortages and Excesses

### 5.33.1. Objective of Process

The purpose of this process is to describe the post-delivery of the movement.

This use case describes the dissemination of the explanation messages from the consignor and/or from the consignee. If the Report of Receipt declares shortages or excesses, then the Consignor and/or the Consignee may provide explanations by submitting a complementary explanations (IE871) message. The submission of explanations is optional for both the Consignor and the Consignee and may occur several times by the same actors. The explanations are disseminated to the MSA of Dispatch and to the MSA of Destination, so that they have as much information as possible to base their claims. (\*)

More specifically,

- the explanations of the consignor may be for instance that he actually did not send all the goods described in the e-AD/e-SAD;
- the explanations of the consignee may be for instance that a part of goods have arrived late, after issuance of the report of receipt;
- other explanations may, for instance, describe losses that occurred during the transport (concurrently with event reports).

The process starts when the Economic Operator (Consignor/Consignee) sends Explanation on Reason for Shortage (IE871) to the Sending MSA (MSA of Dispatch/MSA of Destination). The Sending MSA receives Explanation on Reason for Shortage (IE871) and proceeds with validation and the handling of the validation results by using “Perform validation of Explanation on Reason for Shortage” subprocess and “Handle validation results of Explanation on Reason for Shortage” call-activity. Depending on the outcome (end event) of the “Handle validation results of Explanation on Reason for Shortage” call-activity, the following could happen:

- If a refusal message (IE917/IE704) is sent to the Economic Operator (since the Explanation on Reason for Shortage (IE871) was found invalid), the whole process ends at this point;

- If the Explanation on Reason for Shortage (IE871) is recorded (since Explanation on Reason for Shortage (IE871) was found valid), the process continues.

The Sending MSA sends Explanation on Reason for Shortage (IE871) to the Receiving MSA (MSA of Destination/MSA of Dispatch). The Receiving MSA receives Explanation on Reason for Shortage (IE871). Then, the Receiving MSA proceeds with validation and the handling of the validation results by using “Perform validation of Explanation on Reason for Shortage” subprocess and “Handle validation results of Explanation on Reason for Shortage” call-activity. Depending on the outcome (end event) of the “Handle validation results of Explanation on Reason for Shortage” call-activity, the following may happen:

- If a refusal message (IE917/IE906) is sent to the Sending MSA (since the Explanation on Reason for Shortage (IE871) was found invalid), the whole process ends at this point;
- If the Explanation on Reason for Shortage (IE871) is recorded (since Explanation on Reason for Shortage (IE871) was found valid), the process ends.

The Receiving MSA has received and recorded Explanation on Reason for Shortage (IE871).

(\*) In case of total or partial refusal of delivery, it is recommended, but not mandatory, to wait for the final delivery of goods at the last destination before exchanging explanations.

### 5.33.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-CORE-01-09
Full Name	Manage Explanations for Shortages and Excesses
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-CORE-01-12-Manage Explanations on Reasons for Shortages or Excesses
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.749: Process Details

### 5.33.3. Tasks and Events

#### Task Perform validation of Explanation on Reason for Shortage

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform validation of Explanation on Reason for Shortage
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-04-Perform validation of External Domain message
Actor	EMCS
Appears also in the following processes	L4-CORE-AS-IS-01-0x-Manage Explanations for Shortages and Excesses

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Input Data	
Output Data	
Previous Objects	Explanation on Reason for Shortage received from an Economic Operator
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.750: Task Perform validation of Explanation on Reason for Shortage

### Event Explanation on Reason for Shortage received from an Economic Operator

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Explanation on Reason for Shortage received from an Economic Operator
Event Type	Message start event
Description	The Sending MSA (MSA of Dispatch/MSA of Destination) application receives complementary explanations (IE871:C_SHR_EXP) regarding the shortages or excesses from an Economic Operator - Consignor/Consignee (e.g. the quantity of goods actually dispatched did not match with the e-AD/e-SAD).
Previous Objects	Economic Operator

Table 5.751: Event Explanation on Reason for Shortage received from an Economic Operator

### Task Handle validation results of Explanation on Reason for Shortage

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle validation results of Explanation on Reason for Shortage
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-06-Handle validation Results of External Domain message
Actor	EMCS
Appears also in the following processes	L4-CORE-AS-IS-01-0x-Manage Explanations for Shortages and Excesses
Input Data	
Output Data	
Previous Objects	Perform validation of Explanation on Reason for Shortage
Information Exchange	

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Requirements	
Data Set	
Events	
State	

Table 5.752: Task Handle validation results of Explanation on Reason for Shortage

**Event Refusal message sent to Economic Operator due to Explanation on Reason for Shortage found invalid**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message sent to Economic Operator due to Explanation on Reason for Shortage found invalid
Event Type	End event
Description	Refusal message (IE917/IE704) is sent to Economic Operator due to the fact that Explanation on Reason for Shortage was found invalid.
Previous Objects	What is the outcome of the handling of validation results?

Table 5.753: Event Refusal message sent to Economic Operator due to Explanation on Reason for Shortage found invalid

**Event Explanation on Reason for Shortage recorded since it had been found valid**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Explanation on Reason for Shortage recorded since it had been found valid
Event Type	Conditional intermediate event
Description	Explanation on Reason for Shortage (IE871) recorded since Explanation on Reason for Shortage (IE871) had been found valid.
Previous Objects	What is the outcome of the handling of validation results?

Table 5.754: Event Explanation on Reason for Shortage recorded since it had been found valid

**Task Notify Receiving MSA of Explanation on Reason for Shortage**

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Receiving MSA of Explanation on Reason for Shortage
Task Type	Send task
Description	The Sending MSA sends to the Receiving MSA the Explanation on Reason for Shortage (IE871).
Actor	EMCS
Input Data	C_SHR_EXP

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Output Data	
Previous Objects	Explanation on Reason for Shortage recorded since it had been found valid
Information Exchange	explanation on reason for shortage
Requirements	COR_FUR_0029
Data Set	
Events	
State	

Table 5.755: Task Notify Receiving MSA of Explanation on Reason for Shortage

### Event Explanation on Reason for Shortage sent to Receiving MSA

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Explanation on Reason for Shortage sent to Receiving MSA
Event Type	End event
Description	Explanation on Reason for Shortage (IE871) is sent to Receiving MSA.
Previous Objects	Notify Receiving MSA of Explanation on Reason for Shortage

Table 5.756: Event Explanation on Reason for Shortage sent to Receiving MSA

### Event Explanation on Reason for Shortage received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Explanation on Reason for Shortage received
Event Type	Message start event
Description	Explanation on Reason for Shortage (IE871) is received from the Sending MSA.
Previous Objects	Notify Receiving MSA of Explanation on Reason for Shortage

Table 5.757: Event Explanation on Reason for Shortage received

### Task Perform validation of Explanation on Reason for Shortage

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform validation of Explanation on Reason for Shortage
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-03-Perform validation of Common Domain message

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Actor	EMCS
Appears also in the following processes	L4-CORE-AS-IS-01-0x-Manage Explanations for Shortages and Excesses
Input Data	
Output Data	
Previous Objects	Explanation on Reason for Shortage received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.758: Task Perform validation of Explanation on Reason for Shortage

### Task Handle validation results of Explanation on Reason for Shortage

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle validation results of Explanation on Reason for Shortage
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-05-Handle validation Results of Common Domain message
Actor	EMCS
Appears also in the following processes	L4-CORE-AS-IS-01-0x-Manage Explanations for Shortages and Excesses
Input Data	
Output Data	
Previous Objects	Perform validation of Explanation on Reason for Shortage
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.759: Task Handle validation results of Explanation on Reason for Shortage

### Event Refusal message sent to MSA due to Explanation on Reason for Shortage found invalid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message sent to MSA due to Explanation on Reason for Shortage

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	found invalid
Event Type	End event
Description	Refusal message (IE917/IE906) is sent to MSA due to the fact that Explanation on Reason for Shortage (IE871) was found invalid.
Previous Objects	What is the outcome of the handling of validation results?

Table 5.760: Event Refusal message sent to MSA due to Explanation on Reason for Shortage found invalid

### Event Explanation on Reason for Shortage received and recorded by Receiving MSA

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Explanation on Reason for Shortage received and recorded by Receiving MSA
Event Type	End event
Description	Explanation on Reason for Shortage (IE871) was recorded since it had been found valid and the process ends at this point.
Previous Objects	What is the outcome of the handling of validation results?

Table 5.761: Event Explanation on Reason for Shortage received and recorded by Receiving MSA

## 5.34. Process L4-CORE-01-10-Perform Cancellation of an e-AD

### 5.34.1. Objective of Process

The purpose of this process is to describe all possible paths in the electronic flow of the cancellation of an e-AD by the consignor for a movement of Excise Goods under suspension of Excise Duty (inside the territory of the European Union), in accordance with the current Common Specifications (FESS and DDNEA). If a consignment has NOT left the Place of Dispatch, then the Consignor may submit an e-AD cancellation. Anytime before the actual dispatch of goods, the Consignor may send a cancellation message (IE810) to the MSA dispatch application.

The MSA of dispatch application receives the draft e-AD cancellation for validation (IE810) and proceeds with validation and the handling of the validation results by using “Perform validation of Cancellation of an e-AD” subprocess and “Handle validation results of Cancellation of an e-AD” call-activity. Depending on the outcome (end event) of the “Handle validation results of Cancellation of an e-AD” call-activity, the following could happen:

- If a refusal message (IE917/IE704) is sent to the Consignor (since the Cancellation of an e-AD (IE810) was found invalid), the whole process ends at this point;
- If the Cancellation of an e-AD (IE810) is recorded (since Cancellation of an e-AD (IE810) was found valid), the process continues.

Upon successful validation of the incoming message, the MSA dispatch application updates the state of the e-AD to “Cancelled” and forwards the cancellation notification (IE810) to the MSA destination application and back to the Consignor.

In addition, the EMCS at MSA of Dispatch handles the timers associated with the specific movement by using the “Handle Timers associated with a Cancellation of an e-AD” sub process (for a detailed description

of the respective process please refer to “L4-CORE-01-11-Handle Timers associated with a Cancellation of an e-AD”.

The MSA destination application receives the cancellation notification message (IE810) and proceeds with validation and the handling of the validation results by using “Perform validation of Cancellation of an e-AD” subprocess and “Handle validation results of Cancellation of an e-AD” call-activity. Depending on the outcome (end event) of the “Handle validation results of Cancellation of an e-AD” call-activity, the following may happen:

- If a refusal message (IE917/IE906) is sent to the MSA of Dispatch (since the Cancellation of an e-AD (IE810) was found invalid), the whole process ends at this point;
- If the Cancellation of an e-AD (IE810) is recorded (since Cancellation of an e-AD (IE810) was found valid), the process continues.

The MSA destination application changes the state of the e-AD to “Cancelled” and forwards the cancellation notification (IE810) to the Consignee. The whole process ends here.

The cancellation of an e-AD is always a final operation and the movement state at the MSA dispatch and destination applications is updated to “Cancelled”, which is a final state.

### 5.34.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-CORE-01-10
Full Name	Perform Cancellation of an e-AD
Assumptions	The consignment has not left the place of Dispatch
Constraints	No constraints.
L3 Reference Models	L3-CORE-01-04-Perform Cancellation
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.762: Process Details

### 5.34.3. Tasks and Events

#### Task Perform validation of Cancellation of an e-AD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform validation of Cancellation of an e-AD
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-04-Perform validation of External Domain message
Actor	EMCS
Appears also in the following processes	L4-CORE-AS-IS-01-0x-Perform Cancelation of an e-AD
Input Data	

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Output Data	
Previous Objects	Cancellation of an e-AD received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.763: Task Perform validation of Cancellation of an e-AD

### Event Cancellation of an e-AD received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Cancellation of an e-AD received
Event Type	Message start event
Description	The process starts when the MSA of Dispatch application receives a draft of cancellation of e-AD from Consignor for validation (IE810:C_CAN_DAT).
Previous Objects	Consignor

Table 5.764: Event Cancellation of an e-AD received

### Task Handle validation results of Cancellation of an e-AD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle validation results of Cancellation of an e-AD
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-06-Handle validation Results of External Domain message
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Perform validation of Cancellation of an e-AD
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.765: Task Handle validation results of Cancellation of an e-AD

**Event Refusal message sent to Consignor since Cancellation of an e-AD had been found invalid**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message sent to Consignor since Cancellation of an e-AD had been found invalid
Event Type	End event
Description	A Refusal message (IE917/IE704) was sent to Consignor since the Cancellation of an e-AD (IE810) had been found invalid and the process ends at this point.
Previous Objects	What is the outcome of the validation results?

Table 5.766: Event Refusal message sent to Consignor since Cancellation of an e-AD had been found invalid

**Event Cancellation of an e-AD message recorded since it had been found valid**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Cancellation of an e-AD message recorded since it had been found valid
Event Type	Conditional intermediate event
Description	The Cancellation of an e-AD (IE810) was recorded since the Cancellation of an e-AD (IE810) had been found valid and the process continues.
Appears also in the following processes	L4-CORE-01-10-Perform Cancellation of an e-AD
Previous Objects	What is the outcome of the validation results?

Table 5.767: Event Cancellation of an e-AD message recorded since it had been found valid

**Task Perform change of the e-AD state to Cancelled**

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform change of the e-AD state to Cancelled
Task Type	Service task
Description	The state of the movement is changed to “Cancelled”.
Actor	EMCS
Appears also in the following processes	L4-CORE-01-10-Perform Cancellation of an e-AD
Input Data	ARC
Output Data	e-AD/e-SAD state

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Previous Objects	Cancellation of an e-AD message recorded since it had been found valid
Information Exchange	
Requirements	COR_FUR_0030
Data Set	e-AD/e-SAD state
Events	IE810 received
State	Invalidated

Table 5.768: Task Perform change of the e-AD state to Cancelled

### Task Notify Consignor and MSA of Destination of Cancellation of an e-AD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Consignor and MSA of Destination of Cancellation of an e-AD
Task Type	Send task
Description	The MSA of Dispatch notifies the Consignor and the MSA of Destination of the Cancellation of an e-AD (IE810).
Actor	EMCS
Input Data	C_CAN_DAT
Output Data	
Previous Objects	Perform change of the e-AD state to Cancelled
Information Exchange	cancellation of an e-AD
Requirements	COR_FUR_0031
Data Set	
Events	
State	

Table 5.769: Task Notify Consignor and MSA of Destination of Cancellation of an e-AD

### Task Handle timers associated with a Cancellation of an e-AD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle timers associated with a Cancellation of an e-AD
Task Type	Subprocess (collapsed)

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Description	This task is modeled in a separate process: L4-CORE-01-11-Handle Timers associated with a Cancellation of an e-AD
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Notify Consignor and MSA of Destination of Cancellation of an e-AD
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.770: Task Handle timers associated with a Cancellation of an e-AD

### Event Cancellation of an e-AD received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Cancellation of an e-AD received
Event Type	Message start event
Description	The MSA of Destination received the Cancellation of an e-AD (IE810) from the MSA of Dispatch.
Previous Objects	Notify Consignor and MSA of Destination of Cancellation of an e-AD

Table 5.771: Event Cancellation of an e-AD received

### Task Perform validation of Cancellation of an e-AD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform validation of Cancellation of an e-AD
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-03-Perform validation of Common Domain message
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Cancellation of an e-AD received
Information Exchange	
Requirements	

Data Set	
Events	
State	

Table 5.772: Task Perform validation of Cancellation of an e-AD

**Event e-AD is cancelled and the cancellation notified to the MSA of Destination and to the Consignor**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	e-AD is cancelled and the cancellation notified to the MSA of Destination and to the Consignor
Event Type	End event
Description	Cancellation of an e-AD (IE810) forwarded to the MSA of Destination and the cancellation information communicated to the Consignor.
Previous Objects	Handle timers associated with a Cancellation of an e-AD

Table 5.773: Event e-AD is cancelled and the cancellation notified to the MSA of Destination and to the Consignor

**Task Handle validation results of Cancellation of an e-AD**

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle validation results of Cancellation of an e-AD
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-05-Handle validation Results of Common Domain message
Actor	EMCS
Appears also in the following processes	L4-CORE-AS-IS-01-0x-Perform Cancelation of an e-AD
Input Data	
Output Data	
Previous Objects	Perform validation of Cancellation of an e-AD
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.774: Task Handle validation results of Cancellation of an e-AD

**Event Refusal message sent to MSA of Dispatch since Cancellation of an e-AD had been found invalid**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message sent to MSA of Dispatch since Cancellation of an e-AD had been found invalid
Event Type	End event
Description	A Refusal message (IE917/IE906) was sent to MSA of Destination since the Cancellation of an e-AD (IE810) had been found invalid and the process ends at this point.
Previous Objects	What is the outcome of the validation results?

Table 5.775: Event Refusal message sent to MSA of Dispatch since Cancellation of an e-AD had been found invalid

**Event Cancellation of an e-AD message recorded since it had been found valid**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Cancellation of an e-AD message recorded since it had been found valid
Event Type	Conditional intermediate event
Description	The Cancellation of an e-AD (IE810) was recorded since the Cancellation of an e-AD (IE810) had been found valid and the process continues.
Appears also in the following processes	L4-CORE-01-10-Perform Cancellation of an e-AD
Previous Objects	What is the outcome of the validation results?

Table 5.776: Event Cancellation of an e-AD message recorded since it had been found valid

**Task Perform change of the e-AD state to Cancelled**

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform change of the e-AD state to Cancelled
Task Type	Service task
Description	The state of the movement is changed to "Cancelled".
Actor	EMCS
Appears also in the following processes	L4-CORE-01-10-Perform Cancellation of an e-AD
Input Data	ARC
Output Data	e-AD/e-SAD state
Previous Objects	Cancellation of an e-AD message recorded since it had been found valid

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Information Exchange	
Requirements	COR_FUR_0030
Data Set	e-AD/e-SAD state
Events	IE810 received
State	Invalidated

Table 5.777: Task Perform change of the e-AD state to Cancelled

### Task Notify Consignee of Cancellation of an e-AD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Consignee of Cancellation of an e-AD
Task Type	Send task
Description	The MSA of Destination notifies the Consignee of the Cancellation of an e-AD (IE810).
Actor	EMCS
Input Data	C_CAN_DAT
Output Data	
Previous Objects	Perform change of the e-AD state to Cancelled
Information Exchange	cancellation of an e-AD
Requirements	COR_FUR_0033
Data Set	
Events	
State	

Table 5.778: Task Notify Consignee of Cancellation of an e-AD

### Event Cancellation of an e-AD sent to Consignee

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Cancellation of an e-AD sent to Consignee
Event Type	End event
Description	The Cancellation of an e-AD (IE810) was found valid, the MSA of Destination sent the Cancellation of an e-AD (IE810) to the Consignee and the process ends.

Previous Objects	Notify Consignee of Cancellation of an e-AD
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Table 5.779: Event Cancellation of an e-AD sent to Consignee

## 5.35. Process L4-CORE-01-12-Perform Change of Destination

### 5.35.1. Objective of Process

The purpose of this process is to describe all possible paths in the electronic flow of the change of destination for a movement of Excise Goods, in accordance with the current Common Specifications (FESS and DDNEA).

To change the destination of a movement, the consignor updates part of information to show a new consignee or a new place of delivery. A change of destination should be submitted only when the goods have left the place of Dispatch. For movements under Duty Suspension, if the goods have not left the place of dispatch the consignor should cancel the e-AD and submit a new draft e-AD with the updated destination information instead.

The process is triggered when the EMCS at MSA of Dispatch receives a draft change of destination (IE813) from the Consignor. After the receipt of the draft change of destination, the EMCS at the MSA of Dispatch validates its data, by using the "L4-CORE-01-04-Perform validation of External Domain message" sub-process. Then, the EMCS at MSA of Dispatch proceeds with the handling of the validation results by using the "L4-CORE-01-06-Handle validation Results of External Domain message" process. Depending on the outcome (end event) of the "L4-CORE-01-06-Handle validation Results of External Domain message" process, the following may happen:

- a. If a refusal message (IE917/IE704) is sent to the Consignor (since the draft change of destination was found invalid), the whole process ends at this point;
- b. If the change of destination (IE813) is recorded (since the draft change of destination was found valid), the process continues.

Then, the EMCS at MSA of Dispatch updates the e-AD/e-SAD according to the changes, sets the state of the original e-AD/e-SAD (\*) to Accepted and notifies the Consignor of the change of destination (IE813).

Following, the MSA of Dispatch examines what the update of e-AD/e-SAD indicates:

- If the update indicates a Change of Place of Delivery (applicable for either Duty Suspension or Duty Paid B2B movements), but not a change of the Member State of destination nor a change of Consignee, the process "L4-CORE-01-15-Perform Change of Destination indicating Change of Place of Delivery" is triggered. Upon completion of the process, the MSA of Dispatch has sent to the Consignor and to the MSA of Destination the Change of Place of Delivery.

- If the update indicates a Change of MSA of Destination (only applicable for Duty Suspension movements), the process "L4-CORE-01-13-Perform Change of Destination indicating Change of MSA" is triggered. Upon completion of the process the MSA of Dispatch has sent to the Consignor and to the former MSA of Destination, if any (since it is possible the MSA of Destination in the original e-AD to be unknown for Duty Suspension movements), the Change of MSA of Destination. Furthermore, the e-AD/e-SAD is sent to the MSA of Destination.

- If the update indicates Change of Consignee in the same Member State of destination (applicable for either Duty Suspension or Duty Paid B2B movements), the process "L4-CORE-01-14-Perform Change of Destination indicating Change of Consignee" is triggered. Upon completion of the process, the MSA of

Dispatch has sent the Change of Consignee to the Consignor and the e-AD/e-SAD to the MSA of Destination.

Furthermore, the EMCS at MSA of Dispatch handles the timers associated with the specific movement by using the “L4-CORE-01-17-Handle Timers associated with a Change of Destination” sub-process (for a detailed description of the respective process please refer to “L4-CORE-01-17-Handle Timers associated with a Change of Destination”) and the whole process ends at this point.

(\*) original e-AD/e-SAD, means the e-AD/e-SAD which has been previously generated.

### 5.35.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-CORE-01-12
Full Name	Perform Change of Destination
Assumptions	The goods have left the place of Dispatch.
Constraints	No constraints.
L3 Reference Models	L3-CORE-01-06-Perform Change of Destination
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.780: Process Details

### 5.35.3. Tasks and Events

#### Task Perform validation of draft Change of Destination

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform validation of draft Change of Destination
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-04-Perform validation of External Domain message
Actor	EMCS
Appears also in the following processes	L4-CORE-AS-IS-01-0x-Perform change of destination
Input Data	
Output Data	
Previous Objects	Draft Change of Destination received
Information Exchange	
Requirements	

Data Set	
Events	
State	

Table 5.781: Task Perform validation of draft Change of Destination

### Event Draft Change of Destination received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Draft Change of Destination received
Event Type	Message start event
Description	The current process starts when the MSA of Dispatch receives a draft Change of Destination (IE813) from the Consignor.
Previous Objects	Consignor

Table 5.782: Event Draft Change of Destination received

### Task Handle validation results of draft Change of Destination

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle validation results of draft Change of Destination
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-06-Handle validation Results of External Domain message
Actor	EMCS
Appears also in the following processes	L4-CORE-AS-IS-01-0x-Perform change of destination
Input Data	
Output Data	
Previous Objects	Perform validation of draft Change of Destination
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.783: Task Handle validation results of draft Change of Destination

### Event Refusal message sent to Consignor since draft Change of Destination had been found invalid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
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Event Name	Refusal message sent to Consignor since draft Change of Destination had been found invalid
Event Type	End event
Description	A Refusal message (IE917/IE704) was sent to Consignor since the draft Change of Destination (IE813) had been found invalid and the process ends at this point.
Previous Objects	What is the outcome of the handling of validation results?

Table 5.784: Event Refusal message sent to Consignor since draft Change of Destination had been found invalid

### Event Change of Destination recorded since draft Change of Destination had been found valid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Change of Destination recorded since draft Change of Destination had been found valid
Event Type	Conditional intermediate event
Description	The Change of Destination (IE813) was recorded since the draft Change of Destination (IE813) had been found valid and the process continues.
Previous Objects	What is the outcome of the handling of validation results?

Table 5.785: Event Change of Destination recorded since draft Change of Destination had been found valid

### Task Update e-AD/e-SAD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Update e-AD/e-SAD
Task Type	Service task
Description	The MSA of Dispatch updates the e-AD/e-SAD according to the changes of the received Change of Destination (IE813). The e-AD/e-SAD is not cancelled but only updated. Older information remains available for consultation of the history of the movement.
Actor	EMCS
Input Data	C_UPD_DAT
Output Data	C_UPD_DAT
Previous Objects	Change of Destination recorded since draft Change of Destination had been found valid
Information Exchange	
Requirements	COR_FUR_0040

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Data Set	
Events	
State	

Table 5.786: Task Update e-AD/e-SAD

### Task Perform change of original e-AD/e-SAD state to Accepted

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform change of original e-AD/e-SAD state to Accepted
Task Type	Service task
Description	The state of the movement is changed to “Accepted”.
Actor	EMCS
Input Data	ARC Sequence Number
Output Data	e-AD/e-SAD state
Previous Objects	Update e-AD/e-SAD
Information Exchange	
Requirements	COR_FUR_0037
Data Set	e-AD/e-SAD state
Events	IE813 received
State	Accepted

Table 5.787: Task Perform change of original e-AD/e-SAD state to Accepted

### Task Notify Consignor of Change of Destination

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Consignor of Change of Destination
Task Type	Send task
Description	The MSA of Dispatch notifies the Consignor of the Change of Destination (IE813).
Actor	EMCS
Input Data	C_UPD_DAT

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Output Data	
Previous Objects	Perform change of original e-AD/e-SAD state to Accepted
Information Exchange	change of destination
Requirements	COR_FUR_0038
Data Set	
Events	
State	

Table 5.788: Task Notify Consignor of Change of Destination

### Task Identify what the update includes

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify what the update includes
Task Type	Service task
Description	The MSA of Dispatch examines what the update of the e-AD/e-SAD indicates.
Actor	EMCS
Input Data	OFFICE Place of Delivery - Customs for IE813 TRADER Place of Delivery for IE813 TRADER New Consignee for IE813 Sequence Number ARC
Output Data	Result Identify what the update includes
Previous Objects	Notify Consignor of Change of Destination
Information Exchange	
Requirements	COR_FUR_0039
Data Set	
Events	
State	

Table 5.789: Task Identify what the update includes

### Task Perform change of destination indicating Change of Place of Delivery

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Perform change of destination indicating Change of Place of Delivery
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-15-Perform Change of Destination indicating Change of Place of Delivery.
Actor	EMCS
Input Data	
Output Data	
Previous Objects	What does the update include?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.790: Task Perform change of destination indicating Change of Place of Delivery

### Task Perform change of destination indicating Change of Consignee

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform change of destination indicating Change of Consignee
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-14-Perform Change of Destination indicating Change of Consignee.
Actor	EMCS
Input Data	
Output Data	
Previous Objects	What does the update include?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.791: Task Perform change of destination indicating Change of Consignee

### Task Perform change of destination indicating Change of MSA (Duty Suspension only)

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Perform change of destination indicating Change of MSA (Duty Suspension only)
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-13-Perform Change of Destination indicating Change of MSA.
Actor	EMCS
Input Data	
Output Data	
Previous Objects	What does the update include?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.792: Task Perform change of destination indicating Change of MSA (Duty Suspension only)

### Task Handle Timers associated with a Change of Destination

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Timers associated with a Change of Destination
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-17-Handle Timers associated with a Change of Destination.
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Perform change of destination indicating Change of Place of Delivery Perform change of destination indicating Change of Consignee Perform change of destination indicating Change of MSA (Duty Suspension only)
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.793: Task Handle Timers associated with a Change of Destination

### Event e-AD/e-SAD is in Accepted state and timers updated at MSA of Dispatch

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	e-AD/e-SAD is in Accepted state and timers updated at MSA of Dispatch
Event Type	End event
Description	e-AD/e-SAD is in Accepted state and associated timers have been updated.
Previous Objects	Handle Timers associated with a Change of Destination

Table 5.794: Event e-AD/e-SAD is in Accepted state and timers updated at MSA of Dispatch

## 5.36. Process L4-CORE-01-13-Perform Change of Destination indicating Change of MSA

### 5.36.1. Objective of Process

The purpose of this process is to describe the change of destination of a movement indicating Change of MSA of Destination.

The process is triggered when a Change of destination indicating Change of MSA of Destination is available at MSA of Dispatch. The EMCS at the MSA of Dispatch builds an e-AD (IE801) with the same ARC as in the original e-AD (\*), records the generated e-AD (IE801) and notifies the new MSA of Destination of the e-AD (IE801).

After the receipt of the e-AD (IE801) by the new MSA of Destination, the process “L4-CORE-01-16-Handle e-AD upon Change of Destination” is triggered. Upon completion of the process, the MSA of Dispatch has sent to the Consignee the e-AD (IE801).

Following, if the MSA of Destination in the original e-AD is known, the MSA of Dispatch forwards the Change of Destination (IE813) to the MSA of Destination mentioned in the original e-AD (former MSA of Destination) and the process continues.

After the receipt of the change of destination (IE813), the EMCS at the former MSA of Destination/ MSA of Export proceeds with validating its data, by using the “L4-CORE-01-03-Perform validation of Common Domain message” sub-process. Then, the EMCS at the former MSA of Destination/ MSA of Export proceeds with the handling of the validation results by using the “L4-CORE-01-05-Handle validation Results of Common Domain message” process. Depending on the outcome (end event) of the “L4-CORE-01-05-Handle validation Results of Common Domain message” process, the following may happen:

a. If a refusal message (IE917/IE906) is sent to the MSA of Dispatch (since the change of destination was found invalid), the whole process ends at this point;

b. If the change of destination is recorded (since the change of destination was found valid), the process continues.

Then, the EMCS at the former MSA of Destination/ MSA of Export changes state of the e-AD to Diverted. After this:

- In case of MSA of Export, the whole process ends here;

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- In case of MSA of Destination, the EMCS at the former MSA of Destination builds the notification of diverted e-AD, records the diverted e-AD and notifies the former Consignee of the diverted e-AD (IE803). The whole process ends at this point.

(\* ) original e-AD, means the e-AD which has been previously generated.

### 5.36.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-CORE-01-13
Full Name	Perform Change of Destination indicating Change of MSA
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-CORE-01-10-Handle Change of Destination indicating Change of MSA of Destination
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.795: Process Details

### 5.36.3. Tasks and Events

#### Event Change of Destination indicating Change of MSA is available at MSA of Dispatch

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Change of Destination indicating Change of MSA is available at MSA of Dispatch
Event Type	Start event
Description	The MSA of Dispatch has received and successfully validated a draft e-AD update (IE813:C_UPD_DAT) where a change of Member State of Destination is indicated.
Previous Objects	

Table 5.796: Event Change of Destination indicating Change of MSA is available at MSA of Dispatch

#### Task Build e-AD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build e-AD
Task Type	Service task
Description	EMCS of the MSA of Dispatch generates an e-AD (IE801) with the same ARC as in the original e-AD and any new destination details. In case of change of destination for a partially refused movement, the generated e-AD(IE801) includes the refused quantity declared by the former Consignee

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	in the partially refused report of receipt. Otherwise, the quantity is the same as the one in the original e-AD (IE801).
Actor	EMCS
Input Data	C_UPD_DAT Result Identify what the update includes
Output Data	C_EAD_VAL
Previous Objects	Change of Destination indicating Change of MSA is available at MSA of Dispatch
Information Exchange	
Requirements	COR_FUR_0041
Data Set	
Events	
State	

Table 5.797: Task Build e-AD

### Task Record e-AD(s)

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record e-AD(s)
Task Type	Service task
Description	The updated e-AD(s) are stored.
Actor	EMCS
Appears also in the following processes	L4-CORE-01-18-Perform Splitting of Consignment
Input Data	C_EAD_VAL
Output Data	C_EAD_VAL
Previous Objects	Build e-AD
Information Exchange	
Requirements	COR_FUR_0042
Data Set	C_EAD_VAL
Events	

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State	
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Table 5.798: Task Record e-AD(s)

### Task Notify MSA of Destination/ MSA of Export of e-AD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify MSA of Destination/ MSA of Export of e-AD
Task Type	Send task
Description	The MSA of Dispatch notifies the MSA of Destination/ MSA of Export of the e-AD (IE801).
Actor	EMCS
Input Data	C_EAD_VAL
Output Data	
Previous Objects	Record e-AD(s)
Information Exchange	e-AD/e-SAD
Requirements	COR_FUR_0054
Data Set	
Events	
State	

Table 5.799: Task Notify MSA of Destination/ MSA of Export of e-AD

### Task Identify whether the destination is known in the original e-AD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify whether the destination is known in the original e-AD
Task Type	Service task
Description	EMCS of the MSA of Dispatch checks whether the MSA of Destination is known in the original e-AD.
Actor	EMCS
Appears also in the following processes	L4-CORE-01-18-Perform Splitting of Consignment
Input Data	Sequence Number ARC Destination Type Code
Output Data	Result Identify whether destination is known in the original e-AD

Previous Objects	Notify MSA of Destination/ MSA of Export of e-AD
Information Exchange	
Requirements	COR_FUR_0045
Data Set	
Events	
State	

Table 5.800: Task Identify whether the destination is known in the original e-AD

### Task Notify former MSA of Destination/ MSA of Export, of the Change of Destination

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify former MSA of Destination/ MSA of Export, of the Change of Destination
Task Type	Send task
Description	If the destination of the movement is known, the EMCS of the MSA of Dispatch submits a Change of Destination (IE813) to the former MSA of Destination/ MSA of Export.
Actor	EMCS
Input Data	C_UPD_DAT
Output Data	
Previous Objects	Was the Destination known in the original e-AD?
Information Exchange	change of destination
Requirements	COR_FUR_0043
Data Set	
Events	
State	

Table 5.801: Task Notify former MSA of Destination/ MSA of Export, of the Change of Destination

### Event Change of Destination received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Change of Destination received
Event Type	Message start event
Description	The former MSA of Destination/ MSA of Export received the Change of

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	Destination (IE813) from the MSA of Dispatch.
Previous Objects	Notify former MSA of Destination/ MSA of Export, of the Change of Destination

Table 5.802: Event Change of Destination received

### Event e-AD sent to MSA of Destination/ MSA of Export and Change of Destination sent to Former MSA of Destination/ MSA of Export

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	e-AD sent to MSA of Destination/ MSA of Export and Change of Destination sent to Former MSA of Destination/ MSA of Export
Event Type	End event
Description	The Change of Destination (IE813) and e-AD (IE801) messages have been sent to the former MSA of Destination/ MSA of Export and MSA of Destination/ MSA of Export respectively.
Previous Objects	Was the Destination known in the original e-AD? Notify former MSA of Destination/ MSA of Export, of the Change of Destination

Table 5.803: Event e-AD sent to MSA of Destination/ MSA of Export and Change of Destination sent to Former MSA of Destination/ MSA of Export

### Task Perform validation of the Change of Destination

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform validation of the Change of Destination
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-03-Perform validation of Common Domain message.
Actor	EMCS
Appears also in the following processes	L4-CORE-01-15-Perform Change of Destination indicating Change of Place of Delivery L4-CORE-AS-IS-01-0x-Perform change of destination
Input Data	
Output Data	
Previous Objects	Change of Destination received
Information Exchange	
Requirements	
Data Set	

Events	
State	

Table 5.804: Task Perform validation of the Change of Destination

### Event e-AD/e-SAD upon Change of Destination received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	e-AD/e-SAD upon Change of Destination received
Event Type	Message start event
Description	The MSA of Destination/ MSA of Export received the e-AD/e-SAD (IE801) from the MSA of Dispatch.
Appears also in the following processes	L4-CORE-01-20-Forward e-AD(s) to MSA of Destination L4-CORE-01-14-Perform Change of Destination indicating Change of Consignee
Previous Objects	Notify MSA of Destination/ MSA of Export of e-AD

Table 5.805: Event e-AD/e-SAD upon Change of Destination received

### Task Handle validation results of the Change of Destination

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle validation results of the Change of Destination
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-05-Handle validation Results of Common Domain message.
Actor	EMCS
Appears also in the following processes	L4-CORE-01-15-Perform Change of Destination indicating Change of Place of Delivery L4-CORE-AS-IS-01-0x-Perform change of destination
Input Data	
Output Data	
Previous Objects	Perform validation of the Change of Destination
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.806: Task Handle validation results of the Change of Destination

### Task Handle e-AD/e-SAD upon Change of Destination

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle e-AD/e-SAD upon Change of Destination
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-16-Handle e-AD/e-SAD upon Change of Destination.
Actor	EMCS
Appears also in the following processes	L4-CORE-01-14-Perform Change of Destination indicating Change of Consignee
Input Data	
Output Data	
Previous Objects	e-AD/e-SAD upon Change of Destination received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.807: Task Handle e-AD/e-SAD upon Change of Destination

### Event e-AD/e-SAD received and sent to the Consignee

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	e-AD/e-SAD received and sent to the Consignee
Event Type	End event
Description	The MSA of Destination/MSA of Export received the e-AD/e-SAD. If the new destination is not for export, the MSA of Destination has forwarded it to the Consignee. The process ends at this point.
Appears also in the following processes	L4-CORE-01-20-Forward e-AD(s) to MSA of Destination L4-CORE-01-16-Handle e-AD/e-SAD upon Change of Destination
Previous Objects	Handle e-AD/e-SAD upon Change of Destination

Table 5.808: Event e-AD/e-SAD received and sent to the Consignee

### Event Refusal message sent to MSA of Dispatch since Change of Destination had been found invalid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message sent to MSA of Dispatch since Change of Destination had been found invalid
Event Type	End event
Description	A Refusal message (IE917/IE906) was sent to MSA of Dispatch since the Change of Destination (IE813) had been found invalid and the process ends at this point.
Appears also in the following processes	L4-CORE-01-15-Perform Change of Destination indicating Change of Place of Delivery
Previous Objects	What is the outcome of the handling of validation results?

Table 5.809: Event Refusal message sent to MSA of Dispatch since Change of Destination had been found invalid

### Event Change of Destination recorded since it had been found valid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Change of Destination recorded since it had been found valid
Event Type	Conditional intermediate event
Description	The Change of Destination (IE813) was recorded since it had been found valid and the process continues.
Appears also in the following processes	L4-CORE-01-15-Perform Change of Destination indicating Change of Place of Delivery
Previous Objects	What is the outcome of the handling of validation results?

Table 5.810: Event Change of Destination recorded since it had been found valid

### Task Perform change of e-AD state to Diverted

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform change of e-AD state to Diverted
Task Type	Service task
Description	The state of the movement is changed to "Diverted"
Actor	EMCS
Input Data	Sequence Number ARC
Output Data	e-AD/e-SAD state
Previous Objects	Change of Destination recorded since it had been found valid

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Information Exchange	
Requirements	COR_FUR_0046
Data Set	e-AD/e-SAD state
Events	IE813 received
State	Diverted

Table 5.811: Task Perform change of e-AD state to Diverted

### Task Identify whether the destination of the movement is for Export

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify whether the destination of the movement is for Export
Task Type	Service task
Description	The MSA identifies if the destination of the movement is for Export.
Actor	EMCS
Appears also in the following processes	L4-CORE-01-20-Forward e-AD(s) to MSA of Destination L4-CORE-01-15-Perform Change of Destination indicating Change of Place of Delivery L4-CORE-01-21-Forward notification of Diverted e-AD to MSA of Destination L4-CORE-01-16-Handle e-AD/e-SAD upon Change of Destination
Input Data	Sequence Number ARC Destination Type Code
Output Data	Result Identify whether the destination of the movement is for Export
Previous Objects	Perform change of e-AD state to Diverted
Information Exchange	
Requirements	COR_FUR_0047
Data Set	
Events	
State	

Table 5.812: Task Identify whether the destination of the movement is for Export

### Task Build Notification of Diverted e-AD

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TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build Notification of Diverted e-AD
Task Type	Service task
Description	The former MSA of Destination builds a notification of a diverted e-AD (IE803).
Actor	EMCS
Input Data	Result Identify whether the destination of the movement is for Export C_UPD_DAT
Output Data	C_EAD_NOT
Previous Objects	Was the initial destination of the movement for Export?
Information Exchange	
Requirements	COR_FUR_0048
Data Set	
Events	
State	

Table 5.813: Task Build Notification of Diverted e-AD

### Event Change of Destination found valid and received by MSA of Export

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Change of Destination found valid and received by MSA of Export
Event Type	End event
Description	The Change of Destination (IE813) was found valid and received by MSA of Export. At this point the process ends.
Appears also in the following processes	L4-CORE-01-15-Perform Change of Destination indicating Change of Place of Delivery
Previous Objects	Was the initial destination of the movement for Export?

Table 5.814: Event Change of Destination found valid and received by MSA of Export

### Task Record Notification of Diverted e-AD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Notification of Diverted e-AD
Task Type	Service task

Description	The Notification of Diverted e-AD (IE803) is stored.
Actor	EMCS
Appears also in the following processes	L4-CORE-01-18-Perform Splitting of Consignment
Input Data	C_EAD_NOT
Output Data	C_EAD_NOT
Previous Objects	Build Notification of Diverted e-AD
Information Exchange	
Requirements	COR_FUR_0049
Data Set	
Events	
State	

Table 5.815: Task Record Notification of Diverted e-AD

### Task Notify former Consignee of Notification of Diverted e-AD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify former Consignee of Notification of Diverted e-AD
Task Type	Send task
Description	The MSA of Destination notifies the former Consignee of the Notification of Diverted e-AD (IE803).
Actor	EMCS
Appears also in the following processes	L4-CORE-01-21-Forward notification of Diverted e-AD to MSA of Destination
Input Data	C_EAD_NOT
Output Data	
Previous Objects	Record Notification of Diverted e-AD
Information Exchange	notification of diverted e-AD/e-SAD
Requirements	COR_FUR_0044
Data Set	
Events	

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State	
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Table 5.816: Task Notify former Consignee of Notification of Diverted e-AD

### Event Notification of Diverted e-AD sent to former Consignee due to change of MSA of Destination

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Notification of Diverted e-AD sent to former Consignee due to change of MSA of Destination
Event Type	End event
Description	The former MSA of Destination sent the Notification of Diverted e-AD (IE803) to the former Consignee.
Previous Objects	Notify former Consignee of Notification of Diverted e-AD

Table 5.817: Event Notification of Diverted e-AD sent to former Consignee due to change of MSA of Destination

## 5.37. Process L4-CORE-01-14-Perform Change of Destination indicating Change of Consignee

### 5.37.1. Objective of Process

The purpose of this process is to describe the change of destination of a movement indicating Change of Consignee.

The process is triggered when a Change of destination indicating Change of Consignee is available at MSA of Dispatch. The EMCS at the MSA of Dispatch builds an e-AD/e-SAD (IE801) with the same ARC as in the original e-AD/e-SAD (\*), records the generated e-AD/e-SAD (IE801), notifies the MSA of Destination of the e-AD/e-SAD (IE801) and the process continues.

After the receipt of the e-AD/e-SAD (IE801) by the MSA of Destination, the process “L4-CORE-01-16-Handle e-AD/e-SAD upon Change of Destination” is triggered. Upon completion of the process, the MSA of Dispatch has sent to the Consignee the e-AD/e-SAD (IE801).

Following, the EMCS at MSA of Destination builds the notification of diverted e-AD/e-SAD, records the diverted e-AD and notifies the former Consignee of the diverted e-AD/e-SAD (IE803). The whole process ends.

It shall be highlighted that for Duty Paid B2B movements, a change of destination indicating Change of Consignee is allowed only where the new consignee is actually the original consignor. This feature can be used to return the goods to the Place of Dispatch, for instance as a form of cancellation of a Duty Paid B2B movement.

(\*) original e-AD/e-SAD, means the e-AD/e-SAD which has been previously generated.

### 5.37.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
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Process ID	L4-CORE-01-14
Full Name	Perform Change of Destination indicating Change of Consignee
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-CORE-01-11-Handle Change of Destination indicating Change of Consignee
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.818: Process Details

### 5.37.3. Tasks and Events

#### Event Change of Destination indicating Change of Consignee is available at MSA of Dispatch

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Change of Destination indicating Change of Consignee is available at MSA of Dispatch
Event Type	Start event
Description	The MSA of Dispatch has received and successfully validated a draft e-AD/e-SAD update (IE813:C_UPD_DAT) where a change of Consignee is indicated.
Previous Objects	

Table 5.819: Event Change of Destination indicating Change of Consignee is available at MSA of Dispatch

#### Task Build e-AD/e-SAD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build e-AD/e-SAD
Task Type	Service task
Description	EMCS of the MSA of Dispatch generates an e-AD/e-SAD (IE801) with the same ARC as in the original e-AD/e-SAD and any new destination details. In case of change of destination for a partially refused movement, the generated e-AD/e-SAD(IE801) includes the refused quantity declared by the former Consignee in the partially refused report of receipt. Otherwise, the quantity is the same as the one in the original e-AD/e-SAD (IE801).
Actor	EMCS
Input Data	Result Identify what the update includes C_UPD_DAT
Output Data	C_EAD_VAL

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Previous Objects	Change of Destination indicating Change of Consignee is available at MSA of Dispatch
Information Exchange	
Requirements	COR_FUR_0041
Data Set	
Events	
State	

Table 5.820: Task Build e-AD/e-SAD

### Task Record e-AD/e-SAD(s)

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record e-AD/e-SAD(s)
Task Type	Service task
Description	The updated e-AD/e-SAD(s) are stored.
Actor	EMCS
Input Data	C_EAD_VAL
Output Data	C_EAD_VAL
Previous Objects	Build e-AD/e-SAD
Information Exchange	
Requirements	COR_FUR_0042
Data Set	
Events	
State	

Table 5.821: Task Record e-AD/e-SAD(s)

### Task Notify MSA of Destination/ MSA of Export of e-AD/e-SAD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify MSA of Destination/ MSA of Export of e-AD/e-SAD
Task Type	Send task
Description	The MSA of Dispatch notifies the MSA of Destination/ MSA of Export of the e-AD/e-SAD (IE801).
Actor	EMCS

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Input Data	C_EAD_VAL
Output Data	
Previous Objects	Record e-AD/e-SAD(s)
Information Exchange	e-AD/e-SAD
Requirements	COR_FUR_0054
Data Set	
Events	
State	

Table 5.822: Task Notify MSA of Destination/ MSA of Export of e-AD/e-SAD

### Event e-AD/e-SAD sent to MSA of Destination/ MSA of Export

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	e-AD/e-SAD sent to MSA of Destination/ MSA of Export
Event Type	End event
Description	The e-AD/e-SAD (IE801) message has been sent to the MSA of Destination/ MSA of Export.
Previous Objects	Notify MSA of Destination/ MSA of Export of e-AD/e-SAD

Table 5.823: Event e-AD/e-SAD sent to MSA of Destination/ MSA of Export

### Event e-AD/e-SAD upon Change of Destination received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	e-AD/e-SAD upon Change of Destination received
Event Type	Message start event
Description	The MSA of Destination/ MSA of Export received the e-AD/e-SAD (IE801) from the MSA of Dispatch.
Appears also in the following processes	L4-CORE-01-20-Forward e-AD(s) to MSA of Destination L4-CORE-01-13-Perform Change of Destination indicating Change of MSA
Previous Objects	Notify MSA of Destination/ MSA of Export of e-AD/e-SAD

Table 5.824: Event e-AD/e-SAD upon Change of Destination received

### Task Handle e-AD/e-SAD upon Change of Destination

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle e-AD/e-SAD upon Change of Destination
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-16-Handle e-AD/e-SAD upon Change of Destination.
Actor	EMCS
Appears also in the following processes	L4-CORE-01-13-Perform Change of Destination indicating Change of MSA
Input Data	
Output Data	
Previous Objects	e-AD/e-SAD upon Change of Destination received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.825: Task Handle e-AD/e-SAD upon Change of Destination

### Task Build Notification of Diverted e-AD/e-SAD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build Notification of Diverted e-AD/e-SAD
Task Type	Service task
Description	The MSA of Destination builds a notification of a diverted e-AD/e-SAD (IE803).
Actor	EMCS
Appears also in the following processes	L4-CORE-AS-IS-01-0x-Perform change of destination
Input Data	C_EAD_VAL
Output Data	C_EAD_NOT
Previous Objects	Handle e-AD/e-SAD upon Change of Destination
Information Exchange	
Requirements	COR_FUR_0048

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Data Set	
Events	
State	

Table 5.826: Task Build Notification of Diverted e-AD/e-SAD

### Task Record Notification of Diverted e-AD/e-SAD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Notification of Diverted e-AD/e-SAD
Task Type	Service task
Description	The Notification of Diverted e-AD/e-SAD (IE803) is stored.
Actor	EMCS
Input Data	C_EAD_NOT
Output Data	C_EAD_NOT
Previous Objects	Build Notification of Diverted e-AD/e-SAD
Information Exchange	
Requirements	COR_FUR_0049
Data Set	
Events	
State	

Table 5.827: Task Record Notification of Diverted e-AD/e-SAD

### Task Notify former Consignee of Notification of Diverted e-AD/e-SAD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify former Consignee of Notification of Diverted e-AD/e-SAD
Task Type	Send task
Description	The MSA of Destination notifies the former Consignee of the Notification of Diverted e-AD/e-SAD (IE803).
Actor	EMCS
Input Data	C_EAD_NOT
Output Data	
Previous Objects	Record Notification of Diverted e-AD/e-SAD

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Information Exchange	notification of diverted e-AD/e-SAD
Requirements	COR_FUR_0044
Data Set	
Events	
State	

Table 5.828: Task Notify former Consignee of Notification of Diverted e-AD/e-SAD

### Event e-AD/e-SAD received and Notification of Diverted e-AD/e-SAD sent to Former Consignee

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	e-AD/e-SAD received and Notification of Diverted e-AD/e-SAD sent to Former Consignee
Event Type	End event
Description	The MSA of Destination received the e-AD/e-SAD (IE801) and sent the Notification of Diverted e-AD/e-SAD (IE803) to the former Consignee.
Previous Objects	Notify former Consignee of Notification of Diverted e-AD/e-SAD

Table 5.829: Event e-AD/e-SAD received and Notification of Diverted e-AD/e-SAD sent to Former Consignee

## 5.38. Process L4-CORE-01-15-Perform Change of Destination indicating Change of Place of Delivery

### 5.38.1. Objective of Process

The purpose of this process is to describe the change of destination of a movement indicating Change of Place of Delivery.

The process is triggered when a Change of destination indicating Change of Place of Delivery is available at MSA of Dispatch. The EMCS at the MSA of Dispatch forwards the Change of Destination (IE813) to the MSA of Destination and the process continues.

After the receipt of the change of destination (IE813), the EMCS at MSA of Destination/ MSA of Export proceeds with validating its data, by using the "L4-CORE-01-03-Perform validation of Common Domain message" sub-process. Then, the EMCS at MSA of Destination/ MSA of Export proceeds with the handling of the validation results by using the "L4-CORE-01-05-Handle validation Results of Common Domain message" process. Depending on the outcome (end event) of the "L4-CORE-01-05-Handle validation Results of Common Domain message" process, the following may happen:

- a. If a refusal message (IE917/IE906) is sent to the MSA of Dispatch (since the change of destination was found invalid), the whole process ends at this point;
- b. If the change of destination is recorded (since the change of destination was found valid), the process continues.

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Then, the EMCS at MSA of Destination/ MSA of Export sets state of the e-AD/e-SAD to Accepted. After this:

- In case of MSA of Export (i.e. for Duty Suspension movements), the whole process ends here;
- In case of MSA of Destination, the EMCS at MSA of Destination forwards the Change of Destination (IE813) to the Consignee and the whole process ends.

### 5.38.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-CORE-01-15
Full Name	Perform Change of Destination indicating Change of Place of Delivery
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-CORE-01-09-Handle Change of Destination indicating Change of Place of Delivery
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.830: Process Details

### 5.38.3. Tasks and Events

#### Event Change of Destination indicating Change of Place of Delivery is available at MSA of Dispatch

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Change of Destination indicating Change of Place of Delivery is available at MSA of Dispatch
Event Type	Start event
Description	The MSA of Dispatch has received and successfully validated a draft e-AD/e-SAD update (IE813:C_UPD_DAT) where a change of the Place of Delivery is indicated.
Previous Objects	

Table 5.831: Event Change of Destination indicating Change of Place of Delivery is available at MSA of Dispatch

#### Task Notify MSA of Destination, of the Change of Destination

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify MSA of Destination, of the Change of Destination
Task Type	Send task

Description	The EMCS of the MSA of Dispatch forwards the Change of Destination (IE813) to the unchanged MSA of Destination/ MSA of Export.
Actor	EMCS
Appears also in the following processes	L4-CORE-AS-IS-01-0x-Perform change of destination
Input Data	Result Identify what the update includes C_UPD_DAT
Output Data	
Previous Objects	Change of Destination indicating Change of Place of Delivery is available at MSA of Dispatch
Information Exchange	change of destination
Requirements	COR_FUR_0050
Data Set	
Events	
State	

Table 5.832: Task Notify MSA of Destination, of the Change of Destination

### Event Change of Destination sent to MSA of Destination/ MSA of Export

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Change of Destination sent to MSA of Destination/ MSA of Export
Event Type	End event
Description	The Change of Destination (IE813) message has been sent to the MSA of Destination/ MSA of Export.
Previous Objects	Notify MSA of Destination, of the Change of Destination

Table 5.833: Event Change of Destination sent to MSA of Destination/ MSA of Export

### Event Change of Destination received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Change of Destination received
Event Type	Message start event
Description	The MSA of Destination/ MSA of Export received the Change of Destination (IE813) from the MSA of Dispatch.
Previous Objects	Notify MSA of Destination, of the Change of Destination

Table 5.834: Event Change of Destination received

### Task Perform validation of the Change of Destination

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform validation of the Change of Destination
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-03-Perform validation of Common Domain message.
Actor	EMCS
Appears also in the following processes	L4-CORE-01-13-Perform Change of Destination indicating Change of MSA L4-CORE-AS-IS-01-0x-Perform change of destination
Input Data	
Output Data	
Previous Objects	Change of Destination received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.835: Task Perform validation of the Change of Destination

### Task Handle validation results of the Change of Destination

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle validation results of the Change of Destination
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-05-Handle validation Results of Common Domain message.
Actor	EMCS
Appears also in the following processes	L4-CORE-01-13-Perform Change of Destination indicating Change of MSA L4-CORE-AS-IS-01-0x-Perform change of destination
Input Data	
Output Data	
Previous Objects	Perform validation of the Change of Destination

Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.836: Task Handle validation results of the Change of Destination

**Event Refusal message sent to MSA of Dispatch since Change of Destination had been found invalid**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message sent to MSA of Dispatch since Change of Destination had been found invalid
Event Type	End event
Description	A Refusal message (IE917/IE906) was sent to MSA of Dispatch since the Change of Destination (IE813) had been found invalid and the process ends at this point.
Appears also in the following processes	L4-CORE-01-13-Perform Change of Destination indicating Change of MSA
Previous Objects	What is the outcome of the handling of validation results?

Table 5.837: Event Refusal message sent to MSA of Dispatch since Change of Destination had been found invalid

**Event Change of Destination recorded since it had been found valid**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Change of Destination recorded since it had been found valid
Event Type	Conditional intermediate event
Description	The Change of Destination (IE813) was recorded since it had been found valid and the process continues.
Appears also in the following processes	L4-CORE-01-13-Perform Change of Destination indicating Change of MSA
Previous Objects	What is the outcome of the handling of validation results?

Table 5.838: Event Change of Destination recorded since it had been found valid

**Task Set e-AD/e-SAD state to Accepted**

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Set e-AD/e-SAD state to Accepted
Task Type	Service task
Description	The state of the e-AD/e-SAD is set to “Accepted”.
Actor	EMCS
Input Data	Sequence Number ARC
Output Data	e-AD/e-SAD state
Previous Objects	Change of Destination recorded since it had been found valid
Information Exchange	
Requirements	COR_FUR_0051
Data Set	e-AD/e-SAD state
Events	IE813 received
State	Accepted

Table 5.839: Task Set e-AD/e-SAD state to Accepted

### Task Identify whether the destination of the movement is for Export

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify whether the destination of the movement is for Export
Task Type	Service task
Description	The MSA identifies if the destination of the movement is for Export.
Actor	EMCS
Appears also in the following processes	L4-CORE-01-20-Forward e-AD(s) to MSA of Destination L4-CORE-01-13-Perform Change of Destination indicating Change of MSA L4-CORE-01-21-Forward notification of Diverted e-AD to MSA of Destination L4-CORE-01-16-Handle e-AD/e-SAD upon Change of Destination
Input Data	Sequence Number ARC Destination Type Code
Output Data	Result Identify whether the destination of the movement is for Export

Previous Objects	Set e-AD/e-SAD state to Accepted
Information Exchange	
Requirements	COR_FUR_0047
Data Set	
Events	
State	

Table 5.840: Task Identify whether the destination of the movement is for Export

### Task Notify Consignee of Change of Destination

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Consignee of Change of Destination
Task Type	Send task
Description	The MSA of Destination notifies the Consignee of the Change of Destination (IE813).
Actor	EMCS
Input Data	C_UPD_DAT Result Identify whether the destination of the movement is for Export
Output Data	
Previous Objects	Was the initial destination of the movement for Export?
Information Exchange	change of destination
Requirements	COR_FUR_0052
Data Set	
Events	
State	

Table 5.841: Task Notify Consignee of Change of Destination

### Event Change of Destination found valid and received by MSA of Export

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Change of Destination found valid and received by MSA of Export
Event Type	End event
Description	The Change of Destination (IE813) was found valid and received by MSA of Export. At this point the process ends.

Appears also in the following processes	L4-CORE-01-13-Perform Change of Destination indicating Change of MSA
Previous Objects	Was the initial destination of the movement for Export?

Table 5.842: Event Change of Destination found valid and received by MSA of Export

### Event Change of Destination sent to Consignee

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Change of Destination sent to Consignee
Event Type	End event
Description	The MSA of Destination sent the Change of Destination (IE813) to the Consignee.
Previous Objects	Notify Consignee of Change of Destination

Table 5.843: Event Change of Destination sent to Consignee

## 5.39. Process L4-CORE-01-16-Handle e-AD/e-SAD upon Change of Destination

### 5.39.1. Objective of Process

The purpose of this process is to describe the handling of an e-AD/e-SAD upon Change of Destination at the MSA of Destination/ MSA of Export.

The process is triggered when an e-AD/e-SAD is available at MSA of Destination/ MSA of Export upon Change of Destination. After the receipt of the e-AD/e-SAD (IE801), the EMCS at MSA of Destination/ MSA of Export proceeds with validating its data, by using the “Perform validation of e-AD/e-SAD” sub process. Then, the EMCS at MSA of Destination/ MSA of Export proceeds with the handling of the validation results by using the “Handle validation results of e-AD/e-SAD” call activity. Depending on the outcome (end event) of the “Handle validation results of e-AD/e-SAD” call activity, the following may happen:

- a. If a refusal message (IE917/IE906) is sent to the MSA of Dispatch (since the e-AD/e-SAD was found invalid), the whole process ends at this point;
- b. If the e-AD/e-SAD is recorded (since the e-AD/e-SAD was found valid), the process continues.

Then, the EMCS at MSA of Destination/ MSA of Export examines whether the update of e-AD/e-SAD indicates a Change of Consignee or a Change of MSA of Destination:

- In case of Change of Consignee the EMCS at MSA of Destination sets e-AD/e-SAD state to Accepted;
- In case of Change of MSA of Destination, the EMCS at MSA of Destination identifies whether a movement with the same ARC exists and sets or changes state of the e-AD to Accepted.

After this:

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- In case of MSA of Export, the whole process ends here;
- In case of MSA of Destination, the EMCS at MSA of Destination notifies the Consignee of the e-AD (IE801) and the whole process ends.

### 5.39.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-CORE-01-16
Full Name	Handle e-AD/e-SAD upon Change of Destination
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-CORE-01-10-Handle Change of Destination indicating Change of MSA of Destination L3-CORE-01-11-Handle Change of Destination indicating Change of Consignee
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.844: Process Details

### 5.39.3. Tasks and Events

#### Task Perform validation of e-AD/e-SAD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform validation of e-AD/e-SAD
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-03-Perform validation of Common Domain message
Actor	EMCS
Appears also in the following processes	L4-CORE-01-20-Forward e-AD(s) to MSA of Destination L4-CORE-01-01-Submit and Register e-AD/e-SAD L4-CORE-AS-IS-01-0x-Perform change of destination
Input Data	
Output Data	
Previous Objects	e-AD/e-SAD upon Change of Destination received
Information Exchange	
Requirements	

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Data Set	
Events	
State	

Table 5.845: Task Perform validation of e-AD/e-SAD

### Event e-AD/e-SAD upon Change of Destination received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	e-AD/e-SAD upon Change of Destination received
Event Type	Start event
Description	The new MSA of Destination/MSA of Export has received the generated e-AD/e-SAD with the updated destination details (IE801: C_EAD_VAL).
Previous Objects	

Table 5.846: Event e-AD/e-SAD upon Change of Destination received

### Task Handle validation results of e-AD/e-SAD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle validation results of e-AD/e-SAD
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-05-Handle validation Results of Common Domain message
Actor	EMCS
Appears also in the following processes	L4-CORE-01-20-Forward e-AD(s) to MSA of Destination L4-CORE-01-01-Submit and Register e-AD/e-SAD L4-CORE-AS-IS-01-0x-Perform change of destination
Input Data	
Output Data	
Previous Objects	Perform validation of e-AD/e-SAD
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.847: Task Handle validation results of e-AD/e-SAD

### Event Refusal message sent to MSA of Dispatch since e-AD/e-SAD had been found invalid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message sent to MSA of Dispatch since e-AD/e-SAD had been found invalid
Event Type	End event
Description	A Refusal message (IE917/IE906) was sent to MSA of Dispatch since the e-AD/e-SAD (IE801) had been found invalid and the process ends at this point.
Appears also in the following processes	L4-CORE-01-20-Forward e-AD(s) to MSA of Destination
Previous Objects	What is the outcome of the handling of validation results?

Table 5.848: Event Refusal message sent to MSA of Dispatch since e-AD/e-SAD had been found invalid

### Event e-AD/e-SAD recorded since it had been found valid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	e-AD/e-SAD recorded since it had been found valid
Event Type	Conditional intermediate event
Description	The e-AD/e-SAD (IE801) was recorded since it had been found valid and the process continues.
Appears also in the following processes	L4-CORE-01-20-Forward e-AD(s) to MSA of Destination
Previous Objects	What is the outcome of the handling of validation results?

Table 5.849: Event e-AD/e-SAD recorded since it had been found valid

### Task Set e-AD/e-SAD state to Accepted

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Set e-AD/e-SAD state to Accepted
Task Type	Task
Description	If a movement with the same ARC exists, the new MSA of Destination/MSA of Export records the e-AD/e-SAD and changes its state from diverted to accepted. If a movement with the same ARC does not exist, the new MSA of Destination records the e-AD/e-SAD in the accepted state.
Actor	EMCS
Appears also in the following processes	L4-CORE-01-20-Forward e-AD(s) to MSA of Destination
Input Data	ARC Sequence Number

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Output Data	e-AD/e-SAD state
Previous Objects	e-AD/e-SAD recorded since it had been found valid
Information Exchange	
Requirements	COR_FUR_0051
Data Set	e-AD/e-SAD state
Events	IE801 received
State	Accepted

Table 5.850: Task Set e-AD/e-SAD state to Accepted

### Task Identify whether the destination of the movement is for Export

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify whether the destination of the movement is for Export
Task Type	Service task
Description	The MSA identifies if the destination of the movement is for Export.
Actor	EMCS
Appears also in the following processes	L4-CORE-01-20-Forward e-AD(s) to MSA of Destination L4-CORE-01-13-Perform Change of Destination indicating Change of MSA L4-CORE-01-15-Perform Change of Destination indicating Change of Place of Delivery L4-CORE-01-21-Forward notification of Diverted e-AD to MSA of Destination
Input Data	Sequence Number ARC Destination Type Code
Output Data	Result Identify whether the destination of the movement is for Export
Previous Objects	Set e-AD/e-SAD state to Accepted
Information Exchange	
Requirements	COR_FUR_0047
Data Set	

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Events	
State	

Table 5.851: Task Identify whether the destination of the movement is for Export

### Task Notify Consignee of updated e-AD/e-SAD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Consignee of updated e-AD/e-SAD
Task Type	Send task
Description	The EMCS of the MSA of Destination forwards the e-AD/e-SAD (IE801) to the new Consignee.
Actor	EMCS
Input Data	C_EAD_VAL Result Identify whether the destination of the movement is for Export
Output Data	
Previous Objects	Was the initial destination of the movement for Export?
Information Exchange	e-AD/e-SAD
Requirements	COR_FUR_0053
Data Set	
Events	
State	

Table 5.852: Task Notify Consignee of updated e-AD/e-SAD

### Event e-AD found valid and received by MSA of Export

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	e-AD found valid and received by MSA of Export
Event Type	End event
Description	The e-AD (IE801) was found valid and received by MSA of Export. At this point the process ends.
Appears also in the following processes	L4-CORE-01-20-Forward e-AD(s) to MSA of Destination
Previous Objects	Was the initial destination of the movement for Export?

Table 5.853: Event e-AD found valid and received by MSA of Export

### Event e-AD/e-SAD received and sent to the Consignee

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	e-AD/e-SAD received and sent to the Consignee
Event Type	End event
Description	The MSA of Destination/MSA of Export received the e-AD/e-SAD. If the new destination is not for export, the MSA of Destination has forwarded it to the Consignee. The process ends at this point.
Appears also in the following processes	L4-CORE-01-20-Forward e-AD(s) to MSA of Destination L4-CORE-01-13-Perform Change of Destination indicating Change of MSA
Previous Objects	Notify Consignee of updated e-AD/e-SAD

Table 5.854: Event e-AD/e-SAD received and sent to the Consignee

## 5.40. Process L4-CORE-01-18-Perform Splitting of Consignment

### 5.40.1. Objective of Process

The purpose of this process is to describe all possible paths in the electronic flow of the Splitting of a Consignment of energy products, moved under suspension of Excise Duty, into two or more movements, in accordance with the current Common Specifications (FESS and DDNEA).

The process is triggered when the EMCS at MSA of Dispatch receives a submitted draft of splitting operation (IE825) from the Consignor. After the receipt of the submitted draft of splitting operation, the EMCS at the MSA of Dispatch validates its data, by using the “L4-CORE-01-04-Perform validation of External Domain message” sub-process. Then, the EMCS at MSA of Dispatch proceeds with the handling of the validation results by using the “L4-CORE-01-06-Handle validation Results of External Domain message” process. Depending on the outcome (end event) of the “L4-CORE-01-06-Handle validation Results of External Domain message” process, the following may happen:

- a. If a refusal message (IE917/IE704) is sent to the Consignor (since the submitted draft of splitting operation was found invalid), the whole process ends at this point;
- b. If the submitted draft of splitting operation (IE825) is recorded (since the submitted draft of splitting operation was found valid), the process continues.

The EMCS at MSA of Dispatch changes the state of the initial e-AD state to Replaced, generates a new e-AD (IE801) and records the new e-AD. Furthermore, the EMCS at MSA of Dispatch sets the state of the generated e-AD(s) to Accepted and notifies the Consignor and the MSA of Destination of the e-AD (IE801) through the “L4-CORE-01-19-Forward e-AD to Consignor” and “L4-CORE-01-20-Forward e-AD to MSA of Destination” processes. These processes are separately performed for each newly created e-AD.

Following, the MSA of Dispatch generates and records a 'Notification of Diverted e-AD' (IE803) and forwards it to the Consignor. In case the destination of the movement is known, the EMCS at the MSA of Dispatch also forwards the Notification of Diverted e-AD' (IE803) to the MSA of Destination mentioned in the initial e-AD.

Finally, the EMCS at MSA of Dispatch handles the timers associated with the splitting movement by using the “L4-CORE-01-22-Handle Timers associated with Splitting Operation” and the process ends here.

### 5.40.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-CORE-01-18
Full Name	Perform Splitting of Consignment
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-CORE-01-05-Perform Splitting of Consignment
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.855: Process Details

### 5.40.3. Tasks and Events

#### Task Perform validation of the Submitted Draft of Splitting Operation

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform validation of the Submitted Draft of Splitting Operation
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-04-Perform validation of External Domain message.
Actor	EMCS
Appears also in the following processes	L4-CORE-AS-IS-01-0X-Perform split of movement
Input Data	
Output Data	
Previous Objects	Submitted Draft of Splitting Operation received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.856: Task Perform validation of the Submitted Draft of Splitting Operation

#### Event Submitted Draft of Splitting Operation received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
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Event Name	Submitted Draft of Splitting Operation received
Event Type	Message start event
Description	The current process starts when the MSA of Dispatch receives a Submitted Draft of Splitting Operation (IE825) from the Consignor.
Previous Objects	Consignor

Table 5.857: Event Submitted Draft of Splitting Operation received

### Task Handle validation results of the Submitted Draft of Splitting Operation

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle validation results of the Submitted Draft of Splitting Operation
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-06-Handle validation Results of External Domain message.
Actor	EMCS
Appears also in the following processes	L4-CORE-AS-IS-01-0X-Perform split of movement
Input Data	
Output Data	
Previous Objects	Perform validation of the Submitted Draft of Splitting Operation
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.858: Task Handle validation results of the Submitted Draft of Splitting Operation

### Event Refusal message sent to Consignor since Submitted Draft of Splitting Operation had been found invalid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message sent to Consignor since Submitted Draft of Splitting Operation had been found invalid
Event Type	End event
Description	A Refusal message (IE917/IE704) was sent to Consignor since the Submitted Draft of Splitting Operation (IE825) had been found invalid and the process ends at this point.
Previous Objects	What is the outcome of the validation?

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Table 5.859: Event Refusal message sent to Consignor since Submitted Draft of Splitting Operation had been found invalid

**Event Submitted Draft of Splitting Operation message recorded since it had been found valid**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Submitted Draft of Splitting Operation message recorded since it had been found valid
Event Type	Conditional intermediate event
Description	The Submitted Draft of Splitting Operation (IE825) was recorded since the Submitted Draft of Splitting Operation (IE825) had been found valid and the process continues.
Previous Objects	What is the outcome of the validation?

Table 5.860: Event Submitted Draft of Splitting Operation message recorded since it had been found valid

**Task Perform change of initial e-AD state to Replaced**

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform change of initial e-AD state to Replaced
Task Type	Service task
Description	The state of the former e-AD is changed to “Replaced”.
Actor	EMCS
Input Data	Upstream ARC
Output Data	e-AD/e-SAD state
Previous Objects	Submitted Draft of Splitting Operation message recorded since it had been found valid
Information Exchange	
Requirements	COR_FUR_0062
Data Set	e-AD/e-SAD state
Events	IE825 received
State	Replaced

Table 5.861: Task Perform change of initial e-AD state to Replaced

### Task Build new e-AD(s)

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build new e-AD(s)
Task Type	Service task
Description	The EMCS of the MSA of Dispatch assigns a new ARC to each new e-AD and inserts into it the ARC of the split e-AD as upstream linking information; all other information than explicitly given is directly copied from the former e-AD.
Actor	EMCS
Input Data	C_EAD_VAL E_SPL_SUB
Output Data	C_EAD_VAL
Previous Objects	Perform change of initial e-AD state to Replaced
Information Exchange	
Requirements	COR_FUR_0063
Data Set	
Events	
State	

Table 5.862: Task Build new e-AD(s)

### Task Record e-AD(s)

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record e-AD(s)
Task Type	Service task
Description	The updated e-AD(s) are stored.
Actor	EMCS
Appears also in the following processes	L4-CORE-01-13-Perform Change of Destination indicating Change of MSA
Input Data	C_EAD_VAL
Output Data	C_EAD_VAL
Previous Objects	Build new e-AD(s)

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Information Exchange	
Requirements	COR_FUR_0042
Data Set	C_EAD_VAL
Events	
State	

Table 5.863: Task Record e-AD(s)

### Task Set new e-AD state to Accepted

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Set new e-AD state to Accepted
Task Type	Service task
Description	The state of the new e-AD(s) is set to “Accepted”.
Actor	EMCS
Input Data	Sequence Number ARC
Output Data	e-AD/e-SAD state
Previous Objects	Record e-AD(s)
Information Exchange	
Requirements	COR_FUR_0064
Data Set	e-AD/e-SAD state
Events	IE825 received
State	Accepted

Table 5.864: Task Set new e-AD state to Accepted

### Task Forward e-AD to Consignor

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Forward e-AD to Consignor
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-19-Forward e-AD to Consignor.

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PROCESS MODELS

Actor	EMCS
Input Data	
Output Data	
Previous Objects	Set new e-AD state to Accepted
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.865: Task Forward e-AD to Consignor

### Task Forward e-AD to MSA of Destination

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Forward e-AD to MSA of Destination
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-20-Forward e-AD to MSA of Destination.
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Set new e-AD state to Accepted
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.866: Task Forward e-AD to MSA of Destination

### Task Build Notification of Diverted e-AD message

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build Notification of Diverted e-AD message
Task Type	Service task
Description	The EMCS of the MSA of Dispatch builds a replacement notification message and inserts the list of replacing e-AD(s) into the replaced e-AD.

Excise Functional Requirements BPM Report for EMCS
PROCESS MODELS

Actor	EMCS
Input Data	C_EAD_VAL E_SPL_SUB
Output Data	C_EAD_NOT
Previous Objects	Forward e-AD to MSA of Destination Forward e-AD to Consignor
Information Exchange	
Requirements	COR_FUR_0065
Data Set	
Events	
State	

Table 5.867: Task Build Notification of Diverted e-AD message

### Task Record Notification of Diverted e-AD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Notification of Diverted e-AD
Task Type	Service task
Description	The Notification of Diverted e-AD (IE803) is stored.
Actor	EMCS
Appears also in the following processes	L4-CORE-01-13-Perform Change of Destination indicating Change of MSA
Input Data	C_EAD_NOT
Output Data	C_EAD_NOT
Previous Objects	Build Notification of Diverted e-AD message
Information Exchange	
Requirements	COR_FUR_0049
Data Set	
Events	
State	

Table 5.868: Task Record Notification of Diverted e-AD

### Task Notify Consignor of Notification of Diverted e-AD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Consignor of Notification of Diverted e-AD
Task Type	Send task
Description	The MSA of Dispatch notifies the Consignor of the Notification of Diverted e-AD (IE803).
Actor	EMCS
Input Data	C_EAD_NOT
Output Data	
Previous Objects	Record Notification of Diverted e-AD
Information Exchange	notification of diverted e-AD/e-SAD
Requirements	COR_FUR_0066
Data Set	
Events	
State	

Table 5.869: Task Notify Consignor of Notification of Diverted e-AD

### Task Identify whether the destination is known in the original e-AD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify whether the destination is known in the original e-AD
Task Type	Service task
Description	EMCS of the MSA of Dispatch checks whether the MSA of Destination is known in the original e-AD.
Actor	EMCS
Appears also in the following processes	L4-CORE-01-13-Perform Change of Destination indicating Change of MSA
Input Data	Sequence Number ARC Destination Type Code
Output Data	Result Identify whether destination is known in the original e-AD
Previous Objects	Record Notification of Diverted e-AD

Excise Functional Requirements BPM Report for EMCS
PROCESS MODELS

Information Exchange	
Requirements	COR_FUR_0045
Data Set	
Events	
State	

Table 5.870: Task Identify whether the destination is known in the original e-AD

### Task Forward notification of diverted e-AD to MSA of Destination

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Forward notification of diverted e-AD to MSA of Destination
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-21-Forward notification of Diverted e-AD to MSA of Destination.
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Is the Destination of the initial e-AD known?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.871: Task Forward notification of diverted e-AD to MSA of Destination

### Task Handle Timers associated with Splitting Operation

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Timers associated with Splitting Operation
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-22-Handle Timers associated with Splitting Operation.
Actor	EMCS
Input Data	
Output Data	
Previous Objects	Notify Consignor of Notification of Diverted e-AD Is the Destination of the initial e-AD known?

	Forward notification of diverted e-AD to MSA of Destination
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.872: Task Handle Timers associated with Splitting Operation

### Event New e-AD is in "Accepted" state and timers updated at MSA of Dispatch

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	New e-AD is in "Accepted" state and timers updated at MSA of Dispatch
Event Type	End event
Description	The new e-AD is in the "Accepted" state and associated timers have been updated.
Previous Objects	Handle Timers associated with Splitting Operation

Table 5.873: Event New e-AD is in "Accepted" state and timers updated at MSA of Dispatch

## 5.41. Process L4-CORE-01-19-Forward e-AD(s) to Consignor

### 5.41.1. Objective of Process

The purpose of this process is to describe the forwarding of e-AD(s) to Consignor. This process is separately performed for each newly created e-AD when applicable.

The process is triggered when the e-AD is available at the MSA of Dispatch due to Splitting of a movement of Excise Goods moved under suspension of Excise Duty. The EMCS at MSA of Dispatch initiates the timer TIM\_EAD\_ESAD and notifies the Consignor of the e-AD (IE801), and the process ends at this point.

### 5.41.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-CORE-01-19
Full Name	Forward e-AD(s) to Consignor
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-CORE-01-07-Forward e-AD to Consignor
Existing Specifications	Design Document for National

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PROCESS MODELS

	Excise Applications - v3.02
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Table 5.874: Process Details

### 5.41.3. Tasks and Events

#### Event e-AD available from Splitting

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	e-AD available from Splitting
Event Type	Start event
Description	The MSA of Dispatch application has successfully validated and recorded the newly created e-AD(s) resulting from a splitting operation. The newly created e-AD(s) are ready to be disseminated.
Appears also in the following processes	L4-CORE-01-20-Forward e-AD(s) to MSA of Destination
Previous Objects	

Table 5.875: Event e-AD available from Splitting

#### Task Start timer TIM\_EAD\_ESAD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Start timer TIM_EAD_ESAD
Task Type	Service task
Description	When the submitted e-AD/e-SAD is valid, the MSA dispatch application starts the TIM_EAD_ESAD timer to expire at the expected end of the movement, which is the date of dispatch plus the journey time for Duty Suspension movements, while for Duty Paid movements it is the date of dispatch plus the journey time plus the offset defined in the common system parameter "Time To Complete Fiscal Formalities for Duty Paid Movements".
Actor	EMCS
Appears also in the following processes	L4-CORE-01-01-Submit and Register e-AD/e-SAD 2014_3_L4-CBC-01-01-Submit and Register e-AD
Input Data	Sequence Number ARC
Output Data	Timer TIM_EAD_ESAD Expiry Date
Previous Objects	e-AD available from Splitting
Information Exchange	
Requirements	COR_FUR_0006

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Data Set	
Events	
State	

Table 5.876: Task Start timer TIM\_EAD\_ESAD

### Task Notify Consignor of e-AD/e-SAD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Consignor of e-AD/e-SAD
Task Type	Send task
Description	The MSA of Dispatch notifies the Consignor of the e-AD/e-SAD (IE801).
Actor	EMCS
Appears also in the following processes	L4-CORE-01-01-Submit and Register e-AD/e-SAD 2014_3_L4-CBC-01-01-Submit and Register e-AD
Input Data	C_EAD_VAL
Output Data	
Previous Objects	Start timer TIM_EAD_ESAD
Information Exchange	e-AD/e-SAD
Requirements	COR_FUR_0002
Data Set	
Events	
State	

Table 5.877: Task Notify Consignor of e-AD/e-SAD

### Event e-AD sent to Consignor

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	e-AD sent to Consignor
Event Type	End event
Description	MSA of Dispatch sent e-AD to Consignor and the process ends at this point.
Previous Objects	Notify Consignor of e-AD/e-SAD

Table 5.878: Event e-AD sent to Consignor

## 5.42. Process L4-CORE-01-20-Forward e-AD(s) to MSA of Destination

### 5.42.1. Objective of Process

The purpose of this process is to describe the forwarding of e-AD from MSA of Dispatch to MSA of Destination/MSA of Export.

The process is triggered when the e-AD is available at the MSA of Dispatch due to Splitting of a movement of Excise Goods moved under suspension of Excise Duty.

This process and all its subsequent sub-processes are separately performed for each newly created e-AD when applicable.

The EMCS at MSA of Dispatch identifies whether the destination is known. If the destination is unknown, the timer TIM\_FDF is initiated and the process ends. If the destination is known, the MSA of Dispatch forwards the e-AD to the MSA of Destination/ MSA of Export (IE801) and the process continues.

After the receipt of the e-AD (IE801), the EMCS at MSA of Destination/ MSA of Export proceeds with validating its data, by using the “Perform validation of e-AD” sub process.

Then, the EMCS at MSA of Destination/ MSA of Export proceeds with the handling of the validation results by using the “Handle validation results of e-AD” call activity. Depending on the outcome (end event) of the “Handle validation results of e-AD” call activity, the following may happen:

- a. If a refusal message (IE917/IE906) is sent to the MSA of Dispatch (since the e-AD was found invalid), the whole process ends at this point;
- b. If the e-AD is recorded (since the e-AD was found valid), the process continues and the EMCS at MSA of Destination/ MSA of Export sets state of the e-AD to Accepted.

After this:

- In case of MSA of Export, the whole process ends here;
- In case of MSA of Destination, the EMCS at MSA of Destination notifies the Consignee of the e-AD (IE801) and the whole process ends.

### 5.42.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-CORE-01-20
Full Name	Forward e-AD(s) to MSA of Destination
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-CORE-01-08-Forward e-AD to MSA of Destination
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.879: Process Details

### 5.42.3. Tasks and Events

#### Event e-AD available from Splitting

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	e-AD available from Splitting
Event Type	Start event
Description	The MSA of Dispatch application has successfully validated and recorded the newly created e-AD(s) resulting from a splitting operation. The newly created e-AD(s) are ready to be disseminated.
Appears also in the following processes	L4-CORE-01-19-Forward e-AD(s) to Consignor
Previous Objects	

Table 5.880: Event e-AD available from Splitting

#### Task Identify whether Destination of the movement is known (Duty Suspension only)

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify whether Destination of the movement is known (Duty Suspension only)
Task Type	Service task
Description	<p>The MSA of Dispatch identifies whether the destination of the movement is known (i.e. whether the destination fields in the e-AD/e-SAD (IE801) are filled in).</p> <p>Note: This task is only performed for movements of goods under duty suspension.</p>
Actor	EMCS
Appears also in the following processes	L4-CORE-01-01-Submit and Register e-AD/e-SAD 2014_3_L4-CBC-01-01-Submit and Register e-AD
Input Data	ARC Destination Type Code Sequence Number
Output Data	Result Identify whether the destination of the movement is known
Previous Objects	e-AD available from Splitting
Information Exchange	
Requirements	COR_FUR_0003
Data Set	

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Events	
State	

Table 5.881: Task Identify whether Destination of the movement is known (Duty Suspension only)

### Task Start timer TIM\_FDF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Start timer TIM_FDF
Task Type	Service task
Description	<p>If the destination of the movement is unknown (i.e. the destination fields were left empty according to Article 22 of Council Directive 2020/262), the MSA of Dispatch starts a timer (TIM_FDF) to expire at the limit date for filling in these fields.</p> <p>That limit date cannot be later than the expected end of movement (i.e. the expiry of the TIM_EAD_ESAD timer).</p> <p>TIM_FDF is a timer associated with the filling in of destination fields (Article 22(1) of Directive 2020/262). Its Expiry Date is Date of dispatch + national parameter and cannot be more than the expiry date of TIM_EAD_ESAD.</p>
Actor	EMCS
Appears also in the following processes	L4-CORE-01-01-Submit and Register e-AD/e-SAD 2014_3_L4-CBC-01-01-Submit and Register e-AD
Input Data	Result Identify whether the destination of the movement is known ARC Sequence Number
Output Data	TIM_FDF Expiry Date
Previous Objects	Is the destination of the movement known?
Information Exchange	
Requirements	COR_FUR_0004
Data Set	
Events	
State	

Table 5.882: Task Start timer TIM\_FDF

### Task Notify MSA of Destination/ MSA of Export of e-AD/e-SAD

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TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify MSA of Destination/ MSA of Export of e-AD/e-SAD
Task Type	Send task
Description	If the destination of the movement is known, the MSA of Dispatch notifies the MSA of Destination/ MSA of Export of the e-AD/e-SAD (IE801).
Actor	EMCS
Appears also in the following processes	L4-CORE-01-01-Submit and Register e-AD/e-SAD 2014_3_L4-CBC-01-01-Submit and Register e-AD
Input Data	C_EAD_VAL
Output Data	
Previous Objects	Is the destination of the movement known?
Information Exchange	e-AD/e-SAD
Requirements	COR_FUR_0005
Data Set	
Events	
State	

Table 5.883: Task Notify MSA of Destination/ MSA of Export of e-AD/e-SAD

**Event Unknown destination, the e-AD is not intended to be sent to MSA of Destination / MSA of Export**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Unknown destination, the e-AD is not intended to be sent to MSA of Destination / MSA of Export
Event Type	End event
Description	The e-AD is not sent to MSA of Destination / MSA of Export and the process ends at this point.
Previous Objects	Start timer TIM_FDF

Table 5.884: Event Unknown destination, the e-AD is not intended to be sent to MSA of Destination / MSA of Export

**Event e-AD, available from Splitting, sent to MSA of Destination/ MSA of Export**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	e-AD, available from Splitting, sent to MSA of Destination/ MSA of Export

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Event Type	End event
Description	e-AD (IE801) sent to MSA of Destination/ MSA of Export.
Previous Objects	Notify MSA of Destination/ MSA of Export of e-AD/e-SAD

Table 5.885: Event e-AD, available from Splitting, sent to MSA of Destination/ MSA of Export

### Event e-AD/e-SAD upon Change of Destination received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	e-AD/e-SAD upon Change of Destination received
Event Type	Message start event
Description	The MSA of Destination/ MSA of Export received the e-AD/e-SAD (IE801) from the MSA of Dispatch.
Appears also in the following processes	L4-CORE-01-14-Perform Change of Destination indicating Change of Consignee L4-CORE-01-13-Perform Change of Destination indicating Change of MSA
Previous Objects	Notify MSA of Destination/ MSA of Export of e-AD/e-SAD

Table 5.886: Event e-AD/e-SAD upon Change of Destination received

### Task Perform validation of e-AD/e-SAD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform validation of e-AD/e-SAD
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-03-Perform validation of Common Domain message
Actor	EMCS
Appears also in the following processes	L4-CORE-01-01-Submit and Register e-AD/e-SAD L4-CORE-AS-IS-01-0x-Perform change of destination L4-CORE-01-16-Handle e-AD/e-SAD upon Change of Destination
Input Data	
Output Data	
Previous Objects	e-AD/e-SAD upon Change of Destination received
Information Exchange	
Requirements	
Data Set	

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PROCESS MODELS

Events	
State	

Table 5.887: Task Perform validation of e-AD/e-SAD

### Task Handle validation results of e-AD/e-SAD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle validation results of e-AD/e-SAD
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-05-Handle validation Results of Common Domain message
Actor	EMCS
Appears also in the following processes	L4-CORE-01-01-Submit and Register e-AD/e-SAD L4-CORE-AS-IS-01-0x-Perform change of destination L4-CORE-01-16-Handle e-AD/e-SAD upon Change of Destination
Input Data	
Output Data	
Previous Objects	Perform validation of e-AD/e-SAD
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.888: Task Handle validation results of e-AD/e-SAD

### Event Refusal message sent to MSA of Dispatch since e-AD/e-SAD had been found invalid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message sent to MSA of Dispatch since e-AD/e-SAD had been found invalid
Event Type	End event
Description	A Refusal message (IE917/IE906) was sent to MSA of Dispatch since the e-AD/e-SAD (IE801) had been found invalid and the process ends at this point.
Appears also in the following processes	L4-CORE-01-16-Handle e-AD/e-SAD upon Change of Destination
Previous Objects	What is the outcome of the handling of validation results?

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Table 5.889: Event Refusal message sent to MSA of Dispatch since e-AD/e-SAD had been found invalid

#### Event e-AD/e-SAD recorded since it had been found valid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	e-AD/e-SAD recorded since it had been found valid
Event Type	Conditional intermediate event
Description	The e-AD/e-SAD (IE801) was recorded since it had been found valid and the process continues.
Appears also in the following processes	L4-CORE-01-16-Handle e-AD/e-SAD upon Change of Destination
Previous Objects	What is the outcome of the handling of validation results?

Table 5.890: Event e-AD/e-SAD recorded since it had been found valid

#### Task Set e-AD/e-SAD state to Accepted

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Set e-AD/e-SAD state to Accepted
Task Type	Task
Description	If a movement with the same ARC exists, the new MSA of Destination/MSA of Export records the e-AD/e-SAD and changes its state from diverted to accepted. If a movement with the same ARC does not exist, the new MSA of Destination records the e-AD/e-SAD in the accepted state.
Actor	EMCS
Appears also in the following processes	L4-CORE-01-16-Handle e-AD/e-SAD upon Change of Destination
Input Data	ARC Sequence Number
Output Data	e-AD/e-SAD state
Previous Objects	e-AD/e-SAD recorded since it had been found valid
Information Exchange	
Requirements	COR_FUR_0051
Data Set	e-AD/e-SAD state
Events	IE801 received

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PROCESS MODELS

State	Accepted
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Table 5.891: Task Set e-AD/e-SAD state to Accepted

### Task Identify whether the destination of the movement is for Export

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify whether the destination of the movement is for Export
Task Type	Service task
Description	The MSA identifies if the destination of the movement is for Export.
Actor	EMCS
Appears also in the following processes	L4-CORE-01-13-Perform Change of Destination indicating Change of MSA L4-CORE-01-15-Perform Change of Destination indicating Change of Place of Delivery L4-CORE-01-21-Forward notification of Diverted e-AD to MSA of Destination L4-CORE-01-16-Handle e-AD/e-SAD upon Change of Destination
Input Data	Sequence Number ARC Destination Type Code
Output Data	Result Identify whether the destination of the movement is for Export
Previous Objects	Set e-AD/e-SAD state to Accepted
Information Exchange	
Requirements	COR_FUR_0047
Data Set	
Events	
State	

Table 5.892: Task Identify whether the destination of the movement is for Export

### Task Notify Consignee of e-AD/e-SAD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Consignee of e-AD/e-SAD
Task Type	Send task
Description	The MSA of Destination notifies the Consignee of the e-AD/e-SAD (IE801).

Actor	EMCS
Appears also in the following processes	L4-CORE-01-01-Submit and Register e-AD/e-SAD 2014_3_L4-CBC-01-01-Submit and Register e-AD
Input Data	C_EAD_VAL
Output Data	
Previous Objects	Was the initial destination of the movement for Export?
Information Exchange	e-AD/e-SAD
Requirements	COR_FUR_0007
Data Set	
Events	
State	

Table 5.893: Task Notify Consignee of e-AD/e-SAD

#### Event e-AD found valid and received by MSA of Export

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	e-AD found valid and received by MSA of Export
Event Type	End event
Description	The e-AD (IE801) was found valid and received by MSA of Export. At this point the process ends.
Appears also in the following processes	L4-CORE-01-16-Handle e-AD/e-SAD upon Change of Destination
Previous Objects	Was the initial destination of the movement for Export?

Table 5.894: Event e-AD found valid and received by MSA of Export

#### Event e-AD/e-SAD received and sent to the Consignee

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	e-AD/e-SAD received and sent to the Consignee
Event Type	End event
Description	The MSA of Destination/MSA of Export received the e-AD/e-SAD. If the new destination is not for export, the MSA of Destination has forwarded it to the Consignee. The process ends at this point.
Appears also in the following processes	L4-CORE-01-13-Perform Change of Destination indicating Change of MSA

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	L4-CORE-01-16-Handle e-AD/e-SAD upon Change of Destination
Previous Objects	Notify Consignee of e-AD/e-SAD

Table 5.895: Event e-AD/e-SAD received and sent to the Consignee

## 5.43. Process L4-CORE-01-21-Forward notification of Diverted e-AD to MSA of Destination

### 5.43.1. Objective of Process

The purpose of this process is to describe the forwarding of notification of diverted e-AD from MSA of Dispatch to MSA of Destination/MSA of Export.

The process is triggered when the notification of diverted e-AD is available at the MSA of Dispatch due to Splitting of a movement of Excise Goods moved under suspension of Excise Duty.

The EMCS at MSA of Dispatch forwards the notification of diverted e-AD to the MSA of Destination/ MSA of Export (IE803) and the process continues.

After the receipt of the notification of diverted e-AD (IE803), the EMCS at MSA of Destination/ MSA of Export proceeds with validating its data, by using the “Perform validation of the notification of diverted e-AD” sub process. Then, the EMCS at MSA of Destination/ MSA of Export proceeds with the handling of the validation results by using the “Handle validation results of the notification of diverted e-AD” call activity. Depending on the outcome (end event) of the “Handle validation results of the notification of diverted e-AD” call activity, the following may happen:

- a. If a refusal message (IE917/IE906) is sent to the MSA of Dispatch (since the notification of diverted e-AD was found invalid), the whole process ends at this point;
- b. If the notification of diverted e-AD is recorded (since the notification of diverted e-AD was found valid), the process continues and the EMCS at MSA of Destination/ MSA of Export changes state of the original e-AD to Replaced.

After this:

- In case of MSA of Export, the whole process ends here;
- In case of MSA of Destination, the EMCS at MSA of Destination notifies the Consignee of the notification of diverted e-AD (IE803) and the whole process ends.

### 5.43.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-CORE-01-21
Full Name	Forward notification of Diverted e-AD to MSA of Destination
Assumptions	N/A
Constraints	No constraints.

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PROCESS MODELS

L3 Reference Models	L3-CORE-01-05-Perform Splitting of Consignment
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.896: Process Details

### 5.43.3. Tasks and Events

#### Event Notification of Diverted e-AD available

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Notification of Diverted e-AD available
Event Type	Start event
Description	Upon the receipt of a Submitted Draft of Splitting Operation (IE825), the EMCS of the MSA of Dispatch forwards the Notification of Diverted e-AD (IE803) to the Consignor.
Previous Objects	

Table 5.897: Event Notification of Diverted e-AD available

#### Task Notify former MSA of Destination/ MSA of Export of Diverted e-AD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify former MSA of Destination/ MSA of Export of Diverted e-AD
Task Type	Send task
Description	The MSA of Dispatch notifies the former MSA of Destination/ MSA of Export of the Notification of Diverted e-AD (IE803).
Actor	EMCS
Input Data	C_EAD_NOT
Output Data	
Previous Objects	Notification of Diverted e-AD available
Information Exchange	notification of diverted e-AD/e-SAD
Requirements	COR_FUR_0067
Data Set	
Events	
State	

Table 5.898: Task Notify former MSA of Destination/ MSA of Export of Diverted e-AD

### Event Notification of Diverted e-AD sent to former MSA of Destination / MSA of Export

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Notification of Diverted e-AD sent to former MSA of Destination / MSA of Export
Event Type	End event
Description	Notification of Diverted e-AD sent to former MSA of Destination / MSA of Export.
Previous Objects	Notify former MSA of Destination/ MSA of Export of Diverted e-AD

Table 5.899: Event Notification of Diverted e-AD sent to former MSA of Destination / MSA of Export

### Event Notification of Diverted e-AD received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Notification of Diverted e-AD received
Event Type	Message start event
Description	The former MSA of Destination/ MSA of Export received the Notification of Diverted e-AD (IE803) from the MSA of Dispatch.
Previous Objects	Notify former MSA of Destination/ MSA of Export of Diverted e-AD

Table 5.900: Event Notification of Diverted e-AD received

### Task Perform validation of the Notificaiton of Diverted e-AD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform validation of the Notificaiton of Diverted e-AD
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-03-Perform validation of Common Domain message.
Actor	EMCS
Appears also in the following processes	L4-CORE-AS-IS-01-0X-Perform split of movement
Input Data	
Output Data	
Previous Objects	Notification of Diverted e-AD received
Information Exchange	
Requirements	
Data Set	

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Events	
State	

Table 5.901: Task Perform validation of the Notification of Diverted e-AD

### Task Handle validation results of the Notification of Diverted e-AD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle validation results of the Notification of Diverted e-AD
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-05-Handle validation Results of Common Domain message.
Actor	EMCS
Appears also in the following processes	L4-CORE-AS-IS-01-0X-Perform split of movement
Input Data	
Output Data	
Previous Objects	Perform validation of the Notification of Diverted e-AD
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.902: Task Handle validation results of the Notification of Diverted e-AD

### Event Refusal message sent to Consignor since Notification of Diverted e-AD had been found invalid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message sent to Consignor since Notification of Diverted e-AD had been found invalid
Event Type	End event
Description	A Refusal message (IE917/IE906) was sent to MSA of Dispatch since the Notification of Diverted e-AD (IE803) had been found invalid and the process ends at this point.
Previous Objects	What is the outcome of the validation?

Table 5.903: Event Refusal message sent to Consignor since Notification of Diverted e-AD had been found invalid

**Event Notification of Diverted e-AD message recorded since Notification of Diverted e-AD had been found valid**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Notification of Diverted e-AD message recorded since Notification of Diverted e-AD had been found valid
Event Type	Conditional intermediate event
Description	The Notification of Diverted e-AD (IE803) was recorded since it had been found valid and the process continues.
Previous Objects	What is the outcome of the validation?

Table 5.904: Event Notification of Diverted e-AD message recorded since Notification of Diverted e-AD had been found valid

**Task Perform change of original e-AD state to Replaced**

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform change of original e-AD state to Replaced
Task Type	Service task
Description	The state of the e-AD is changed to "Replaced".
Actor	EMCS
Input Data	EXCISE NOTIFICATION
Output Data	e-AD/e-SAD state
Previous Objects	Notification of Diverted e-AD message recorded since Notification of Diverted e-AD had been found valid
Information Exchange	
Requirements	COR_FUR_0068
Data Set	e-AD/e-SAD state
Events	IE803 received
State	Replaced

Table 5.905: Task Perform change of original e-AD state to Replaced

**Task Identify whether the destination of the movement is for Export**

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Identify whether the destination of the movement is for Export
Task Type	Service task
Description	The MSA identifies if the destination of the movement is for Export.
Actor	EMCS
Appears also in the following processes	L4-CORE-01-20-Forward e-AD(s) to MSA of Destination L4-CORE-01-13-Perform Change of Destination indicating Change of MSA L4-CORE-01-15-Perform Change of Destination indicating Change of Place of Delivery L4-CORE-01-16-Handle e-AD/e-SAD upon Change of Destination
Input Data	Sequence Number ARC Destination Type Code
Output Data	Result Identify whether the destination of the movement is for Export
Previous Objects	Perform change of original e-AD state to Replaced
Information Exchange	
Requirements	COR_FUR_0047
Data Set	
Events	
State	

Table 5.906: Task Identify whether the destination of the movement is for Export

### Task Notify former Consignee of Notification of Diverted e-AD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify former Consignee of Notification of Diverted e-AD
Task Type	Send task
Description	The MSA of Destination notifies the former Consignee of the Notification of Diverted e-AD (IE803).
Actor	EMCS
Appears also in the following processes	L4-CORE-01-13-Perform Change of Destination indicating Change of MSA
Input Data	C_EAD_NOT
Output Data	

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Previous Objects	Is the movement for Export?
Information Exchange	notification of diverted e-AD/e-SAD
Requirements	COR_FUR_0044
Data Set	
Events	
State	

Table 5.907: Task Notify former Consignee of Notification of Diverted e-AD

### Event Notification of Diverted e-AD found valid and received by MSA of Export

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Notification of Diverted e-AD found valid and received by MSA of Export
Event Type	End event
Description	The Notification of Diverted e-AD (IE803) was found valid and received by MSA of Export. At this point the process ends.
Previous Objects	Is the movement for Export?

Table 5.908: Event Notification of Diverted e-AD found valid and received by MSA of Export

### Event e-AD set in Replaced state and Notification of Diverted e-AD sent to former Consignee

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	e-AD set in Replaced state and Notification of Diverted e-AD sent to former Consignee
Event Type	End event
Description	The MSA of Destination sent the Notification of Diverted e-AD (IE803) to the former Consignee and the e-AD is in "Replaced" state. The process ends.
Previous Objects	Notify former Consignee of Notification of Diverted e-AD

Table 5.909: Event e-AD set in Replaced state and Notification of Diverted e-AD sent to former Consignee

## 5.44. Process L4-CORE-01-23-Provide Information on Intended Claims

### 5.44.1. Objective of Process

The purpose of this process is to describe the notification of the MSA of Dispatch by any MSA application claiming the recovery of duties for a movement that shortages have been ascertained, in accordance with the current Common Specifications (FESS and DDNEA). The Claiming MSA can be the MSA of Dispatch or the MSA of Destination or any other MSA.

The process is triggered when shortages and Excesses have been ascertained for the movement and the Excise Officer decides to register the analysis results.

The EMCS automatically records all the information provided, builds and records/stores the Basis for Recovery of Duties (IE861).

The EMCS of the Claiming MSA identifies whether the Claiming MSA is the MSA of Dispatch (as in this case analysis results are available in the MSA of Dispatch and the process ends at this point). In any other case the claiming MSA is a third MSA (including the case it is a former MSA of Destination) or a MSA of Destination and has to send the results of the analysis (IE861) to the MSA of Dispatch giving the basis of calculation of duties that it intends to claim from the guarantor and the process continues.

The EMCS of the MSA of Dispatch proceeds with the validation of the Basis for Recovery of Duties, by using the “Perform validation of Basis for Recovery of Duties message” sub process. Then, the EMCS at MSA of Dispatch proceeds with the handling of the validation results by using the “Handle validation results of Basis for Recovery of Duties” call activity. Depending on the outcome (end control) of the “Handle validation results of Basis for Recovery of Duties” call activity, the following may happen:

a. If the Basis for Recovery of Duties is found invalid a refusal message (IE917/IE906) is sent to the Excise office of the Claiming MSA (since the Basis for Recovery of Duties was found invalid) and the whole process ends at this point.

b. If the Control Report is found valid the Basis for Recovery of Duties is recorded at the EMCS of MSA of Dispatch and the whole process ends.

#### 5.44.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-CORE-01-23
Full Name	Provide Information on Intended Claims
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-CORE-01-13-Manage Information on Intended Claims
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.910: Process Details

#### 5.44.3. Tasks and Events

**Event Shortages and Excesses have been ascertained for the movement and the Excise Officer decides to register the analysis results**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Shortages and Excesses have been ascertained for the movement and the Excise Officer decides to register the analysis results
Event Type	Start event

<p>Description</p>	<p>The Excise officer in the claiming MSA has received part or all of:</p> <ul style="list-style-type: none"> <li>· the e-AD/e-SAD (possibly through “Download of an e-AD/e-SAD” and “General query to retrieve an e-AD/e-SAD”;</li> <li>· the report of receipt, possibly several reports of receipt if partial refusal has been performed on the concerned e-AD/e-SAD;</li> <li>· reports of controls carried out during the movement;</li> <li>· reports of events issued during the movement;</li> <li>· additional explanations provided by the consignor and/or by the consignee;</li> <li>· additional information brought by other MSAs (possibly in response to request for assistance).</li> </ul> <p>In order to have this information, the Excise Officer may have to download the movement through “Download of an e-AD/e-SAD” and “General query to retrieve an e-AD/e-SAD”. Specifically, when the claims are based on shortages declared in a Report of Receipt:</p> <ul style="list-style-type: none"> <li>· if the claiming MSA is a non-involved MSA the e-AD/e-SAD download is mandatory;</li> <li>· if the claiming MSA is the MSA of Dispatch the e-AD/e-SAD download is not applicable;</li> <li>· if the claiming MSA is the MSA of Destination the e-AD/e-SAD download is optional, but recommended;</li> <li>· if the claiming MSA is a former MSA of Destination that has submitted the Report of Receipt ascertaining shortages the e-AD/e-SAD download is optional, but recommended;</li> <li>· if the claiming MSA is a former MSA of Destination and the Report of Receipt ascertaining shortages is submitted by a different MSA the e-AD/e-SAD download is mandatory.</li> </ul> <p>In all cases, when the Excise Officer intends to take in account and reference in his analysis any event and/or control reports that is not available at the Claiming MSA, the download of the e-AD/e-SAD is mandatory.</p> <p>Moreover, if deemed necessary by the Excise officer (at the MSA of Destination or at a third MSA) performing the investigation, that the results of the analysis (IE861: C_RES_DAT) contains Event Report or Control Report references that are not available in the MSA of Destination or in the third MSA application, then the e-AD/e-SAD download must be performed. This ensures that the referenced Event Reports or Control Reports exist and it will enable the MSA of Destination or the third MSA application to validate the existence of the referenced Event Reports or Control Reports. Furthermore, optionally the “General query to retrieve an e-AD/e-SAD” can also be used to retrieve information.</p>
<p>Appears also in the following processes</p>	<p>L4-CORE-AS-IS-01-0X-Provide Information on Intended Claims</p>
<p>Previous Objects</p>	

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Table 5.911: Event Shortages and Excesses have been ascertained for the movement and the Excise Officer decides to register the analysis results

### Task Register the analysis results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register the analysis results
Task Type	User task
Description	Excise Officer registers the analysis results on EMCS.
Actor	Excise officer
Appears also in the following processes	L4-CORE-AS-IS-01-0X-Provide Information on Intended Claims
Input Data	C_RES_DAT
Output Data	C_RES_DAT
Previous Objects	Shortages and Excesses have been ascertained for the movement and the Excise Officer decides to register the analysis results
Information Exchange	
Requirements	COR_FUR_0069
Data Set	
Events	
State	

Table 5.912: Task Register the analysis results

### Task Record the analysis results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record the analysis results
Task Type	Service task
Description	Analysis results are recorded on EMCS of the Claiming MSA.
Actor	EMCS
Appears also in the following processes	L4-CORE-AS-IS-01-0X-Provide Information on Intended Claims
Input Data	C_RES_DAT
Output Data	C_RES_DAT

Previous Objects	Register the analysis results
Information Exchange	
Requirements	COR_FUR_0070
Data Set	
Events	
State	

Table 5.913: Task Record the analysis results

### Task Build Basis for Recovery of Duties

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build Basis for Recovery of Duties
Task Type	Service task
Description	Considering the analysis results recorded, the EMCS builds the Basis for Recovery of Duties (IE861).
Actor	EMCS
Appears also in the following processes	L4-CORE-AS-IS-01-0X-Provide Information on Intended Claims
Input Data	C_RES_DAT
Output Data	C_RES_DAT
Previous Objects	Record the analysis results
Information Exchange	
Requirements	COR_FUR_0073
Data Set	
Events	
State	

Table 5.914: Task Build Basis for Recovery of Duties

### Task Record Basis for Recovery of Duties

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Basis for Recovery of Duties
Task Type	Service task
Description	The Basis for Recovery of Duties (IE861) is stored.

Actor	EMCS
Appears also in the following processes	L4-CORE-AS-IS-01-0X-Provide Information on Intended Claims
Input Data	C_RES_DAT
Output Data	C_RES_DAT
Previous Objects	Build Basis for Recovery of Duties
Information Exchange	
Requirements	COR_FUR_0071
Data Set	
Events	
State	

Table 5.915: Task Record Basis for Recovery of Duties

#### Task Identify whether the Claiming MSA is the MSA of Dispatch

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify whether the Claiming MSA is the MSA of Dispatch
Task Type	Service task
Description	The Claiming MSA identifies whether the Claiming MSA is the MSA of Dispatch.
Actor	EMCS
Appears also in the following processes	L4-CORE-AS-IS-01-0X-Provide Information on Intended Claims
Input Data	ARC Member State Code Incredit
Output Data	Result Identify whether the Claiming MSA is the MSA of Dispatch
Previous Objects	Record Basis for Recovery of Duties
Information Exchange	
Requirements	COR_FUR_0072
Data Set	
Events	
State	

Table 5.916: Task Identify whether the Claiming MSA is the MSA of Dispatch

**Event Basis of Recovery of Duties built at MSA of Dispatch and Analysis Results are available in the MSA of dispatch**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Basis of Recovery of Duties built at MSA of Dispatch and Analysis Results are available in the MSA of dispatch
Event Type	End event
Description	Basis for Recovery of Duties (IE861) has been built at MSA of Dispatch and Analysis Results are available in the MSA of dispatch. The process ends at this point.
Appears also in the following processes	L4-CORE-AS-IS-01-0X-Provide Information on Intended Claims
Previous Objects	Is the Claiming MSA the MSA of Dispatch?

Table 5.917: Event Basis of Recovery of Duties built at MSA of Dispatch and Analysis Results are available in the MSA of dispatch

**Task Notify MSA of Dispatch of Basis for Recovery of Duties**

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify MSA of Dispatch of Basis for Recovery of Duties
Task Type	Send task
Description	The Requesting MSA notifies the MSA of Dispatch of the Basis for Recovery of Duties (IE861).
Actor	EMCS
Appears also in the following processes	L4-CORE-AS-IS-01-0X-Provide Information on Intended Claims
Input Data	C_RES_DAT
Output Data	
Previous Objects	Is the Claiming MSA the MSA of Dispatch?
Information Exchange	basis for recovery of duties
Requirements	COR_FUR_0074
Data Set	
Events	
State	

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Table 5.918: Task Notify MSA of Dispatch of Basis for Recovery of Duties

### Event Basis for Recovery of Duties sent to MSA of Dispatch

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Basis for Recovery of Duties sent to MSA of Dispatch
Event Type	End event
Description	Basis for Recovery of Duties (IE861) sent to MSA of Dispatch.
Appears also in the following processes	L4-CORE-AS-IS-01-0X-Provide Information on Intended Claims
Previous Objects	Notify MSA of Dispatch of Basis for Recovery of Duties

Table 5.919: Event Basis for Recovery of Duties sent to MSA of Dispatch

### Event Basis for Recovery of Duties received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Basis for Recovery of Duties received
Event Type	Message start event
Description	The MSA of Dispatch received the Basis for Recovery of Duties (IE861) from the Requesting MSA.
Appears also in the following processes	L4-CORE-AS-IS-01-0X-Provide Information on Intended Claims
Previous Objects	Notify MSA of Dispatch of Basis for Recovery of Duties

Table 5.920: Event Basis for Recovery of Duties received

### Task Perform validation of Basis for Recovery of Duties

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform validation of Basis for Recovery of Duties
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-03-Perform validation of Common Domain message.
Actor	EMCS
Appears also in the following processes	L4-CORE-AS-IS-01-0X-Provide Information on Intended Claims
Input Data	
Output Data	
Previous Objects	Basis for Recovery of Duties received

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Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.921: Task Perform validation of Basis for Recovery of Duties

### Task Handle validation results of Basis for Recovery of Duties

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle validation results of Basis for Recovery of Duties
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-05-Handle validation Results of Common Domain message.
Actor	EMCS
Appears also in the following processes	L4-CORE-AS-IS-01-0X-Provide Information on Intended Claims
Input Data	
Output Data	
Previous Objects	Perform validation of Basis for Recovery of Duties
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.922: Task Handle validation results of Basis for Recovery of Duties

### Event Refusal message sent to Claiming MSA since Basis for Recovery of Duties found invalid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message sent to Claiming MSA since Basis for Recovery of Duties found invalid
Event Type	End event
Description	A Refusal message (IE917/IE906) was sent to Requesting MSA since the Basis for Recovery of Duties (IE861) had been found invalid and the process ends at this point.
Appears also in the following processes	L4-CORE-AS-IS-01-0X-Provide Information on Intended Claims

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Previous Objects	What is the outcome of the handling of validation results?
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Table 5.923: Event Refusal message sent to Claiming MSA since Basis for Recovery of Duties found invalid

### Event Basis for Recovery of Duties recorded, since found valid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Basis for Recovery of Duties recorded, since found valid
Event Type	End event
Description	The Basis for Recovery of Duties (IE861) was recorded since it had been found valid and the process ends at this point.
Appears also in the following processes	L4-CORE-AS-IS-01-0X-Provide Information on Intended Claims
Previous Objects	What is the outcome of the handling of validation results?

Table 5.924: Event Basis for Recovery of Duties recorded, since found valid

## 5.45. Process L4-CORE-01-24-Issue reminder at expiry of timer for Change of Destination (TIM\_CHS)

### 5.45.1. Objective of Process

The purpose of this process is to describe the process after the timer TIM\_CHS expiration for a movement of Excise Goods (inside the territory of the European Union), in accordance with the current Common Specifications (FESS and DDNEA).

The process is triggered when the timer TIM\_CHS (the timer that was issued when the delivery of a consignment has been refused or partially refused, or the e-AD/e-SAD has been rejected) has expired at the MSA of Dispatch.

After this, the EMCS at MSA of Dispatch builds a reminder message for Excise movement (IE802) and then records it. Following the EMCS at MSA of Dispatch notifies the Consignor of the reminder message for Excise movement (IE802) and the whole process ends.

### 5.45.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-CORE-01-24
Full Name	Issue reminder at expiry of timer for Change of Destination (TIM_CHS)
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	N/A
Existing Specifications	Design Document for National

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Table 5.925: Process Details

### 5.45.3. Tasks and Events

#### Event TIM\_CHS expired

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	TIM_CHS expired
Event Type	Timer start event
Description	The current process starts when the timer TIM_CHS (the timer was issued when the delivery of a consignment has been refused or partially refused, or the e-AD has been rejected) has expired at the MSA of Dispatch.
Previous Objects	

Table 5.926: Event TIM\_CHS expired

#### Task Build Reminder

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build Reminder
Task Type	Task
Description	The MSA of Dispatch builds a Reminder (IE802).
Actor	EMCS
Input Data	Sequence Number ARC TIM_CHS Expiry Date
Output Data	C_EXC_REM
Previous Objects	TIM_CHS expired
Information Exchange	
Requirements	COR_FUR_0082
Data Set	
Events	
State	

Table 5.927: Task Build Reminder

#### Task Record Reminder

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Record Reminder
Task Type	Service task
Description	The Reminder (IE802) is stored.
Actor	EMCS
Appears also in the following processes	L4-CORE-01-07-Issue Reminder at expiry of time limit for Report of Receipt/Export L4-CORE-01-25-Issue reminder at expiry of timer for Update of Destination Fields (TIM_FDF) L4-CORE-AS-IS-01-0x-Issue reminder at expiry of timer for Change of Destination fields (TIM_CHS) L4-CORE-AS-IS-01-0x-Issue reminder at expiry of timer for Update of Destination (TIM_FDF)
Input Data	C_EXC_REM
Output Data	C_EXC_REM
Previous Objects	Build Reminder
Information Exchange	
Requirements	COR_FUR_0083
Data Set	
Events	
State	

Table 5.928: Task Record Reminder

### Task Notify Consignor of Reminder

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Consignor of Reminder
Task Type	Send task
Description	The MSA of Dispatch notifies the Consignor of the Reminder (IE802).
Actor	EMCS
Appears also in the following processes	L4-CORE-01-25-Issue reminder at expiry of timer for Update of Destination Fields (TIM_FDF) L4-CORE-AS-IS-01-0x-Issue reminder at expiry of timer for Change of Destination fields (TIM_CHS) L4-CORE-AS-IS-01-0x-Issue reminder at expiry of timer for Update of Destination (TIM_FDF)
Input Data	C_EXC_REM

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Output Data	
Previous Objects	Record Reminder
Information Exchange	reminder message for Excise movement
Requirements	COR_FUR_0084
Data Set	
Events	
State	

Table 5.929: Task Notify Consignor of Reminder

### Event Reminder message after expiry of the change of destination timer (TIM\_CHS) sent to the Consignor

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Reminder message after expiry of the change of destination timer (TIM_CHS) sent to the Consignor
Event Type	End event
Description	MSA of Dispatch sent Reminder message to the Consignor and the process ends at this point.
Previous Objects	Notify Consignor of Reminder

Table 5.930: Event Reminder message after expiry of the change of destination timer (TIM\_CHS) sent to the Consignor

## 5.46. Process L4-CORE-01-25-Issue reminder at expiry of timer for Update of Destination Fields (TIM\_FDF)

### 5.46.1. Objective of Process

The purpose of this process is to describe the process after the timer TIM\_FDF expiration for a movement of Excise Goods under suspension of Excise Duty (inside the territory of the European Union), in accordance with the current Common Specifications (FESS and DDNEA).

The process is triggered when the timer TIM\_FDF (the timer that was issued upon the submission of an e-AD, and in the case where the destination of the consignment was unknown) has expired at the MSA of Dispatch.

After this, the EMCS at MSA of Dispatch identifies whether the destination fields of the e-AD are still empty.

If the destination fields have been updated and they are not anymore empty, then no reminder is sent to the Consignor and the process ends at this point.

If the destination fields of the e-AD are still empty, the EMCS at MSA of Dispatch builds and records a reminder message for Excise movement (IE802) and notifies the Consignor of the reminder message for Excise movement (IE802).

Following, the consignor optionally replies by giving explanations.

- a. In case the consignor does not reply there is no message received from the consignor and the process ends at this point
- b. In case the consignor replies with a Draft Explanation on Delay (IE837), then the process continues.

After the receipt of the Draft Explanation on Delay (IE837), the MSA of Dispatch proceeds with validating the data of the Draft Explanation on Delay, by using the “Perform validation of Draft Explanation on Delay” sub process. Then, the EMCS at MSA of Dispatch proceeds with the handling of the validation results by using the “Handle validation results of Draft Explanation on Delay” call activity. Depending on the outcome (end event) of the “Handle validation results of Draft Explanation on Delay” call activity, the following may happen:

- a. If a refusal message (IE917/IE704) is sent to the Consignor (since the Draft Explanation on Delay was found invalid), the whole process ends at this point;
- b. If the Draft Explanation on Delay (IE837) is found valid, the EMCS at MSA of Dispatch records it and the whole process ends.

#### 5.46.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-CORE-01-25
Full Name	Issue reminder at expiry of timer for Update of Destination fields (TIM_FDF)
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.931: Process Details

#### 5.46.3. Tasks and Events

##### Event TIM\_FDF expired

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	TIM_FDF expired
Event Type	Timer start event
Description	The current process starts when the timer TIM_FDF (the timer that was issued at the date of submission of an e-AD where the destinations fields were empty) has expired at the MSA of Dispatch.
Appears also in the following	L4-CORE-AS-IS-01-0x-Issue reminder at expiry of time limit for Update

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processes	of destination fields with Explanations on Delay
Previous Objects	

Table 5.932: Event TIM\_FDF expired

### Task Identify whether the destination fields of the e-AD are still empty

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify whether the destination fields of the e-AD are still empty
Task Type	Service task
Description	The MSA of Dispatch identifies whether the destination fields of the e-AD are still empty.
Actor	EMCS
Input Data	Sequence Number Destination Type Code ARC
Output Data	Result Identify whether the destination fields of the e-AD are still empty
Previous Objects	TIM_FDF expired
Information Exchange	
Requirements	COR_FUR_0003
Data Set	
Events	
State	

Table 5.933: Task Identify whether the destination fields of the e-AD are still empty

### Task Build Reminder

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Build Reminder
Task Type	Task
Description	The MSA of Dispatch builds a Reminder (IE802).
Actor	EMCS
Input Data	TIM_FDF Expiry Date Sequence Number ARC
Output Data	C_EXC_REM

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Previous Objects	Are the destination fields of the e-AD still empty?
Information Exchange	
Requirements	COR_FUR_0082
Data Set	
Events	
State	

Table 5.934: Task Build Reminder

### Event No reminder sent to Consignor

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	No reminder sent to Consignor
Event Type	End event
Description	Destination fields of the e-AD have been filled in and no reminder is sent to Consignor. The process ends at this point.
Previous Objects	Are the destination fields of the e-AD still empty?

Table 5.935: Event No reminder sent to Consignor

### Task Record Reminder

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Reminder
Task Type	Service task
Description	The Reminder (IE802) is stored.
Actor	EMCS
Appears also in the following processes	L4-CORE-01-07-Issue Reminder at expiry of time limit for Report of Receipt/Export L4-CORE-01-24-Issue reminder at expiry of timer for Change of Destination (TIM_CHS) L4-CORE-AS-IS-01-0x-Issue reminder at expiry of timer for Change of Destination fields (TIM_CHS) L4-CORE-AS-IS-01-0x-Issue reminder at expiry of timer for Update of Destination (TIM_FDF)
Input Data	C_EXC_REM
Output Data	C_EXC_REM

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Previous Objects	Build Reminder
Information Exchange	
Requirements	COR_FUR_0083
Data Set	
Events	
State	

Table 5.936: Task Record Reminder

### Task Notify Consignor of Reminder

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Consignor of Reminder
Task Type	Send task
Description	The MSA of Dispatch notifies the Consignor of the Reminder (IE802).
Actor	EMCS
Appears also in the following processes	L4-CORE-01-24-Issue reminder at expiry of timer for Change of Destination (TIM_CHS) L4-CORE-AS-IS-01-0x-Issue reminder at expiry of timer for Change of Destination fields (TIM_CHS) L4-CORE-AS-IS-01-0x-Issue reminder at expiry of timer for Update of Destination (TIM_FDF)
Input Data	C_EXC_REM
Output Data	
Previous Objects	Record Reminder
Information Exchange	reminder message for Excise movement
Requirements	COR_FUR_0084
Data Set	
Events	
State	

Table 5.937: Task Notify Consignor of Reminder

### Event Draft Explanation on Delay of Consignor received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
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Event Name	Draft Explanation on Delay of Consignor received
Event Type	Message intermediate event (catch)
Description	The MSA of Dispatch received a draft Explanation on Delay (IE837) from the Consignor.
Previous Objects	Notify Consignor of Reminder Consignor

Table 5.938: Event Draft Explanation on Delay of Consignor received

### Event No message received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	No message received
Event Type	Conditional intermediate event
Description	The MSA of Dispatch received no message (i.e. no draft Explanation on Delay IE837) from the Consignor.
Previous Objects	Notify Consignor of Reminder

Table 5.939: Event No message received

### Task Perform validation of draft Explanation on Delay

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform validation of draft Explanation on Delay
Task Type	Subprocess (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-04-Perform validation of External Domain message.
Actor	EMCS
Appears also in the following processes	L4-CORE-01-07-Issue Reminder at expiry of time limit for Report of Receipt/Export L4-CORE-AS-IS-01-0x-Issue reminder at expiry of timer for Update of Destination (TIM_FDF)
Input Data	
Output Data	
Previous Objects	Draft Explanation on Delay of Consignor received
Information Exchange	
Requirements	
Data Set	
Events	

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PROCESS MODELS

State	
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Table 5.940: Task Perform validation of draft Explanation on Delay

### Event No Explanation on Delay received from Consignor

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	No Explanation on Delay received from Consignor
Event Type	End event
Description	The MSA of Dispatch received no Explanation on Delay (IE837) from the Consignor and the process ends.
Previous Objects	No message received

Table 5.941: Event No Explanation on Delay received from Consignor

### Task Handle validation results of draft Explanation on Delay

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle validation results of draft Explanation on Delay
Task Type	Call activity (collapsed)
Description	This task is modeled in a separate process: L4-CORE-01-06-Handle validation Results of External Domain message
Actor	EMCS
Appears also in the following processes	L4-CORE-01-07-Issue Reminder at expiry of time limit for Report of Receipt/Export L4-CORE-AS-IS-01-0x-Issue reminder at expiry of timer for Update of Destination (TIM_FDF)
Input Data	
Output Data	
Previous Objects	Perform validation of draft Explanation on Delay
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.942: Task Handle validation results of draft Explanation on Delay

### Event Explanation on Delay of Consignor recorded since draft Explanation on Delay had been found valid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Explanation on Delay of Consignor recorded since draft Explanation on Delay had been found valid
Event Type	Conditional intermediate event
Description	The Explanation on Delay of the Consignor (IE837) was recorded since the draft Explanation on Delay of the Consignor (IE837) had been found valid and the process continues.
Previous Objects	What is the outcome of the handling of validation results?

Table 5.943: Event Explanation on Delay of Consignor recorded since draft Explanation on Delay had been found valid

### Event Refusal message sent to Consignor since draft Explanation on Delay had been found invalid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message sent to Consignor since draft Explanation on Delay had been found invalid
Event Type	End event
Description	A Refusal message (IE917/IE704) was sent to the Consignor since the draft Explanation on Delay (IE837) had been found invalid.
Previous Objects	What is the outcome of the handling of validation results?

Table 5.944: Event Refusal message sent to Consignor since draft Explanation on Delay had been found invalid

### Event Explanation on Delay of Consignor recorded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Explanation on Delay of Consignor recorded
Event Type	End event
Description	The Explanation on Delay of the Consignor (IE837) was recorded and the process ends.
Previous Objects	Explanation on Delay of Consignor recorded since draft Explanation on Delay had been found valid

Table 5.945: Event Explanation on Delay of Consignor recorded

## 5.47. Process L4-CORE-Dummy

### 5.47.1. Objective of Process

### 5.47.2. Process Details

PROCESS ATTRIBUTE	PROCESS ATTRIBUTE VALUE
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NAME	
Process ID	
Full Name	
Assumptions	No assumptions.
Constraints	No constraints.
L3 Reference Models	
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.946: Process Details

### 5.47.3. Tasks and Events

#### Event Dummy Start event

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Dummy Start event
Event Type	Start event
Description	
Previous Objects	

Table 5.947: Event Dummy Start event

#### Task Dummy Task2

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Dummy Task2
Task Type	Task
Description	
Actor	Dummy pool
Input Data	
Output Data	
Previous Objects	Dummy Start event
Information Exchange	business process unavailability Customs rejection of e-AD notification of accepted export common system parameters common list of codes Data Packaging Data Packaging

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Requirements	
Data Set	
Events	
State	

Table 5.948: Task Dummy Task2

### Event Dummy End event

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Dummy End event
Event Type	End event
Description	
Previous Objects	Dummy Task2

Table 5.949: Event Dummy End event

## 5.48. Process L4-ACO-01-10-Handle Timers associated with an Interrupted Movement

### 5.48.1. Objective of Process

The purpose of this process is to describe all possible paths in the electronic flow for handling the timers associated with an Interrupted movement of excise goods under duty suspension arrangement (inside the territory of the European Union), in accordance with the current Common Specifications (FESS and DDNEA).

The current process is triggered when an Interruption of Movement is performed by the MSA of Dispatch (i.e. the MSA of Dispatch is the MSA of Interruption) or when an Interruption of Movement is received at the MSA of Dispatch (i.e. an Interruption of Movement is received over the Common Domain at the MSA of Dispatch from the MSA of Interruption) and the timers associated with the interrupted movement need to be handled.

For this purpose, the EMCS at MSA of Dispatch identifies if any timers associated with the interrupted movement are still running or have already expired.

In case there are timers associated with the interrupted movement which are still running, the EMCS at the MSA of Dispatch stops them. Unless there are timers associated with the interrupted movement which have already expired, the whole process ends here.

In case there are timers associated with the interrupted movement which have already expired, the EMCS at the MSA of Dispatch resets their flag that has been raised locally and the whole process ends at this point.

### 5.48.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
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Process ID	L4-ACO-01-10
Full Name	Handle Timers associated with an Interrupted Movement
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-ACO-01-01-Cooperation on Request
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.950: Process Details

### 5.48.3. Tasks and Events

#### Event Interruption of Movement performed/received at MSA of Dispatch and timers associated with the interrupted movement need to be handled

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Interruption of Movement performed/received at MSA of Dispatch and timers associated with the interrupted movement need to be handled
Event Type	Start event
Description	The current process is triggered when an Interruption of Movement is performed by the MSA of Dispatch (i.e. the MSA of Dispatch is the MSA of Interruption) or when an Interruption of Movement is received at the MSA of Dispatch (i.e. an Interruption of Movement is received over the Common Domain at the MSA of Dispatch from the MSA of Interruption) and the timers associated with the interrupted movement need to be handled.
Previous Objects	

Table 5.951: Event Interruption of Movement performed/received at MSA of Dispatch and timers associated with the interrupted movement need to be handled

#### Task Identify if any timers associated with the interrupted movement are still running or have already expired

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if any timers associated with the interrupted movement are still running or have already expired
Task Type	Service task
Description	It is identified if any timers associated with the interrupted movement are still running or have already expired.
Actor	
Input Data	TIM_CHS Expiry Date TIM_FDF Expiry Date

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	ARC Timer TIM_EAD_ESAD Expiry Date
Output Data	Result Identify if any timers associated with the interrupted movement are still running or have already expired
Previous Objects	Interruption of Movement performed/received at MSA of Dispatch and timers associated with the interrupted movement need to be handled
Information Exchange	
Requirements	ACO_FUR_0055
Data Set	
Events	
State	

Table 5.952: Task Identify if any timers associated with the interrupted movement are still running or have already expired

### Task Reset the flag of the timer

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Reset the flag of the timer
Task Type	Service task
Description	Since the timer has already expired, the MSA of Dispatch resets the flag that has been raised locally (at expiration time).
Actor	
Input Data	ARC Result Identify if any timers associated with the interrupted movement are still running or have already expired Result Identify if any timers associated with the interrupted movement are still running or have already expired ARC
Output Data	TIM_CHS Reset Flag Date TIM_EAD_ESAD Reset Flag Date TIM_FDF Reset Flag Date TIM_CHS Reset Flag Date TIM_EAD_ESAD Reset Flag Date TIM_FDF Reset Flag Date
Previous Objects	Have any timers already expired?
Information Exchange	

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Requirements	ACO_FUR_0056 ACO_FUR_0056
Data Set	
Events	
State	

Table 5.953: Task Reset the flag of the timer

### Task Stop the timer

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Stop the timer
Task Type	Service task
Description	Since the timer is still running, the MSA of Dispatch stops this timer.
Actor	
Input Data	ARC Result Identify if any timers associated with the interrupted movement are still running or have already expired ARC Result Identify if any timers associated with the interrupted movement are still running or have already expired
Output Data	TIM_FDF End Date TIM_CHS End Date TIM_CHS End Date TIM_CHS End Date TIM_FDF End Date TIM_CHS End Date
Previous Objects	Are any timers still running?
Information Exchange	
Requirements	ACO_FUR_0057 ACO_FUR_0057
Data Set	
Events	
State	

Table 5.954: Task Stop the timer

**Event Timers associated with the interrupted movement handled as necessary (e.g. either stopped or got flag reset)**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Timers associated with the interrupted movement handled as necessary (e.g. either stopped or got flag reset)
Event Type	End event
Description	The timers associated with the interrupted movement were handled as necessary (e.g. were either stopped or got flag reset).
Previous Objects	Are any timers still running? Stop the timer Have any timers already expired? Reset the flag of the timer

Table 5.955: Event Timers associated with the interrupted movement handled as necessary (e.g. either stopped or got flag reset)

## 5.49. Process L4-ACO-01-28-Perform Download of an e-AD/e-SAD (Query-Results)

### 5.49.1. Objective of Process

The purpose of this process is to describe all possible paths in the electronic flow for performing a download of an e-AD/e-SAD, in accordance with the current Common Specifications (FESS and DDNEA).

It shall be noted that the outcome of such queries may return data related to different types of movements (i.e. duty suspension or duty paid B2B).

The process is triggered when there is a decision to retrieve movement data relative to an e-AD/e-SAD. For this purpose, a query message (IE784:C\_DOW\_SUB) providing the ARC of the searched e-AD/e-SAD is submitted by using the “Download of an e-AD/e-SAD (Query)” sub-process (for a detailed description of the respective process please refer to “L4-ACO-01-21-Download of an e-AD/e-SAD (Query)”). Depending on the outcome (end event) of the “Download of an e-AD/e-SAD (Query)” sub-process, the following may happen:

- a. In case a refusal message (IE917/IE906) is received from the Initiator MSA (since the Movement Download Request was found invalid at the Initiator MSA), the whole process ends at this point;
- b. In case no refusal message (IE917/IE906) is received from the Initiator MSA (and Movement Download Request was sent successfully to the Initiator MSA), the process continues.

The response from the Initiator MSA to the Movement Download Request is received and handled by using the “Download of an e-AD/e-SAD (Results)” sub-process (for a detailed description of the respective process please refer to “L4-ACO-01-22-Download of an e-AD/e-SAD (Results)”). Depending on the outcome (end event) of the “Download of an e-AD/e-SAD (Results)” sub-process, the following may happen:

- a. In case a refusal message (IE917/IE906) is sent to the Initiator MSA (since the Movement Download Answer (IE785:C\_REQ\_RES) or the History of a Movement (IE838:C\_HIS\_VAL) that was received from the Initiator MSA was found invalid), the whole process ends here;
- b. In case the History of a Movement message (IE838:C\_HIS\_VAL) is stored (since it was found valid), the process also ends at this point;
- c. In case the Movement Download Answer (IE785:C\_REQ\_RES) indicating that the e-AD/e-SAD was not available (online) at the Initiator MSA is stored (since it was found valid), the whole process ends at this point.

### 5.49.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-ACO-01-28
Full Name	Perform Download of an e-AD/e-SAD (Query-Results)
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-ACO-01-01-Cooperation on Request
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.956: Process Details

### 5.49.3. Tasks and Events

#### Event Decision to download an e-AD/e-SAD exists

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Decision to download an e-AD/e-SAD exists
Event Type	Start event
Description	The process is triggered when there is a decision to download an e-AD/e-SAD from the Initiator MSA.
Previous Objects	

Table 5.957: Event Decision to download an e-AD/e-SAD exists

#### Task Download of an e-AD/e-SAD (Query)

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Download of an e-AD/e-SAD (Query)
Task Type	Call activity (collapsed)
Description	This task is modelled in a separate process:L4-ACO-01-21-Download of an e-AD/e-SAD (Query)
Actor	
Input Data	
Output Data	
Previous Objects	Decision to download an e-AD/e-SAD exists
Information Exchange	
Requirements	

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Data Set	
Events	
State	

Table 5.958: Task Download of an e-AD/e-SAD (Query)

### Event Refusal message received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message received
Event Type	End event
Description	A refusal message (IE917/IE906) was received from the Initiator MSA (since the Movement Download Request had been found invalid at the Initiator MSA).
Previous Objects	What is the outcome of the Download of an e-AD/e-SAD (Query)?

Table 5.959: Event Refusal message received

### Event Movement Download Request sent successfully and no refusal message received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Download Request sent successfully and no refusal message received
Event Type	Conditional intermediate event
Description	The Movement Download Request has been successfully sent to the Initiator MSA and no refusal message (IE917/IE906) has been received from the Initiator MSA.
Previous Objects	What is the outcome of the Download of an e-AD/e-SAD (Query)?

Table 5.960: Event Movement Download Request sent successfully and no refusal message received

### Task Download of an e-AD/e-SAD (Results)

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Download of an e-AD/e-SAD (Results)
Task Type	Call activity (collapsed)
Description	This task is modelled in a separate process: L4-ACO-01-22-Download of an e-AD/e-SAD (Results)
Actor	
Input Data	
Output Data	
Previous Objects	Movement Download Request sent successfully and no refusal message

	received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.961: Task Download of an e-AD/e-SAD (Results)

### Event Refusal message sent

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message sent
Event Type	End event
Description	A refusal message (IE917/IE906) was sent to the Initiator MSA since the Movement Download Answer or the History of a Movement that had been received from the Initiator MSA had been found invalid.
Previous Objects	What is the outcome of the Download of an e-AD/e-SAD (Results)?

Table 5.962: Event Refusal message sent

### Event History of a Movement recorded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	History of a Movement recorded
Event Type	End event
Description	The History of a Movement message (IE838:C_HIS_VAL) was stored (since it had been found valid).
Previous Objects	What is the outcome of the Download of an e-AD/e-SAD (Results)?

Table 5.963: Event History of a Movement recorded

### Event Movement Download Answer recorded indicating that the e-AD/e-SAD was not available (online)

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Movement Download Answer recorded indicating that the e-AD/e-SAD was not available (online)
Event Type	End event
Description	The Movement Download Answer IE785:C_DOW_RES (indicating that the e-AD/e-SAD was not available (online) at the Initiator MSA) was stored (since it had been found valid).

Previous Objects	What is the outcome of the Download of an e-AD/e-SAD (Results)?
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Table 5.964: Event Movement Download Answer recorded indicating that the e-AD/e-SAD was not available (online)

## 5.50. Process L4-ACO-01-29-Perform General Query to retrieve an e-AD/e-SAD (Query-Results)

### 5.50.1. Objective of Process

The purpose of this process is to describe all possible paths in the electronic flow for performing a General query to retrieve an e-AD/e-SAD, in accordance with the current Common Specifications (FESS and DDNEA). It shall be noted that the outcome of such queries may return data related to different types of movements (i.e. duty suspension or duty paid B2B).

The process is triggered when there is a decision to retrieve an e-AD/e-SAD from the Initiator MSA. For this purpose, a Common Request (IE701) indicating a query for an e-AD/e-SAD is built and sent to the Initiator MSA by using the “General query to retrieve an e-AD/e-SAD (Query)” sub process (for a detailed description of the respective process please refer to “L4-ACO-01-19-General query to retrieve an e-AD/e-SAD (Query)”). Depending on the outcome (end event) of the “General query to retrieve an e-AD/e-SAD (Query)” sub process, the following may happen:

- In case a refusal message (IE917/IE906) is received from the Initiator MSA (since the Common Request was found invalid at the Initiator MSA), the whole process ends at this point;
- In case no refusal message (IE917/IE906) is received from the Initiator MSA (and the Common Request was sent successfully to the Initiator MSA), the process continues.

Following, the reply of the Initiator MSA (to the Common Request indicating a query for an e-AD/e-SAD) is received and handled by using the “General query to retrieve an e-AD/e-SAD (Results)” sub process (for a detailed description of the respective process please refer to “L4-ACO-01-20-General query to retrieve an e-AD/e-SAD (Results)”). Depending on the outcome (end event) of the “General query to retrieve an e-AD/e-SAD (Results)” sub process, the following may happen:

- In case a refusal message (IE917/IE906) is sent to the Initiator MSA (since the List of e-AD/e-SAD (IE821) or the Refusal of Common Request (IE702) that was received from the Initiator MSA was found invalid), the whole process ends here;
- In case a List of e-AD (IE821) is stored (since it was found valid), the whole process also ends at this point;
- In case a Refusal of Common Request IE702 (indicating that the query returned no results at the Initiator MSA) is stored (since it was found valid), the whole process ends at this point.

### 5.50.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-ACO-01-29
Full Name	Perform General Query to retrieve an e-AD/e-SAD (Query-Results)
Assumptions	N/A
Constraints	No constraints.

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L3 Reference Models	L3-ACO-01-01-Cooperation on Request
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.965: Process Details

### 5.50.3. Tasks and Events

#### Event Decision to perform a general query to retrieve an e-AD/e-SAD exists

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Decision to perform a general query to retrieve an e-AD/e-SAD exists
Event Type	Start event
Description	The current process is triggered when there is a decision to retrieve an e-AD/e-SAD from the Initiator MSA.
Previous Objects	

Table 5.966: Event Decision to perform a general query to retrieve an e-AD/e-SAD exists

#### Task General query to retrieve an e-AD/e-SAD (Query)

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	General query to retrieve an e-AD/e-SAD (Query)
Task Type	Call activity (collapsed)
Description	This task is modelled in a separate process: L4-ACO-01-19-General query to retrieve an e-AD/e-SAD (Query)
Actor	
Input Data	
Output Data	
Previous Objects	Decision to perform a general query to retrieve an e-AD/e-SAD exists
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.967: Task General query to retrieve an e-AD/e-SAD (Query)

#### Event Refusal message received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message received

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Event Type	End event
Description	A refusal message (IE917/IE906) was received from the Initiator MSA (since the Common Request had been found invalid at the Initiator MSA).
Previous Objects	What is the outcome of the General Query to retrieve an e-AD/e-SAD (Query)?

Table 5.968: Event Refusal message received

### Event Common Request sent successfully and no refusal message received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Common Request sent successfully and no refusal message received
Event Type	Conditional intermediate event
Description	No refusal message (IE917/IE906) was received from the Initiator MSA (and the Common Request was sent successfully to the Initiator MSA).
Previous Objects	What is the outcome of the General Query to retrieve an e-AD/e-SAD (Query)?

Table 5.969: Event Common Request sent successfully and no refusal message received

### Task General query to retrieve an e-AD/e-SAD (Results)

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	General query to retrieve an e-AD/e-SAD (Results)
Task Type	Call activity (collapsed)
Description	This task is modelled in a separate process: L4-ACO-01-20-General query to retrieve an e-AD/e-SAD (Results).
Actor	
Input Data	
Output Data	
Previous Objects	Common Request sent successfully and no refusal message received
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.970: Task General query to retrieve an e-AD/e-SAD (Results)

### Event Refusal message sent

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal message sent
Event Type	End event
Description	A refusal message (IE917/IE906) was sent to the Initiator MSA (since the List of e-AD (IE821) or the Refusal of Common Request (IE702) received from the Initiator MSA had been found invalid).
Previous Objects	What is the outcome of the General Query to retrieve an e-AD/e-SAD (Results)?

Table 5.971: Event Refusal message sent

### Event List of e-AD/e-SAD recorded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	List of e-AD/e-SAD recorded
Event Type	End event
Description	A List of e-AD/e-SAD (IE821) was stored (since it had been found valid).
Previous Objects	What is the outcome of the General Query to retrieve an e-AD/e-SAD (Results)?

Table 5.972: Event List of e-AD/e-SAD recorded

### Event Refusal of Common Request recorded indicating that the query returned no results

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Refusal of Common Request recorded indicating that the query returned no results
Event Type	End event
Description	A Refusal of Common Request IE702 (indicating that the query returned no results at the Initiator MSA) was stored (since it had been found valid).
Previous Objects	What is the outcome of the General Query to retrieve an e-AD/e-SAD (Results)?

Table 5.973: Event Refusal of Common Request recorded indicating that the query returned no results

## 5.51. Process L4-ACO-01-31-Handle Timers associated with a Manually Closed Movement

### 5.51.1. Objective of Process

The purpose of this process is to describe all possible paths in the electronic flow for handling the timers associated with a Manually Closed movement of excise goods.

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The current process is triggered when a Manual Closure of a Movement is performed by the MSA of Dispatch and the timers associated with the manually closed movement need to be handled.

For this purpose, the EMCS at MSA of Dispatch identifies if timers associated with the manually closed movement are still running or have already expired (i.e. TIM\_EAD\_ESAD, TIM\_CHS and TIM\_FDF).

In case there are timers associated with the manually closed movement (i.e. TIM\_EAD\_ESAD, TIM\_CHS and TIM\_FDF) which are still running, the EMCS at the MSA of Dispatch stops them. Unless there are timers associated with the manually closed movement which have already expired (i.e. TIM\_EAD\_ESAD, TIM\_CHS and TIM\_FDF), the whole process ends here.

In case there are timers associated with the manually closed movement which have already expired (i.e. TIM\_EAD\_ESAD, TIM\_CHS and TIM\_FDF), the EMCS at the MSA of Dispatch resets their flag that has been raised locally and the whole process ends at this point.

### 5.51.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-ACO-01-31
Full Name	Handle Timers associated with a Manually Closed Movement
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-ACO-01-01-Cooperation on Request
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.974: Process Details

### 5.51.3. Tasks and Events

**Event Manual Closure of Movement performed/received at MSA of Dispatch and timers associated with the manually closed movement need to be handled**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Manual Closure of Movement performed/received at MSA of Dispatch and timers associated with the manually closed movement need to be handled
Event Type	Start event
Description	The current process is triggered when a Manual Closure of a Movement is performed by the MSA of Dispatch and the timers (i.e. TIM_EAD_ESAD, TIM_CHS and TIM_FDF) associated with the manually closed movement need to be handled.
Previous Objects	

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Table 5.975: Event Manual Closure of Movement performed/received at MSA of Dispatch and timers associated with the manually closed movement need to be handled

**Task Identify if timers associated with the manually closed movement are still running or have already expired**

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if timers associated with the manually closed movement are still running or have already expired
Task Type	Service task
Description	It is identified if any timers (i.e. TIM_EAD_ESAD, TIM_CHS and TIM_FDF) associated with the manually closed movement are still running or have already expired.
Actor	
Input Data	ARC TIM_CHS Expiry Date Timer TIM_EAD_ESAD Expiry Date TIM_FDF Expiry Date
Output Data	Result Identify if any timers associated with the manually closed movement are still running or have already expired
Previous Objects	Manual Closure of Movement performed/received at MSA of Dispatch and timers associated with the manually closed movement need to be handled
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.976: Task Identify if timers associated with the manually closed movement are still running or have already expired

**Task Reset the flag of the timer**

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Reset the flag of the timer
Task Type	Service task
Description	Since the timer has already expired, the MSA of Dispatch resets the flag that has been raised locally (at expiration time).
Actor	

Input Data	ARC Result Identify if any timers associated with the interrupted movement are still running or have already expired Result Identify if any timers associated with the interrupted movement are still running or have already expired ARC
Output Data	TIM_CHS Reset Flag Date TIM_EAD_ESAD Reset Flag Date TIM_FDF Reset Flag Date TIM_CHS Reset Flag Date TIM_EAD_ESAD Reset Flag Date TIM_FDF Reset Flag Date
Previous Objects	Have any timers already expired?
Information Exchange	
Requirements	ACO_FUR_0056 ACO_FUR_0056
Data Set	
Events	
State	

Table 5.977: Task Reset the flag of the timer

### Task Stop the timer

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Stop the timer
Task Type	Service task
Description	Since the timer is still running, the MSA of Dispatch stops this timer.
Actor	
Input Data	ARC Result Identify if any timers associated with the interrupted movement are still running or have already expired ARC Result Identify if any timers associated with the interrupted movement are still running or have already expired
Output Data	TIM_FDF End Date TIM_CHS End Date TIM_CHS End Date TIM_CHS End Date TIM_FDF End Date TIM_CHS End Date

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Previous Objects	Are any timers still running?
Information Exchange	
Requirements	ACO_FUR_0057 ACO_FUR_0057
Data Set	
Events	
State	

Table 5.978: Task Stop the timer

### Event Timers associated with the manually closed movement handled as necessary (e.g. either stopped or got flag reset)

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Timers associated with the manually closed movement handled as necessary (e.g. either stopped or got flag reset)
Event Type	End event
Description	The timers associated with the manually closed movement were handled as necessary (e.g. were either stopped or got flag reset).
Previous Objects	Are any timers still running? Stop the timer Reset the flag of the timer Have any timers already expired?

Table 5.979: Event Timers associated with the manually closed movement handled as necessary (e.g. either stopped or got flag reset)

## 5.52. Process L4-CORE-01-03-Perform validation of Common Domain message

### 5.52.1. Objective of Process

The purpose of this sub process is to describe all possible steps during the validation of a Common Domain message, in accordance with the current Common Specifications (DDNEA).

The current sub process is triggered when a Common Domain message is received. After the Common Domain message is received, a Syntactic validation of this message is performed:

- In case the message is found invalid due to Syntactic violation(s), the sub process ends here;
- In case the message is found valid, the sub process continues.

Then, Coordination Protocol validation of the message is performed:

- In case the message is found invalid due to Coordination Protocol violation(s), the sub process ends at this point;
- In case the message is found valid, the sub process also ends.

### 5.52.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-CORE-01-03
Full Name	Perform validation of Common Domain message
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	N/A
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.980: Process Details

### 5.52.3. Tasks and Events

#### Event Common Domain message received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Common Domain message received
Event Type	Start event
Description	The current process starts when a Common Domain message is received.
Previous Objects	

Table 5.981: Event Common Domain message received

#### Task Perform Syntactic validation of Common Domain message

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Syntactic validation of Common Domain message
Task Type	Service task
Description	<p>The following validations are defined as the Syntactic layer validations and when any of the aforementioned validations fails for a given Common Domain XML message, then that message is defined as syntactically invalid:</p> <ul style="list-style-type: none"> <li>• After the reception of a Common Domain XML message and before the message is further processed the receiver validates the size of the received message (defined in DDNEA and TESS), in order to avoid unresponsiveness or abrupt failure of the receiving application;</li> <li>• In the case that the received message is within the acceptable size limit, the receiver validates that its content conforms to the XML standard</li> </ul>

	<p>(defined in DDNEA) and that it is UTF-8 encoded;</p> <ul style="list-style-type: none"> <li>• Then, the receiver validates the structure of the received Common Domain XML message against the corresponding XSD defined in Appendix H of DDNEA.</li> </ul> <p>Also, it shall be noted that it is the responsibility of the application (sending message exchanges over the Common Domain) to conform to the Data Item conventions and the Character Sets defined in DDNEA.</p>
Actor	
Input Data	
Output Data	Result Identify if the Common Domain message is syntactically valid
Previous Objects	Common Domain message received
Information Exchange	<p>basis for recovery of duties  list of e-AD/e-SAD as result of a general query  event report  alert or rejection of an e-AD/e-SAD  administrative cooperation results  reminder message for Excise movement  notification of diverted e-AD/e-SAD  Report of Receipt/Export  answer message  interruption of movement  movement verification answer  e-AD/e-SAD  cancellation of an e-AD  movement download answer  movement verification request  control report  reminder message for movement verification request  change of destination  common request  movement download request  history of a movement  explanation on reason for shortage  movement verification results  administrative cooperation common request  reminder message for administrative cooperation  refusal of common request</p>
Requirements	COR_FUR_0085
Data Set	
Events	
State	

Table 5.982: Task Perform Syntactic validation of Common Domain message

**Task Perform Coordination Protocol validation of Common Domain message**

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Coordination Protocol validation of Common Domain message
Task Type	Service task
Description	<p>A number of validations relating to the coordination protocol should be performed in order to facilitate the exception handling in the Common Domain.</p> <p>The errors resulting from the coordination protocol validations can be the following:</p> <ul style="list-style-type: none"> <li>• 26 - Duplicate detected: The same interchange is received again. Duplication is detected by reception of an interchange reference that has already been received;</li> <li>• 93 - Invalid ARC: The structure of the ARC (Administrative Reference Code) does not conform to specifications given in rule R030 (Note: It should be noted that this error does not concern the format of the ARC, since this is covered by the syntactic layer validations through specialised regular expression patterns in the XSD files. The error code 93 concern the validation of the second field (MS Identifier) of the ARC, in order to ensure that it is a valid code of the Member States codelist);</li> <li>• 90 - Unknown ARC: The ARC (Administrative Reference Code) of the received message is not known, whereas it is expected to be known;</li> <li>• 92 - Message out of sequence: The message cannot be processed, because the receiver is not in a proper state;</li> <li>• 94 - Invalid Follow Up Correlation ID / MV Correlation ID: The structure of the Follow Up Correlation ID or MV Correlation ID does not conform to specifications given in rule R083;</li> <li>• 95 - Unknown Follow Up Correlation ID / MV Correlation ID - The Follow Up Correlation ID or MV Correlation ID of the received message is not known, whereas it is expected to be known.</li> </ul>
Actor	
Input Data	
Output Data	Result Identify if the Common Domain message passed Coordination Protocol validation successfully

Previous Objects	Has the Common Domain message passed Syntactic validation successfully?
Information Exchange	<p>explanation on reason for shortage</p> <p>notification of diverted e-AD/e-SAD</p> <p>control report</p> <p>interruption of movement</p> <p>alert or rejection of an e-AD/e-SAD</p> <p>refusal of common request</p> <p>cancellation of an e-AD</p> <p>history of a movement</p> <p>movement verification results</p> <p>list of e-AD/e-SAD as result of a general query</p> <p>Report of Receipt/Export</p> <p>change of destination</p> <p>answer message</p> <p>reminder message for movement verification request</p> <p>administrative cooperation results</p> <p>movement verification answer</p> <p>movement download answer</p> <p>event report</p> <p>common request</p> <p>reminder message for administrative cooperation</p> <p>basis for recovery of duties</p> <p>movement download request</p> <p>movement verification request</p> <p>e-AD/e-SAD</p> <p>administrative cooperation common request</p> <p>reminder message for Excise movement</p>
Requirements	COR_FUR_0086
Data Set	
Events	
State	

Table 5.983: Task Perform Coordination Protocol validation of Common Domain message

### Event Common Domain message found invalid due to Syntatic violation(s)

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Common Domain message found invalid due to Syntatic violation(s)
Event Type	End event
Description	he Common Domain message was found invalid due to Syntatic violation(s) and the process ends.
Previous Objects	Has the Common Domain message passed Syntactic validation successfully?

Table 5.984: Event Common Domain message found invalid due to Syntactic violation(s)

### Event Common Domain message found valid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Common Domain message found valid
Event Type	End event
Description	The Common Domain message was found valid and the process ends.
Previous Objects	Has the Common Domain message passed Coordination Protocol validation successfully?

Table 5.985: Event Common Domain message found valid

### Event Common Domain message found invalid due to Coordination Protocol violation(s)

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Common Domain message found invalid due to Coordination Protocol violation(s)
Event Type	End event
Description	The Common Domain message was found invalid due to Coordination Protocol violation(s) and the process ends.
Previous Objects	Has the Common Domain message passed Coordination Protocol validation successfully?

Table 5.986: Event Common Domain message found invalid due to Coordination Protocol violation(s)

## 5.53. Process L4-CORE-01-04-Perform validation of External Domain message

### 5.53.1. Objective of Process

The purpose of this sub process is to describe all possible steps during the validation of an External Domain message, in accordance with the current Common Specifications (DDNEA).

The current sub process is triggered when an External Domain message is received. After the External Domain message is received, a Syntactic validation of this message is performed:

- In case the message is found invalid due to Syntactic violation(s), the sub process ends here;
- In case the message is found valid, the sub process continues.

Then, Coordination Protocol validation of the message is performed:

- In case the message is found invalid due to Coordination Protocol violation(s), the sub process ends at this point;
- In case the message is found valid, the sub process continues.

Following, Business Compliance validation of the message is performed:

- In case the message is found invalid due to Business Compliance violation(s), the sub process ends;
- In case the message is found valid, the sub process also ends.

### 5.53.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-CORE-01-04
Full Name	Perform validations on External Domain messages
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-CORE-01-01-Submit and Register an e-AD L3-CORE-01-02-Perform Report of Receipt/Export L3-CORE-01-04-Perform Cancellation L3-CORE-01-05-Perform Splitting of Consignment L3-CORE-01-06-Perform Change of Destination L3-CORE-01-12-Manage Explanations on Reasons for Shortages or Excesses
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.987: Process Details

### 5.53.3. Tasks and Events

#### Event External Domain message received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	External Domain message received
Event Type	Start event
Description	The current process starts when an External Message is received.
Previous Objects	

Table 5.988: Event External Domain message received

#### Task Perform Syntactic validation of External Domain message

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Syntactic validation of External Domain message
Task Type	Service task
Description	The following validations are defined as the Syntactic layer validations and when any of the aforementioned validations fails for a given External Domain XML message, then that message is defined as syntactically invalid:  <ul style="list-style-type: none"> <li>• After the reception of an External Domain XML message and before the</li> </ul>

	<p>message is further processed, the application validates the size of the received message (defined in DDNEA and TESS), in order to avoid unresponsiveness or abrupt failure of the receiving application;</p> <ul style="list-style-type: none"> <li>• In the case that the External Domain message is within the acceptable size limit, the application validates that its content conforms to the XML standard (defined in DDNEA) and that it is UTF-8 encoded;</li> <li>• Then, the application validates the structure of the received External Domain XML message against the corresponding XSD defined in Appendix H of DDNEA.</li> </ul>
Actor	
Input Data	
Output Data	Result Identify if the External Domain message is syntactically valid
Previous Objects	External Domain message received
Information Exchange	<p>submitted draft of e-AD/e-SAD  alert or rejection of an e-AD/e-SAD  Draft Explanation on Delay for Delivery  cancellation of an e-AD  submitted draft of splitting operation  Draft Report of Receipt  Draft Change of Destination  Draft Explanation on Delay for Delivery  explanation on reason for shortage</p>
Requirements	COR_FUR_0106
Data Set	
Events	
State	

Table 5.989: Task Perform Syntactic validation of External Domain message

### Event External Domain message found invalid due to Syntactic violation(s)

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	External Domain message found invalid due to Syntactic violation(s)
Event Type	End event
Description	The External Domain message was found invalid due to Syntactic violation(s) and the process ends.
Previous Objects	Has the External Domain message passed Syntactic validation successfully?

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Table 5.990: Event External Domain message found invalid due to Syntactic violation(s)

### Task Perform Coordination Protocol validation of External Domain message

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Coordination Protocol validation of External Domain message
Task Type	Service task
Description	<p>A number of validations relating to the coordination protocol should be performed in the External Domain, in order to facilitate the exception handling in the Common Domain.</p> <p>The errors resulting from the coordination protocol validations performed in the External Domain can be the following:</p> <ul style="list-style-type: none"> <li>• 91 - Duplicate LRN: The LRN of the received message is already known and is therefore not unique according to the specified rules;</li> <li>• 26 - Duplicate detected: The same interchange is received again. Duplication is detected by reception of an interchange reference that has already been received.</li> </ul>
Actor	
Input Data	
Output Data	Result Identify if the External Domain message passed Coordination Protocol validation successfully
Previous Objects	Has the External Domain message passed Syntactic validation successfully?
Information Exchange	<p>Draft Report of Receipt submitted draft of splitting operation cancellation of an e-AD Draft Explanation on Delay for Delivery submitted draft of e-AD/e-SAD alert or rejection of an e-AD/e-SAD Draft Change of Destination Draft Explanation on Delay for Delivery explanation on reason for shortage</p>
Requirements	COR_FUR_0107
Data Set	
Events	
State	

Table 5.991: Task Perform Coordination Protocol validation of External Domain message

### Task Perform Business Compliance validation of External Domain message

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Business Compliance validation of External Domain message
Task Type	Service task
Description	<p>A number of validations relating to the business compliance should be performed in the External Domain, in order to facilitate the exception handling in the Common Domain.</p> <p>The errors resulting from the business compliance validations in the External Domain can be the following:</p> <ul style="list-style-type: none"> <li>• 0 – Other: This value should be used only when the error does not correspond to any of the following values. It must be stressed that the value of zero should only be used for intra-release migration issues;</li> <li>• 12 - Incorrect (code) value: Value of an element in a message is outside the applicable business codelist;</li> <li>• 15 - Not supported in this position: The element or value is not allowed according to the applicable Rule(s) or Condition(s).</li> </ul>
Actor	
Input Data	<p>Result Identify if the External Domain message passed Coordination Protocol validation successfully</p> <p>Result Identify if the External Domain message is syntactically valid</p>
Output Data	Result Identify if the External Domain message passed Business Compliance validation successfully
Previous Objects	Has the External Domain message passed Coordination Protocol validation successfully?
Information Exchange	<p>submitted draft of splitting operation</p> <p>cancellation of an e-AD</p> <p>alert or rejection of an e-AD/e-SAD</p> <p>Draft Change of Destination</p> <p>Draft Explanation on Delay for Delivery</p> <p>Draft Explanation on Delay for Delivery</p> <p>explanation on reason for shortage</p> <p>Draft Report of Receipt</p> <p>submitted draft of e-AD/e-SAD</p>

Requirements	COR_FUR_0108
Data Set	
Events	
State	

Table 5.992: Task Perform Business Compliance validation of External Domain message

### Event External Domain message found invalid due to Coordination Protocol violation(s)

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	External Domain message found invalid due to Coordination Protocol violation(s)
Event Type	End event
Description	The External Domain message was found invalid due to Coordination Protocol violation(s) and the process ends.
Previous Objects	Has the External Domain message passed Coordination Protocol validation successfully?

Table 5.993: Event External Domain message found invalid due to Coordination Protocol violation(s)

### Event External Domain message found invalid due to Business Compliance violation(s)

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	External Domain message found invalid due to Business Compliance violation(s)
Event Type	End event
Description	The External Domain message was found invalid due to Business Compliance violation(s) and the process ends.
Previous Objects	Has the External Domain message passed Business Compliance validation successfully?

Table 5.994: Event External Domain message found invalid due to Business Compliance violation(s)

### Event External Domain message found valid

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	External Domain message found valid
Event Type	End event
Description	The External Domain message was found valid and the process ends.
Previous Objects	Has the External Domain message passed Business Compliance validation successfully?

Table 5.995: Event External Domain message found valid

## 5.54. Process L4-CORE-01-11-Handle Timers associated with a Cancellation of an e-AD

### 5.54.1. Objective of Process

The purpose of this process is to describe all possible paths for handling the timers associated with a cancellation of an e-AD by the consignor.

The current process is triggered when a cancellation of an e-AD is received at the MSA of Dispatch (i.e. a cancellation of an e-AD is received at the MSA of Dispatch from the consignor) and the timers associated with the cancelled movement need to be handled.

For this purpose, the EMCS at MSA of Dispatch identifies if any timers associated with the cancelled movement are still running or have already expired.

In case the timer TIM\_EAD\_ESAD associated with the cancelled movement is still running, the EMCS at the MSA of Dispatch stops it. If the timer has already expired, the EMCS at the MSA of Dispatch resets the flag of the timer TIM\_EAD\_ESAD that has been raised locally.

In case the timer TIM\_CHS for change of destination is still running, the MSA of Dispatch stops it as well.

Moreover, if the timer TIM\_FDF for filling in the destination fields associated with the cancelled movement is still running, the EMCS at the MSA of Dispatch stops it and the whole process ends at this point.

### 5.54.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-CORE-01-11
Full Name	Handle Timers associated with a Cancellation of an e-AD
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	N/A
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.996: Process Details

### 5.54.3. Tasks and Events

**Event Cancellation of an e-AD received and the relevant Timers need to be updated accordingly**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Cancellation of an e-AD received and the relevant Timers need to be updated accordingly

Event Type	Start event
Description	The current process is triggered when a Cancellation of an e-AD is received by the MSA of Dispatch and the relevant timers need to be handled.
Previous Objects	

Table 5.997: Event Cancellation of an e-AD received and the relevant Timers need to be updated accordingly

### Task Identify whether the timer TIM\_EAD\_ESAD is still running

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify whether the timer TIM_EAD_ESAD is still running
Task Type	Service task
Description	The MSA of Dispatch identifies whether the timer TIM_EAD_ESAD is still running.
Actor	
Input Data	Timer TIM_EAD_ESAD Expiry Date ARC
Output Data	Result Identify whether the TIM_EAD_ESAD is still running
Previous Objects	Cancellation of an e-AD received and the relevant Timers need to be updated accordingly
Information Exchange	
Requirements	COR_FUR_0035
Data Set	
Events	
State	

Table 5.998: Task Identify whether the timer TIM\_EAD\_ESAD is still running

### Task Reset the flag of timer TIM\_EAD\_ESAD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Reset the flag of timer TIM_EAD_ESAD
Task Type	Service task
Description	If the timer TIM_EAD_ESAD has already expired, the MSA of Dispatch resets the flag that has been raised locally (at expiration time).
Actor	
Appears also in the following processes	L4-CORE-01-02-Perform Report of Receipt/Export

Input Data	ARC Sequence Number Result Identify whether the TIM_EAD_ESAD is still running
Output Data	TIM_EAD_ESAD Reset Flag Date
Previous Objects	Is timer TIM_EAD_ESAD still running?
Information Exchange	
Requirements	COR_FUR_0022
Data Set	
Events	
State	

Table 5.999: Task Reset the flag of timer TIM\_EAD\_ESAD

### Task Stop timer TIM\_EAD\_ESAD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Stop timer TIM_EAD_ESAD
Task Type	Service task
Description	If the timer TIM_EAD_ESAD is still running, the MSA of Dispatch stops this timer (that was to expire at the expected end of the movement).
Actor	
Appears also in the following processes	L4-CORE-01-02-Perform Report of Receipt/Export
Input Data	Result Identify whether the TIM_EAD_ESAD is still running Sequence Number ARC
Output Data	TIM_EAD_ESAD End Date
Previous Objects	Is timer TIM_EAD_ESAD still running?
Information Exchange	
Requirements	COR_FUR_0021
Data Set	
Events	
State	

Table 5.1000: Task Stop timer TIM\_EAD\_ESAD

### Task Identify whether the timer TIM\_CHS is still running in case of Cancellation

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify whether the timer TIM_CHS is still running in case of Cancellation
Task Type	Service task
Description	The MSA of Dispatch identifies whether the timer TIM_CHS is still running in case of Cancellation.
Actor	
Input Data	TIM_CHS Expiry Date ARC
Output Data	Result Identify whether the timer TIM_CHS is still running
Previous Objects	Stop timer TIM_EAD_ESAD Reset the flag of timer TIM_EAD_ESAD
Information Exchange	
Requirements	COR_FUR_0059
Data Set	
Events	
State	

Table 5.1001: Task Identify whether the timer TIM\_CHS is still running in case of Cancellation

### Task Stop timer TIM\_CHS in case of Cancellation

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Stop timer TIM_CHS in case of Cancellation
Task Type	Service task
Description	If the timer TIM_CHS is still running in case of Cancellation, the MSA of Dispatch stops this timer.
Actor	
Input Data	ARC Result Identify whether the timer TIM_CHS is still running
Output Data	TIM_CHS End Date
Previous Objects	Is timer TIM_CHS still running?

Information Exchange	
Requirements	COR_FUR_0061
Data Set	
Events	
State	

Table 5.1002: Task Stop timer TIM\_CHS in case of Cancelation

### Task Identify whether the timer TIM\_FDF is still running

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify whether the timer TIM_FDF is still running
Task Type	Service task
Description	The MSA of Dispatch identifies whether the he timer TIM_FDF is still running.
Actor	
Input Data	ARC TIM_FDF Expiry Date
Output Data	Result Identify whether the TIM_FDF is still running
Previous Objects	Stop timer TIM_CHS in case of Cancelation Is timer TIM_CHS still running?
Information Exchange	
Requirements	COR_FUR_0034
Data Set	
Events	
State	

Table 5.1003: Task Identify whether the timer TIM\_FDF is still running

### Task Stop timer TIM\_FDF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Stop timer TIM_FDF
Task Type	Service task
Description	If the timer TIM_FDF is still running, the MSA of Dispatch stops this timer.
Actor	

Input Data	ARC Result Identify whether the TIM_FDF is still running
Output Data	TIM_FDF End Date
Previous Objects	Is timer TIM_FDF still running?
Information Exchange	
Requirements	COR_FUR_0036
Data Set	
Events	
State	

Table 5.1004: Task Stop timer TIM\_FDF

### Event Timers updated upon Cancellation of an e-AD received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Timers updated upon Cancellation of an e-AD received
Event Type	End event
Description	The timers updated upon Cancellation of an e-AD message received.
Previous Objects	Is timer TIM_FDF still running? Stop timer TIM_FDF

Table 5.1005: Event Timers updated upon Cancellation of an e-AD received

## 5.55. Process L4-CORE-01-17-Handle Timers associated with a Change of Destination

### 5.55.1. Objective of Process

The purpose of this process is to describe all possible paths for handling the timers associated with the change of destination of a movement of Excise Goods.

The current process is triggered when a change of destination is received at the MSA of Dispatch (i.e. a change of destination is received at the MSA of Dispatch from the consignor) and the timers associated with the updated movement need to be handled.

For this purpose, the EMCS at MSA of Dispatch identifies if any timers associated with the updated e-AD/e-SAD are still running or have already expired.

Actually, the MSA of Dispatch identifies if the update included change of the journey time.

If yes, then the MSA Dispatch application:

- if the expected end of the movement is still in the future, i.e. the timer (TIM\_EAD\_ESAD) initially set to expire at limit date for submission of the report of receipt has not expired yet, updates that timer (TIM\_EAD\_ESAD) to expire by the new expected end date

- if the expected end of the movement is in the past, i.e. the timer (TIM\_EAD\_ESAD) initially set to expire at limit date for submission of the report of receipt has already expired but the new date of delivery is later than

the present date, first resets the flag that has been raised locally at expiration time and then restarts the timer (TIM\_EAD\_ESAD) to expire at the new expected end date.

Moreover, the MSA dispatch application identifies if the timer (TIM\_CHS) for change of destination and the timer (TIM\_FDF) for filling in destination fields (i.e. applicable only for Duty Suspension movements) have already expired at the limit date.

- if the timer (TIM\_CHS) for change of destination has already expired at the limit date, resets the flag that has been raised locally at expiration time

- if the timer (TIM\_CHS) for change of destination is still running, stops it

- if the timer (TIM\_FDF) for filling in destination fields has already expired at the limit date, resets the flag that has been raised locally at expiration time

- if there is a timer (TIM\_FDF) for filling in destination fields still running, stops it and the whole process ends here.

### 5.55.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-CORE-01-27
Full Name	Handle Timers associated with a Change of Destination
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	N/A
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.1006: Process Details

### 5.55.3. Tasks and Events

#### Task Identify whether the journey time is updated

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify whether the journey time is updated
Task Type	Service task
Description	The MSA of Dispatch identifies whether the journey time has been updated.
Actor	
Input Data	Sequence Number Journey Time ARC
Output Data	Result Identify whether the journey time is updated
Previous Objects	Change of Destination received and timers need to be updated accordingly

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Information Exchange	
Requirements	COR_FUR_0056
Data Set	
Events	
State	

Table 5.1007: Task Identify whether the journey time is updated

### Event Change of Destination received and timers need to be updated accordingly

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Change of Destination received and timers need to be updated accordingly
Event Type	Start event
Description	The current process is triggered when a Change of Destination message (IE813) is received by the MSA of Dispatch and the relevant timers need to be handled.
Previous Objects	

Table 5.1008: Event Change of Destination received and timers need to be updated accordingly

### Task Identify whether the timer TIM\_EAD\_ESAD is still running

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify whether the timer TIM_EAD_ESAD is still running
Task Type	Service task
Description	The MSA of Dispatch identifies whether the timer TIM_EAD_ESAD is still running.
Actor	
Input Data	Timer TIM_EAD_ESAD Expiry Date ARC Sequence Number Result Identify whether the journey time is updated
Output Data	Result Identify whether the TIM_EAD_ESAD is still running
Previous Objects	Does the Change of Destination include journey time updates?
Information Exchange	
Requirements	COR_FUR_0035
Data Set	

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Events	
State	

Table 5.1009: Task Identify whether the timer TIM\_EAD\_ESAD is still running

### Task Reset the flag of timer TIM\_EAD\_ESAD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Reset the flag of timer TIM_EAD_ESAD
Task Type	Service task
Description	If the timer TIM_EAD_ESAD has already expired, the MSA of Dispatch resets the flag that has been raised locally (at expiration time).
Actor	
Appears also in the following processes	L4-CORE-01-02-Perform Report of Receipt/Export
Input Data	Sequence Number Result Identify whether the TIM_EAD_ESAD is still running ARC
Output Data	TIM_EAD_ESAD Reset Flag Date
Previous Objects	Is timer TIM_EAD_ESAD still running?
Information Exchange	
Requirements	COR_FUR_0022
Data Set	
Events	
State	

Table 5.1010: Task Reset the flag of timer TIM\_EAD\_ESAD

### Task Perform update of the timer TIM\_EAD\_ESAD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform update of the timer TIM_EAD_ESAD
Task Type	Service task
Description	MSA of Dispatch updates the timer TIM_EAD_ESAD to expire by the new expected end date.
Actor	
Input Data	Sequence Number Result Identify whether the TIM_EAD_ESAD is still running ARC

Output Data	Timer TIM_EAD_ESAD Expiry Date
Previous Objects	Is timer TIM_EAD_ESAD still running?
Information Exchange	
Requirements	COR_FUR_0057
Data Set	
Events	
State	

Table 5.1011: Task Perform update of the timer TIM\_EAD\_ESAD

### Task Perform restart of the timer TIM\_EAD\_ESAD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform restart of the timer TIM_EAD_ESAD
Task Type	Service task
Description	MSA of Dispatch restarts the timer TIM_EAD_ESAD to expire at the new expected end date.
Actor	
Input Data	ARC Sequence Number Result Identify whether the TIM_EAD_ESAD is still running
Output Data	Timer TIM_EAD_ESAD Expiry Date
Previous Objects	Reset the flag of timer TIM_EAD_ESAD
Information Exchange	
Requirements	COR_FUR_0058
Data Set	
Events	
State	

Table 5.1012: Task Perform restart of the timer TIM\_EAD\_ESAD

### Task Identify whether the timer TIM\_CHS is still running

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Identify whether the timer TIM_CHS is still running
Task Type	Service task
Description	The MSA of Dispatch identifies whether the timer TIM_CHS is still running.
Actor	
Input Data	TIM_CHS Expiry Date Sequence Number ARC
Output Data	Result Identify whether the timer TIM_CHS is still running
Previous Objects	Perform update of the timer TIM_EAD_ESAD Perform restart of the timer TIM_EAD_ESAD Does the Change of Destination include journey time updates?
Information Exchange	
Requirements	COR_FUR_0059
Data Set	
Events	
State	

Table 5.1013: Task Identify whether the timer TIM\_CHS is still running

### Task Reset the flag of timer TIM\_CHS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Reset the flag of timer TIM_CHS
Task Type	Service task
Description	If the timer TIM_CHS has already expired, the MSA of Dispatch resets the flag that has been raised locally (at expiration time).
Actor	
Input Data	Sequence Number ARC Result Identify whether the timer TIM_CHS is still running
Output Data	TIM_CHS Reset Flag Date
Previous Objects	Is timer TIM_CHS still running?
Information Exchange	
Requirements	COR_FUR_0060

Data Set	
Events	
State	

Table 5.1014: Task Reset the flag of timer TIM\_CHS

### Task Stop timer TIM\_CHS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Stop timer TIM_CHS
Task Type	Service task
Description	If the timer TIM_CHS is still running, the MSA of Dispatch stops this timer.
Actor	
Input Data	ARC Result Identify whether the timer TIM_CHS is still running Sequence Number
Output Data	TIM_CHS End Date
Previous Objects	Is timer TIM_CHS still running?
Information Exchange	
Requirements	COR_FUR_0061
Data Set	
Events	
State	

Table 5.1015: Task Stop timer TIM\_CHS

### Task Identify whether the timer TIM\_FDF is still running

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify whether the timer TIM_FDF is still running
Task Type	Service task
Description	The MSA of Dispatch identifies whether the timer TIM_FDF is still running.
Actor	
Input Data	TIM_FDF Expiry Date Sequence Number ARC

Output Data	Result Identify whether the TIM_FDF is still running
Previous Objects	Reset the flag of timer TIM_CHS Stop timer TIM_CHS
Information Exchange	
Requirements	COR_FUR_0034
Data Set	
Events	
State	

Table 5.1016: Task Identify whether the timer TIM\_FDF is still running

### Task Reset of the flag of timer TIM\_FDF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Reset of the flag of timer TIM_FDF
Task Type	Task
Description	If the timer TIM_FDF has already expired, the MSA of Dispatch resets the flag that has been raised locally (at expiration time).
Actor	
Input Data	Result Identify whether the TIM_FDF is still running ARC Sequence Number
Output Data	TIM_FDF Reset Flag Date
Previous Objects	Is timer TIM_FDF still running?
Information Exchange	
Requirements	COR_FUR_0123
Data Set	
Events	
State	

Table 5.1017: Task Reset of the flag of timer TIM\_FDF

### Task Stop timer TIM\_FDF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Stop timer TIM_FDF
Task Type	Service task
Description	If the timer TIM_FDF is still running, the MSA of Dispatch stops this timer.
Actor	
Input Data	Sequence Number Result Identify whether the TIM_FDF is still running ARC
Output Data	TIM_FDF End Date
Previous Objects	Is timer TIM_FDF still running?
Information Exchange	
Requirements	COR_FUR_0036
Data Set	
Events	
State	

Table 5.1018: Task Stop timer TIM\_FDF

### Event Timers updated upon Change of Destination message received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Timers updated upon Change of Destination message received
Event Type	End event
Description	The timers updated upon Change of Destination message (IE813) received and new e-AD/e-SAD was generated.
Previous Objects	Reset of the flag of timer TIM_FDF Stop timer TIM_FDF

Table 5.1019: Event Timers updated upon Change of Destination message received

## 5.56. Process L4-CORE-01-22-Handle Timers associated with Splitting Operation

### 5.56.1. Objective of Process

The purpose of this process is to describe all possible paths for handling the timers associated with the splitting of a Consignment of energy products moved under suspension of Excise Duty.

The current process is triggered when a submitted draft of splitting operation is received at the MSA of Dispatch (i.e. a submitted draft of splitting operation is received at the MSA of Dispatch from the consignor) and the timers associated with the split movement (initial e-AD) need to be handled.

For this purpose, the EMCS at MSA of Dispatch identifies whether the timers (TIM\_EAD\_ESAD) for the expected end of the movement, (TIM\_CHS) for change of destination and (TIM\_FDF) for filling in destination fields have already expired at the limit date.

For each timer that has already expired, the EMCS at MSA of Dispatch resets its flag that has been raised locally at expiration time. Otherwise, if the timers are still running, the EMCS at MSA of Dispatch stops them and the whole process ends.

### 5.56.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-CORE-01-22
Full Name	Handle Timers associated with Splitting Operation
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	N/A
Existing Specifications	Design Document for National Excise Applications - v3.02

Table 5.1020: Process Details

### 5.56.3. Tasks and Events

#### Task Identify whether the timer TIM\_EAD\_ESAD associated with the initial e-AD is still running

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify whether the timer TIM_EAD_ESAD associated with the initial e-AD is still running
Task Type	Service task
Description	The MSA of Dispatch identifies whether the timer TIM_EAD_ESAD associated with the former e-AD is still running.
Actor	
Input Data	Timer TIM_EAD_ESAD Expiry Date Upstream ARC
Output Data	Result Identify whether the TIM_EAD_ESAD is still running
Previous Objects	Submitted Draft of Splitting Operation received and timers need to be updated accordingly
Information Exchange	
Requirements	COR_FUR_0035

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Data Set	
Events	
State	

Table 5.1021: Task Identify whether the timer TIM\_EAD\_ESAD associated with the initial e-AD is still running

### Event Submitted Draft of Splitting Operation received and timers need to be updated accordingly

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Submitted Draft of Splitting Operation received and timers need to be updated accordingly
Event Type	Start event
Description	The current process is triggered when a Submitted Draft of Splitting Operation message (IE825) is received by the MSA of Dispatch and the relevant timers need to be handled.
Previous Objects	

Table 5.1022: Event Submitted Draft of Splitting Operation received and timers need to be updated accordingly

### Task Reset the flag of timer TIM\_EAD\_ESAD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Reset the flag of timer TIM_EAD_ESAD
Task Type	Service task
Description	If the timer TIM_EAD_ESAD has already expired, the MSA of Dispatch resets the flag that has been raised locally (at expiration time).
Actor	
Appears also in the following processes	L4-CORE-01-02-Perform Report of Receipt/Export
Input Data	ARC Sequence Number Result Identify whether the TIM_EAD_ESAD is still running
Output Data	TIM_EAD_ESAD Reset Flag Date
Previous Objects	Is timer TIM_EAD_ESAD still running?
Information Exchange	
Requirements	COR_FUR_0022
Data Set	
Events	
State	

Table 5.1023: Task Reset the flag of timer TIM\_EAD\_ESAD

### Task Stop timer TIM\_EAD\_ESAD

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Stop timer TIM_EAD_ESAD
Task Type	Service task
Description	If the timer TIM_EAD_ESAD is still running, the MSA of Dispatch stops this timer (that was to expire at the expected end of the movement).
Actor	
Appears also in the following processes	L4-CORE-01-02-Perform Report of Receipt/Export
Input Data	Result Identify whether the TIM_EAD_ESAD is still running Sequence Number ARC
Output Data	TIM_EAD_ESAD End Date
Previous Objects	Is timer TIM_EAD_ESAD still running?
Information Exchange	
Requirements	COR_FUR_0021
Data Set	
Events	
State	

Table 5.1024: Task Stop timer TIM\_EAD\_ESAD

### Task Identify whether the timer TIM\_CHS associated with the initial e-AD is still running

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify whether the timer TIM_CHS associated with the initial e-AD is still running
Task Type	Service task
Description	The MSA of Dispatch identifies whether the timer TIM_CHS associated with the former e-AD is still running.
Actor	
Input Data	Upstream ARC TIM_CHS Expiry Date
Output Data	Result Identify whether the timer TIM_CHS is still running

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Previous Objects	Stop timer TIM_EAD_ESAD Reset the flag of timer TIM_EAD_ESAD
Information Exchange	
Requirements	COR_FUR_0059
Data Set	
Events	
State	

Table 5.1025: Task Identify whether the timer TIM\_CHS associated with the initial e-AD is still running

### Task Reset the flag of timer TIM\_CHS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Reset the flag of timer TIM_CHS
Task Type	Service task
Description	If the timer TIM_CHS has already expired, the MSA of Dispatch resets the flag that has been raised locally (at expiration time).
Actor	
Input Data	Upstream ARC Result Identify whether the timer TIM_CHS is still running
Output Data	TIM_CHS Reset Flag Date
Previous Objects	Is timer TIM_CHS still running?
Information Exchange	
Requirements	COR_FUR_0060
Data Set	
Events	
State	

Table 5.1026: Task Reset the flag of timer TIM\_CHS

### Task Stop timer TIM\_CHS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Stop timer TIM_CHS
Task Type	Service task

Description	If the timer TIM_CHS is still running, the MSA of Dispatch stops this timer.
Actor	
Input Data	Upstream ARC Result Identify whether the timer TIM_CHS is still running
Output Data	TIM_CHS End Date
Previous Objects	Is timer TIM_CHS still running?
Information Exchange	
Requirements	COR_FUR_0061
Data Set	
Events	
State	

Table 5.1027: Task Stop timer TIM\_CHS

#### Task Identify whether the timer TIM\_FDF associated with the initial e-AD is still running

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify whether the timer TIM_FDF associated with the initial e-AD is still running
Task Type	Service task
Description	The MSA of Dispatch identifies whether the timer TIM_FDF associated with the former e-AD is still running.
Actor	
Input Data	Upstream ARC TIM_FDF Expiry Date
Output Data	Result Identify whether the TIM_FDF is still running
Previous Objects	Reset the flag of timer TIM_CHS Stop timer TIM_CHS
Information Exchange	
Requirements	COR_FUR_0034
Data Set	
Events	
State	

Table 5.1028: Task Identify whether the timer TIM\_FDF associated with the initial e-AD is still running

### Task Reset of the flag of timer TIM\_FDF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Reset of the flag of timer TIM_FDF
Task Type	Task
Description	If the timer TIM_FDF has already expired, the MSA of Dispatch resets the flag that has been raised locally (at expiration time).
Actor	
Input Data	Upstream ARC Result Identify whether the TIM_FDF is still running
Output Data	TIM_FDF Reset Flag Date
Previous Objects	Is timer TIM_FDF still running?
Information Exchange	
Requirements	COR_FUR_0123
Data Set	
Events	
State	

Table 5.1029: Task Reset of the flag of timer TIM\_FDF

### Task Stop timer TIM\_FDF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Stop timer TIM_FDF
Task Type	Service task
Description	If the timer TIM_FDF is still running, the MSA of Dispatch stops this timer.
Actor	
Input Data	Upstream ARC Result Identify whether the TIM_FDF is still running
Output Data	TIM_FDF End Date
Previous Objects	Is timer TIM_FDF still running?
Information Exchange	

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Requirements	COR_FUR_0036
Data Set	
Events	
State	

Table 5.1030: Task Stop timer TIM\_FDF

**Event Timers updated upon Submitted Splitting Operation message received and new e-ADs were generated**

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Timers updated upon Submitted Splitting Operation message received and new e-ADs were generated
Event Type	End event
Description	The timers updated upon Submitted draft of Splitting Operation message (IE825) received.
Previous Objects	Stop timer TIM_FDF Reset of the flag of timer TIM_FDF

Table 5.1031: Event Timers updated upon Submitted Splitting Operation message received and new e-ADs were generated